



The UNIVERSITY of WESTERN ONTARIO

SENATE AGENDA

1:30 p.m., Friday, January 22, 2010
Richard Ivey School of Business, Room 1R40

1. Minutes of the Meeting of December 11, 2009
2. Business Arising from the Minutes
3. Report of the President (A. Chakma)
4. Reports of Committees:
 - Operations/Agenda - EXHIBIT I (T. Carmichael)
 - Nominating - EXHIBIT II (M. Broadfoot)
 - Academic Policy and Awards - EXHIBIT III (M. Workentin)
 - University Planning - EXHIBIT IV (C. Dunbar)
5. Report of the Academic Colleague - EXHIBIT V (R. Lipson)
6. Announcements and Communications - EXHIBIT VI
7. Enquiries and New Business
8. Adjournment

Senate meetings are scheduled to begin at 1:30 p.m. and normally will end by 4:30 p.m. unless extended by a majority vote of those present.

To download a complete copy of the Senate agenda, including minutes to be approved at the meeting plus exhibits and their attachments (75 pages) please go to the following website:
http://www.uwo.ca/univsec/senate/minutes/2010/a1001sen_all.pdf



The UNIVERSITY of WESTERN ONTARIO

MINUTES OF THE MEETING OF SENATE

December 11, 2009

The meeting was held at 1:30 p.m. in Room 1R40, Richard Ivey School of Business.

SENATORS 76

I. Ajiferuke	C. Gibson	M. Naish
A. Barnfield	C. Gobert	B. Neff
J. Barron	A. Gupta	R. Park
D. Bartlett	H. Hassan	J. Polgar
J. Berge	T. Hewitt	R. Rajakumar
I. Birrell	I. Holloway	G. Rowe
C. Burns	M. Houde	B. Sherar
T. Carmichael	B. Hovius	D. Shrubsole
A. Chakma	A. Hrymak	S. Sims
A. Chan	M. Hyland	S. Spaulding
H. Connell	T. Jarman	C. Stephenson
J. Corrigan	J.Y. Jiang	A. Suksi
R. Coulter	M. Johnson	D. Sylvester
W. Danaher	E. Karakacili	R. Telfer
J. Doerksen	C. Keep	B. Timney
P. Doyle	G. Kopp	M. Tithecott
C. Dunbar	G. Kulczycki	J. Toswell
W. Dunn	S. Lofts	D. Ulbrych
D. Dutrizac	F. Longstaffe	M. Van Gaalen
J. Etherington	S. Macfie	K. Wamsley
M. Fan	R. Macmillan	J. White
C. Farber	A. Mandich	C. Wilkins
K. Galil	P. McKenzie	A. Willson
J. Garnett	M. Milde	B. Wood
J. Gautier	L. Miller	R. Young
		P. Yu

Observers: R. Darnell, L. Gribbon, D. Jameson, G. Tigert, A. Weedon

S.09-235

MINUTES OF THE PREVIOUS MEETING

The minutes of the meeting of November 13, 2009, were approved as circulated.

S.09-236

REPORT OF THE PRESIDENT

The President's report consisted of the following items: issues on the international, national, provincial, local and campus scene. Overhead slides used to highlight his presentation are attached as **Appendix 1**.

S.09-237 **President's Priorities 2009-2010**

Senate received for information the President's Priorities for 2009-2010, detailed in the exhibit distributed with the agenda.

REPORT OF THE OPERATIONS/AGENDA COMMITTEE [Exhibit I]

S.09-238a **Senate Membership - Huron University College Constituency**

It was moved by T. Carmichael, seconded by A. Barnfield,

That the seat held by Alfred Chan, elected faculty representative on Senate for Huron University College constituency, be declared vacant as a result of his resignation, and,

That Mark Blagrove be elected to complete his term (January 1, 2010 - June 30, 2011).

CARRIED

S.09-238b **Senate Membership - Faculty of Social Science Constituency**

It was moved by T. Carmichael, seconded by J. White,

That the seat held by Scott Schaffer (Sociology), elected faculty representative on Senate for the Faculty of Social Science constituency, be declared vacant as a result of his resignation, and,

That Joan Finegan (Psychology/BMOS) be elected to complete his term (January 1, 2010 - June 30, 2010).

CARRIED

The recommendations for the appointment of representatives of Faculty/Affiliated University College constituencies is proposed in accordance with Senate election procedures for the filling of vacancies.

S.09-239 **Appointment Procedures for Senior Academic and Administrative Officers of the University – Amendment to Section M: Deans of Faculties**

It was moved by T. Carmichael, seconded by J. Garnett,

That Section M: Deans of Faculties of the Appointment Procedures for Senior Academic and Administrative Officers of the University be amended as outlined below.

M. **DEANS OF FACULTIES**

Composition of Selection Committee

A committee to select a Dean of a Faculty shall consist of:

- (a) the Provost & Vice-President (Academic), who shall be Chair
- (b) the Vice-President (Research & International Relations)
- (c) 5 ~~6~~ persons, one of whom shall be an undergraduate student enrolled in the Faculty or a ~~and one of whom shall be a~~ graduate student enrolled in a program housed in the relevant Faculty, elected by the Council of the Faculty concerned
- (d) 3 ~~persons faculty or staff~~ elected by Senate, ~~who are not faculty, staff or students from outside of the Faculty concerned, and~~ only one of whom may be a Dean,
- (e) (i) for Medicine & Dentistry, the President and Chief Executive Officer of the London Health Sciences Centre/St. Joseph's Health Care or designate, one Robarts Scientist identified by the Robarts Scientists, and one

- additional student and faculty member elected by the Council of the Schulich School of Medicine & Dentistry
- (ii) for Business, two members of the Ivey Advisory Board, named by the Advisory Board;
- (iii) for Law, one member of the Law Alumni Association, named by the Association.

CARRIED

S.09-240

Composition of SUUPR

It was moved by T. Carmichael, seconded by C. Wilkins,

That the composition of the Subcommittee on Undergraduate Program Review (SUUPR) be revised:

- a) to allow for a Designate to be appointed by the Chair of SCAPA to chair SUUPR; and
- b) to remove the Executive Assistant to the President as an ex officio non-voting resource member.

Composition:

One faculty member or administrative staff member appointed by Faculty Council for each Faculty or Affiliated University College.
Two members elected by SCAPA.

Ex Officio: Chair of SCAPA **or Designate***, who shall be Chair
Vice-Provost (Academic Programs & Students)
Associate Registrar (non-voting)
University Librarian (non-voting)
Director of the Teaching Support Centre (non-voting)
Secretary of Senate (non-voting)
Vice-President University Affairs of the University Students
Council (non-voting)

Resource: ~~Executive Assistant to the President (non-voting)~~
Coordinator, Office of the Provost (non-voting)

**** The Designate must be a member of SUUPR at the time of his/her appointment.***

CARRIED

S.09-241

Order of Ceremony - Spring Convocation 2010

The Order of Ceremony for Spring Convocation 2010 was received for information:

	10:00 a.m. Ceremony	3:30 p.m. Ceremony
Monday June 14	School of Graduate & Postdoctoral Studies * Faculty of Arts and Humanities Faculty of Information and Media Studies Don Wright Faculty of Music * students in graduate programs hosted by the Faculty of Arts and Humanities and Faculty of Information and Media Studies and Don Wright Faculty of Music	School of Graduate & Postdoctoral Studies * Faculty of Health Sciences (Nursing) Brescia University College Huron University College * students in graduate programs hosted by the Faculty of Health Sciences (Nursing) and Brescia and Huron University Colleges

Tuesday June 15	School of Graduate & Postdoctoral Studies * Faculty of Health Sciences (except Nursing) * students in graduate programs hosted by the Faculty of Health Sciences	School of Graduate & Postdoctoral Studies* King's University College *students in graduate programs hosted by King's University College
Wednesday June 16	School of Graduate & Postdoctoral Studies * Faculty of Social Science – Honors, 4 yr. (excluding BMOS), Diplomas and Certificates (excluding Accounting) * students in graduate programs hosted by the Faculty of Social Science	School of Graduate & Postdoctoral Studies* Faculty of Engineering Faculty of Science/Schulich School of Medicine & Dentistry – 4 yr. BMSc programs Faculty of Science - 4yr & 3yr BSc and BA programs *students in graduate programs hosted by the Faculty of Engineering
Thursday June 17	Faculty of Social Science All BMOS programs, 3 yr. BA, BA (ACS), Diploma in Accounting	School of Graduate & Postdoctoral Studies* Faculty of Science/Schulich School of Medicine & Dentistry –BMSc Honors Programs Faculty of Science - BSc and BA Honors Programs * students in graduate programs hosted by the Faculty of Science
Friday June 18	School of Graduate & Postdoctoral Studies * Education * students in graduate programs hosted by the Faculty of Education	School of Graduate & Postdoctoral Studies * Faculty of Law Richard Ivey School of Business Schulich School of Medicine & Dentistry (DDS) * students in graduate programs hosted by the Faculty of Law, Richard Ivey School of Business and the Schulich School of Medicine & Dentistry

S.09-242

Call for Nominations - Senate Elections January/February 2010

Nominations open January 7, 2010, for positions on Senate that start July 1, 2010. Terms for current Senators are shown on the web at: <http://www.uwo.ca/univsec/senate/members.pdf>

The Call for Nominations and Nomination Forms are on the Election Web site:
<http://www.uwo.ca/univsec/election>

S.09-243

Report of the Convocation Board

The Report of the Convocation Board, containing statistics for the graduates in the Spring and Autumn 2009 convocations, detailed in Exhibit I, Appendix 2A and 2B, was received for information. Professor White, Assistant Director of Convocation, advised Senate that serious

consideration will need to be given to increasing the number of ceremonies in the future. Most ceremonies are now at the attendance limit.

REPORT OF THE SENATE COMMITTEE ON ACADEMIC POLICY AND AWARDS [Exhibit II]

S.09-244 **Faculty of Social Science: Revisions to the Psychology Program**

S.09-244a **Honors Specialization in Psychology - BSc**

It was moved by B. Timney, seconded by D. Shrubsole,

That the entrance, progression, and course requirements of the Honors Specialization in Psychology - BSc module be revised, as detailed in Exhibit II, item 1a, effective September 1, 2010.

CARRIED

S.09-244b **Honors Specialization in Psychology - BA**

It was moved by B. Timney, seconded by D. Shrubsole,

That the entrance, progression, and course requirements of the Honors Specialization in Psychology - BA module be revised, as detailed in Exhibit II, item 1b, effective September 1, 2010.

CARRIED

S.09-244c **Admission and Progression Requirements for Psychology Honors Modules**

It was moved by B. Timney, seconded by D. Shrubsole,

That the introductory information regarding admission and progression requirements for Honors Psychology modules be revised, as detailed in Exhibit II, item 1c, effective September 1, 2010.

CARRIED

S.09-245 **King's University College: Revisions to the Honors Specialization, Specialization and Major Modules in Management and Organizational Studies (MOS)**

The Chair asked that the following corrections (*shown in italics*) be made to 1b of the Progression Requirements to the BMOS SPECIALIZATIONS :

b) obtain a minimum sessional average of 60% ~~in the fall/winter term*~~ *during the academic year (September - April)*

**Sessional averages are calculated in May, after the fall/winter term at the end of the academic year.*

It was moved by B. Timney, seconded by D. Sylvester,

That the progression requirements for the Honors Specialization, Specialization and Major modules in Management and Organizational Studies (MOS) at King's University College be revised, as detailed in Exhibit II, item 2, effective September 1, 2010.

CARRIED

S.09-246 **Sessional Dates**

It was moved by B. Timney, seconded by B. Sherar,

That the sessional dates in Dentistry, Education, Law and Medicine for 2010-2011 be approved as outlined in Exhibit II, Appendix 1.

CARRIED

S.09-247 **Policies on Retention of Continuing Scholarships (Admission and In-Course), Undergraduate Admission Scholarships Program, and Scholarship Appeal**

It was moved by B. Timney, seconded by J.Y. Jiang,

That the policies on Retention of Continuing Scholarships (Admission and In-Course), Undergraduate Admission Scholarships Program, and Scholarship Appeal, be revised to clarify that, in order to retain a Continuing Scholarship, a student must achieve an average of at least 80% in each academic year (September - April) as detailed in Exhibit II, item 4.

CARRIED

S.09-248 **School of Graduate and Postdoctoral Studies Academic Calendar**

The School of Graduate and Postdoctoral Studies would like to have the current Graduate Calendar in Senate's record, so that all future revisions to graduate program policy can be referenced against the current policy. The Graduate Education Council (GEC) will be sending policy changes forward to SCAPA and Senate in the future and the current document will serve as the baseline for all subsequent changes. The current Graduate Calendar, attached as Exhibit II, Appendix 2, was received for information.

S.09-249 **Faculty of Health Sciences: Removal of the Schools of Occupational Therapy, Physical Therapy and Communicative Sciences and Disorders from the Undergraduate Academic Calendar**

Following consultation with the Directors of the Faculty of Health Sciences Schools of Occupational Therapy, Physical Therapy and Communicative Sciences and Disorders, and the Academic Calendar Coordinator, all copy relating to these three Schools will be removed from the UWO Academic Calendar.

REPORT OF THE SENATE COMMITTEE ON UNIVERSITY PLANNING [Exhibit III]

S.09-250 **ING Direct Chair in Finance**

It was moved by C. Dunbar, seconded by C. Stephenson,

That the ING Direct Chair in Finance be established.

Donor and Funding: ING DIRECT USA and ING Bank of Canada have provided a generous gift of \$2.5 million to be endowed at the University to support the ING Direct Chair in Finance in perpetuity at the Richard Ivey School of Business.

As per MAPP Policy 2.22, new Chairs that are part of the established fund raising campaign of the Richard Ivey School of Business require only \$2.5 million for endowment.

Effective Date: July 1, 2010

- Purpose:** This Chair will ensure that the Richard Ivey School of Business continues to maintain its position as a leader in Finance.
- This naming is enthusiastically supported by the Dean of the Richard Ivey School of Business and by the Chair of the Department of Finance.
- Appointment:** An appointment to the Chair will be conducted in accordance with the appropriate University policies and procedures on advertising and appointments.
- The holder of the Chair will be selected by a committee led by the Dean of the Richard Ivey School of Business or the Dean's designate and two other members of faculty to be determined by the Dean or designate. At the time of the appointment, the appointee will be a member of the faculty of the Richard Ivey School of Business at The University of Western Ontario.
- The appointment will be for a five year term.
- Criteria:** The Chair will be among the top faculty positions at Ivey. The Chair will be responsible for developing innovative research and teaching materials to broaden the dissemination of Ivey's unique teaching and research programs in finance. Through the teaching and research conducted by the holder of the Chair, the School will distinguish itself in Canada as the primary source of relevant, applied world-class research in finance.
- The use of the funds should be as flexible as possible with the proviso that the incumbent will consult with the Dean of the Richard Ivey School of Business in determining the exact allocation.
- Reporting:** The University, through the Richard Ivey School of Business, will from time to time report to the donor regarding the progress and advancement of the Chair's work and status of the endowment fund.

CARRIED

S.09-251 **Hydro One Chair in Power Systems Engineering**

It was moved by C. Dunbar, seconded by B. Sherar,

That the Hydro One Chair in Power Systems Engineering be renewed.

Donor and Funding: Hydro One has provided a generous gift of \$1,000,000 to be used on an expendable basis to continue support for the Hydro One Chair in Power Systems Engineering for a period of five years effective July 1, 2010 – June 30, 2015 at \$200,000 per year. Should an NSERC application be successful, the Chair will be called the NSERC-Hydro One Chair in Power Systems Engineering.

Effective Date: July 1, 2010

Purpose: The continuation of this Chair will ensure Western enhances its position as a leader in Power Systems Engineering. Funding from Hydro One for the Chair will provide for teaching release time, which will allow the successful candidate to undertake collaborative research with Hydro One.

Criteria: The holder of the Chair will be a leader with industry experience and an understanding of electrical energy-related issues such as energy policy, regulatory requirements, sustainable development, waste disposal and new conversion processes. The Chair will be appointed to an academic position at the level of Associate Professor or Professor in the Faculty of Engineering in the most appropriate department.

The Chair will be nominated by the Dean of Engineering after an internal search within Western and be vetted through the NSERC application process.

The appointment will be for a five-year term.

Reporting: The University, through the Faculty of Engineering, will from time to time report to Hydro One regarding the progress and advancement of the Chair's work.

CARRIED

S.09-252 **Rt. Hon. John Turner Fellowship**

It was moved by C. Dunbar, seconded by I. Holloway,

That the Rt. Hon. John Turner Fellowship be created in the Faculty of Law.

Donor and Funding: The creation of this fellowship was made possible through a generous donation of \$50,000 from Miller Thomson LLP as well as \$50,000 from Western Alumni and individuals at Miller Thomson LLP.

Miller Thomson's total donation of \$100,000 will support the establishment of the Rt. Hon. John Turner Fellowship for a period of five years for the January Term from 2010-2014.

Effective Date: January 1, 2010

Purpose: The January Term Program is designed to provide an intensive, active-learning experience for every student in the Faculty. High profile practicing lawyers and internationally acclaimed academics (referred to as January Term Fellows), both from foreign law schools and from active practice teach the four-week term and incorporate new perspectives regarding legal issues.

This gift from Miller Thomson will support a January Term Fellowship within the Law School's January Term Program and will strengthen Western's reputation as a comprehensive law school that is a major contributor to Canadian legal education.

Criteria: The Rt. Hon. John Turner Fellowship will be selected by the Dean of Law in accordance with the relevant policies and procedures of the University. The appointment will be for the January Term. The administration of the spending of resources will be the responsibility of the Dean of the Faculty of Law.

Reporting: The University, through the Faculty of Law, will report regularly to the donor regarding the progress and advancement of the Fellow's work.

CARRIED

S.09-253 **Vice-Provost's Report on Faculty Recruitment and Retention**

The Vice-Provost (Academic Planning, Policy and Faculty) gave a presentation at the meeting on Faculty Recruitment and Retention. Slides detailing the report were attached as Exhibit III, Appendix 1.

REPORT OF THE UNIVERSITY RESEARCH BOARD

S.09-254 **Policy and Guidelines for Faculty Members in Employment and/or Supervisory Relationships with Graduate Research Assistants, Post-Doctoral Fellows and Other Research Collaborators**

It was moved by T. Hewitt, seconded by P. Doyle,

That Senate approve and recommend to the Board of Governors through the President & Vice-Chancellor, the Policy and Guidelines for Faculty Members in Employment and/or Supervisory Relationships with Graduate Research Assistants, Post-Doctoral Fellows and Other Research Collaborators detailed in Exhibit IV.

CARRIED

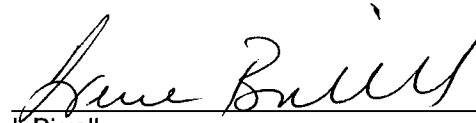
S.09-255 **Farewell and Thanks**

On behalf of Senate, Dr. Longstaffe thanked Dr. Dalin Jameson, who is retiring after 23 years from his position as Executive Assistant to the President and the Provost, for his dedication and service to Senate and to Western.

ADJOURNMENT

The meeting adjourned at 2:35 p.m.

A. Chakma
Chair



I. Birrell
Secretary

The University of Western Ontario

President's Report Environmental Scan

Dec 09 2009



The University of Western Ontario

International Scene

- Western made its first international land purchase in Puerto Moorin in Peru. The Guanape Research Centre will be built on the site for the benefit of students and faculty conducting fieldwork in the area.



The University of Western Ontario

International Scene

- Ivey signed a partnership with the Indian School of Business (ISB) for a Case Development Centre.
- Schulich signed a MOU with the West China School of Medicine, Sichuan University, China.



The University of Western Ontario

National Scene

- Advocacy advertisement for capital gains tax exemption of private company shares and real estates for charitable giving.



The University of Western Ontario

Provincial Scene

- \$19 million provincial matching through the Ontario Research Fund announcement for 12 CFI funded projects.
- COU Advocacy
 - Access (GTA)
 - Quality



The University of Western Ontario

Local Scene

- A 129 acre advanced manufacturing focused technology park to be established involving the City, UWO and Fanshawe.
- A Water Research Centre will be created in partnership with the City and Trojan.



Campus Scene

- Ivey Centre for Health Innovation and Leadership hosted a Global Health Innovation Conference in Toronto on Nov 24th.
- United Way Campaign is in full swing. UWO goal is \$550,000. So far we have raised \$385,000.



