



## The UNIVERSITY of WESTERN ONTARIO

### MINUTES OF THE MEETING OF SENATE

February 15, 2008

The meeting was held at 1:30 p.m. in Room 1R40, Richard Ivey School of Business.

SENATORS: 77

D. Abelson	J. Fuller	K. McRae
M. Adamson	A. Gajaria	M. Milde
M.A. Andrusyszyn	J. Garnett	L. Miller
Z. Armstrong	T. Gorski	K. Okruhlik
A. Awaysheh	K. Graham	J. O'Sullivan
T. Baerg	R. Hall	A. Sauer
J. Barron	R. Harris	L. Short
A. Beach	E. Heersink	S. Sims
C. Beynon	T. Hewitt	S. Spaulding
I. Birrell	I. Holloway	D. Spencer
M. Broadfoot	B. Hovius	R. Stainton
C. Busch	M. Johnson	M. Steele
T. Carmichael	C. Keep	C. Stephenson
J. Cole	R. Keirstead	T. Timney
J. Corrigan	G. Killan	M. Usselman
R. Coulter	G. Knopf	D. Wardlaw
C. Crinklaw	B. Kraatz	A. Weedon
K. Danylchuk	G. Kulczycki	J. Weese
P. Davenport	R. Kulperger	A. Willson
B. Diemert	W. Lehmann	B. Wood
P. Doyle	S. Lofts	P. Woodford
D. Dutrizac	F. Longstaffe	P. Yu
N. Dyer-Witheyford	B. MacLachlan	T. Yurkewich
C. Ellis	R. Macmillan	S. Zborovski
H. El Naggar	P. Mahon	G. Zeni
J. Floryan	L. McKechnie	

Observers: R. Chelladurai, M. Dawes, J. Doerksen, L. Gribbon, D. Jameson, G. Tigert,

By Invitation: J. White

S.08-24

### MINUTES OF THE PREVIOUS MEETING

The minutes of the meeting of January 18, 2008 were approved as circulated.

S.08-25 **Dr. Edward G. Pleva**

Dr. Davenport announced the recent passing of Dr. Edward G. Pleva who came to Western as a geographer in 1938. When the Department of Geography was founded in 1948, he took on the role of department head which he held until stepping down in 1968. He retired as professor emeritus in 1977. In 1987, in recognition of Pleva's dedication to teaching, The University of Western Ontario Award for Excellence in Teaching was renamed the Edward G. Pleva Award for Excellence in Teaching. On behalf of Senate, Dr. Davenport expressed gratitude towards Dr. Pleva for his many contributions to his students, colleagues and Western.

S.08-26 **REPORT OF THE PRESIDENT**

The President gave a report on the campus renewal funding, the Westminster College reopening, the recently-announced student gift of \$101 million (the largest ever of its kind in Canada) and the United Way wrap-up and an update to the Strategic plan. Overhead slides used to highlight his presentation are attached as [Appendix 1](#).

**REPORT OF THE OPERATIONS/AGENDA COMMITTEE** [Exhibit I]

S.08-27 **Senate Membership: Faculty Constituencies**

It was moved by T. Carmichael, seconded by R. Wood,

That the following nominees be appointed to Senate to represent the constituencies shown for terms from July 1, 2008 to June 30, 2010:

- Representing the Richard Ivey School of Business: Mitch Rothstein
- Representing the Schulich School of Medicine and Dentistry: Candace Gibson (Pathology), Raj Rajakumar (Psychiatry)
- Representing the Don Wright Faculty of Music: Ted Baerg (Music Performance Studies)
- Representing the Faculty of Science: Bernie Kraatz (Chemistry), Pei Yu (Applied Mathematics)
- Representing the Faculty of Social Science: Scott Schaffer (Sociology), Andrea Willson (Sociology).
- Representing Brescia University College: Anne Barnfield
- Representing Huron University College: Trish Fulton
- Representing King's University College: Stephen Lofts (Philosophy and Religious Studies)

CARRIED

S.08-28 **Senate Membership: Representative from the General Community**

It was moved by T. Carmichael, seconded by T. Baerg,

That Cathee Crinklaw be elected to serve on Senate (term from July 1, 2008 - June 30, 2010) as a representative of the General Community.

CARRIED

S.08-29 **Terms of Reference of the Animal Use Subcommittee**

It was moved by T. Carmichael, seconded by M. Milde,

That the terms of reference of the Animal Use Subcommittee be revised to include the following quorum requirements:

Quorum requirements for AUS shall be those of Senate Subcommittees (Senate By-law VII, 11 b): one-half of all voting members during September to May, and one-third of all voting members during June, July and August, at least one of whom must be a member from outside the University Community and at least one of whom must be a veterinarian.

CARRIED

S.08-30 **Constitution of the Don Wright Faculty of Music**

It was moved by T. Carmichael, seconded by Z. Armstrong,

That Section 1 of the Constitution of the Don Wright Faculty of Music be amended as follows, effective July 1, 2008:

The Don Wright Faculty of Music (hereafter "Faculty of Music") shall consist of the following departments:

~~Theory and Composition, Music History,~~ Music Education, and Music Performance Studies, **and Music Research and Composition**

CARRIED

S.08-31 **Appointment of Chancellor and Vice-Chancellor for Western's Hong Kong Convocation - March 30, 2008**

It was moved by T. Carmichael, seconded by M.A. Andrusyszyn,

That Dr. Fred Longstaffe be authorized to perform the duties of Chancellor and Dean Carol Stephenson be authorized to perform the duties of Vice-Chancellor at Western Hong Kong Convocation scheduled for March 30, 2008.

CARRIED

S.08-32 **Observer Designates**

It was moved by T. Carmichael, seconded by R. Coulter,

That Observers be permitted to send designates in their place to Senate meetings provided that:

- The Secretariat is informed in advance of the meeting who will be attending in an Observer's place
- The Observer be responsible for providing his/her designate with meeting documentation.