Campus Scene

- Three Western alumni and senior civil servants from Ottawa returned to campus on Nov 19th.
 - Tiff Macklem – Associate Deputy Finance
 - Bob Hamilton – Associate Deputy, Environment
 - Paul Booth – Sr Associate Deputy, Industry



Campus Scene

- Frank Angeletti (BA 73) is the new chair of UWO Board.
- Stephen Coxford (LLB 77) is the new Vice-Chair
- Ted Hewitt VP Research and International reappointed to a second term.
- The search for VP External is drawing to a close.
- VP Academic and Provost search is underway.



Campus Scene

- London Transit Strike
- A successful Fall Preview weekend.



Fall Preview Event Testimonials

"We were at the open houses for McGill, Queen's, and this past Saturday, Western. You should know that Western did far and away the best job. Incredibly organized, welcoming, informative and professional."



REPORT OF THE OPERATIONS/AGENDA COMMITTEE

FOR APPROVAL

1. **Report of the Ad Hoc Subcommittee to Review the Committee for Honorary Degrees**

Recommended: That Senate approve the proposed amendments to the honorary degrees guidelines and criteria, as outlined in **Appendix 1**.

Background:

The Ad Hoc Subcommittee to Review the Committee for Honorary Degrees was established in May of 2009 to review the terms of reference, guidelines and criteria of the Honorary Degrees Committee. The subcommittee was struck in response to concerns raised at an earlier Senate meeting about the Committee's processes for reviewing potential candidates.

Members of the subcommittee were:

Tom Carmichael, Dean, FIMS and Vice-Chair of Senate (Chair)
Fred Longstaffe, Vice-President (Academic) and Provost
Samantha Brennan, Faculty of Arts and Humanities
Rebecca Coulter, Faculty of Education
Deborah Compeau, Richard Ivey School of Business
Jim Etherington, Alumnus
Matt Hyland, Undergraduate Student Senator
David Wardlaw, Dean, Faculty of Science
Jerry White, Faculty of Social Science

The subcommittee determined that the terms of reference of the Honorary Degrees Committee did not require amendment. Rather, it focused its attention on the processes, criteria and guidelines used by the Committee to assess candidates. A revised document is attached for Senate's approval. The Committee also suggested that the nomination form for honorary degree candidates be revised by the Secretariat to allow nominators to speak to the alignment of the nomination with the published criteria and principles. That revision is underway.

FOR INFORMATION

2. **Senate Review Board Academic Annual Report**

Attached as **Appendix 2** is the Annual Report of the Senate Review Board Academic (SRBA) for the 2008-09 academic year.

THE UNIVERSITY OF WESTERN ONTARIO
London Canada

HONORARY DEGREES – PRINCIPLES & CRITERIA FOR CANDIDATE SELECTION

1. **General Principles**

The awarding of an honorary degree is an important symbol in the life of the University. An honorary degree recognizes uncommonly meritorious accomplishments and activity, both in the academic arena and in broader areas of benefit to society, that reflect the values esteemed by a great university. Honorary degrees are conferred on those whose achievements are of such excellence that they provide, through example, inspiration and leadership to the graduates of the University.

In choosing recipients for this honor the University is also guided by the principle that in honoring individuals for their contributions, the University is also honoring itself by its association with people of such special merit.

2. **Criteria for Selection**

The following specific criteria are used by the Honorary Degrees Committee in its consideration of candidates. The Committee's goal each year is to present a slate of recipients across a range of academic disciplines and non-academic areas of achievement. Honorary degree recipients, when possible, will be chosen to match the purposes of the Convocation at which the degree is to be granted. Those in category (a) will predominate if possible; those in categories (b) and (c) will normally number less than half of the recipients in category (a), unless the Convocation is being called for a special reason.]

- a. The University normally will give preference to honoring individuals who have made outstanding contributions in the sciences, humanities and professions in which the University itself is active.
- b. Recognition will be given to those whose exceptional accomplishments have contributed to enhancing culture and society in Canada or elsewhere in the world.
- c. The University on occasion will honor those who have made extraordinary contributions to the development of the University or the region or have achieved international standards of excellence in their endeavours.
- d. In general the University will attempt to honor those whose outstanding contributions to their fields or to society have not yet been widely recognized, but who merit such recognition.
- e. Based on an earlier tradition in the University, an honorary degree (*jure dignitatis*) will usually be conferred upon the Lieutenant Governor of the Province of Ontario [who is the Official Visitor of the University].

3. **Exclusions**

- a. Active members of faculty, staff, the Board of Governors and the Senate are not eligible for honorary degrees. Such members of the University community are not to be considered for nomination until a minimum of one year has passed since their departure from active service to the University.
- b. Honorary degrees are not granted *in absentia* or posthumously.

- c. Normally, active politicians will not be awarded an honorary degree. An exception may be made in the case of a Special Convocation for the installation of the Chancellor or President when the University may wish to recognize a person in a high position. In such a case, criteria in section 2. above shall apply.

4. **Degrees**

- a. **Doctor of Laws (LL.D.):** Awarded for outstanding scholarly achievement in a field not covered by one of the other honorary degrees or for exceptional service to the University or the community at large. Because of its non-specificity, this is the most frequently awarded honorary degree.
- b. **Doctor of Science (D.Sc.):** Awarded for outstanding academic achievement in the pure and applied sciences, usually through research.
- c. **Doctor of Letters (D.Litt.):** Awarded for outstanding achievement in the humanities, usually of a scholarly nature.
- d. **Doctor of Music (D.Mus.):** Awarded for outstanding achievement in music, particularly in composition or performance.
- e. **Doctor of Civil Law (D.C.L.):** Awarded for outstanding achievement in jurisprudence.
- f. **Doctor of Divinity (D.D.):** Awarded by Huron University College for outstanding service to or scholarship in the religious community.

With the exception of the D.D., the degree to be awarded to a candidate for an honorary degree is decided by the Honorary Degrees Committee of the Senate.

5. **Procedures**

- a. **Nominations:** At least once annually, a call for nominations will be broadcast widely by the University Secretariat to the university community, using the traditional outlets and any additional avenues suggested by members of the Honorary Degrees Committee. Nominations may be submitted at any time to the University Secretary using the form available at http://www.uwo.ca/univsec/senate/honorary_degrees.pdf
 - b. **Maintenance of Lists:** The initial screening of the nomination will be made by the Senate Secretariat to ensure that the nomination adheres to these guidelines. Names will be added to the list for a period of three years from the date of nomination, at which time, if the nominee has not been selected, the name will be deleted. If the person is renominated, and if the nomination is still valid according to these guidelines, the name will again be added to the list for an additional three-year period.
 - c. From the active list of nominations, the Honorary Degrees Committee will select prospective degree recipients as required in the annual convocation cycles. Once a prospective degree recipient has been identified and agreed upon by the Committee, two members of the committee will be assigned to the nomination file in order to execute due diligence, based on publicly available information, to ensure that the nomination conforms fully to the selection principles and criteria.
 - d. Nominators will be invited to participate in the conferral of the degree as hooders, presenters or hosts, where and when possible, on the basis of their relevant relationship to the field of accomplishment of the nominee. Selection of these invitees will be determined by a group comprising the President, Director of Convocation, Provost and Secretary of Senate.
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THE UNIVERSITY OF WESTERN ONTARIO
London Canada

HONORARY DEGREES – PRINCIPLES & CRITERIA FOR CANDIDATE SELECTION

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1. **General Principles**

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The awarding of an honorary degree is an important symbol in the life of the University. An honorary degree recognizes uncommonly meritorious accomplishments and activity, both in the academic arena and in broader areas of benefit to society, that reflect the values esteemed by a great university. Honorary degrees are conferred on those whose achievements are of such excellence that they provide, through example, inspiration and leadership to the graduates of the university.

Deleted: By recognizing outstanding achievements, the University reflects its values both in the academic arena and in broader areas of benefit to society.

In choosing recipients for this honor the University is also guided by the principle that in honoring individuals for their contributions, the University is also honoring itself by its association with people of such special merit.

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2. **Criteria for Selection**

The following specific criteria are used by the Honorary Degrees Committee in its consideration of candidates. The Committee's goal each year is to present a slate of recipients across a range of academic disciplines and non-academic areas of achievement. Honorary degree recipients, when possible, will be chosen to match the purposes of the Convocation at which the degree is to be granted. Those in category (a) will predominate if possible; those in categories b. and c. will normally number less than half of the recipients in category a., unless the Convocation is being called for a special reason.

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a. The University normally will give preference to honoring individuals who have made outstanding contributions in the sciences, humanities and professions in which the University itself is active.

b. Recognition will be given to those whose exceptional accomplishments have contributed to enhancing culture and society in Canada or elsewhere in the world.

c. The University on occasion will honor those who have made extraordinary contributions to the development of the University or the region or have achieved international standards of excellence in their endeavours.

d. In general the University will attempt to honor those whose outstanding contributions to their fields or to society have not yet been widely recognized, but who merit such recognition.

e. Based on an earlier tradition in the University, an honorary degree (*jure dignitatis*) will usually be conferred upon the Lieutenant Governor of the Province of Ontario [who is the Official Visitor of the University].

Deleted: [Note: Honorary degree recipients, when possible, will be chosen to match the purposes of the Convocation at which the degree is to be granted. Those in category a. above will predominate (where possible); those in categories b. and c. will normally number less than half of the recipients in category a., unless the Convocation is being called for a special reason.]

3. **Exclusions**

a. Active members of faculty, staff, the Board of Governors and the Senate are not eligible for honorary degrees. Such members of the University community are not to be considered for nomination until a minimum of one year has passed since their departure from active service to the University.

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b. Honorary degrees are not granted *in absentia* or posthumously.

- c. Normally, active politicians will not be awarded an honorary degree. An exception may be made in the case of a Special Convocation for the installation of the Chancellor or President when the University may wish to recognize a person in a high position. In such a case, criteria in section 2. above shall apply.

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4. Degrees

- a. **Doctor of Laws (LL.D.):** Awarded for outstanding scholarly achievement in a field not covered by one of the other honorary degrees or for exceptional service to the University or the community at large. Because of its non-specificity, this is the most frequently awarded honorary degree.
- b. **Doctor of Science (D.Sc.):** Awarded for outstanding academic achievement in the pure and applied sciences, usually through research.
- c. **Doctor of Letters (D.Litt.):** Awarded for outstanding achievement in the humanities, usually of a scholarly nature.
- d. **Doctor of Music (D.Mus.):** Awarded for outstanding achievement in music, particularly in composition or performance.
- e. **Doctor of Civil Law (D.C.L.):** Awarded for outstanding achievement in jurisprudence.
- f. **Doctor of Divinity (D.D.):** Awarded by Huron University College for outstanding service to or scholarship in the religious community.

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With the exception of the D.D., the degree to be awarded to a candidate for an honorary degree is decided by the Honorary Degrees Committee of the Senate.

5. Procedures

- a. Nominations: At least once annually, a call for nominations will be broadcast widely by the University Secretariat to the university community, using the traditional outlets and any additional avenues suggested by members of the Honorary Degrees Committee. Nominations may be submitted at any time to the University Secretary using the form available at http://www.uwo.ca/univsec/senate/honorary_degrees.pdf.
- b. Maintenance of Lists: The initial screening of the nomination will be made by the Senate Secretariat to ensure that the nomination adheres to these guidelines. Names will be added to the list for a period of three years from the date of nomination, at which time, if the nominee has not been selected, the name will be deleted. If the person is renominated, and if the nomination is still valid according to these guidelines, the name will again be added to the list for an additional three-year period.
- c. From the active list of nominations, the Honorary Degrees Committee will select prospective degree recipients as required in the annual convocation cycles. Once a prospective degree recipient has been identified and agreed upon by the Committee, two members of the committee will be assigned to the nomination file in order to execute due diligence, based on publicly available information, to ensure that the nomination conforms fully to the selection principles and criteria.
- d. Nominators will be invited to participate in the conferral of the degree as hooders, presenters or hosts, where and when possible, on the basis of their relevant relationship to the field of accomplishment of the nominee. Selection of these invitees will be determined by a group comprising the President, Director of Convocation, Provost and Secretary of Senate

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Deleted: The 1986 *ad hoc* subcommittee agreed that it would be desirable to have the faculty more involved in making nominations. In addition to the annual Call for Nominations in *Western News* and a letter to all Deans, it was suggested that in future the Office of the Dean of Graduate Studies prepare a mailing list of Western faculty members who are active on the Canada Council, SSHRC, NSERC, MRC, or as journal editors, etc. A personal letter from the chair of the Senate Honorary Degrees Committee would be prepared on an annual basis for distribution to these individual faculty members asking them for appropriate nominations.

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Deleted: Where appropriate, Presenters will also be asked to assist in the preparation of the honorary degree citations

**ANNUAL REPORT OF THE SENATE REVIEW BOARD ACADEMIC
(SRBA)**

Chair - D. Klimchuk

Vice-Chairs - J. Stokes, D. Bellhouse

From September 1, 2008, to August 31, 2009, the Senate Review Board Academic dealt with 20 applications for hearing appeals, of which 7 were outstanding at the end of the last reporting period and 13 were received during this reporting period. Of these, 2 were withdrawn by the applicants without consideration by SRBA and 1 was determined not to fall within the jurisdiction of SRBA. At the end of this reporting period, 6 applications remained outstanding.

During this reporting period, a total of 11 applications were considered by SRBA panels:

Under Jurisdiction, Item 1, in the Appeals to SRBA section of the policy on *Student Academic Appeals*, a student has the right to an oral hearing before SRBA if the appeal is against a finding that the student's conduct amounted to a "scholastic offence" and/or for relief against the penalty imposed by the Dean as a result of a "scholastic offence."

- 1 appeal for relief against the penalty imposed as a result of a scholastic offence, and for relief against the requirement to withdraw from a graduate program. The SRBA panel upheld the penalty, but waived the requirement to withdraw. This appeal was also filed under the policy on Academic Accommodations for Students with Disabilities, but SRBA dismissed this as a ground for appeal when it determined that the appellant had not met the requirements of the policy and no decision with respect to the policy had been rendered by the Dean.
- 1 appeal against a finding that the student's conduct amounted to a scholastic offence, or for relief against the penalty imposed, was denied.

Under Jurisdiction, Item 2, in the Appeals to SRBA section of the policy, a panel of SRBA, upon considering only the written application of the student, may in its discretion order that an oral hearing be scheduled, or deny the appeal. In making its decision, SRBA will consider the grounds and evidence provided in the Application for Hearing.

- In 3 cases, the panel ordered that an oral hearing be scheduled.
 - 2 appeals against a requirement to withdraw from a program or from the University based on a Dean's refusal to waive undergraduate progression requirements were granted.
 - The 3rd appeal was based on several grounds which the Dean had declined to consider on the basis that the appellant had failed to follow the required appeal procedures at the departmental level. SRBA referred the appeal back to the Dean with a request that he issue a decision on the substantive issues.
- In 6 cases, the appeal was denied.
 - 5 appeals against a requirement to withdraw from a program or from the University based on a Dean's refusal to waive undergraduate progression requirements, 1 of which was also against general marking or grading practices and alleged a failure to follow a procedural requirement at the prior level.
 - 1 appeal that alleged a failure to follow or to properly apply a Senate regulation, and a failure to follow a procedural requirement at the prior level.

REPORT OF THE NOMINATING COMMITTEE

FOR ACTION

Distinguished University Professor Selection Committee

Composition: Includes four senior scholars at Western, elected by Senate

Current Senate-appointed Members:

Continuing to June 30, 2010:

R. Darnell (SS), W. Fisher (Sci)

Continuing to June 30, 2011:

J. Bend (M&D), T. Rajan (AH)

Required: One faculty member who is a senior scholar to complete the term of T. Rajan who has resigned (term to June 30, 2011).

Nominees: **Richard Vernon** (SS)

FOR INFORMATION

Future Business of the Senate Nominating Committee

Upcoming Nomination Agenda items are posted on the Senate website at:
<http://www.uwo.ca/univsec/senate/newnoms.pdf>

REPORT OF THE SENATE COMMITTEE ON ACADEMIC POLICY AND AWARDS

(SCAPA)

FOR APPROVAL

1. **Faculty of Science: Withdrawal of Biology Modules**

Recommended: That effective September 1, 2010, admission to the following modules be discontinued in the Faculty of Science:

Honors Specialization in Animal Physiology
Honors Specialization in Cell and Developmental Biology
Honors Specialization in Comparative Physiology
Honors Specialization in Ecology and Evolution
Honors Specialization in Conservation Biology
Major in Conservation Biology

That students enrolled in the modules prior to September 1, 2010 be permitted to continue with the understanding that they must complete the requirements prior to September 2015.

That effective October 2015, the modules be withdrawn and all registration discontinued.

CURRENT CALENDAR COPY

<http://www.westerncalendar.uwo.ca/2009/pg628.html>
<http://www.westerncalendar.uwo.ca/2009/pg629.html>
<http://www.westerncalendar.uwo.ca/2009/pg630.html>
<http://www.westerncalendar.uwo.ca/2009/pg632.html>
<http://www.westerncalendar.uwo.ca/2009/pg631.html>
<http://www.westerncalendar.uwo.ca/2009/pg636.html>

(or pages 169 to 171 of the 2009 print version of the academic calendar)

Background:

The Department of Biology is in the process of revamping all of its modules to facilitate a better learning experience for Biology students. The proposed modules for deletion contain only the following percentages of the Department's students with the remaining being distributed among the Department's other modules:

Honors Specialization in Animal Physiology: 1.82%
Honors Specialization in Cell and Developmental Biology: 3.1%
Honors Specialization in Comparative Physiology: 0.6%
Honors Specialization in Ecology and Evolution: 0.87%
Honors Specialization in Conservation Biology: 0.68%
Major in Conservation Biology: 1.21%

The Department's other modules provide a comprehensive curriculum in Biology or Genetics, as well as maintaining three multi disciplinary modules. The intent is to focus effort on offering students quality undergraduate education in modules that are of a clear interest to students within the Department. Reducing module offerings will also focus future course development in areas with strong student interest.

2. **Faculty of Engineering: Revised Admission Requirements**

Recommended: That the admission requirements for Ontario high school students applying to the Engineering program for studies commencing in September 2011 be revised as set out below to include Grade 12U Calculus and Vectors (MCV4U) as a requirement.

NEW CALENDAR COPY

(To replace the current admission requirements on page 31 of the 2009/10 Academic Calendar)

Engineering Required

Six Grade 12U or M level courses including:

- Grade 12U Advanced Functions (MHF4U)
- Grade 12U Calculus and Vectors (MCV4U)
- Grade 12U Physics (SPH4U)
- Grade 12U Chemistry (SCH4U)
- Grade 12U English (ENG4U)
- One additional 4U or 4M course from any discipline

Background:

The Faculty of Engineering is proposing to change Grade 12 U-level Calculus and Vectors (MCV4U) from a "highly recommended" course to an "admission requirement" for applicants from Ontario high schools. This change would bring Western in line with the majority of other Engineering programs at Ontario Universities.

An analysis of first-year students at Western was conducted to determine the percentage of current first-year Engineering students who had taken MCV4U prior to enrolling at Western. It appears that all current first-year Engineering students from Ontario high schools, with the exception of one student, have in fact successfully completed Calculus and Vectors (MCV4U) in preparation for first-year Applied Math 1413 and Physics 1026 and upper-year Engineering design courses which are calculus-based. The Faculty considers MCV4U important preparation for the success of students in the first-year Engineering program.

3. **Faculty of Law: Revised Grading Rules**

Recommended: That effective September 1, 2010, the Grading Rules in the Faculty of Law be revised as set out below.

REVISED CALENDAR COPY

(<http://www.westerncalendar.uwo.ca/2009/pg496.html> or
pg. 135 of the 2009/2010 UWO calendar)

Grading Rules

The class average in a course of 25 or fewer students...

The "PAS" and "FAI" designations are used only in courses identified specifically as being graded on a pass/fail basis. This pass/fail designation is used also for grades obtained on exchanges. A grade of "FAI" is treated the same as an "F" grade.

In any course not identified specifically as being graded on a pass/fail basis, no components of any student's grade in the course can be assessed on a pass/fail basis.

Students receive only a letter grade for each subject. The grade point values are used for calculation purposes only and are not disclosed to the student.

Individual grades assigned by instructors remain provisional until they are approved by the Faculty in a grades meeting.