CARRIED

**REPORT OF THE NOMINATING COMMITTEE** [Exhibit II]

S.08-33 **Board of Governors**

S. Singh was elected by the Senate to serve on the Board of Governors for a term from July 1, 2008 to June 30, 2012.

S.08-34 **Senate Committee on Academic Policy and Awards** (SCAPA)

J. Burkell (FIMS) was elected to SCAPA to replace T. Blackmore who has resigned (term to June 30, 2009).

**REPORT OF THE SENATE COMMITTEE ON ACADEMIC POLICY AND AWARDS**  
[Exhibit III]

S.08-35 **Faculty of Science: Honors Specialization and Specialization in Mathematics in Society**

S.08-35a **Honors Specialization Module in Mathematics in Society**

It was moved by M. Milde, seconded by C. Stephenson,

That an Honors Specialization in Mathematics in Society, detailed in Exhibit III, item 1a, be introduced in the Faculty of Science, effective September 1, 2008.

CARRIED

S.08-35b **Specialization Module in Mathematics in Society**

It was moved by M. Milde, seconded by R. Stainton,

That a Specialization in Mathematics in Society, detailed in Exhibit III, item 1b, be introduced in the Faculty of Science, effective September 1, 2008.

CARRIED

S.08-36 **Faculty of Education: Introduction of a Minor Module for Concurrent Mathematics and Education Programs**

It was moved by M. Milde, seconded by T. Baerg,

That a Minor for Concurrent Mathematics and Education Programs, detailed in Exhibit III, item 2, be introduced in the Faculty of Education, effective September 1, 2008.

CARRIED

S.08-37 **Faculties of Science and Education Programs in Mathematics and Education (BSc/BEd)**

S.08-37a **Faculties of Science and Education: Concurrent Mathematics and Education Program**

It was moved by M. Milde, seconded by D. Abelson,

That the Concurrent Mathematics and Education program, detailed in Exhibit III, item 3a, be introduced in the Faculties of Science and Education, effective September 1, 2008.

CARRIED

S.08-37b **Withdrawal of the Five-Year BSc Honors Mathematical Sciences and Bachelor in Education**

It was moved by M. Milde, seconded by Z. Armstrong,

That the Five-Year BSc Honors Mathematical Sciences and Bachelor in Education program be withdrawn, effective April 30, 2008.

CARRIED

S.08-38 **Faculty of Health Sciences: Withdrawal of the BHSc Honors Specialization in Health Sciences - Rural Health**

It was moved by M. Milde, seconded by J. Weese,

That commencing the 2008-09 academic year, the Honors Specialization in Health Sciences - Rural Health be phased out and new admissions to the module be suspended, and that the module be withdrawn effective September 1, 2010.

CARRIED

S.08-39 **Faculty of Health Sciences: Withdrawal of the BHSc Honors Specialization in Health Information Management**

It was moved by M. Milde, seconded by J. Weese,

That commencing the 2008-09 academic year, the Honors Specialization in Health Information Management (HIM) be phased out, and that the module be withdrawn effective September 1, 2010.

CARRIED

S.08-40 **Faculty of Health Sciences: Admission Requirements for Bachelor of Health Science Modules**

It was moved by M. Milde, seconded by R. Stainton,

That effective for admission September 1, 2008, the admission requirements be revised from 3.0 to 2.0 principal courses, and the calendar copy be revised to read as shown in Exhibit III, item 6, for the following modules in the BHSc program: 1) Honors Specialization in Health Sciences, 2) Honors Specialization in Health Sciences - Health Promotion, 3) Major in Health Sciences, and 4) Specialization in Health Sciences.

CARRIED

S.08-41 **Richard Ivey School of Business: Extended-Time Enrolment for Current HBA Students; Special Student Enrolment for HBA Graduates**

It was moved by M. Milde, seconded by C. Stephenson,

That Senate approve, effective September 1, 2008,

- that students be permitted to complete the HBA program on an extended-time basis only with permission of the HBA Program Director, and,

- that HBA graduates may enroll in HBA2 electives as special students only with permission of the HBA Program Director.

CARRIED

S.08-42 **UWO Awards for Excellence in Teaching: Distribution of Awards**

It was moved by M. Milde, seconded by S. Sims,

That Senate approve that Section A, List of Annual Awards, in the regulations for the UWO Awards for Excellence in Teaching, be revised as shown in Exhibit III, item 8, to allow flexibility as to the number of awards to be made in each category.

CARRIED

S.08-43 **Teaching Award Regulations: Retention of Dossiers**

It was moved by M. Milde, seconded by M. Steele,

That Senate approve that Section C, Nomination Procedure, in the regulations for the UWO Excellence in Teaching Awards be revised, as shown in Exhibit III, item 9, with regard to the retention of the dossiers of award winners.

CARRIED

S.08-44 **Report of the Western Athletic Financial Awards Committee**

Senate received for information the Report of the Western Athletic Financial Awards Committee detailed in Exhibit III, Appendix 1.

S.08-45 **New Scholarships, Awards and Bursaries**

SCAPA has approved on behalf of the Senate the terms of reference for the following new scholarships, awards and bursaries, for recommendation to the Board of Governors through the Vice-Chancellor:

Mary Irene Rice Award in Nursing (Faculty of Health Sciences, Nursing)  
George Turnbull Award (Faculty of Social Science, Management and Organizational Studies; Richard Ivey School of Business - Athletic Award)  
London District Sewer & Watermain Construction Award (Faculty of Engineering)  
Mark Aboud Swimming Award [Available to any undergraduate, graduate, or Affiliated University College student - Athletic Award (Swimming)]  
Research in Motion Scholarship (Faculty of Engineering)  
Timothy Findley Memorial Scholarship (Faculty of Arts and Humanities)  
Beacon Securities MBA Bursaries (Faculty of Graduate Studies, Business Administration)  
William Quinn HBA Scholarships (Richard Ivey School of Business)  
Interface Oral and Maxillofacial Surgery Scholarship (Schulich School of Medicine & Dentistry, Dentistry)  
Igal Holtzer and Family International Student Bursary in Science (Faculty of Science)  
A.R. Wood HBA Award (Richard Ivey School of Business)  
Regna Darnell Graduate Award for Fieldwork in Socio-Cultural Anthropology (Faculty of Graduate Studies, Anthropology)  
Founders Club Western Mustangs Football Awards [Available to any undergraduate, graduate, or Affiliated University College student - Athletic Awards (Football)]

**REPORT OF THE SENATE COMMITTEE ON UNIVERSITY PLANNING** [Exhibit IV]

S.08-46 **Name Change for Department of Diagnostic Radiology and Nuclear Medicine**

It was moved by M.A. Andrusyszyn, seconded by M. Steele,

That Senate recommend to the Board of Governors that the name of the Department of Diagnostic Radiology and Nuclear Medicine in the Schulich School of Medicine and Dentistry be changed to the Department of Medical Imaging, and, upon approval, that official University documents and policies be amended accordingly.

CARRIED

S.08-47 **Graduate Enrolment Projections**

It was moved by M.A. Andrusyszyn, seconded by S. Sims,

That Senate approve the revised Summary of Enrolment Projections for undergraduate and graduate students as contained in Exhibit IV, Appendix 1.

Dr. Longstaffe gave a presentation on Western's graduate enrolment projections. Overhead slides used to highlight his presentation are attached as **Appendix 2**. He provided the context and background associated with the enrolment plans, detailed the plans for the Constituent University and the Affiliated University Colleges, and provided details regarding the current Government Capacity Allocation (i.e., Round 1) and the next round of Government Capacity Allocation (i.e., Round 2).

The question was called and CARRIED

S.08-48 **Report on Recruitment and Retention of Faculty**

Senate received for information the Report on Recruitment and Retention of Faculty detailed in Exhibit IV, Appendix 3. The current report may be viewed in its entirety at [www.uwo.ca/pvp/facultyrelations/index-vppf.html](http://www.uwo.ca/pvp/facultyrelations/index-vppf.html)

Responding to Professor Dawes' questions regarding the merit system at Western, Dr. Weedon stated he was not aware of any studies that would provide empirical evidence that the merit system factors positively on retention but could confirm that the percentage change in the salary each year in this area is comparable with other universities in Ontario.

In answer to Professor Coulter's question about exit interviews, Dr. Weedon stated that the Coordinator of Recruitment and Retention conducts the exit interview of those willing to participate. The Coordinator also speaks to the Dean/Chair to seek their opinion as to why the person left. Those reasons are assessed and reflected in the report. Dr. Weedon agreed to provide a graph by gender regarding the reasons for leaving.

S.08-49 **Preliminary Recommendations on Faculty Budgets for 2008-09 to 2010-11**  
*[Exhibit distributed at the meeting]*

Dr. Longstaffe gave a presentation on the Preliminary Recommendations on Faculty Budgets for 2008-09 to 2010-11 (detailed in the Exhibit distributed at the meeting and via email). He provided an update on the budget forecast, reviewed the planning and budgetary context, operating revenue assumptions for the next three years, operating expenditure commitments, recommendations for faculties, looking ahead to the remaining three years and future issues. Overhead slides used to highlight his presentation are attached as **Appendix 3**. The preliminary faculty base budgets for

2008-09 call for spending of more than \$256 million, about \$1 million less than the previous year. Support from the University Priorities Investment Fund (UPIF) drops to \$1 million from \$3.9 million last year. He noted that budgets might feel tighter but the University purposely planned for up-front spending in the four-year budget in order to undertake key initiatives such as expansion of graduate student enrolment.

Professor Dawes asked for clarification regarding “envelope funding” which appears to have turned into base funding. Mr. Chelladurai explained that, prior to 2000, University funding was provided through a principally undifferentiated base operating grant, determined by a complex mechanism of institutional enrolment corridors established in the 1990s. Since 2000, there has been a tendency to target specific areas for directed and visible funding: undergraduate enrolment during the period of the double cohort; graduate enrolment and quality envelopes under the "Reaching Higher" program; and special sectoral funding for medical and nursing education, for example. These envelope funds are assimilated into the University's base budget, as they are allocated on a continuing basis and address ongoing commitments.

Responding to Professor Usselman’s concern about fulfilling the graduate enrolment plans given the reduced level of funding from the Tri-Council agencies, Dr. Longstaffe voiced confidence that the enrolment plans will be achieved through 2010-11. Much of the funding that will accrue to Western through the government will be fed back to support the students. Western’s graduate enrolment submission, based on the plans of the graduate programs, is conservative. As Western enters Round 2 of the Government Capacity Allocation consideration could be given to targeting some of the funds as interim support for those areas where the needs are not only for graduate student financial support, but to support the cost of the scholarship in recognition of the limitation of the granting councils.

Mr. Awaysheh asked how Western will address the increasing cost of tuition. Dr. Longstaffe stated that the Government’s tuition framework continues until 2009-10: tuition fees for regulated undergraduate programs may increase up to 4.5% for the first year of study and by up to 4% in the upper years of study; tuition fees for deregulated undergraduate and all graduate programs may increase up to 8% for the first year of study and by up to 4% in the upper years of study. Discussions will begin with the student groups regarding tuition fee levels in the final year of the government tuition framework. It is unknown what the government will set as the tuition framework beyond 2009-10, consequently the revenue model going forward includes a 4% tuition increase. He stressed that it is important that the financial aid program for undergraduate and graduate students continues to keep pace thereby addressing student accessibility issues.

Mr. Armstrong asked whether Western will be penalized given its undergraduate enrolment cap, if the Quality Improvement Fund is allocated based on enrolment growth. Dr. Davenport stated that as defined in *Reaching Higher*, the quality funds were not to be directed at enrolment growth but would be spread across the universities according to the total number of students. Western set the first-year enrolment intake at 4350 because to grow beyond that would dilute the quality of the educational experience.

#### **REPORT OF THE UNIVERSITY RESEARCH BOARD** [Exhibit V]

S.08-50

#### **ADF Small Grants Competition Results, Fall 2007**

Senate received for information the ADF Small Grants Competition Results, Fall 2007, detailed in Exhibit V, Appendix 1.



S.08-51      **ANNOUNCEMENTS & COMMUNICATIONS** [Exhibit VI]

Announcements & Communications, detailed in Exhibit VI, were received for information.

S.08-52      **REPORT OF THE ACADEMIC COLLEAGUE** [Exhibit VII]

The Report of the Academic Colleague, distributed at the meeting, was received for information.

**ADJOURNMENT**

The meeting adjourned at 3:15 p.m.

---

P. Davenport  
Chair

---

I. Birrell  
Secretary

### President's Report to Senate

- Campus Renewal Funding
- Westminster College Reopening
- Student Gift and United Way Wrap-up
- Update to Strategic Plan

Paul Davenport  
February 15, 2008

### Campus Renewal Funding

- Announcement January 29
  - Minister John Milloy in Toronto
- \$200 million for Ontario colleges & universities – part of \$1.4 billion infrastructure fund
- \$13 million for Western

### Campus Renewal Funding

- Response to Western and COU advocacy at pre-Budget consultations and Auditor General's report on infrastructure
- Western will:
  - Direct to B&G update: modernization of facilities in classrooms and labs
  - Improve safety and efficiency across campus: electrical systems, asbestos removal, chillers and boilers

### Westminster College

- Established in 1960 –residence for students affiliated with United Church of Canada
- Former site of London Hunt Club
- Operated as residence for 300 students until 2005, when acquired by Western for academic and administrative support use.