Background:

Concern has been expressed about course grading that may not comply with the Faculty's Policy requirement that "No more than 75% of a student's final grade in a course may be assessed on the basis of group work." In some courses, students have been assessed 75% on group work and then 25% on an exam that either was, or had the option of being, graded on a pass-fail basis. Passing the exam meant a student received the grade for the group work as the grade for the course. Failing the exam meant a student received one grade level lower than the group work grade as the grade for the course.

This approach raises several concerns. First, it appears inconsistent with the rule requiring a 25% individual assessment. The spirit, if not the letter, of that requirement is that this assessment be graded using letter grades. Grading it on a pass-fail basis allows for little meaningful differentiation across a class and so is hard to consider as a true individual assessment. It also has the practical effect that, in the vast majority of cases, students are receiving their group work grade as their grade for the course. That in itself violates the spirit of the rule.

Second, the math that is used to mesh the pass-fail component with the graded component does not comply with the standard way that final grades are calculated in courses where all components are graded. Reducing a student's grade only one level for failing an exam worth 25% of a course in fact treats the exam, for most students, as worth considerably less than 25% of the course, which then violates the rule on group work.

4. **Policy on Senior Course Requirements in an Honors Bachelor Degree - Double Major**

Recommended: That effective September 1, 2010, for students entering an Honors Double Major degree, the policy on Senior Course Requirements in the Graduation Regulations section of the Academic Calendar be revised as set out below.

REVISED CALENDAR COPY

GRADUATION REQUIREMENTS FOR HONORS BACHELOR DEGREES (FOUR-YEAR)

Senior Course Requirements

At least 13.0 senior courses (numbered 2000 - 4999) must be completed satisfactorily.

At least 1.0 course numbered 3000 – 4999 must be completed satisfactorily in each Major module leading to an Honors Bachelor Degree – Double Major.

Background:

The modular structure of Western's academic programs has been successful since its introduction in 2004. It has been discovered that a small number of students (28 students at the June 2009 convocation) have been able to select courses within modules and graduate with an Honors Bachelor Degree – Double Major without having successfully completed a 3000 or 4000-level course.

The following policy is intended to require all students wishing to graduate with an Honors Bachelor Degree to have completed at least one course in each Major module at the 3000 or 4000 level. (The Admission, Progression and Graduation Chart in the academic calendar will be changed to reflect this requirement as well.)

FOR INFORMATION

5. **New Scholarships and Awards**

SCAPA has approved on behalf of the Senate the following Terms of Reference for new scholarships, awards, prizes and bursaries, for recommendation to the Board of Governors through the President & Vice-Chancellor:

William E. and Ruth Lardner Graduate Award (School of Graduate and Postdoctoral Studies, Civil & Environmental Engineering)

Awarded annually to a full-time graduate student in the Civil & Environmental Engineering program who is doing research in geotechnical engineering (preferably in the area of geotechnical/structural interface) and has at least one year of consulting or industry experience. Preference will be given to a Canadian or to a Canadian landed immigrant. It is permissible for the award to be made to one person for up to two consecutive years. Students must submit a one-page essay describing their work history, reason for pursuing graduate studies, and an outline as to how this award will assist them financially. This essay is to be submitted to the Research and Graduate Studies Office in the Faculty of Engineering by June 30. The Executive Members of the Geotechnical Research Centre, with approval of the Graduate Affairs Committee in the Department of Civil & Environmental Engineering, will select the recipient. At least one member of the

committee must hold membership in the School of Graduate and Postdoctoral Studies. This award was established by William E. and Ruth Lardner.

Value: 1 at \$10,000
Effective: May 2010

Ronnen Harary Award (Any Undergraduate Faculty)

Awarded annually to a full-time student with a documented specific learning disability who is entering first year in any undergraduate program. Preference will be given to a student with financial need. The Office of the Registrar will select the recipient in consultation with Services for Students with Disabilities. This award will continue for up to four years provided that the recipient maintains a minimum 70% average and maintains full-time status. Candidates must complete an admission bursary application that will be available in January of the year of application for admission into Western. If any recipient fails to qualify to continue receiving the award, another student from the same year will be selected as a replacement. This award was established by Ronnen Harary (BA '94, Political Science).

Value: 1 at \$5,000
Effective: 2009-2010 to 2013-2014 academic years inclusive (with the last recipient being selected in the 2010-2011 academic year).

Henry Goldentuler Scholarship (Faculty of Law)

Awarded annually to the full-time undergraduate student in second or third year of the Faculty of Law who achieves the highest standing in Advanced Litigation Practice. The Faculty of Law will select the recipient. If the student is completing third year, this scholarship will be presented at the Faculty of Law annual awards ceremony held during the week of June Convocation. This scholarship was established by Edward Goldentuler in memory of his brother, Henry Goldentuler (LLB '92).

Value: 1 at \$1,800
Effective: 2009-2010 to 2013-2014 academic years only

Dale and Marion Creighton Football Scholarships (Any Undergraduate, Graduate or Affiliated University College Student – Athletic Award [Football])

Awarded to full-time undergraduate or graduate students in any year of any degree program at Western, including the Affiliated University Colleges, who are making a contribution as members of the Mustang football team. Candidates who are intercollegiate student athletes must be in compliance with current OUA and CIS regulations. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and a non-entering student must have an in-course average of 70%. The Western Athletic Financial Awards Committee will select the recipients based on its evaluation of academic performance/potential (20%) and the written recommendations from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This award was established by Mr. Dale Creighton (BA '59) and his wife, Marion, through Foundation Western.

Value: 1 at \$3,500 and 1 at \$1,000
Effective: 2009-2010 to 2011-2012 academic years (with values to be reviewed thereafter)

Ellen Tiedje Memorial Award (Schulich School of Medicine & Dentistry, Medicine)

Awarded to a full-time undergraduate student entering the first year of the Doctor of Medicine (MD) program, based on outstanding academic achievement, as evidenced by the candidate's admission scores, and demonstrated financial need. Students must complete the online financial need application form available on the Office of the Registrar's website by August 1. Once financial need is determined, the recipient will be selected by the Scholarship and Awards Committee of the Schulich School of Medicine & Dentistry. The award will be presented to the recipient in the last week of August during the White Coat ceremony. In the event of a late offer, exceptions will be made on a case-by-case basis. This award was established by John and Dorothy Tiedje through Foundation Western in memory of their daughter, Ellen, a Medicine '74 graduate who was killed in a car accident in 1975 with her husband, Harry Butterfield.

Value: 1 at \$5,000
Effective: 2009-2010 to 2012-2013 academic year (with values to be reviewed thereafter)

Barbara Crook Journalism Entrance Scholarship (School of Graduate and Postdoctoral Studies, Journalism)
Awarded annually to a student entering the MA in Journalism program as a full-time graduate student, based on excellent academic achievement and outstanding potential in Journalism. The recipient will be selected in February of each year by the Dean of the Faculty of Information and Media Studies, in consultation with at least one member of the admissions committee in the Journalism program who holds membership in the School of Graduate and Postdoctoral Studies. This scholarship was established by Barbara Crook (MA Journalism '83).

Value: 1 at \$3,000

Effective: 2010-2011 and 2011-2012 academic years with value to be reviewed for the third and final year

Lionel Conacher Athletic Award (Any Undergraduate, Graduate or Affiliated University College Student - Athletic Award [Any Varsity Team])

Awarded to a full-time undergraduate or graduate student in any year of any degree program at Western, including the Affiliated University Colleges, who is making a contribution as a member of any Varsity team. Candidates who are intercollegiate student athletes must be in compliance with current OUA and CIS regulations. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and a non-entering student must have an in-course average of 70%. The Western Athletic Financial Awards Committee will select the recipient based on its evaluation of academic performance/potential (20%) and the written recommendations from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This award was established by Mr. Lionel Conacher (BA '60, Honors Business) through Foundation Western.

Value: 1 at \$2,000

Effective: 2010-2011 to 2014-15 academic year (with values to be reviewed thereafter)

6. Update on the Notice of Motion regarding Remembrance Day (S.09-193)

At the September 25, 2009 Senate meeting, a notice of motion regarding the observance of Remembrance Day was distributed at the meeting. The Chair of SCAPA agreed to take the notice of motion to SCAPA for consideration. SCAPA subsequently deferred consideration of this matter until further consultation could take place.

The Vice-Provost (Academic Programs and Students) [Registrar] advised SCAPA at its January 13 meeting that consultations have now been completed and a recommendation from the Associate Deans will be brought forward to the February SCAPA meeting.

REPORT OF THE SENATE COMMITTEE ON UNIVERSITY PLANNING

(SCUP)

FOR APPROVAL

1. **Fellowship for Teaching Innovation - Amendment to Terms**

Recommended: That effective with the spring 2010 competition, the terms of reference of the University of Western Ontario Fellowship in Teaching Innovation be amended so that part-time faculty are eligible to hold the Fellowship.

Background:

The University of Western Ontario Fellowship in Teaching Innovation was established in 2001. The original terms of reference of the Fellowship stipulated that it was open to any full-time faculty member of the University. On the recommendation of the Provost and Vice-President (Academic), it is proposed that all faculty, full and part-time, be eligible to apply for the fellowship. The revised terms are set out below.

Donor and Funding: Mr. Howard Webster Taylor has pledged an endowed gift of \$150,000 to fund the UWO Fellowship in Teaching Innovation.

A further gift of \$50,000 pledged by Ms. Rosamond Ivey will supplement Mr. Taylor's gift, bringing the total endowed fund to \$200,000, with the expectation that additional funds will be raised for this endowment over time.

Foundation Western will hold the endowment. The amount available for spending each year will be in accordance with Foundation Western's Investment Policy Payout as it relates to endowed funding, and may be amended from time to time. The University's gift levy does not apply to funds held by Foundation Western.

Until the gifts from Mr. Taylor and Ms. Ivey are received in full and the endowment reaches \$200,000, the University will fund the difference between \$10,000 (annual income figure required to support the Fellowship) and the amount of expendable income available from the endowment.

Purpose: The UWO Fellowship in Teaching Innovation will encourage and support faculty pursuing teaching innovations at The University of Western Ontario. The Fellowship will be primarily, but not exclusively, in support of undergraduate and professional school teaching at the University, matching Western's commitment to the highest standards and quality of undergraduate education.

Teaching innovation projects may include such initiatives as the incorporation of educational technology into classroom settings or the development of new directions for large group learning. Because teaching innovations can take many forms, we do not intend to overly restrict the nature of the projects that may be considered for the Fellowship in Teaching Innovation. However, all projects submitted for consideration will be subject to the rigorous scrutiny of the review committee.

Appointment: The Provost's Advisory Committee on Teaching and Learning will be the administrative committee that reviews all applications and awards the Fellowship. Appointment to the Fellowship will be for a period of one year.

Applications will be due by March 1 of each year, and decisions made by May 1, allowing the Fellowship recipient use of the funds for the following academic year.

Funds may be used to develop the teaching innovation project through a term of faculty release-time, through the support of graduate students working for the faculty member, through the acquisition of technology required for the project, or through

supporting the faculty member's attendance at national or international teaching conferences.

Criteria: The following criteria are proposed:

The Fellowship be awarded through an annual competition that invites applications to the review committee.

- The Fellowship be open to faculty members from all Faculties at the University at any stage in his or her teaching career.
- The Fellowship must be awarded to a member of faculty currently at Western.
- The Fellowship recipient must share her or his advances with the rest of the University, through promotion of distribution by the Educational Development Office, the Instructional Technology Resource Centre or other appropriate mechanism.
- Fellowships may be given to recognize an innovation that is already being put into practice at Western and that needs further development, or to support an innovation that will be conducted/advanced by awarding the Fellowship.

The following criteria will be used to judge the Fellowship:

- Impact or proposed impact on the quality of instruction at Western.
- Transferability to other courses/instructors.
- Sustainability of, and differentiation created for Western by, the innovation.
- Originality.

All applications must be accompanied by an explanation of how the four criteria have been or will be met. The application must include a timeline and benchmarks for delivery of the innovation.

Reporting: The Provost and Vice-President (Academic) and the Fellow shall provide a written report on the progress and advancement of the Fellow's work at the end of the year in which the Fellowship is granted.

The Fellow will give a talk to the University about the innovation at some point during the academic year in which the Fellowship is awarded.

The name of the Fellow will be mentioned in all publications or public activities relating to the Fellow's work, and when appropriate, the Teaching Support Centre and the Instructional Technology Resource Centre.

2. Revision to the Richard H. McLaren Professorship in Business Law

Recommended: That the terms for the Richard H. McLaren Professorship in Business Law in the Faculty of Law be revised as follows.

Donor and Funding: The creation of this Professorship was made possible through generous donations from Davies Ward Phillips & Vineberg LLP (\$300,000), Goodmans LLP (\$100,000) as well as numerous donations from Western alumni and colleagues of Professor Richard H. McLaren.

These donations have been endowed at Foundation Western and commitments totalling more than \$700,000 have been raised so far.

Effective Date: July 1, 2010

Purpose: The creation of the Richard H. McLaren Professorship in Business Law will provide the University with the ability to recruit and/or retain a professor of international standing in the field of business law; the holder of the professorship will strengthen the University's scholarship and teaching in the area of business law.

Until such time as the full endowment of \$1,250,000 has been reached, the interest on the endowment may be used by the Faculty of Law to support a visiting professorship in business law. Appointees will be preeminent figures in the area of Business Law. The duration of the appointment will be flexible and may range from one week to a full semester depending on the needs of the Faculty of Law, the availability of the visitor and funds available.

While at Western, the appointee may be involved in teaching a course or taking part in a faculty seminar series, with the goal of enhancing Western's international standing and strengthening the University's scholarship and teaching in the area of business law.

Criteria: The Richard H. McLaren Professorship in Business Law will be selected in accordance with the relevant policies and procedures of the University. The administration of the spending of resources will be the responsibility of the Dean of the Faculty of Law.

Reporting: The University, through the Faculty of Law, will report regularly to donors regarding the Professor's work.

The "Richard H. McLaren Professorship in Business Law" will be mentioned in all publications or public activities related to the Professor's work.

Background

The Professorship is named to honour Professor Richard H. McLaren and his commitment to the Faculty of Law over the last 30+ years and recognize his outstanding professional accomplishments.

3. **Revised Policy on Academic Chairs, Professorships and Designated Faculty Fellowships**

Recommended: That MAPP 2.22, Funding of Academic Chairs and Professorships and MAPP 2.26, Funding of Designated Faculty Fellowships be rescinded and replaced with a new Policy 2.22, Policy on Academic Chairs, Professorships and Designated Faculty Fellowships.

Background:

New Board policy with respect to the payout on endowed funds required that the policies regarding the funding of academic chairs, professorships and designated faculty fellowships be revised. In considering the financial revisions, and other editorial amendments, it was suggested that the two policies should be combined into one.

Current policies (**Appendix 2** and **Appendix 3**) define the required minimum funding levels for academic positions first in terms of the minimum annual expendable funding required, based on the old 4.5% payout level, and then express the related endowed fund levels required.

The new policy restates the levels based first on the required minimum endowments for academic positions (for example, \$3 million for a Chair) approved in September 2007 in preparation for the campaign. Then the required minimum annual funding levels are calculated as a function of the payout in effect at any given time on original capital value amounts, without actually stating a dollar value.

The net effect of this approach is that the policy need not be changed every time there is a change in the payout on endowed funds – up or down. The new policy continues the principle of parity between the funds needed for a position on an expendable basis and what would be generated by an endowment.

The proposed revised policy is attached as **Appendix 1**.

4. **First-Year, First-Entry Undergraduate Enrolment for the Constituent University and Affiliated University Colleges**

Recommended: That Senate approve the following targets and processes for first-year, first-entry undergraduate enrolment for the Constituent University and Affiliated University Colleges.

1. For the Constituent University, the first-entry undergraduate enrolment objective for 2010-11 is set at 4,350; in addition the University will aspire to recruit up to an additional 100 international students. The approximate program-specific objectives for 2010-11 are as described in **Appendix 4**.
2. For the Affiliated University Colleges, the targets for 2010-11 are:

Brescia	287 students
Huron	405 students
King's	915 students
3. The process for the Constituent University includes the following elements:
 - (a) The Provost is granted permission to alter the enrolment objective for 2010-11, following consultation with and approval from SUEPP, as might be appropriate given the particulars of a government funding announcement.
 - (b) Entrance requirements are to be set by the Provost to achieve the enrolment objective for 2010-11 as a function of final admission numbers, qualifications of applicants, and estimates of the rate of offer confirmations in each program.
 - (c) No program-specific entrance requirement will be set below 80% in 2010-11 except where an audition is a major element of the selection process. Although the exact value will ultimately be determined by this initial admission minimum, confirmed admission to any program will be contingent on a final average grade of no lower than 77%, except in those programs involving performance criteria and in particular limited enrolment programs where the value may be higher.
 - (d) The enrolment projections (**Appendix 5**) will be used for purposes of tuition revenue estimates in the University's budget projections.
4. The process for the Affiliated University College includes the following elements for 2010-11
 - (a) General entrance requirements will not be set until more complete information on applicant qualifications is available.
 - (b) Offers will be extended to current Ontario high school students using the same process as described by the Constituent University.
 - (c) Each College will determine its own timelines for extending offers of admission and response dates.
 - (d) No student will be admitted to first-year study directly from the Ontario secondary school system with final grades below 75%, unless detailed academic assessment deems this appropriate.
 - (e) All Affiliated University Colleges will be bound to the minimum entrance requirements established by the Constituent University for limited enrolment programs, where applicable, including Media, Information & Technoculture, BHSc, and Kinesiology.

Background: Implementation Notes for 2010-11 for the Constituent University

1. Given the experience of recent years, it is expected that minimum entrance requirements will continue to be above 80%.
2. Current Ontario high school students receiving offers from Ontario universities will be required to accept their offer by May 28th and pay various deposits (tuition, residence, etc) or lose their place.

The timing of the application and offers process for current Ontario secondary school students will begin with offers of admission in January on a rolling basis to exceptional students, made largely on the basis of performance prior to Grade 12.

The subsequent rounds of offers will begin in February/March as soon as the first term or mid-year Grade 12 grades are available. Specific minimum grades **required for an offer will be set as a function of final information regarding applicant qualifications in each program.**

Marks used for early offers in February/March 2010 will be determined by the size and quality of the applicant pool.

3. Every effort will be made to maintain a single minimum standard of admission across all programs. However, a higher standard may be necessary in some programs to avoid exceeding program capacity.
4. As indicated in the Senate resolution of past years, consideration may be given in the admissions process to factors such as performance in program-relevant courses (e.g., mathematics and sciences in Science and Engineering), and the academic record of the secondary school. As well, consideration will be given to students based on special circumstances and extraordinary extracurricular activities and/or contributions to citizenship and on the recommendation of the Principal of the school for demonstrated leadership ability.
5. As was the case in past years, the period between the availability of initial application information (still not including final year, winter semester applicant grades) and the offer date, does not permit an admissions proposal that includes detailed program-specific admissions criteria to be brought to Senate for consideration before initial offers are made.
6. A final round of offers, based on complete grade information, will be made in May 2010. Criteria used for those offers will be set as a function of additional information on applicant qualifications, historical program-specific patterns of acceptance of early offers, and student responses to date, but will observe the general entrance requirements established in this recommendation.

FOR INFORMATION

5. **Graduate Enrolment Plans from the Faculties for 2010-11 to 2014-15**

Attached in **Appendix 6** (Tables 1-3) are graduate enrolment actuals and targets from 2004-05 through to 2014-15.

6. **Report on Entering Averages and First-Year Grades**

See **Appendix 7**.

The UNIVERSITY of WESTERN ONTARIO
POLICIES and PROCEDURES

**2.22 FUNDING OF ACADEMIC CHAIRS, PROFESSORSHIPS AND
DESIGNATED FACULTY FELLOWSHIPS**

Classification: Financial

Effective Date: 28JAN10

Supersedes: 24JUN08

PURPOSE AND SCOPE

- 1.00 This policy provides for the establishment of designated Chairs, Professorships and Faculty Fellowships as one of the ways of recognizing academic fields that support the University's scholarly plans and objectives.
- 2.00 The University may at the same time honor a benefactor, a scholar, an individual or a family through a formal naming of a designated appointment. The criteria for naming shall be that the individuals have made an important contribution to the University, society, or to a field of study, or wish to provide the University with a significant benefaction or endowment to support academic plans and objectives.
- 3.00 Establishment of designated Chairs, Professorships or Faculty Fellowships should follow this policy, the general guidelines outlined in Appendix 1 and the approval process outlined in Appendix 2. Initiatives may arise from a variety of sources such as individuals, ethnic or cultural organizations, trade unions, government agencies, corporations, or from the academic unit concerned. From whatever sources, the initiative should advance the University's academic goals and objectives. This constitutes the principal criterion governing the establishment of designated appointments.
- 4.00 In no event should the University's academic autonomy or its standards of scholarship be subverted by the designation or use of these Chairs, Professorships or Faculty Fellowships.