### Westminster College

- New Home for:
  - VP External Portfolios: Alumni Relations, Foundation Western, Advancement Services, Communications & Public Affairs
  - Dr. Mary J. Wright University Laboratory School
  - Clinical and Developmental Psychology

### Westminster College Reopening January 25, 2008

#### Speakers

- Mary Lou Vernon, Director, Mary Wright Laboratory School
- Albert Katz, Chair, Psychology
- Ted Garrard, Vice-President (External)
- Paul Davenport, President

### **Student Gift to Western**

- Largest Student Contribution to a Canadian University
- \$100+ million over 30 years
- Joint gift: USC, SOGS, MBAA – all Western and Affiliated University and College Students
- Sports and Recreation Centre
- Student bursaries 2008-2018

### **United Way Campaign Wrap-up (January 21, 2008)**

- Record breaking contribution: \$516, 819  
– surpassing goal of half-million
- Students, faculty, staff and retirees
- Campus co-chairs: Dean Jim Weese, Health Sciences, Rosemary Lawrence-Pitt, Advancement Services
- Supporting Community Services in London-Middlesex

### **Update to Strategic Plan *Engaging the Future***

- Update distributed at last Senate meeting, with some discussion
- Progress in key areas –one year later
- Discussed with Board –January 24
- Further discussion at Senate today

## Graduate Enrolment Plans at Western

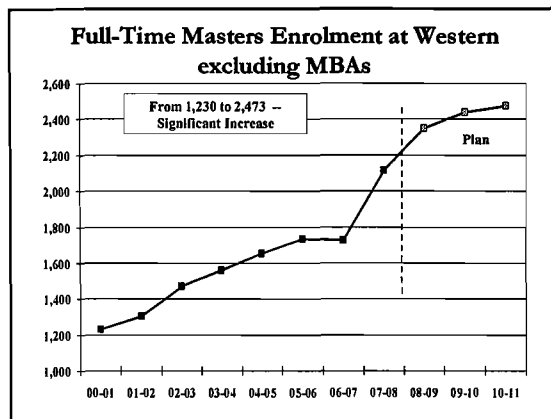
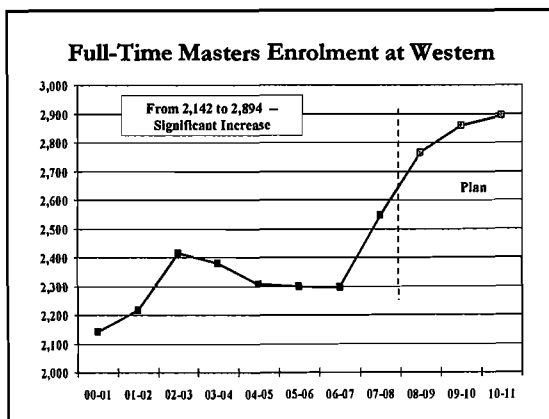
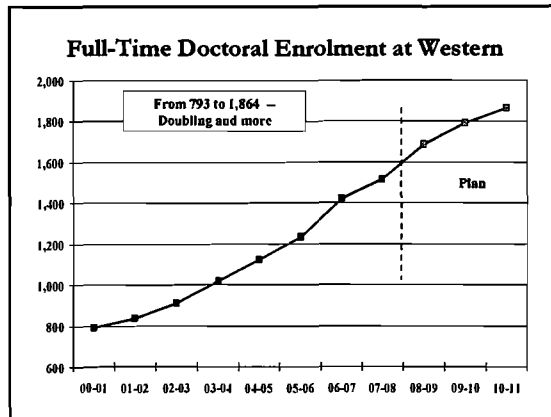
**Senate  
February 15, 2008**

- ### Outline
- Context/Background
    - Commitments in the Strategic Plan
    - Forecasts in the Campus Master Plan
  - Graduate Enrolments at the Constituent University
  - Graduate Enrolments at the Affiliated University Colleges
  - Status Relative to Current Government Capacity Allocations
  - The Next Round of Government Capacity Allocations

### Context / Background

- Commitment 3.1 in *Engaging the Future*

The University will:  
 Double the number of PhD students and significantly increase Masters-level students at Western from the baseline of 2000-01 by 2010-11, and continue a strong rate of graduate expansion thereafter.



## Context / Background

- Western's *Campus Master Plan* built on the Strategic Plan Commitments and set out forecasts to 2016-17
- CMP forecasts that between 2006-07 and 2016-17:
  - Masters enrolment grows by 50%
  - PhD enrolment doubles
- CMP forecasts that in 2016-17, Graduate Students would comprise 22% of Total Full-Time Enrolment
  - Compared to 15% in 2006-07

**Table 1**  
Actual and Potential Enrolment Trends at Western

	Actual and Potential Enrolment Trends at Western			Percentage Change	
	1996-97	2006-07	2016-17	1996-97 to 2006-07	2006-07 to 2016-17
Undergraduate	15,940	21,281	21,281	34%	0%
Masters	1,429	2,393	5,443	41%	50%
Doctoral	648	1,423	2,844	107%	100%
Total	18,257	24,998	29,568	37%	10%
Weighted Total <1>	21,950	31,359	39,543	44%	25%

<1> Weights: Undergraduate = 1; Masters = 2; Doctoral = 4.

<2> 1996-97 and 2006-07 are actual figures. 2016-17 is a forecast explained in the text.

**Table 2**

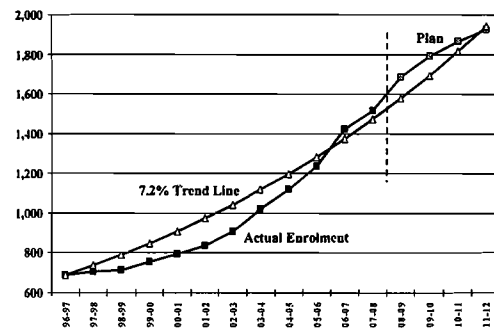
Distribution of Actual and Potential Enrolments at Western

	Actual and Potential Enrolment Trends at Western			% Distribution of Weighted Full-Time Enrolments		
	1996-97	2006-07	2016-17	1996-97	2006-07	2016-17
Undergraduate	87%	85%	77%	73%	67%	54%
Masters	8%	9%	12%	15%	15%	17%
Doctoral	4%	6%	10%	13%	18%	29%
Total	100%	100%	100%	100%	100%	100%

<1> Weights: Undergraduate = 1; Masters = 2; Doctoral = 4.

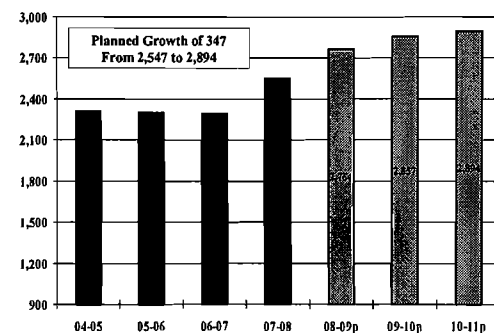
<2> 1996-97 and 2006-07 are actual figures. 2016-17 is a forecast explained in the text.

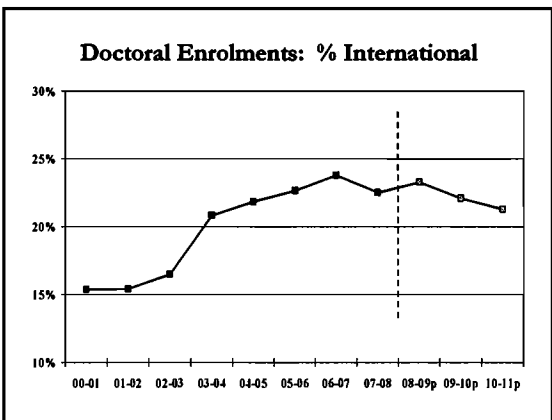
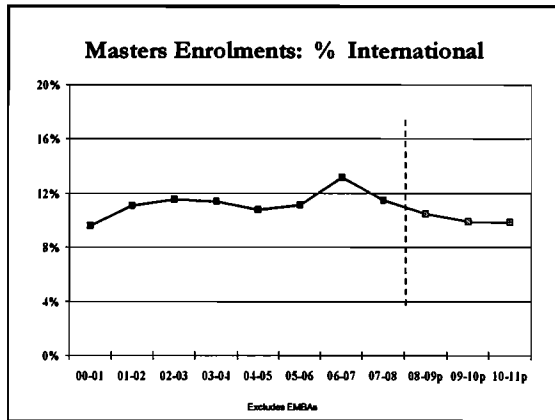
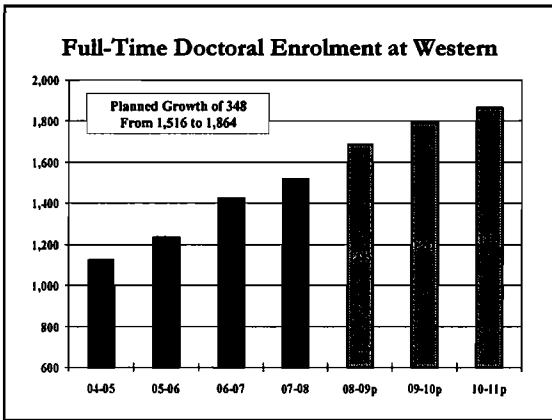
## Full-Time Doctoral Enrolment at Western



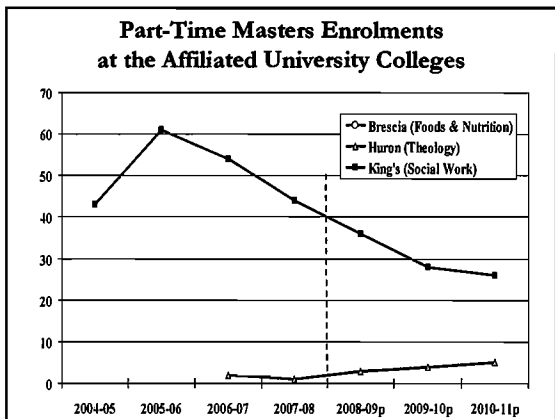
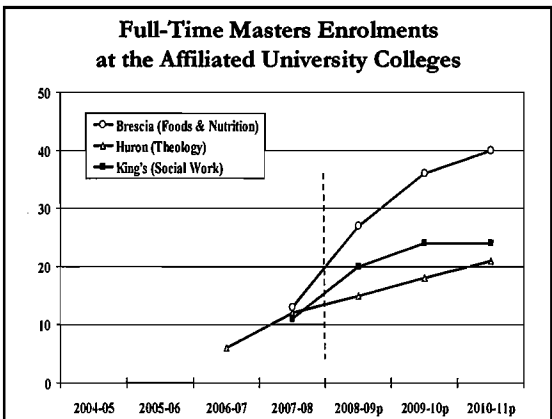
## Graduate Enrolment Plans at the Constituent University

## Full-Time Masters Enrolment at Western





### Graduate Enrolment Plans at the Affiliated University Colleges

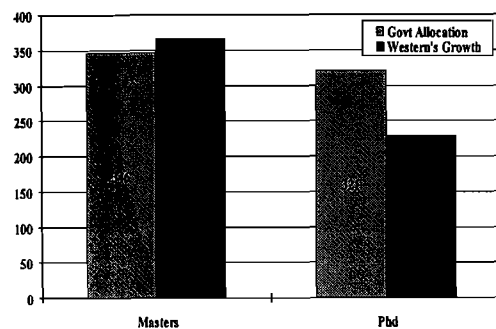


## Current Government Capacity Allocation (i.e. Round 1)

## Status Relative to Current Government Capacity Allocation

- Government funds only Canadian students -- and only those who are within the "government grant funding eligibility time period"
  - 2 years for Masters and 4 years for PhD
- Universities negotiated Graduate Expansion Allocations -- over the 2004-05 level
  - Western's Growth Allocation = 346 for Masters and 321 for PhD
  - We have until 2008-09 to reach the Allocations
  - Our allocation includes Affiliated University Colleges

Fall 2007: Western's Graduate Enrolment Growth and Government Growth Allocations -- including Affiliates