APPOINTMENTS

- 5.00 The establishment of a Chair by the University implies a broad and continuing commitment to the position and to the discipline or Faculty. The holder of a Chair should be of great distinction.
- 6.00 A Chair or Professorship will be attached to a Faculty, School, Department, Centre or Institute of the University. The holder of a Chair or Professorship will receive an academic appointment and be expected to develop the field of interest through teaching and/or research. The University's policies and procedures on advertising and making academic appointments shall be followed for appointment to a Chair or Professorship.
- 7.00 A Faculty Fellowship will be attached to a Faculty, School, Department, Centre or Institute of the University. The holder will be expected to develop the field of interest through teaching and/or research and have a proven record in his/her field of specialization. Selection of the holder of a Faculty Fellowship will be at the discretion of the Dean of the relevant Faculty, Director of the Centre, or by a selection committee as may be stipulated within the terms of the Faculty Fellowship.
- 8.00 A donor may not be involved in the selection of an individual for a Chair, Professorship or Faculty Fellowship. However, subject to the agreement of the Provost & Vice-President (Academic), a donor or a representative may be named to an Advisory Committee established to assist in the development, support and publicizing of the Chair, Professorship or Faculty Fellowship.

- 9.00 All appointments will be made in accordance with approved University policies and procedures.

Designated Chairs

- 10.00 The academic appointment to an endowed Chair will normally be full-time with tenure at the rank of Professor.
- 11.00 The academic appointment to a term endowed or term non-endowed Chair will normally be full-time, at the rank of Associate Professor or Professor. An individual may be appointed as a Visitor. The Faculty must commit necessary resources for any ongoing commitments made beyond the period of this term financing (e.g., tenure).

Designated Professorships

- 12.00 Appointments to a Professorship will be for a specific term, which may be renewable, at the rank of Assistant Professor, Associate Professor, or Professor. An individual may be appointed as a Visitor. Such appointments need not carry tenure although tenured appointments may be made, where appropriate. The Faculty must commit necessary resources for any ongoing commitments made (e.g., tenure) beyond the period of any term financing.

Designated Faculty Fellowships

- 13.00 The holder of a Faculty Fellowship will normally be a full-time member of the faculty. An individual may be appointed as a Visitor.
- 14.00 The maximum term of appointment to a Faculty Fellowship is five years, and in each case the specific term and any financial or other restrictions on reappointment shall be as set out in the terms of the donor agreement.

FUNDING

- 15.00 External funding for a Chair will normally contribute substantially toward the salary and benefits of the incumbent, and also provide an appropriate measure of scholarly and research support. As the establishment of a Chair creates a continuing commitment by the University, there must also be assurance that funding support for the Chair will also be continuing.
- 16.00 The University will not normally name a new Chair or Professorship unless the majority of the funding will be derived from external sources. In the event that the external donations are insufficient to fully support the Chair or Professorship additional funding may come from the University operating budget through a Faculty, School, Department, Centre or Institute, or from a government agency or other sources. In the case of an existing Chair or Professorship, the gift should support at least 50% of the expected annual expenditures. In the case of a new Chair or Professorship, the gift should contribute substantially toward expected annual expenditures.
- 17.00 Faculty Fellowships are established as a means of recognizing academic distinction by funding a variety of objectives, including, but not limited to: (a) offsetting or supplementing existing salary; and (b) providing non-salary support for graduate students, research, or travel. The decision to supplement an existing salary shall, in all cases, be at the discretion of the relevant Dean.
- 18.00 The University will not normally name a new or existing Faculty Fellowship, unless the majority of the funding will be derived from external sources. In the event that the external donations are insufficient to fully support the Fellowship, it may be necessary to supplement the donation revenue from other sources as above.
- 19.00 The principal revenue for a designated Chair, Professorship or Fellowship may be in the form of an endowment or funded on a term endowed or term non-endowed.

Endowed Funding for Chairs, Professorships and Fellowships

- 20.00 For donations for Chairs, Professorships and Fellowships accepted as permanent endowments, the amount available for spending each year will be a percentage of the average value of the endowment over the most recent three-year period, in accordance with the University's Investment Payout Policy (Policy 2.11), as may be amended from time to time). The University seeks to maintain the real value of the endowment in the long run by capitalizing a portion of the investment revenue.

Term Endowed Funding for Chairs, Professorships and Fellowships

- 21.00 For donations for Chairs, Professorships and Fellowships accepted on a Term Endowed basis, the capital and investment revenue will be expended over the stipulated period of time, at the end of which the designated named Chair, Professorship or Fellowship will terminate. Term endowed funds receive a rate of return, as outlined in the Investment Payout Policy (Policy 2.11), as may be amended from time to time).
- 22.00 Chairs, Professorships and Fellowships may be funded on a Term Endowed basis of not less than five (5) years and up to fifteen (15) years.

Term Non-Endowed Funding for Chairs, Professorships and Fellowships

- 23.00 For donations for Chairs, Professorships and Fellowships accepted on a non-endowed term basis (expendable), the capital and any investment revenue will be expended over the stipulated period of time, at the end of which the designated named Chair, Professorship or Fellowship will terminate. Non-endowed funds receive a rate of return as outlined in the Investment Payout Policy (Policy 2.11), as may be amended from time to time).
- 24.00 Chairs and Professorships may be funded on a Term Non-Endowed basis of not less than five (5) years and up to fifteen (15) years.
- 25.00 Fellowships may be funded on a Term Non-Endowed basis of one (1) to fifteen (15) years.

ACCEPTANCE OF GIFTS

- 26.00 Before any donation is accepted, or commitment made to a potential donor for a named Chair, Professorship or Faculty Fellowship, the economics of the proposal must be evaluated, particularly if other sources of funds are required. Because of the long-term commitment represented by these gifts, careful assessment of these donations is particularly important and will satisfy the following requirements:
- 26.01 The Vice-President (External) (or designate), and the head(s) of the academic unit(s) (and, where applicable, the Centre or Institute) involved will engage the donor to determine his/her wishes and discuss the terms of the donation. As stipulated in the Naming Policy (Policy 1.44) namings of chairs, professorships and fellowships should enhance the profile and image of the University. No naming will be approved or (once approved) continued that will call into serious question the public respect of the University.
- 26.02 The President, the Provost & Vice-President (Academic), and the Vice-President (Resources & Operations), or their designates, will review the objectives and funding requirements of each gift prior to presentation to the Senate and the Board of Governors for approval. Space requirements related to the appointment will also be taken into consideration.
- 26.03 The terms of the gift should recognize the need for flexibility in the use of funds if at some future date the basic conditions which led to the donation have altered, or if supplementary funding is no longer available.

REPORTING

- 27.00 The Dean, in collaboration with the holder of a Chair, Professorship or Faculty Fellowship, must provide an annual report on activities and monies spent. Such reports must be filed with the Provost & Vice-President (Academic) and the Vice-President (External), either at the end of each appointment year or in compliance with the terms of the agreement between the donor(s) and the University. The Vice-President (External) will ensure that the donor(s) are provided with copies, if required either under the terms of the donor agreement or at the donor's request.

ATTACHED: APPENDIX 1 - GENERAL GUIDELINES FOR REQUIRED LEVEL OF SUPPORT FOR CHAIRS, PROFESSORSHIPS AND FACULTY FELLOWSHIPS

APPENDIX 2 - APPROVAL PROCESS

APPENDIX 1

GENERAL GUIDELINES FOR REQUIRED LEVEL OF SUPPORT FOR CHAIRS, PROFESSORSHIPS AND FACULTY FELLOWSHIPS

The required level of support for a Chair, Professorship or Faculty Fellowship at The University of Western Ontario will vary with the circumstances and the objectives to be achieved. However, as a guideline, the minimum level of support that will be required is as follows:

Chair

Endowed: Minimum of \$3 million

Term Endowed or Term Non-Endowed: Annual minimum funding equivalent to the amount available for spending on a \$3 million endowed fund (original capital value), in accordance with the Investment Payout Policy (Policy 2.11, as may be amended from time to time).

Professorship

Endowed: Minimum of \$1,500,000 to \$2,999,999

Term Endowed or Term Non-Endowed: Annual minimum funding equivalent to the amount available for spending on a \$1.5 million to \$2,999,999 endowed fund (original capital value), in accordance with the Investment Payout Policy (Policy 2.11, as may be amended from time to time).

Fellowship

Endowed: Minimum of \$250,000 to \$1,499,999

Term Endowed or Term Non-Endowed: Annual minimum funding equivalent to the amount available for spending on a \$250,000 to \$1,499,999 endowed fund (original capital value), in accordance with the Investment Payout Policy (Policy 2.11, as may be amended from time to time).

The above minimum levels of support are subject to periodic review and may be amended from time to time. Amended minimum levels of support are generally applicable to the establishment of new Chairs, Professorships and Fellowships following the approval of such changes and do not apply to previously funded positions.

In accordance with a Board Resolution dated September 25, 2007, new Chairs, Professorships and Faculty Fellowships that are part of the established Campaign for Leadership of the Richard Ivey School of Business are exempt from the above levels. Minimum annual required levels of support and minimum endowment levels prior to September 25, 2007 will apply through to April 30, 2013. After this date, the current minimum levels of required support will apply to Chairs, Professorships and Faculty Fellowships established at the Richard Ivey School of Business.

APPENDIX 2

Approval Process for Academic Chairs, Professorships and Faculty Fellowships

The establishment of a Chair, Professorship or Faculty Fellowship requires approval of both the Senate and the Board of Governors. There are two processes that can be followed: one open and one confidential. The confidential process is used in cases where there is a desire on the part of the university and the donor to have a joint public announcement of the gift at a particular time after approval has been obtained from both governing parties.

Open Process

- Proposal submitted to Senate Committee on University Planning for recommendation to Senate
- Proposal approved by Senate
- Proposal submitted to Property and Finance Committee for approval on behalf of the Board of Governors on the recommendation of Senate
- Approval reported to the Board of Governors at its next regular meeting in open session

Confidential Process

- Proposal submitted to Senate Committee on University Planning for recommendation to the Board through the President and Vice-Chancellor
- Proposal submitted to the Property and Finance Committee for approval on behalf of the Board of Governors on the recommendation of the President and Vice-Chancellor
- Approval reported to the Board of Governors at its next regular meeting in closed session
- Annual report to Senate on positions approved through the confidential process

The UNIVERSITY of WESTERN ONTARIO
POLICIES and PROCEDURES

2.22 FUNDING OF ACADEMIC CHAIRS AND PROFESSORSHIPS

Classification: Financial

Effective Date: 24JUN08

Supersedes: 25SEP07

POLICY ON CHAIRS AND PROFESSORSHIPS

- 1.00 This policy provides for the establishment of designated Chairs and Professorships as one of the ways of recognizing academic fields that fit into the University's scholarly plans and objectives. The University may at the same time honor a benefactor, a scholar or a family.
- 2.00 Establishment of designated Chairs or Professorships should follow this policy, the general guidelines outlined in Appendix 1 and the approval process outlined in Appendix 2. Initiatives may arise from a variety of sources such as individuals, ethnic or cultural organizations, trade unions, government agencies, corporations, or from the academic unit concerned. From whatever sources, the initiative should advance the University's academic goals and objectives. This constitutes the principal criterion governing the establishment of designated appointments.
- 3.00 A Chair or Professorship will be attached to a Faculty, School, Department, or Centre of the University. The holder will receive an academic appointment and be expected to develop the field of interest through both teaching and research. The University's policies and procedures on advertising and making academic appointments shall be followed for appointment to a Chair or Professorship.
- 4.00 The University may wish to honor a benefactor, scholar or individual through a formal naming of a designated appointment. The criteria for naming shall be that the individuals have made an important contribution to the University, society, or to a field of study, or wish to provide the University with a significant benefaction or endowment.
- 5.00 The University will not normally name a Chair or Professorship unless the majority of the funding will be derived from external sources. In the event that the external donations are insufficient to fully support the Chair or Professorship, additional funding may come from the University operating budget through an academic Department, School, Faculty, or Centre, or from a government agency or other sources. In the case of an existing position, the gift should support at least 50% of the expected annual expenditures. In the case of a new position, the gift should support at least 90% of the expected annual expenditures.
- 6.00 The Dean, in collaboration with the holder of a Chair or Professorship, must provide an annual report on activities and monies spent. Such reports must be filed with the Provost & Vice-President (Academic) and the Vice-President (External), either at the end of each appointment year or in compliance with the terms of the agreement between the donor(s) and the University. The Vice-President (External) will ensure that the donor(s) are provided with copies, if required either under the terms of the donor agreement or at the donor's request.

GUIDELINES TO THE POLICY AND FUNDING OF CHAIRS AND PROFESSORSHIPS

Designated Chairs

- 7.00 The establishment of a Chair by the University implies a broad and continuing commitment to the position and to the discipline or faculty. The holder of the Chair should be of great distinction.
- 8.00 The principal revenue for a designated Chair may be in the form of an endowment or funded on a term basis.

- 8.01 The total funding for a Chair will normally be sufficient to cover not only the salary and benefits of the incumbent, but also provide an appropriate measure of scholarly and research support. As the establishment of a Chair creates a continuing commitment by the University, there must also be assurance that funding support for the Chair will also be continuing.

Endowed Chairs

- 9.00 The academic appointment to an endowed Chair will normally be full-time with tenure at the rank of Professor.
- 10.00 The amount available for spending each year will be a percentage of the average value of the endowment over the most recent three year period, in accordance with the University's Investment Payout Policy [Policy 2.11], as may be amended from time to time. The University seeks to maintain the real value of the endowment in the long run by capitalizing a portion of the investment revenue.

Term Endowed Chairs

- 11.00 Chairs may be funded on a term endowed basis of not less than five (5) years and up to fifteen (15) years.
- 12.00 The academic appointment to a term chair will normally be full-time, at the rank of Associate Professor or Professor. An individual may be appointed as a visitor. The Faculty must commit necessary resources for any ongoing commitments made beyond the period of this term financing (e.g., tenure).
- 13.00 If the donation is received on a term endowed basis, the capital and investment revenue will be expended over the stipulated period of time, at the end of which the designated Chair will terminate. It may be necessary to supplement the donation revenue from other sources. Term endowed funds receive a rate of return, as outlined in the Investment Payout Policy [Policy 2.11]

Designated Professorships

- 14.00 A designated Professorship may be established on either a permanent or a term basis of 5 to 15 years. Appointments to a designated professorship will be for a specific term, which may be renewable, at the rank of Assistant Professor, Associate Professor, or Professor. An individual may be appointed as a visitor. Such appointments need not carry tenure although tenured appointments may be made, where appropriate, in accordance with procedures established by the Senate and Board of Governors. The Faculty must commit necessary resources for any ongoing commitments made (e.g., tenure) beyond the period of this term financing.
- 14.01 For donations for Professorships accepted as permanent endowments, the amount available for spending each year will be a percentage of the average value of the endowment over the most recent three year period, in accordance with the University's Investment Payout Policy [Policy 2.11], as may be amended from time to time. The University seeks to maintain the real value of the endowment in the long run by capitalizing a portion of the investment revenue.
- 14.02 If the donation is received on a term endowment basis, the capital and investment revenue will be expended over the stipulated period of time, at the end of which the designated Professorship will terminate. It may be necessary to supplement the donation revenue from other sources. Term endowed funds receive a rate of return, as outlined in the Investment Payout Policy [Policy 2.11]

Term Non-Endowed Chairs and Professorships

- 15.00 A Term Non-Endowed Chair or a Term Non-Endowed Professorship may be funded on a term basis of one (1) to five (5) years.
- 15.01 If the donation is received on a non-endowed basis, the capital and investment revenue will be expended over the stipulated period of time, at the end of which the designated fund will terminate. Non-endowed funds receive a rate of return as outlined in the Investment Payout Policy [Policy 2.11] (as may be amended from time to time).

ACCEPTANCE OF GIFTS

- 15.00 Before any donation is accepted, or commitment made to a potential donor for a Chair or Professorship, the economics of the proposal must be evaluated, particularly if other sources of funds are required. Because of the long-term commitment represented by these gifts, careful assessment of these donations is particularly important and will satisfy the following requirements.
- 15.01 The Vice-President (External) (or designate), and the head(s) of the academic unit(s) (and, where applicable, the Centre) involved will meet with the donor to determine his/her wishes and discuss the terms of the donation.
- 15.02 The President, the Provost & Vice-President (Academic), and the Vice-President (Resources & Operations), or their designates, will review the objectives and funding requirements of each gift prior to presentation to the Senate and the Board of Governors for approval. Space requirements related to the appointment will also be taken into consideration.
- 15.03 The terms of the gift should recognize the need for flexibility in the use of funds if at some future date the basic conditions which led to the donation have altered, or if supplementary funding is no longer available.
- 15.04 A donor may not be involved in the selection of an individual for a Chair or Professorship funded by the donor. However, subject to the agreement of the Provost & Vice-President (Academic), a donor or a representative may be named to an Advisory Committee established to assist in the development, support and publicizing of the Chair or Professorship.

ATTACHED: APPENDIX 1 - GENERAL GUIDELINES FOR REQUIRED LEVEL OF SUPPORT FOR CHAIRS AND PROFESSORSHIPS

APPENDIX 2 - APPROVAL PROCESS

APPENDIX 1

GENERAL GUIDELINES FOR REQUIRED LEVEL OF SUPPORT

FOR CHAIRS AND PROFESSORSHIPS

The required level of support for a Chair or Professorship at The University of Western Ontario will vary with the circumstances and the objectives to be achieved. However, as a guideline, the level of support that will be required, expressed in 2003 dollars, is as follows:

Annual Required Revenue

Chair minimum \$135,000

Professorship \$67,500 - \$135,000

Funds may be derived from donations in the form of an endowment or for a specific term, or from other sources.

With respect to endowments, the required level of support will reflect the policy that only the real rate of return will be paid out each year to support the Chair or Professorship, with the remainder of the investment revenue capitalized to protect the real value of the endowment.

For example:

- An endowment of \$3 million will provide the annual minimum required level of support for a Chair (\$135,000 per year)
- An endowment in the range of \$1.5 million to \$3 million will provide the annual required level of support for a Professorship (\$67,500 - \$135,000 per year).

By limiting the allocations for spending from an endowment to 4.5% and reinvesting remaining returns, the University expects the endowment base to grow over time. This anticipates that the dollar value of the amounts allocated will increase over time and thus maintain the purchasing power of the annual allocations forever.

Revised by the Board September 25, 2007 to be effective immediately, except for new Chairs and Professorships that are part of the established fund raising campaign of the Richard Ivey School of Business.

APPENDIX 2

Approval Process for Academic Chairs and Professorships

The establishment of a chair or professorship requires approval of both the Senate and the Board of Governors. There are two processes that can be followed: one open and one confidential. The confidential process is used in cases where there is a desire on the part of the university and the donor to have a joint public announcement of the gift at a particular time after approval has been obtained from both governing bodies..

Open Process

- Proposal submitted to Senate Committee on University Planning for recommendation to Senate
- Proposal approved by Senate
- Proposal submitted to Property and Finance Committee for approval on behalf of the Board of Governors on the recommendation of Senate
- Approval reported to the Board of Governors at its next regular meeting in open session

Confidential Process

- Proposal submitted to Senate Committee on University Planning for recommendation to the Board through the President and Vice-Chancellor
- Proposal submitted to the Property and Finance Committee for approval on behalf of the Board of Governors on the recommendation of the President and Vice-Chancellor
- Approval reported to the Board of Governors at its next regular meeting in closed session

The UNIVERSITY of WESTERN ONTARIO
POLICIES and PROCEDURES

2.26 FUNDING OF DESIGNATED FACULTY FELLOWSHIPS

Classification: Financial

Effective Date: 24JUN08

Supersedes: 25SEP07

POLICY

- 1.00 This policy provides for the establishment of designated Faculty Fellowships as one of the ways of recognizing academic fields that fit into the University's scholarly plans and objectives. The University may at the same time honor a benefactor, a scholar or a family. Faculty Fellowships are established as a means of recognizing academic distinction by funding a variety of objectives, including, but not limited to: (a) offsetting or supplementing existing salary; and (b) providing non-salary support for graduate students, research, or travel. The decision to supplement an existing salary shall, in all cases, be at the discretion of the relevant Dean.
- 2.00 Establishment of designated Faculty Fellowships should follow this policy, the general guidelines outlined in Appendix 1 and the approval process outlined in Appendix 2. Initiatives may arise from a variety of sources such as individuals, ethnic or cultural organizations, trade unions, government agencies, or corporations. From whatever sources, the initiative should advance the University's academic goals and objectives. This constitutes the principal criterion governing the establishment of designated Faculty Fellowships. In no event should the University's academic autonomy or its standards of scholarship be subverted by the designation or use of these Fellowships.
- 3.00 A designated Faculty Fellowship will be attached to a Faculty, School, Department or Centre of the University. The holder will be expected to develop the field of interest through both teaching and research and have a proven record in his/her field of specialization. Selection of the holder of the Fellowship will be at the discretion of the Dean of the relevant Faculty, Director of the Centre, or by a selection committee as may be stipulated within the terms of the Fellowship.
 - 3.01 A donor may not be involved in the selection of an individual for a Faculty Fellowship funded by the donor. However, subject to the agreement of the Provost & Vice-President (Academic), a donor or a representative may be named to an Advisory Committee established to assist in the development, support and publicizing of the Faculty Fellowship.
- 4.00 The University may wish to honor a benefactor, scholar or individual through a formal designation of a Faculty Fellowship. The criteria for naming shall be that the individual(s) has made an important contribution to the University, society, or to a field of study, or wishes to provide the University with a significant benefaction or endowment.
- 5.00 The University will not normally name a Faculty Fellowship unless the funding for the Fellowship will be derived from external sources
- 6.00 The Dean, in collaboration with the holder of the Fellowship, must provide an annual report on activities and monies spent. Such reports must be filed with the Provost & Vice-President (Academic) and the Vice-President (External), either at the end of each appointment year or in compliance with the terms of the agreement between the donor(s) and the University. The Vice-President (External) will ensure that the donor(s) is provided with copies if required either under the terms of the donor agreement or at the donor's request.

GUIDELINES

- 7.00 The revenue for a designated Faculty Fellowship may be in the form of an endowment or the Fellowship may be funded on a term basis.

- 8.00 The holder of a Faculty Fellowship will normally be a full-time member of the faculty. An individual may be appointed as a Visiting Professor.
- 9.00 The maximum term of appointment to a Faculty Fellowship is five years, and in each case the specific term and any restrictions on reappointment shall be as set out in the terms of the donor agreement.

Endowed Fellowships

- 10.00 The amount available for spending each year will be a percentage of the average value of the endowment over the most recent three year period, in accordance with the University's Investment Payout Policy (Policy 2.11, as may be amended from time to time). The University seeks to maintain the real value of the endowment in the long run by capitalizing a portion of the investment revenue.

Term Endowed Fellowships

- 11.0 Fellowships may be funded on a term endowed basis of not less than five (5) years and up to fifteen (15) years.
 - 11.01 If the donation is received on a term endowed basis, the capital and investment revenue will be expended over the stipulated period of time, at the end of which the designated Fellowship will terminate. It may be necessary to supplement the donation revenue from other sources. Term endowed funds receive a rate of return, as outlined in the Investment Payout Policy [Policy 2.11]

Term Non-endowed Fellowships

- 12.00 Faculty term non-endowed fellowships may be funded on a term basis of one (1) year to five (5) years.
- 13.00 If the donation is received on a term non-endowed basis, the capital and investment revenue will be expended over the stipulated period of time, at the end of which the designated Faculty Fellowship will terminate. Non-endowed funds receive a rate of return as outlined in the Investment Payout Policy (Policy 2.11, as may be amended from time to time).

ACCEPTANCE OF GIFTS

- 14.00 Before any donation is accepted, or commitment made to a potential donor for a Faculty Fellowship for a faculty member, the proposal must be evaluated. Because of the long-term commitment that may be represented by these gifts, careful assessment of these donations is particularly important and will satisfy the following requirements:
 - 14.01 The Vice-President (External) or designate, and the head(s) of the academic unit(s) (and, where applicable, the Centre) involved will meet with the donor(s) to determine his/her wishes and discuss the terms of the donation.
 - 14.02 The President, the Provost & Vice-President (Academic), and the Vice-President (Resources & Operations), or their designates, will review the objectives and funding requirements of each gift prior to presentation to the Senate and Board of Governors for approval.
 - 14.03 The terms of the gift should recognize the need for flexibility in the use of funds if at some future date the basic conditions that led to the donation have been altered.

ATTACHED: APPENDIX 1 - GENERAL GUIDELINES FOR THE FUNDING OF DESIGNATED FACULTY FELLOWSHIPS

APPENDIX 2 - APPROVAL PROCESS

APPENDIX I

GENERAL GUIDELINES FOR THE FUNDING OF

DESIGNATED FACULTY FELLOWSHIPS

Faculty Fellowships are established as a means of recognizing academic distinction by funding a variety of objectives including, but not exclusively, salary support, research, travel, and administrative support.

The required level of support to establish a designated Faculty Fellowship at The University of Western Ontario will vary with the circumstances and the objectives to be achieved. However, as a guideline, the range of support that will be required, expressed in 2003 dollars, is as follows:

Annual Required Revenue

Fellowship minimum: \$11,250

Fellowship maximum: \$67,500

Funding for Faculty Fellowships will normally be derived from external sources, on either an endowed or term basis.

With respect to endowments, the required level of support will reflect the policy that only a portion of the investment returns will be paid out each year to support the Faculty Fellowship, with the remainder of the investment revenue capitalized to protect the real value of the endowment. As examples, a gift of \$250,000, based on a 4.5% allocation for spending, will provide the annual minimum required level to support a Faculty Fellowship (\$11,250 per year), or a gift of \$1,500,000 will provide \$67,500 per year to support a Faculty Fellowship.

By limiting the allocations for spending from an endowment to 4.5% and reinvesting remaining returns, the University expects the endowment base to grow over time. This anticipates that the dollar value of the amounts allocated will increase over time and thus maintain the purchasing power of the annual allocations forever.

Revised by the Board September 25, 2007 to become effective immediately, except for all new Faculty Fellowships that are part of the established fund raising campaign of the Richard Ivey School of Business.

APPENDIX 2

Approval Process for Designated Faculty Fellowships

The establishment of a designated faculty fellowship requires approval of both the Senate and the Board of Governors. There are two processes that can be followed: one open and one confidential. The confidential process is used in cases where there is a desire on the part of the university and the donor to have a joint public announcement of the gift at a particular time after approval has been obtained from both governing bodies..

Open Process

- Proposal submitted to Senate Committee on University Planning for recommendation to Senate
- Proposal approved by Senate
- Proposal submitted to Property and Finance Committee for approval on behalf of the Board of Governors on the recommendation of Senate
- Approval reported to the Board of Governors at its next regular meeting in open session

Confidential Process

- Proposal submitted to Senate Committee on University Planning for recommendation to the Board through the President and Vice-Chancellor
- Proposal submitted to the Property and Finance Committee for approval on behalf of the Board of Governors on the recommendation of the President and Vice-Chancellor
- Approval reported to the Board of Governors at its next regular meeting in closed session

Full-Time, First-Year Constituent Students
Actual First-Year Enrolment 2007-08 to 2009-10 and 2010-11 Plan

	2007-08			2008-09			2009-10			2010-11 SUEPP Preliminary Plan				
	Domestic	International	Total	Domestic	International	Total	Domestic	International	Total	Domestic	International	Sub-Total	Incremental International	Total
Arts & Humanities	295	2	297	311	1	312	258	1	259	298	0	298	2	300
BMOS Program	649	54	703	660	67	727	707	66	773	740	65	805	45	850
Engineering	313	7	320	324	3	327	337	6	343	315	5	320	5	325
HS: BHSc Prog	279	4	283	270	3	273	266	4	270	265	5	270	5	275
HS: Kinesiology	364	1	365	347	2	349	346	1	347	298	0	298	2	300
HS: Nursing	127	0	127	129	0	129	130	0	130	125	0	125	0	125
IMS: MIT Prog	227	2	229	279	1	280	250	3	253	238	5	243	2	245
IMS: MTP Prog	58	0	58	81	2	83	79	1	80	78	0	78	2	80
Music	150	1	151	153	0	153	133	2	135	148	0	148	2	150
Science	1,066	18	1,084	1,076	21	1,097	1,084	22	1,106	1,055	25	1,080	20	1,100
Social Science	783	12	795	767	22	789	743	14	757	670	15	685	15	700
Total Constituent	4,311	101	4,412	4,397	122	4,519	4,333	120	4,453	4,230	120	4,350	100	4,450

SUMMARY OF ENROLMENT FORECAST

		Actual					Projected				
		2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15
1	Constituent University										
2	Full-Time Undergraduates										
3	Arts & Humanities	1,545	1,449	1,358	1,312	1,275	1,277	1,278	1,273	1,278	1,279
4	Business (HBA)	565	566	635	706	812	975	1,100	1,175	1,175	1,175
5	Dentistry	240	242	247	249	251	252	254	254	254	254
6	Education	844	892	803	728	726	750	750	750	750	750
7	Engineering	1,336	1,226	1,138	1,098	1,132	1,135	1,135	1,124	1,115	1,113
8	Health Sciences										
9	BHSc Program	1,058	1,094	1,057	1,056	1,098	1,096	1,096	1,080	1,080	1,080
10	Kinesiology	1,144	1,120	1,148	1,159	1,194	1,153	1,103	1,056	1,028	1,025
11	Nursing	665	769	746	781	777	780	777	774	774	774
12	Therapies	30	29	27	39	40	40	40	40	40	40
13	Sub-Total	2,897	3,012	2,978	3,035	3,109	3,069	3,016	2,950	2,922	2,919
14	Law	471	472	472	456	467	479	495	493	493	493
15	Media, Information, & Tech	728	793	810	915	890	956	957	933	920	919
16	Medicine										
17	MD Program	528	536	552	569	591	623	648	672	684	684
18	BMedSci Program	851	997	758	603	591	600	600	600	600	600
19	Music	519	544	555	555	535	535	540	545	554	556
20	Science	3,758	3,713	3,693	3,795	3,737	3,700	3,694	3,696	3,700	3,701
21	Social Science	6,097	6,115	5,941	6,035	6,408	6,514	6,554	6,540	6,547	6,546
22	Total Full-Time Undergraduates	20,379	20,557	19,940	20,056	20,524	20,865	21,021	21,005	20,992	20,989
23	Concurrent Programs	81	80	68	81	110	110	110	110	110	110
24	Medical Residents	631	644	674	685	725	725	725	725	725	725
25	Full-Time Graduates										
26	Masters	2,299	2,295	2,547	2,606	2,648	2,815	2,887	2,971	3,058	3,103
27	Ph.D.	1,235	1,422	1,516	1,614	1,771	1,873	1,909	1,944	1,963	1,990
28	Total Full-Time Graduates	3,534	3,717	4,063	4,220	4,419	4,688	4,796	4,915	5,021	5,093
29	Total Full-Time Enrolment	24,625	24,998	24,745	25,042	25,778	26,388	26,652	26,755	26,848	26,917
30	Part-Time FTEs <1>										
31	Undergraduate	2,127	2,138	2,199	2,067	2,140	2,140	2,140	2,140	2,140	2,140
32	Education (AQs)	1,000	907	897	929	960	960	960	960	960	960
33	Masters	110	109	120	130	129	130	130	130	130	130
34	Ph.D.	17	12	20	29	24	25	25	25	25	25
35	Total Part-Time FTEs	3,254	3,166	3,236	3,155	3,253	3,255	3,255	3,255	3,255	3,255
36	Total Constituent FTEs	27,879	28,164	27,981	28,197	29,031	29,643	29,907	30,010	30,103	30,172
37	Affiliated University Colleges										
38	Full-Time Undergraduates										
39	Brescia	917	954	912	918	934	929	954	998	1,051	1,096
40	Huron	1,104	1,072	1,088	1,143	1,235	1,150	1,150	1,150	1,150	1,150
41	King's	3,069	3,167	3,088	3,118	3,122	3,141	3,157	3,168	3,175	3,181
42	Total Full-Time Undergraduates	5,090	5,193	5,088	5,179	5,291	5,220	5,261	5,316	5,376	5,427
43	Part-Time Undergraduate FTEs <1>										
44	Brescia	63	68	80	78	82	82	85	85	85	85
45	Huron	37	47	45	55	60	50	55	55	55	55
46	King's	242	224	260	239	245	255	255	255	255	255
47	Total Part-Time FTEs	342	339	385	372	387	387	395	395	395	395
48	Graduate FTEs										
49	Brescia			13	27	26	26	26	26	26	26
50	Huron		7	12	15	13	19	18	18	18	18
51	King's	18	16	24	33	30	34	34	34	34	34
52	Total Graduate FTEs	18	23	49	75	69	79	78	78	78	78
53	Total Affiliate FTEs	5,450	5,555	5,522	5,626	5,747	5,686	5,734	5,789	5,849	5,900
54	Total UWO FTEs	33,329	33,719	33,503	33,823	34,778	35,329	35,641	35,799	35,952	36,072

SUMMARY OF ENROLMENT FORECAST

		Actual					Projected				
		2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15
	Rows 55 to 86 Included above										
55	International Students										
56	Constituent Full-Time										
57	Undergraduates	806	693	618	573	631	721	828	924	1,014	1,014
58	Medical Residents	180	131	119	120	109	110	110	110	110	110
59	Masters (excluding MBAs)	154	230	245	254	320	315	314	323	337	345
60	Ivey Masters (excl EMBA)	82	40	17	21	17	20	20	37	40	42
61	Executive MBA	53	55	62	71	71	73	80	85	90	90
62	Ph.D.	280	338	341	361	426	437	440	449	453	463
63	Year 1 Only										
64	Constituent										
65	Arts & Humanities	348	310	297	312	259	300	300	300	300	300
66	BMOS Program	593	634	703	727	773	850	850	850	850	850
67	Engineering	328	318	320	327	343	325	325	325	325	325
68	Health Sciences										
69	BHSc Program	263	316	283	273	270	275	275	275	275	275
70	Kinesiology	363	310	365	349	347	300	300	300	300	300
71	Nursing	125	130	127	129	130	125	125	125	125	125
72	Media, Information, & Tech	254	283	287	363	333	325	325	325	325	325
73	Music	140	136	151	153	135	150	150	150	150	150
74	Science	1,169	1,148	1,084	1,097	1,106	1,100	1,100	1,100	1,100	1,100
75	Social Science	784	858	795	789	757	700	700	700	700	700
76	Total Year 1 - Constituent	4,367	4,443	4,412	4,519	4,453	4,450	4,450	4,450	4,450	4,450
77	Affiliated University Colleges										
78	Brescia	236	244	290	254	268	287	302	315	328	341
79	Huron	322	365	383	406	397	405	410	410	410	410
80	King's	850	807	858	949	946	915	915	915	915	915
81	Total Year 1 - Affiliates	1,408	1,416	1,531	1,609	1,611	1,607	1,627	1,640	1,653	1,666
82	Total UWO Year 1	5,775	5,859	5,943	6,128	6,064	6,057	6,077	6,090	6,103	6,116
83	Masters										
84	All Programs (excluding MBAs)	1,734	1,725	2,115	2,204	2,262	2,397	2,482	2,531	2,583	2,613
85	Ivey Masters (excl EMBA)	387	323	168	162	157	205	215	245	275	290
86	Executive MBA	178	247	264	240	229	213	190	195	200	200

<1> part-time enrolments are estimates

Table 1
The University of Western Ontario
Graduate Enrolment Planning: Full-Time Masters
Summary as of January 4, 2010

	2004-05 Actual	2005-06 Actual	2006-07 Actual	2007-08 Actual	2008-09 Actual	2009-10 Plan	2009-10 Actual	2010-11 Plan *	2011-12 Plan *	2012-13 Plan *	2013-14 Plan *	2014-15 Plan *
Canadian												
Arts	88	92	92	107	114	121	119	119	152	161	172	172
Business	496	430	475	353	310	316	298	325	305	318	345	358
Dentistry	8	8	9	9	8	9	8	9	9	9	9	9
Education	29	43	51	92	104	103	73	75	75	75	75	75
Engineering	168	158	136	141	133	161	149	172	188	194	202	210
FIMS	191	225	203	251	260	255	235	244	252	254	259	259
Health Science	338	339	359	436	477	494	500	509	511	513	516	518
Interdisciplinary Programs	67	60	47	83	100	108	105	108	121	131	131	131
Law	2	3	0	2	6	7	7	14	14	14	14	14
Medicine	211	234	228	281	293	317	297	324	326	325	326	326
Music	41	49	53	65	74	74	87	94	94	94	92	92
Science	200	207	171	207	206	213	203	215	227	239	251	263
Social Science	178	162	146	196	175	200	159	199	199	199	199	199
Total	2,017	2,010	1,970	2,223	2,260	2,378	2,240	2,407	2,473	2,526	2,591	2,626
International												
Arts	15	13	19	16	20	21	21	25	21	22	27	27
Business	159	135	95	79	92	96	88	93	100	122	130	132
Dentistry	1	1	0	0	1	1	1	0	0	1	2	3
Education	3	5	3	3	2	3	1	1	1	1	1	1
Engineering	30	28	69	86	88	87	109	98	98	100	100	100
FIMS	3	7	8	8	9	7	13	7	3	3	3	3
Health Science	4	3	5	7	8	8	11	9	9	9	9	9
Interdisciplinary Programs	3	4	4	7	5	6	9	9	8	6	6	6
Law	2	1	6	0	2	3	2	6	6	8	8	10
Medicine	14	21	23	13	8	19	16	19	20	18	20	18
Music	1	1	3	3	5	6	9	6	6	6	5	5
Science	36	49	70	77	88	91	114	121	128	135	142	149
Social Science	19	21	20	25	18	18	14	14	14	14	14	14
Total	290	289	325	324	346	366	408	408	414	445	467	477
Total Masters												
Arts	103	105	111	123	134	142	140	144	173	183	199	199
Business	655	565	570	432	402	412	386	418	405	440	475	490
Dentistry	9	9	9	9	9	10	9	9	9	10	11	12
Education	32	48	54	95	106	106	74	76	76	76	76	76
Engineering	198	186	205	227	221	248	258	270	286	294	302	310
FIMS	194	232	211	259	269	262	248	251	255	257	262	262
Health Science	342	342	364	443	485	502	511	518	520	522	525	527
Interdisciplinary Programs	70	64	51	90	105	114	114	117	129	137	137	137
Law	4	4	6	2	8	10	9	20	20	22	22	24
Medicine	225	255	251	294	301	336	313	343	346	343	346	344
Music	42	50	56	68	79	80	96	100	100	100	97	97
Science	236	256	241	284	294	304	317	336	355	374	393	412
Social Science	197	183	166	221	193	218	173	213	213	213	213	213
Total	2,307	2,299	2,295	2,547	2,606	2,744	2,648	2,815	2,887	2,971	3,058	3,103

<1> All figures are as of November 1st of each year.