## Government Capacity Allocation: Round 2

## Western's Approach with the Next Round of Government Capacity Allocation

- We intend to make an aggressive proposal to Government for Round 2 that is consistent with our internal plans
  - i.e. Beyond the Round 1 levels of 346 for Masters and 321 for PhD
- We anticipate that Round 2 will begin in 2009-10

## Round 2 Government Capacity Allocations: The Affiliated University Colleges

- In Round 1, the Colleges participated in the Masters expansion. In 2007-08:
  - Actual Growth over 2004-05 was 36.6 FTEs
  - Funded Growth over 2004-05 is 34.6 FTEs
- For Round 2, we have committed government funding for up to an additional 30 FTEs at the Masters level
  - Bringing the total (over 2004-05) to 64.6 FTEs
  - Growth beyond the 64.6 FTEs would be unfunded

*The University of Western Ontario*

**Preliminary Recommendations  
on Faculty Budgets:  
2008-09 to 2010-11**

Senate  
February 15, 2008

**Update on Budget Forecast:  
Background/Context**

- 5-Year Provincial Investment as part of May 2005 Budget -- 2005-06 to 2009-10
  - Undergraduate Enrolment Growth Funding
  - Targetted Program Expansion Funding
  - Unfunded BIUs
  - Tuition Freeze Compensation
  - Quality
  - Graduate Expansion
  - Most components "Front-end Loaded"

**Outline**

- Update on Budget Forecast
  - *Reaching Higher* Revenues
  - Expenditure Commitments
- Western's Response to *Reaching Higher*
- Looking ahead to the Remaining 3 Years
- Recommendations for the Faculties
  - UPIF
  - PASF / One-Time
  - Capital
- Future Issues

**Update on Budget Forecast:  
Background/Context**

- 4-Year Government Framework on Tuition
  - 2006-07 to 2009-10
  - 4.5% / 4% for Regulated Undergrad Programs
  - 8.0% / 4% for Deregulated Undergrad as well as Graduate Programs

**Budget Forecast Update**

**Operating Revenue Forecasts  
at Western for Next 3 Years**

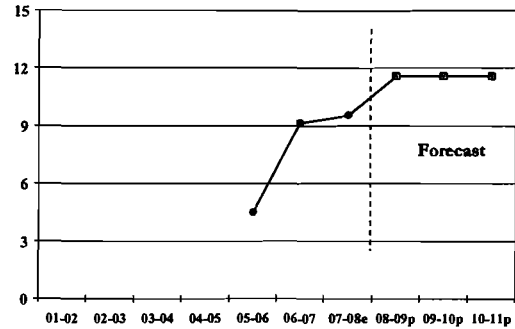
- Provincial Government Grants
  - Major portion of the Province's New Investment has reached Steady-State
  - Two Growing Components
    - Graduate Expansion Funding
      - Contingent on achieving government allocations
      - Allocations beyond 2008-09 not yet finalized
    - Quality Funding
      - Based on overall enrolment levels



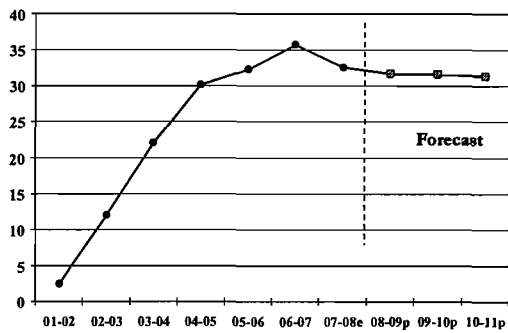
### Operating Revenue Forecasts at Western for Next 3 Years

- Federal Government Grants -- FFICR
  - Assumed to be stable at current level
- Tuition Fees
  - 4% Average Annual Increase
- Other Revenues
  - CRCs almost at Steady-State
  - Very aggressive planned average annual draw of \$11M from Investment Income
  - Recent performance of the equity markets of concern

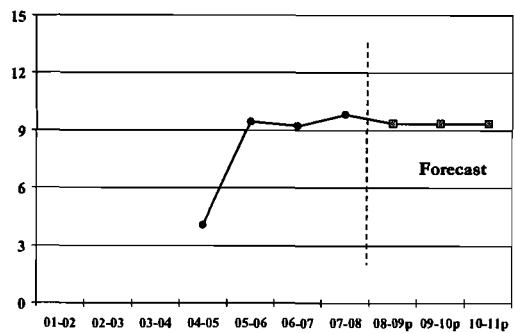
### Unfunded BIUs (\$M)



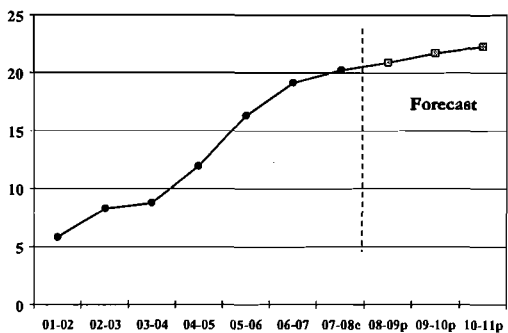
### Undergrad Enrolment Growth Fund (\$M)



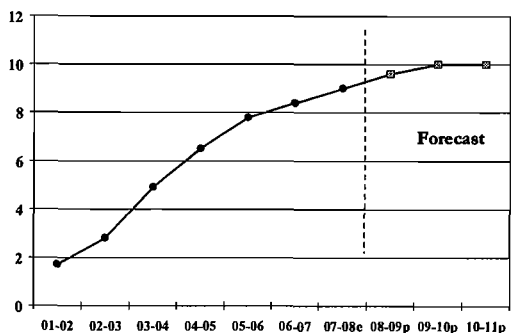
### Tuition Freeze Compensation (\$M)