<2> * All "plan" figures are those provided by the Faculties during the Nov/Dec 2009 planning process -- some are preliminary, and may be revised in early 2010

Table 2
The University of Western Ontario
Graduate Enrolment Planning: Full-Time Ph.D
Summary as of January 4, 2010

	2004-05 Actual	2005-06 Actual	2006-07 Actual	2007-08 Actual	2008-09 Actual	2009-10 Plan	2009-10 Actual	2010-11 Plan *	2011-12 Plan *	2012-13 Plan *	2013-14 Plan *	2014-15 Plan *
Canadian												
Arts	110	126	140	161	161	187	179	206	212	216	217	219
Business	47	57	68	58	55	50	50	50	52	52	50	50
Dentistry	0	0	0	0	0	0	0	0	0	0	0	0
Education	26	28	28	32	34	40	47	57	57	57	57	57
Engineering	112	130	138	143	158	167	153	154	156	156	156	156
FIMS	19	22	27	30	35	40	41	44	45	45	45	45
Health Science	66	74	97	111	148	147	158	160	163	165	168	170
Interdisciplinary Programs	45	54	61	57	50	54	55	61	63	63	63	63
Law	0	0	0	0	0	0	0	1	1	2	3	3
Medicine	146	139	149	164	157	178	166	172	178	181	181	183
Music	12	14	17	27	24	18	26	30	33	41	45	48
Science	139	153	179	183	203	205	216	224	232	240	248	256
Social Science	154	158	180	209	228	263	254	277	277	277	277	277
Total	876	955	1,084	1,175	1,253	1,349	1,345	1,436	1,469	1,495	1,510	1,527
International												
Arts	20	25	32	30	39	41	49	42	45	46	46	47
Business	28	23	14	8	6	6	7	8	15	15	15	15
Dentistry	0	0	0	0	0	0	0	0	0	0	0	0
Education	3	3	3	5	5	4	6	5	5	5	5	5
Engineering	49	68	102	112	115	125	127	129	129	129	129	129
FIMS	2	2	1	3	2	2	5	2	2	2	2	2
Health Science	2	4	8	11	12	11	15	18	18	18	18	18
Interdisciplinary Programs	10	11	15	14	15	17	22	27	14	14	15	15
Law	0	0	0	0	0	0	0	0	1	1	1	2
Medicine	11	12	15	11	10	13	14	13	13	15	13	15
Music	2	2	2	1	3	3	5	3	3	4	4	5
Science	85	91	96	99	111	111	133	138	143	148	153	158
Social Science	33	39	50	47	43	47	43	52	52	52	52	52
Total	245	280	338	341	361	380	426	437	440	449	453	463
Total Ph.D												
Arts	130	151	172	191	200	228	228	248	257	262	263	266
Business	75	80	82	66	61	56	57	58	67	67	65	65
Dentistry	0	0	0	0	0	0	0	0	0	0	0	0
Education	29	31	31	37	39	44	53	62	62	62	62	62
Engineering	161	198	240	255	273	292	280	283	285	285	285	285
FIMS	21	24	28	33	37	42	46	46	47	47	47	47
Health Science	68	78	105	122	160	158	173	178	181	183	186	188
Interdisciplinary Programs	55	65	76	71	65	71	77	88	77	77	78	78
Law	0	0	0	0	0	0	0	1	2	3	4	5
Medicine	157	151	164	175	167	191	180	185	191	196	194	198
Music	14	16	19	28	27	21	31	33	36	45	49	53
Science	224	244	275	282	314	316	349	362	375	388	401	414
Social Science	187	197	230	256	271	310	297	329	329	329	329	329
Total	1,121	1,235	1,422	1,516	1,614	1,729	1,771	1,873	1,909	1,944	1,963	1,990

<1> All figures are as of November 1st of each year.

<2> * All "plan" figures are those provided by the Faculties during the Nov/Dec 2009 planning process -- some are preliminary, and may be revised in early 2010

Table 3
The University of Western Ontario
Graduate Enrolment Planning: Full-Time Masters
Affiliated University Colleges
Summary as of January 4, 2010

	2004-05 Actual	2005-06 Actual	2006-07 Actual	2007-08 Actual	2008-09 Actual	2009-10 Plan	2009-10 Actual	2010-11 Plan	2011-12 Plan	2012-13 Plan	2013-14 Plan	2014-15 Plan
Brescia (Food & Nutrition)												
Full-Time												
Canadian	0	0	0	13	26	24	25	26	26	26	26	26
International	0	0	0	0	1	3	1	0	0	0	0	0
Total Full-Time	0	0	0	13	27	27	26	26	26	26	26	26
Part-Time												
Canadian	0	0	0	0	0	0	0	0	0	0	0	0
International	0	0	0	0	0	0	0	0	0	0	0	0
Total Part-Time	0	0	0	0	0	0	0	0	0	0	0	0
Huron (Theology)												
Full-Time												
Canadian	0	0	6	12	13	17	11	17	17	17	17	17
International	0	0	0	0	1	1	1	1	0	0	0	0
Total Full-Time	0	0	6	12	14	18	12	18	17	17	17	17
Part-Time												
Canadian	0	0	2	1	2	3	4	3	3	3	3	3
International	0	0	0	0	1	0	0	0	0	0	0	0
Total Part-Time	0	0	2	1	3	3	4	3	3	3	3	3
King's (Social Work)												
Full-Time												
Canadian	0	0	0	11	20	24	19	20	20	20	20	20
International	0	0	0	0	0	0	0	0	0	0	0	0
Total Full-Time	0	0	0	11	20	24	19	20	20	20	20	20
Part-Time												
Canadian	43	61	54	44	44	30	37	45	45	45	45	45
International	0	0	0	0	0	0	0	0	0	0	0	0
Total Part-Time	43	61	54	44	44	30	37	45	45	45	45	45
Total Affiliates												
Full-Time												
Canadian	0	0	6	36	59	65	55	63	63	63	63	63
International	0	0	0	0	2	4	2	1	0	0	0	0
Total Full-Time	0	0	6	36	61	69	57	64	63	63	63	63
Part-Time												
Canadian	43	61	56	45	46	33	41	48	48	48	48	48
International	0	0	0	0	1	0	0	0	0	0	0	0
Total Part-Time	43	61	56	45	47	33	41	48	48	48	48	48

<1> All figures are as of November 1st of each year.

<2> All "plan" figures are those provided by the Affiliated University Colleges.

The University of Western Ontario

Year 1 Class, Entering Averages, and First-Year Grades

SCUP – January 11, 2010

Context

- Western continues Approach using “Standard Minimum Entrance Requirement”

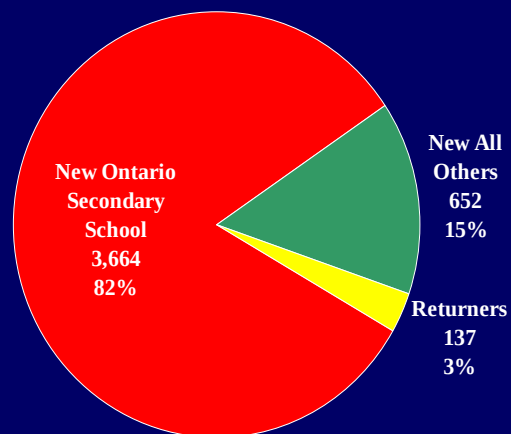
– Fall 2009	83.0%	
– Fall 2008	82.5%	
– Fall 2007	82.0%	
– Fall 2006	81.0%	
– Fall 2005	80.5%	
– Fall 2004	80.5%	
– Fall 2003	83.0%	(peak Double Cohort year)
– Fall 2002	79.5%	
– Fall 2001	77.0%	

Student Profile:

Applicant Type and Geographical Origin

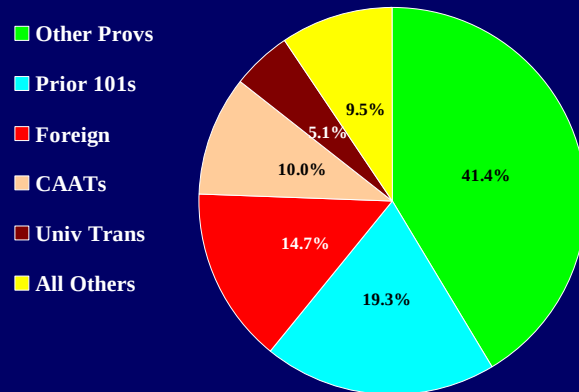
2009-10 Year 1 Students by Applicant Type

Total Year 1 = 4,453

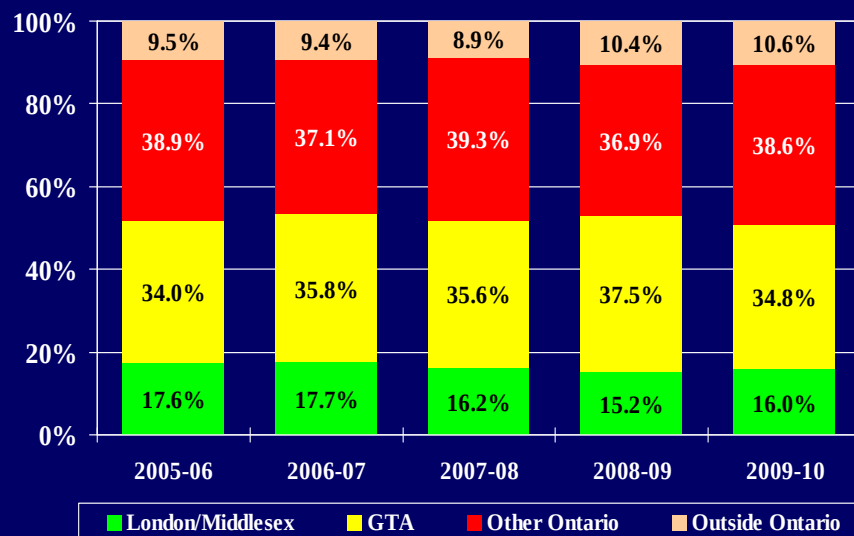


New Year 1 “All Others” by Admit Type 2009-10

Total = 652

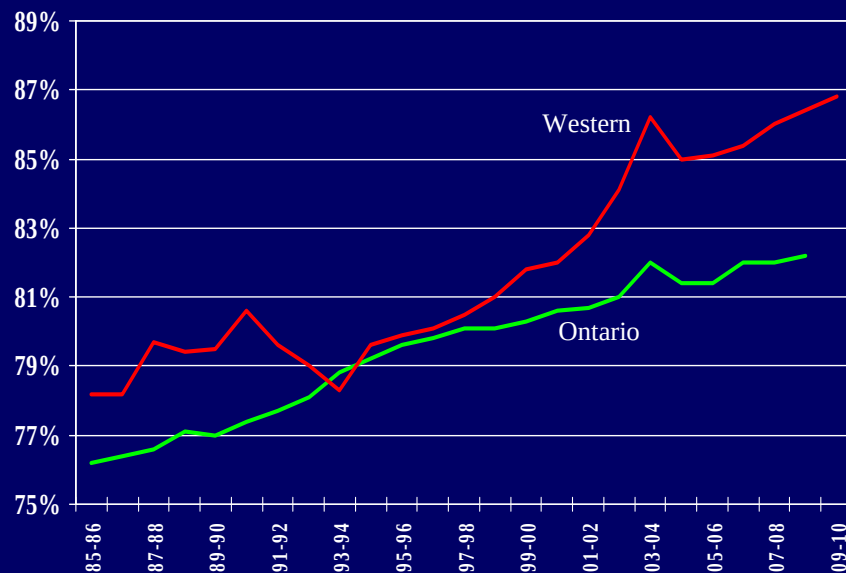


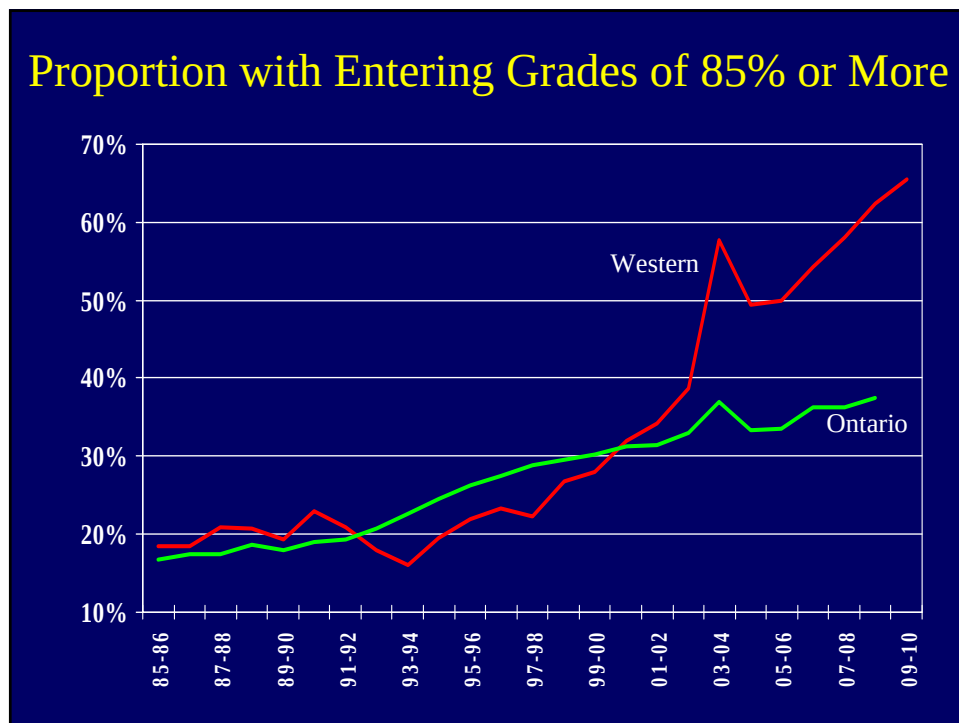
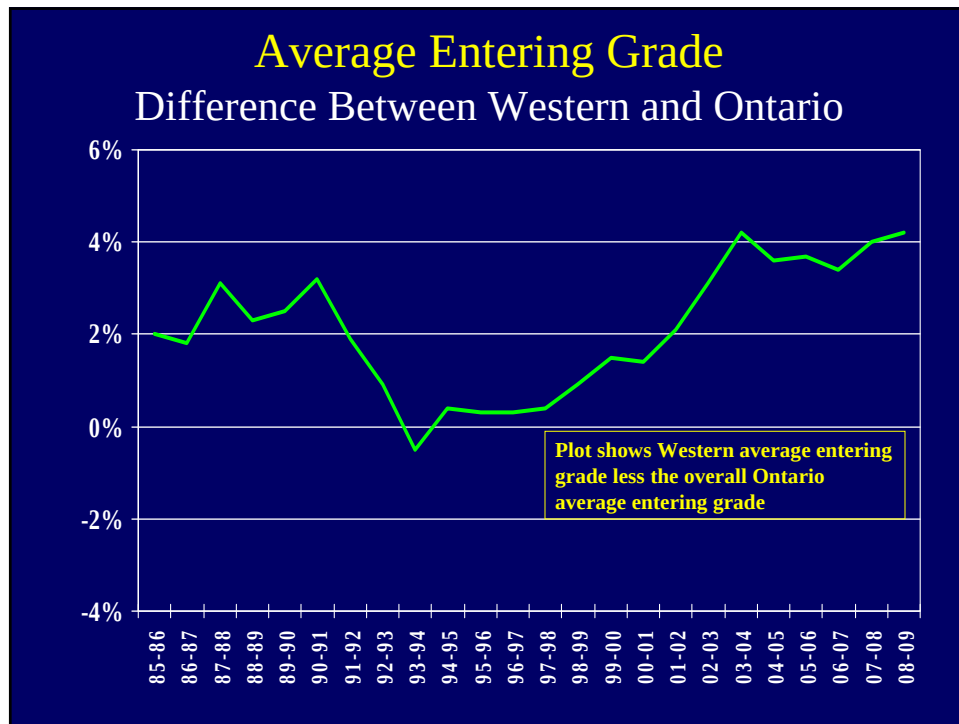
Geographical Origin of Year 1 Students



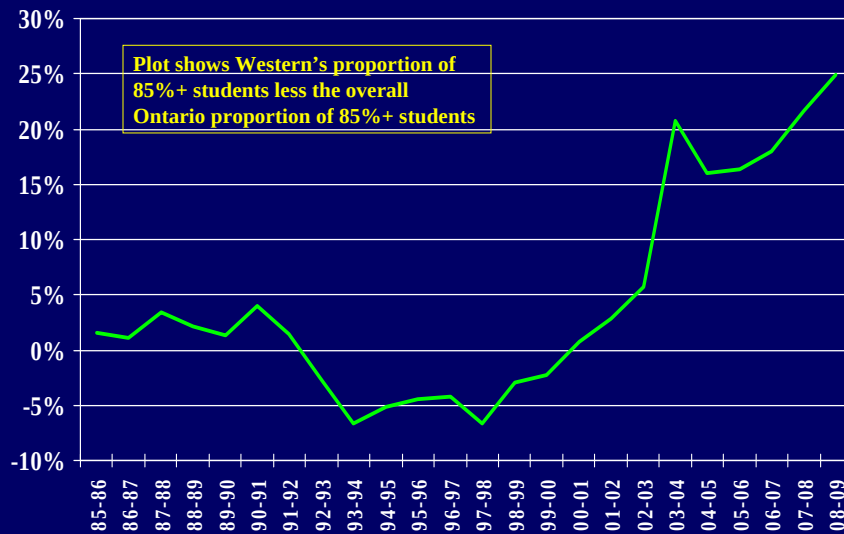
Entering Grades of New Ontario Secondary School Students

Average Entering Grade

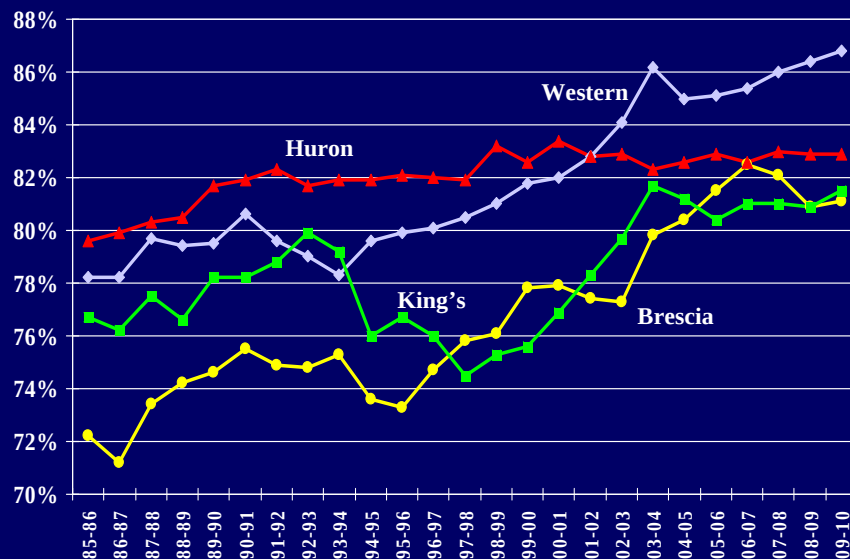




Proportion with Entering Grades of 85% or More Difference Between Western and Ontario



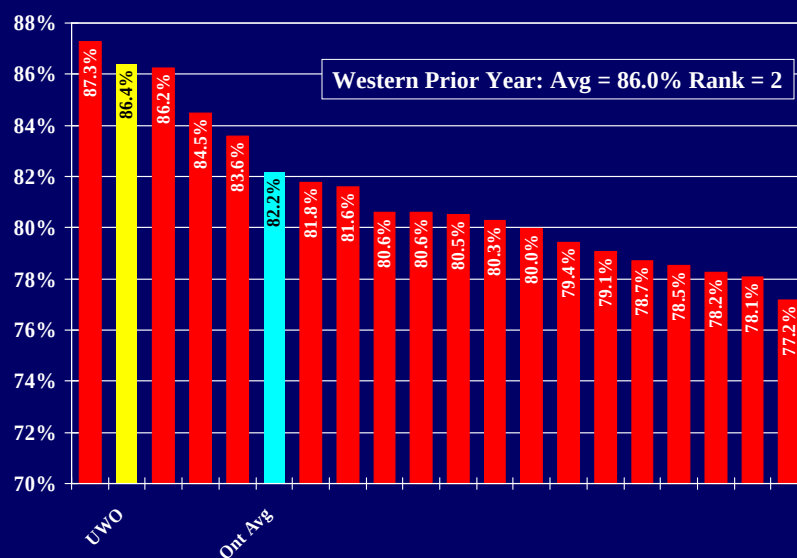
Average Entering Grade Western and Affiliated University Colleges



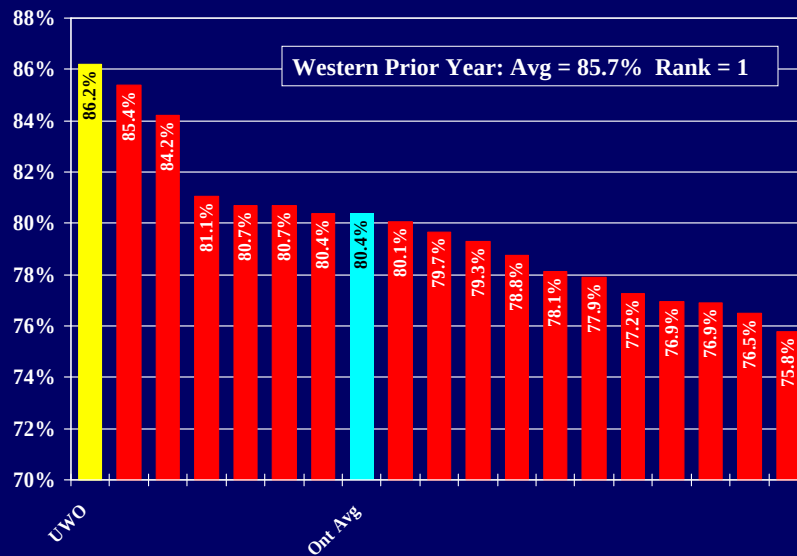
Entering Grades of New Ontario Secondary School Students by University and Program