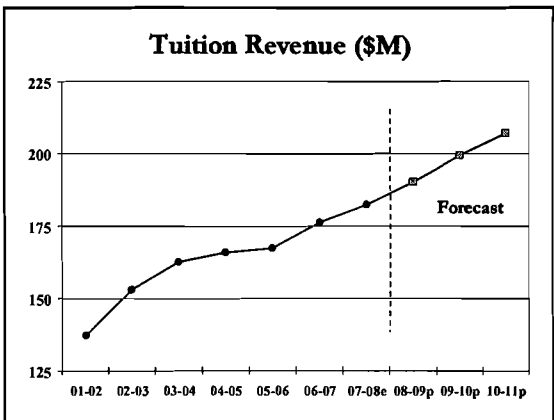
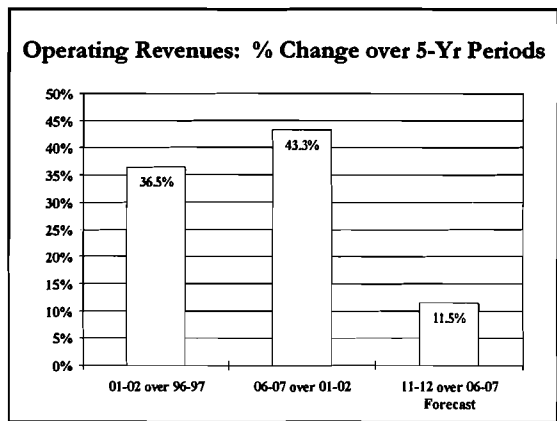
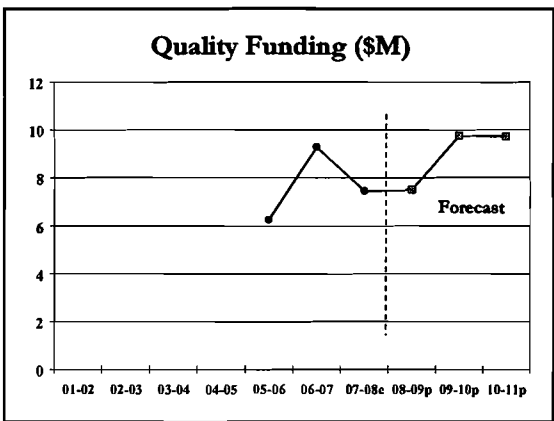
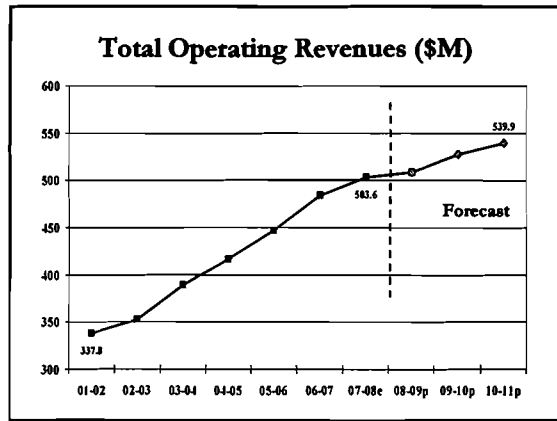
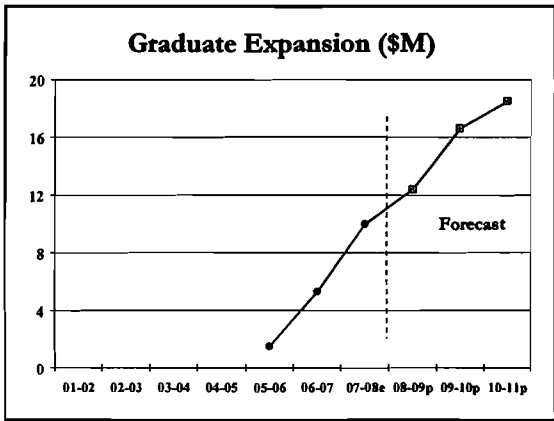


### Program Expansion Funding (\$M)



### CRCs (\$M)





- ### Operating Expenditure Commitments
- Funding to Faculties for Enrolment Growth
    - ECF, GEF, GEF+
  - Student Support -- Undergrad and Graduate
  - Utilities -- including New Buildings
  - Operating Costs of New Buildings
  - Library Acquisitions
  - MMI Transfer
  - Financing of Long-Range Space Plan
  - Negotiated Employee Salary Increases

**Western's Response to  
the *Reaching Higher* Revenues**

**Looking Ahead to the Remaining  
3 Years of the Four-Year Plan**

**Western's Response to *Reaching Higher***

- Western responded with Immediate Direct Investments in our Faculties and Support Units
- **For the Faculties:**
  - In-Year in August 2005 -- \$1.8M Base and \$2.4M One-time
    - 12 faculty appointments, 15 staff positions, equipment, and grad student space
  - In 2006-07 Budget -- \$5.1M Base
    - 40 faculty appointments and 13 staff positions

**The Next 3 Years at Western**

- Stable Undergraduate Enrolment
- Graduate Expansion
  - Major source of incremental government funding
- Modest Additional Tuition Revenue
  - About 4% per year
- Uncertainties regarding Investment Income

**Western's Response to *Reaching Higher***

- **For the Faculties:** (cont'd)
  - In-Year in August 2006 -- \$1.3M Base
    - 14 faculty appointments
  - Commitments in 2007-08 Budget -- \$4.6M Base and \$9.6M PASF/One-time
    - 23 faculty appointments, 5 staff positions, teaching equipment, facilities enhancements
  - Introduction of GEF+
    - \$2M in 2007-08 -- projected to grow to \$4.5M in 2010-11

**Remaining 3 Years of the 4-Year Plan**

- Continue with commitments made last year (Round 1)
- 4-Year UPIF Commitments in Round 1 (\$M)
 

	Faculties	Supp Units	Total
2007-08	3.25	2.25	5.50
2008-09	1.20	0.80	2.00
2009-10	0.60	0.40	1.00
2010-11	0.60	0.40	1.00
<b>Total</b>	<b>5.65</b>	<b>3.85</b>	<b>9.50</b>
- One-time Funding in each of the 4 Years
  - \$1.9M for Faculties; \$1.3M for Support Units
- PASF for the Faculties -- \$2.6M over the 4 Years
- Capital for the Faculties -- \$3.1M over the 4 Years

### Recommendations for the Faculties

### Arts & Humanities Round 2

- Round 2 UPIF (\$145K)
  - Rotman Institute Matching Funds
  - Faculty Appointment in Transatlantic/Hispanic Studies
- Round 2 PASF (\$121K)
  - Pre-university Scholarship Program
  - Course Development
  - Training of TAs as Language Instructors

### Recommendations for the Faculties

### Ivey School of Business

- Honour all Commitments made Last Year for the 4-Year Planning Period
  - ECF, GEF, GEF+
  - Tuition-based Investments -- Engg, Law, Med & Dent
  - Program Expansion Funding -- Nursing, Medicine
  - CRCs
  - Round 1 of 4-Year UPIF, PASF, Capital Allocations
- New UPIF, PASF, Capital Allocations -- Round 2
  - \$1M UPIF (uncommitted in Round 1)
  - Additional \$1.9M in PASF
  - Additional \$1.4M in Capital