2008-09

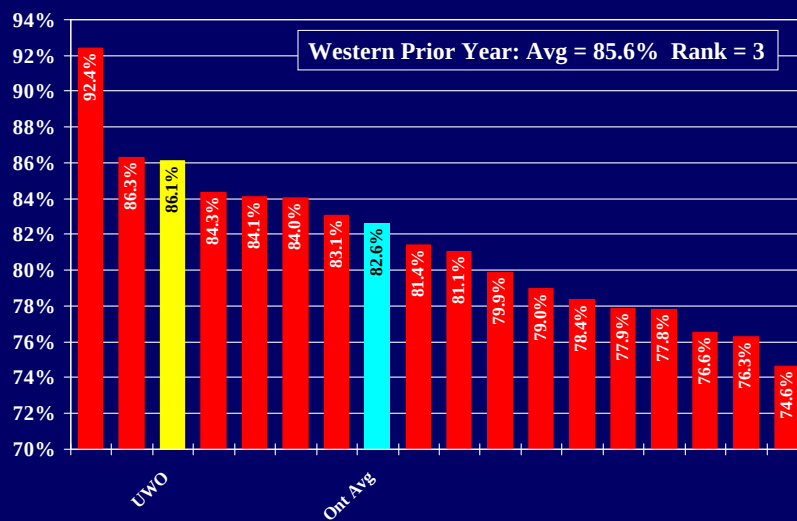
2008-09 Average Entering Grade All Programs



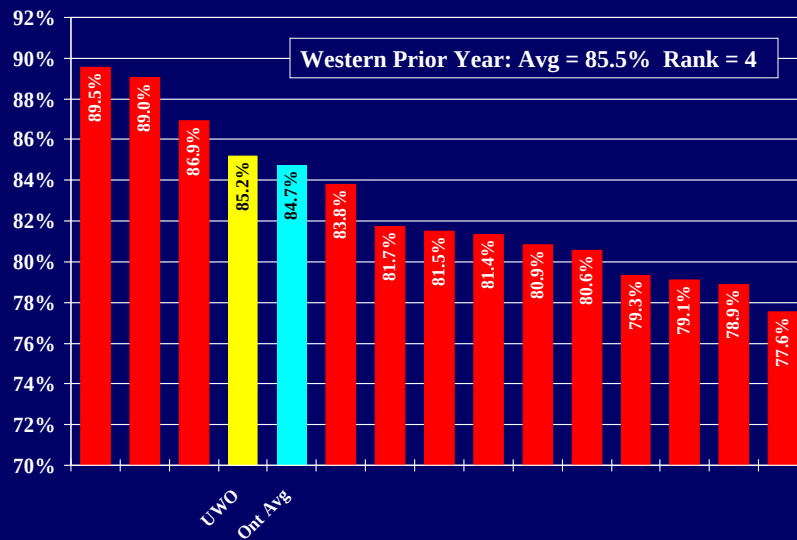
2008-09 Average Entering Grade Arts & Humanities / Social Science



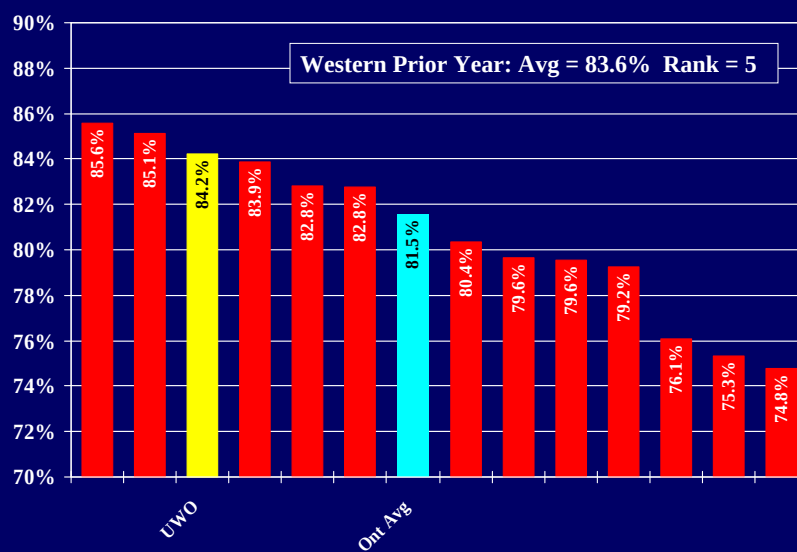
2008-09 Average Entering Grade BMOS / Commerce



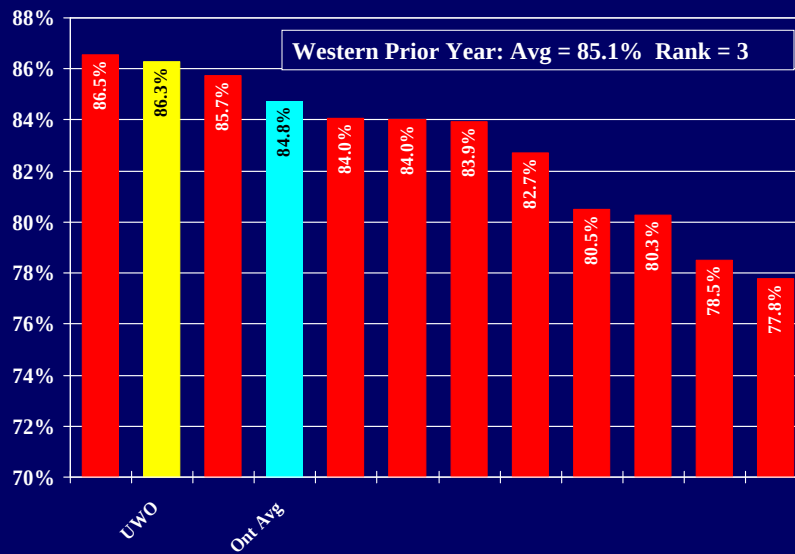
2008-09 Average Entering Grade Engineering



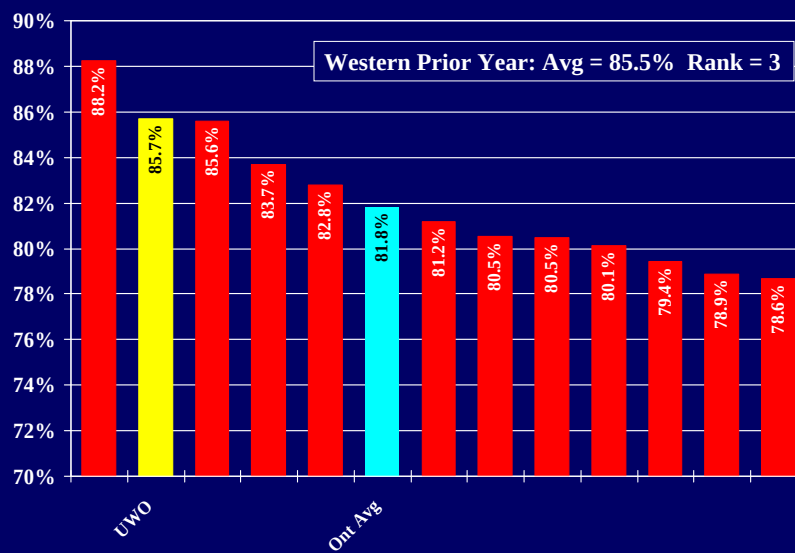
2008-09 Average Entering Grade Kinesiology



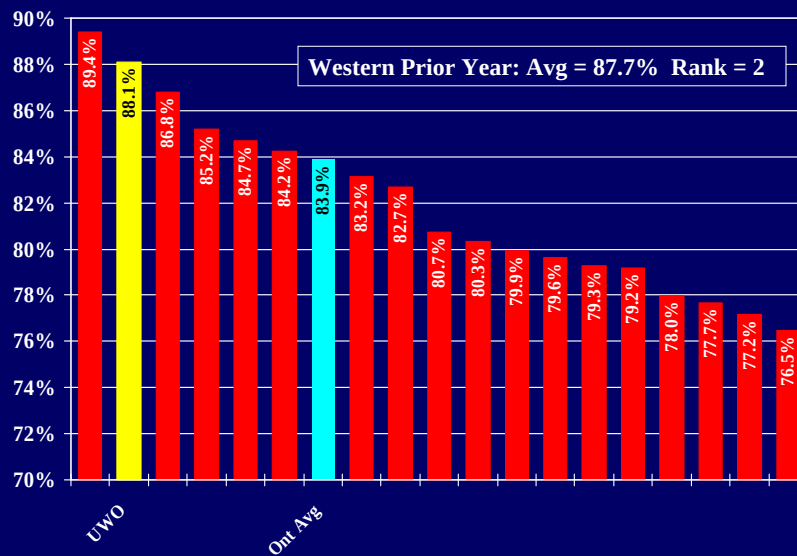
2008-09 Average Entering Grade Music



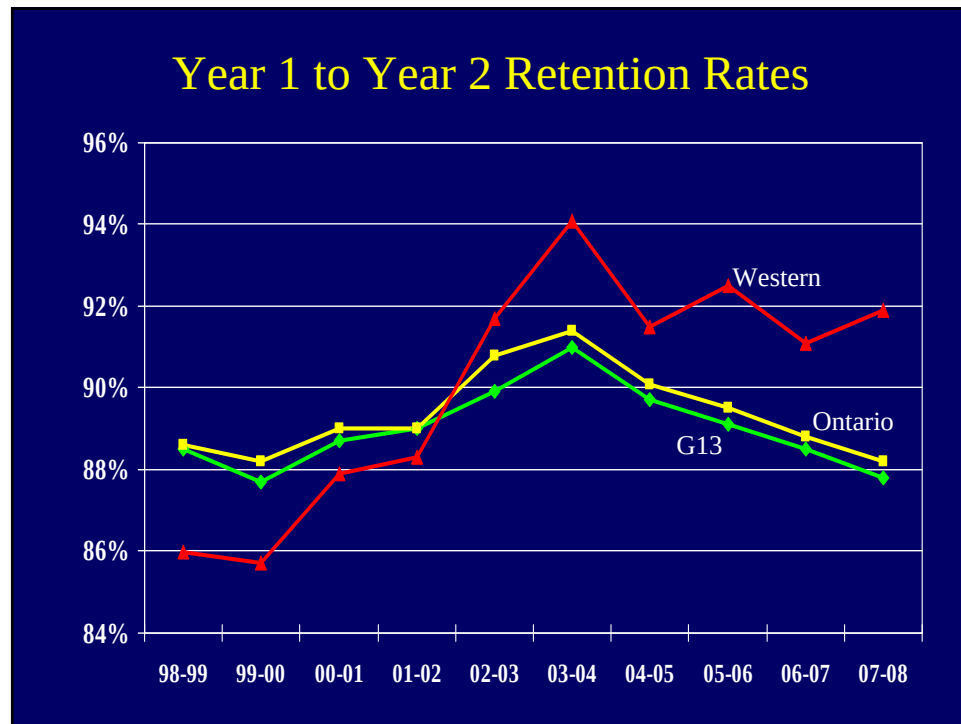
2008-09 Average Entering Grade Nursing



2008-09 Average Entering Grade Science

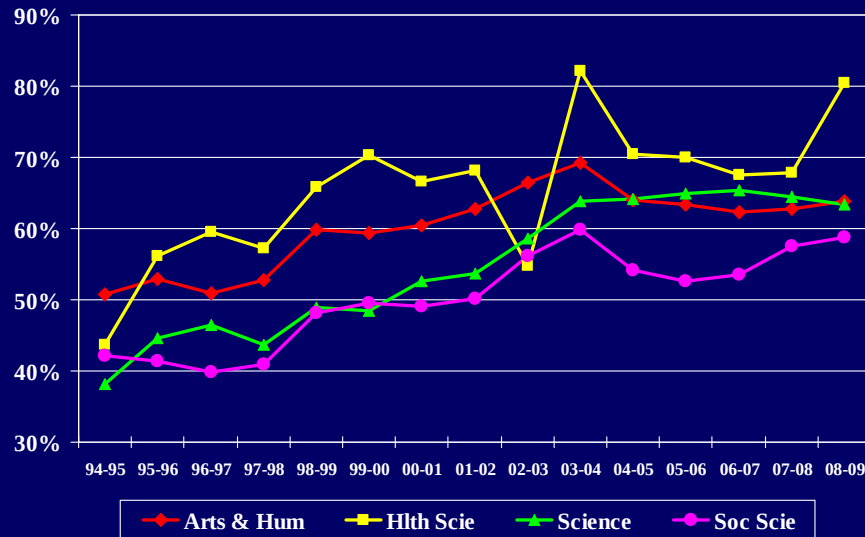


Retention Rates

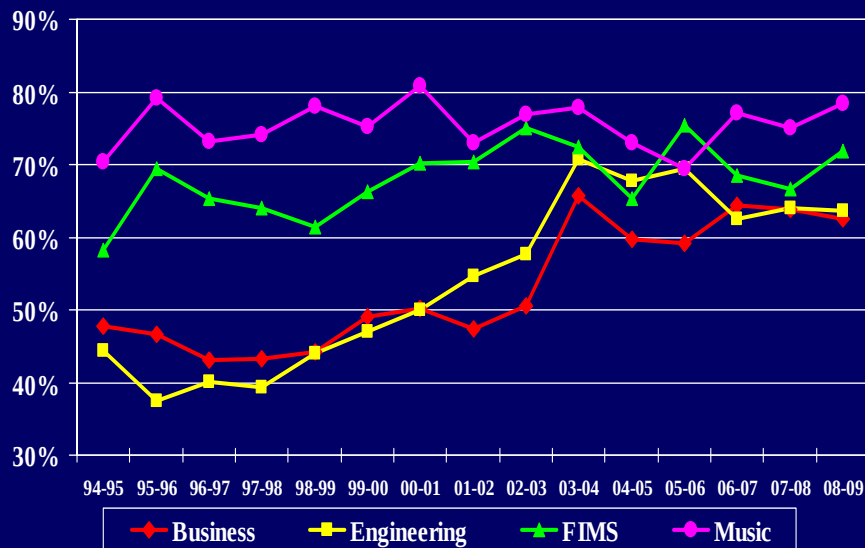


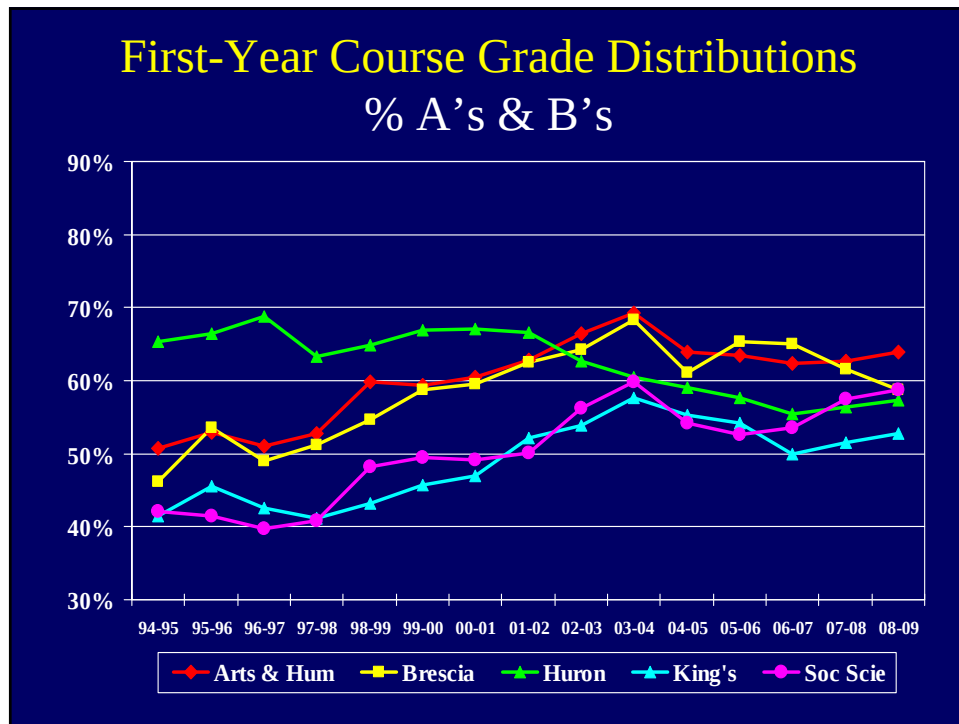
First-Year Courses at Western
Grade Distributions, by Faculty

First-Year Course Grade Distributions % A's & B's



First-Year Course Grade Distributions % A's & B's







**Report of the Academic Colleague
R. H. Lipson
December 13, 2009**

A meeting of the Academic Colleagues was held in Toronto on December 11, 2009.

Two main items were discussed. A complete update of Council of Ontario Universities (COU) activities is presented in Appendix 1.

The first item was a discussion of the brief entitled "Reaching Even Higher: The Next Multi-Year Funding Plan for Postsecondary Education" which was submitted to the Ontario Ministry of Training Colleges and Universities (MTCU) by the COU. As background, 100,000 student places have been funded by the government since 2002. Enrolment is expected to increase perhaps by as much as 60,000 - 75,000 additional new spaces in the next 5 years. The growth is expected to be particularly acute in the Greater Toronto Area. The position of the COU is that funding at the current level per student must increase or the "quality" of university education will be adversely affected.

The government's initial response has been positive. However there is a sense that the MTCU may equate quality with voluntary university differentiation upon university-decided choices. The government is also concerned about university expenditures. Specifically, they view faculty salary increases as problematic because they lead to more sessional lecturers being hired.

The book "Academic Transformation: The Forces Reshaping Higher Education" by I. D. Clark, G. Moran (UWO), M. Skolnik and D. Trick, has captured the attention of the COU and the Ontario government because of its basic conclusion that the current Ontario system of post secondary education is unsustainable. There is perceived general agreement with the book's analysis of the problems, but not necessarily with some of the proposed solutions. Interestingly differentiation is one of those ideas.

The budget situation will remain uncertain until the province tables its next budget.

The second item was an update from a Working Group whose mandate is to consider possible solutions to the pension problems of the Ontario universities which have arisen because of the poor global economy. This applies mainly to those universities which have defined benefit pension plans (not Western). There are two requirements which universities must contend with: "going concern" payments and "solvency" payments. The former are the payouts that must be met on an annual basis while the latter are payments which must be included annually in the event that an institution goes bankrupt and must pay out to all its employees immediately. Currently pension contributions annually correspond to ~18% of the operating costs of each university. This number would be reduced to ~11% if the solvency requirement was waived by the Ontario government. The argument presented by the COU is that Universities

are not like many other businesses in that it is highly unlikely they would close their doors because of temporary market losses. Furthermore, the savings of 7% could be invested into the "quality" mandate of the universities. To date Ontario has not accepted this argument although interestingly Quebec, Manitoba, and Alberta have. Saskatchewan is now also seriously considering this model.

The Working Group is seeking a solution which respects the ~100 Collective Agreements currently in place at Ontario universities but may still involve co-mingling of funds from the different universities to achieve savings based on economies of scale. The Ontario government would like to see a plan which involves more employee contributions.

Appendix 1: COU Update as of December 11, 2009

Subject	Issue	Update
University Operating Funding	This issue continues to be the number one priority for universities.	Developing and communicating a position around the government's next multi-year funding plan has been a priority of the COU this fall. David Trick helped to lay the groundwork in the summer by interviewing executive heads and providing some valuable data analysis. The Executive Heads retreat helped to shape the position and COU's Policy and Analysis group has been refining details of the submission and preparing technical papers since then. Simultaneously, COU has been reaching out to senior government officials, including Leah Myers, who heads the government's secretariat on postsecondary education. Issues have been explored with them and with politicians both in TCU and other ministries. In addition, university representatives came together on University Day at Queen's Park to present their case to government.
Fall Economic Update	The Ontario government is now projecting deficits of \$24.7 billion in 2010-11 and deficit of \$19.4 billion in 2011-12. The government also announced that, as more people go back to college and university, an additional \$95 million is forecast to be spent in 2009-10 to accommodate greater OSAP and enrolment pressures.	The government has not yet clarified what portion of the \$95 million will be spent on OSAP and whether or not there will be any funds remaining to address enrolment pressures. TCU expects to have a better estimation of costs early in the new year once most of the OSAP figures have been finalized.

Subject	Issue	Update
Capital Funding	The Ontario government committed \$60 billion to a ten-year infrastructure plan. MTCU, with support from the Ministry of Energy and Infrastructure is developing a long-term capital planning process to meet the province's infrastructure needs, including those in the postsecondary sector. The Courtyard Group, a third party expert consulting firm, facilitated the exercise The Knowledge Infrastructure Program is a federal initiative to renew Canada's college and university infrastructure. The program is an important element of the Government of Canada's \$12 billion Economic Action Plan, announced in the 2009 Budget, providing up to \$2 billion to support infrastructure enhancement at universities and colleges.	Ontario universities have included capital in their submission to government concerning the next multi-year funding plan because new investments in buildings will be necessary to handle the high level of growth that MTCU is forecasting. In the meantime, MTCU staff has indicated that the Ministry along with the Ministry of Energy and Infrastructure are reviewing the report of the Courtyard Group, which presented its report on PSE capital needs to government on February 2009. The government is still considering next steps. The federal and provincial governments jointly announced infrastructure funding for Ontario universities and colleges in May, 2009. Universities received \$487.9 million from the federal government and \$607.1 million from the provincial government.
Applications for 2009-10	The Ontario Universities' Application Centre (OUAC) released first year undergraduate data on applications for 2009-10 on September 17, 2009	As at September 17, 85,395 applications were received from secondary school students, an increase of 1.1% from 2008. The number of applications received from first-year, non-secondary school (105) students increased to 45,049, a 2.0% increase over the same time last year. Total affirmative responses for first-year 2009 are up 563 or 0.7% from 2008. More details and regular updates can be found at: http://www.ouac.on.ca/news/news.html

Subject	Issue	Status
Ontario Universities' Fair (OUF)	12 th Annual OUF sees record-breaking attendance	The 12th annual Ontario Universities' Fair (OUF) took place September 25-27, 2009 at the South Building of the Metro Toronto Convention Centre. It was another record-breaking year with over 116,000 senior secondary school students, their teachers, family and friends attending this free event. The OUF is the largest fair of its kind in Canada. Representatives from all of Ontario's universities were on-site to answer questions and provide information about applying to university. The busiest day was Saturday this year, with a one-day attendance record of 48,558 visitors. Next year's event will take place at the same location, September 26-28, 2010.
Student Mobility and Pathways	The provincial government would like student mobility and pathways enhanced at the postsecondary level.	COU is continuing its consultations with MTCU and Colleges Ontario on ways to facilitate student mobility and pathways across the province and has made progress on developing a set of core principles to guide student mobility. The Ministry has established a Steering Committee with representatives from COU, Colleges Ontario, CUCC and student associations to develop options for a credit transfer system for Ontario. COU representatives are Alastair Summerlee, Guelph, Patrick Deane, Queen's, Dan Lang, Toronto, and Peter Gooch, COU. The Ministry also set up a Working Group to support their work, with representatives from the same four groups noted above: COU, CO, CUCC and student associations. COU's representatives are Dan Lang and Peter Gooch. In addition, COU has established a resource group to advise the COU members of the Steering Committee and Working Group on strategies and challenges. The resource group includes Jerry Tomberlin, Carleton, Ken Lavigne, Waterloo, Ray Darling, WLU, Sheila Embleton, York, Richard Marceau, UOIT, Karen Maki, Trent, Feridun Hamdullapur, Waterloo, Barbara Brown, York, Keith Alnick, Ryerson, and George Granger, OUAC.

Subject	Issue	Status
Quality Assurance	Significant changes are under development for quality assurance processes at the graduate and undergraduate levels. Oversight of graduate quality assurance is transitioning to the Ontario Council of Academic Vice-Presidents (OCAV) from the Ontario Council of Graduate Studies (OCGS). OCAV already oversees the undergraduate component (UPRAC).	A draft framework has been prepared by the Quality Assurance Transition/Implementation Task force and submitted to OCAV, which is currently reviewing and refining it in cooperation with the new Executive Director of Quality Assurance, Dr. Donna Woolcott. A process for finalization and implementation is being developed by the OCAV Executive. Under the proposed Framework, undergraduate and graduate programs would be treated in the same way; the Framework includes a New Program Approval Protocol, a Cyclical Program Review Protocol and an Audit process. It is intended that institutions will design and implement an Institutional Quality Assurance Process consistent with the university's mission statement and degree-level expectations, and with the Framework. The institutional processes will be submitted to the Quality Council for review and ratification. Other tasks to be completed by the OCAV Executive working with the staff at COU include development of the terms of reference of the Ontario Universities Council on Quality Assurance (OUCQA) – the new body that will implement the Framework.
Student Access Guarantee (SAG)	COU and Colleges Ontario (CO) continue to work with MTCU on issues related to the implementation of the SAG.	Universities are strongly committed to the Student Access Guarantee and will continue to work with the Ministry on the development of the annual guidelines. The COU Task Force on Student Financial Assistance contributes to the consultations and helps communicate COU's position on the impact of this initiative. COU has issued an RFP for a Student Line of Credit, as required for the SAG, on behalf of several universities. The RFP was issued in September to six major banks. Once the vendor has been selected, a provider agreement will be developed between the bank and the participating universities. It is anticipated that the vendor will be selected in early January 2010.

Subject	Issue	Status
University Health Insurance Plan	Costs of UHIP	The issue continues to be a concern for Ontario universities in their efforts to attract high quality students. <u>Background Information:</u> COU has been reviewing concerns about the cost of UHIP to international students. International students and their parents have been lobbying their universities to lower or eliminate UHIP premiums. A joint letter from COU and the Ontario Undergraduate Student Alliance (OUSA) was sent to the Minister of Health and Long-Term Care, requesting: • Reinstatement of OHIP coverage which should include all UHIP members (foreign students, faculty and staff from abroad, and their dependants). • A joint Executive Heads/Student meeting with the Minister to discuss the issue. Paul Genest met the Deputy Minister of Health and Long-Term Care and the Deputy Minister of MTCU to discuss various issue including UHIP.

University Pension Plans	Unmanageable solvency and going concern special payments: -based on June 30/July 1, 2009 estimates going concern special payments were estimated to be \$298 million per year and \$257 million per year for solvency payments for total special payments of \$555 million per year. -based on the relief provided in the 2009 Budget, these payments could be reduced to \$349 million per year provided member consent is attained.	The Working Group on University Pension Plans presented its Interim Report to Executive Heads on October 15, 2009. The Interim Report contains: - Information on existing defined benefit and hybrid pension plans including the funded status and projected special payments of each university's pension plan estimated at June 30/July 1, 2009 - Comparisons of university pension plans benefits and contributions to large public sector pension plans. - Information on permanent solvency exemptions for universities in other provinces including any accompanying requirements. - Services available from public sector pension plans including a summary of meetings with HOOPP and OMERS. (The Working Group has plans to meet with the Commonfund, a non-profit investment manager.) - An assessment of the Pension Benefit Guarantee Fund. - Pension plan governance structure in each Ontario University. In addition, the Working Group presented a possible end state solution or framework for an agreement with government. Essentially, the framework document laid out what may be required of the universities to get the desired result from government, which is a solvency funding exemption. To focus the work of the Working Group moving forward, Executive Heads were presented with 4 options for moving forward at their round table meeting on October 15, 2009, including the Working Group's recommended framework. Executive Heads were asked to consider the options and communicate to Bonnie Patterson by October 30th their support for proceeding with one of the options. Following the October 15th Executive Heads Round Table, 12 universities with Defined Benefit or Hybrid pension plans provided their active support for the proposed framework (option 3). MTCU has committed \$240,000 to fund the actuarial costs associated with the completion of the Working Group's mandate. As a requirement of the funding, the Ministry asked that, where applicable, the Working Group's report include inputs from groups representing plan members including retirees, as well as OCUPA. The Ministry indicated that the consultations be held on the findings of the feasibility
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		analysis of alternatives to enhance the long-term sustainability of university pension plans. The Working Group is developing a slide package for the consultations to be shared with CSAO and Directors of communications prior to its release. Stakeholder consultations with OCUFA, CUPE, United Steel Workers, and OSSTF have been scheduled for December 17th and 18th, 2009. Additionally, a presentation will be made to the Academic Colleagues at their meeting on December 11th. <u>Background Information:</u> The Ontario Expert Commission on Pensions (OECF) has concluded its work and issued its final report on Pensions, titled <i>A Fine Balance</i> on Thursday November 20, 2008. A copy of the report can be found at http://www.pensionreview.on.ca/english/report/ Unfortunately, the university's main concern has not been satisfactorily addressed in the report: that is, exemption from pension solvency funding requirements for universities. On February 26th, COU provided comments on the report to the Minister of Finance indicating that we are in disagreement with the underlying premises for solvency funding for universities and quantified the staggering special payments required (special payments of \$566M annually based on October 31, 2008 estimates), The Ontario 2009 Budget did provide some regulatory changes to pensions provided that universities acquire the needed consent from plan holders. If consent could be obtained, total special payments based on October 31, 2008 estimates would be reduced from \$566 million per year to \$331 million per year.
Climate Change	University Sector Climate Change Statement – <i>Statement on Creating a Sustainable Environment</i>	Executive Heads approved a draft made-in-Ontario Climate Change Statement submitted by CSAO, as well as a communications plan to launch it. On University Day, November 26th, 2009 a framed copy of the Climate Change was presented to the Premier and to the Ministers of Environment and Training, Colleges and Universities on November 26th (University Day) at a noon-hour reception at Queen's Park. Along with the statement, a report, <i>Ontario Universities: Going Greener</i>, was presented. The report is a high level summary of university greening initiatives based on the findings from the survey conducted this summer by CASO.

		Simultaneously, the pledge and results of the survey were announced to the media through a media release. The release and full report of university greening initiatives are available on COU's website. Individual institutions are organizing their own campus launches after the Queen's Park event. As well, a working group will be formed to plan a sustainability conference for Earth Day, April 20, 2010. On an ongoing basis, COU has been sharing information with the Climate Change Secretariat in the Premier's office as well as briefing political staff in various Ministries. Most recently, a high level summary of university green accomplishments was provided to the Climate Change Secretariat for inclusion in their annual report to the legislature. This report was released on December 2nd, 2009 and included some of the green initiatives currently underway at Ontario Universities.
Education Safety Association of Ontario (ESAO)	Inequitable funding model	Ontario universities, along with schools boards, libraries, and colleges, are members of ESAO. In the past, Ontario universities have had serious concerns about the performance and structure of ESAO. COU recently (October 20th) submitted comments to the Workplace Safety Insurance Board (WSIB) relating to the <i>Illustrative Future State Model</i> outlined in a report from the Occupational Health and Safety Council of Ontario entitled <i>Roles Review and Realignment</i> dated September 5, 2008. Ontario universities support the apparent direction of the proposed model that would significantly reduce the number of Health and Safety Associations (entities), create multi-stakeholder governance and enable the streamlining of administration. The latest iteration of the model seems to address the funding inequity universities faced in the previous model and should provide better services as well to institutions. COU through CSAO and CEHSO will continue to monitor this issue.

Auditor-General (AG) Report	Response to the 2007 Auditor General report and the Standing Committee on Public Accounts recommendations	On May 28, 2009, the Standing Committee on Public Accounts held hearings on a section of the Auditor General's 2007 Annual Report, Universities – Management of Facilities. On February 19, 2009, the committee notified COU that it has asked the Ministry of Training, Colleges and Universities (MTCU) to obtain data through COU on deferred maintenance spending for fiscal years 2005-06 to 2008-09. On June 4th, MTCU and COU met to discuss the data collection process. MTCU agreed that universities do not have to report previously collected historical data on the new template. COU used the historical data provided by MTCU to pre-populate the templates. Data has been gathered over the summer. The finalized dataset was submitted to MTCU on August 24th.
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New Space Management Committee	At the Executive Heads Round Table of May 21, 2009, Executive Heads agreed to a recommendation by CSAO for the formation of a new, broad-based Space Management Committee with representation from CSAO, OCAV, the Ontario Association of Physical Plant Administrators (OAPPA), Registrars, and senior space management specialists from the committee on Space Standards and Reporting. The new Committee will perform in-depth analyses of space management practices; examine the extent to which facilities renewal requirements impact space utilization; make strategic recommendations for change; and provide advice to the Ministry of Training, Colleges and Universities (MTCU) for their response to the Standing Committee on Public Accounts recommendations related to the Auditor General's 2007 Annual Report deferred maintenance section. At its first meeting in September, the Committee agreed that a two-step process is required in order to gather information to provide advice to the MTCU. As a first step, the Committee is gathering information on current space management practices at each university through a survey. The second step will be to develop a second survey to obtain information on utilization rates, based on the responses received from the first request. The survey on current space management practices was developed and distributed on October 7, 2009 to both OCAV and CSAO. All responses have been collected and the findings are currently being analyzed. The Committee has scheduled its next committee meeting on January 19th, 2010 to discuss the researching of best practices in other jurisdictions, and the drafting of a second survey, aimed at collecting utilization rates.
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PST/GST	Cost impact on Ontario universities of GST/PST harmonization	The Government of Ontario announced in the 2009 Budget it will introduce the harmonized sales tax (HST) in 2010. At the February 12th Executive Heads meeting, CSAO was asked to review the impact of sales tax harmonization, as currently universities are exempt from paying PST on a range of goods and services. The issue was discussed at the 2009 CSAO winter meeting. The members concluded they were not opposed to harmonization as long as it was cost neutral. CSAO authorized COFO to perform the analysis of the HST impact. COFO provided a status update to CSAO on November 3, 2009 in which they noted that a template had been developed to assess the implications of the HST. The template was tested by 5 universities, and based on primary results the implementation of HST will result in tax savings in the operating and ancillary funds, a small tax savings in the research funds dependent upon the proportion of previously exempt research equipment to the total fund expenditures, and increased costs in the capital fund. The template was discussed at the COFO Executive meeting on November 5, 2009 and was shared with all universities for completion. COFO is currently collecting the results and will soon finalize their analysis.
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Supply Chain Guideline	Government guidelines for university procurement	COFO members continue to monitor the development of Supply Chain Guidelines and report on issues of significance to CSAO. In October 2009, the Deputy Minister, MTCU, informed universities of changes to the government's Procurement Directive, and Travel, Meal and Hospitality Directive. Among other changes, the new Procurement Directive requires a competitive process for all consulting services and increases the restrictions of allowable expenses for consulting services. Although universities do not all within the application of these directives, Bill 201, the <i>Public Sector Expenses Review Act</i>, not only enables the Integrity Commissioner to review employee expense claims in a public entity, but enables the Integrity Commissioner to prescribe any entity as a public entity. COFO is reviewing how the various directives fit together. <u>Background Information:</u> The Supply Chain Guideline has been prepared to support and improve broader public sector (BPS) supply chain activities. The Treasury Board of Cabinet has directed that the Supply Chain Guideline be incorporated into the transfer agreements of organizations in the broader public sector (BPS) receiving more than \$10 million in funding per fiscal year including organizations funded by the Ministry of Training, Colleges and Universities.
Ontario Council on University Research	New Ontario Network of Excellence	In June 2009, the Ministry of Research and Innovation announced a new Ontario Network of Excellence, which is intended to streamline programs and services to accelerate collaboration and commercialization of research. OCUR has committed to working closely with the Ontario government in order to ensure open discussion and input into the development and implementation of this initiative. In particular, it is interested in participating in the development of performance metrics. OCUR invited the Ontario Centres of Excellence (OCE) to its meeting on November 19, 2009 to provide an update on OCE's work in this area. The OCUR Sub-Committee on Provincial Relations is pursuing a dialogue with MRI to better understand the ways in which the ONE will affect universities.

Ontario Council on University Research (cont.)	Harmonization of Research Ethics	In the past year, the Ontario Ministry of Health and Long-term Care has signaled to OCUR an interest in better harmonization of ethics processes among universities in an effort to reduce barriers to research. An OCUR Working Group has been struck to work on this issue.
	Ontario Research Fund – Research Infrastructure (ORF-RI) / Canada Foundation for Innovation (CFI)	The 2009 Ontario Budget announced \$300 million in capital funds (ORF_RI) over six years for research infrastructure, which will be available to leverage funding from CFI. In keeping with the process and criteria developed for the last ORF Large Infrastructure Funding applications, a new process and criteria will be developed to guide distribution of the funds announced in Budget 2009. OCUR will work closely with MRI over the coming months to provide input into process and criteria development. OCUR will also monitor closely, work with CFI on its next call for proposals in 2010.
	Federal Granting Agencies	OCUR remains committed to working with the three federal granting agencies on a number of issues including funding; NSERC's new conference structure to evaluate grant applications; NSERC's new University/Industry Partnership programme; and CIHR/SSHRC funding of health-related research.
Ontario Council on University Research (cont.)	Federal ADM Integration Board	The federal science-based departments and agencies have established an ADM committee to provide a forum for discussion and to work on areas of collective interest. They have invited OCUR to participate in a pilot initiative with them that will seek to: 1) Enhance the awareness and strengthen relationships between science-based departments and Ontario universities; 2) Build a strong platform for dialogue on national challenges and science-policy integration as a first step towards full integration of the innovation system; and, 3) Support the recruitment and skill development of future public servants.

Research Matters	A first meeting of a Working Group comprised of members from OCUR and the ADM Integration Board is scheduled to be held on November 26, 2009. In order to help advance the issue of research with both the federal and provincial governments, OCUR has identified the need for a new approach to ensure effective delivery of their message. OCUR has committed to making this a priority for their work this year.
Conférence des recteurs et des principaux des universités du Québec (CRÉPUQ)	A Working Group has been struck to develop a new framework and advocacy materials on why research matters. Work on this initiative will be ongoing throughout the year. As part of its outreach efforts, OCUR has signaled an interest in developing closer links with Vice-Presidents Research in Quebec. Initial discussions have been held and a joint meeting among Vice-Presidents Research from COU and CRÉPUQ is tentatively scheduled for Winter 2010.

Medical School Expansion	The 2009 Ontario Budget announced a commitment to establish 100 new undergraduate medical school positions.	The government announced the 100 new positions on May 21, 2009. The government is providing operating funding at the higher funding rate as in past expansions and is providing \$35m in capital funding to support these new positions. The 100 new positions will be introduced at McMaster (21); NOSM (8); Ottawa (12); Toronto (35); and, Western (24) over the next three years, with 24 positions being established in 2009-10; 67 positions in 2010-11, and 9 positions in 2011-12. The positions at McMaster, Toronto and Western will be introduced at the campuses in Hamilton, Toronto and London, respectively, as well as their distributed sites. This increase in undergraduate medical school positions is an important part of the government's physician resource plan and builds on the two previous successful expansions of 160 new positions begun in 2000 and 104 new positions begun in 2005. This third expansion, along with the establishment of the Northern Ontario School of Medicine, will increase the total number of first year spaces from 532 in 2000 to 952 in 2011. Queen's University was not part of this round of expansion because it required funding for a new building for the medical school. The federal and provincial governments announced at the end of May they will provide funding of nearly \$58m to support the construction of a new medical school building at Queen's as part of the recent capital infrastructure projects.
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ANNOUNCEMENTS & COMMUNICATIONS

FOR INFORMATION

COMMUNICATIONS

On the recommendation of Senate, the Board of Governors or a committee of the Board delegated to act on its behalf has approved or received for information the following items:

09-203 New Scholarships and Awards

Bill Teng Hong Kong Scholarship (Any Undergraduate Faculty)
Chorley & Bisset Ltd. Consulting Engineers Engineering Award (Faculty of Engineering)
Richard C. Seewald Entrance Scholarship in Audiology (School of Graduate and Postdoctoral Studies, Communication Sciences and Disorders)

09-221 New Scholarships and Awards

CMA Career Passport Awards (Faculty of Social Science, Management and Organizational Studies)
Labatt's Player of the Game Bursary (Any Undergraduate Faculty)

09-223 The Kathleen & Dr. Henry Barnett Chair in Stroke Research

09-224 Goodmans LLP Faculty Fellowships in Legal Ethics

09-227 Revisions to MAPP 7.10: Standardized Training for Animal Care and Use

09-228 Revisions to MAPP 7.12: Policy and Procedures for the Use of Animals in Research and Teaching

09-229 Annual Report of the Vice-President (Research and International Relations)

09-230 Report of the Academic Colleague on the 288th meeting of COU