- Continuation of Ivey Funding Model
  - All Grant and Tuition Revenues generated by Ivey flow to the School
  - In return, Ivey makes a payment to the University for services provided to the School

### Arts & Humanities Allocations across the 4 Years (\$000)

### Education Allocations across the 4 Years (\$ 000)

	UPIF	One-Time	PASF	Capital
In-Year Fall 2006	170			
Round 1	418	240	95	305
Round 2	145		121	
Total	733	240	216	305

	UPIF	One-Time	PASF	Capital
In-Year Fall 2006	85			
Round 1	150		237	200
Round 2	115		112	180
Total	350		349	380

### Education Round 2

- Round 2 UPIF (\$115K)
  - Faculty Appointment in Educational Psychology
- Round 2 PASF (\$112K)
  - Faculty of Education Community Room
  - Video-conferencing Facility
- Round 2 Capital (\$180K)
  - Faculty of Education Community Room

### Graduate Studies Allocations across the 4 Years (\$ 000)

	UPIF	One-Time	PASF	Capital
In-Year Fall 2006				
Round 1		2,640		
Round 2				
Total		2,640		

### Engineering Allocations across the 4 Years (\$ 000)

	UPIF	One-Time	PASF	Capital
In-Year Fall 2006	95			
Round 1	400	400	373	339
Round 2	40		273	235
Total	535	400	646	574

### Graduate Studies

- In Transition to School of Graduate and Post-Doctoral Studies
- Resource requirements to be assessed over the coming months
  - staffing levels, budget, and space

### Engineering Round 2

- Round 2 UPIF (\$40K)
  - Graduate Student Financial Support
- Round 2 PASF (\$273K)
  - Teaching Laboratory Equipment
  - Course/Program Development
- Round 2 Capital (\$235K)
  - Building Security Enhancements
  - Creation of Teaching and Research Laboratories

### Health Sciences Allocations across the 4 Years (\$ 000)

	UPIF	One-Time	PASF	Capital
In-Year Fall 2006	190			
Round 1	473	510	351	645
Round 2			111	124
Total	663	510	462	769

### Health Sciences Round 2

- Round 2 PASF (\$111K)
  - Graduate Student Entrance Scholarships
  - Graduate Student Computer Labs for Rehab Sector
  - Teaching Equipment for OT, PT, CSD
- Round 2 Capital (\$124K)
  - Graduate Student Study Space and Classroom Enhancements
  - Modernization of Thames Hall

### Law Allocations across the 4 Years (\$ 000)

	UPIF	One-Time	PASF	Capital
In-Year Fall 2006	95			
Round 1	90	80	178	
Round 2			220	
<b>Total</b>	<b>185</b>	<b>80</b>	<b>398</b>	

### Information & Media Studies Allocations across the 4 Years (\$ 000)

	UPIF	One-Time	PASF	Capital
In-Year Fall 2006	85			
Round 1	330		113	254
Round 2	72		90	
<b>Total</b>	<b>487</b>		<b>203</b>	<b>254</b>

### Law Round 2

- Round 2 PASF (\$220K)
  - Round Tables – Leading Edge Research
  - Course Development
  - Visiting Research Fellowships

### Info & Media Studies Round 2

- Round 2 UPIF (\$72K)
  - Partial funding for Appointment to support the LIS-PhD program
  - Partial funding to maintain Development Officer
  - Staff Position in Dean's Office
- Round 2 PASF (\$90K)
  - Graduate Student Recruitment Initiatives
  - Media Viewing Facility

### Medicine & Dentistry Allocations across the 4 Years (\$ 000)

	UPIF	One-Time	PASF	Capital
In-Year Fall 2006	95			
Round 1	756		270	300
Round 2			222	490
<b>Total</b>	<b>851</b>		<b>492</b>	<b>790</b>

### Medicine & Dentistry Round 2

- Round 2 PASF (\$222K)
  - Faculty Start-up Funds
  - Multi-Media Resource Centre
- Round 2 Capital (\$490K)
  - Enhancements to Space in the Dental Sciences Building

### Science Allocations across the 4 Years (\$ 000)

	UPIF	One-Time	PASF	Capital
In-Year Fall 2006	190			
Round 1	913	620	380	695
Round 2	234		357	120
Total	1,337	620	737	815

### Music Allocations across the 4 Years (\$ 000)

	UPIF	One-Time	PASF	Capital
In-Year Fall 2006	85			
Round 1	233	420	283	
Round 2	100		172	
Total	418	420	455	

### Science Round 2

- Round 2 UPIF (\$234K)
  - Limited-Term Faculty Appointment
  - Graduate Research Assistantships
  - Surface Science Western Space Costs
- Round 2 PASF (\$357K)
  - Learning Development Initiative
  - Graduate Student Recruitment Initiatives
  - Teaching Laboratory Enhancements in Physics and Biology
- Round 2 Capital (\$120K)
  - Research Laboratory Enhancement

### Music Round 2

- Round 2 UPIF (\$100K)
  - Faculty Appointment in Piano
  - Partial Funding to maintain Development Officer
- Round 2 PASF (\$172K)
  - Instrument Acquisitions
  - Technology Enhancements to support Instruction
  - Concert Travel Fund

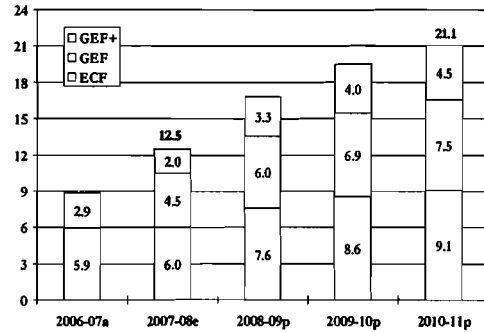
### Social Science Allocations across the 4 Years (\$ 000)

	UPIF	One-Time	PASF	Capital
In-Year Fall 2006	180			
Round 1	909		353	662
Round 2	229		178	295
Total	1,318		531	957

## Social Science Round 2

- Round 2 UPIF (\$229K)
  - Graduate Student Financial Support
  - Faculty Appointment in Aboriginal Health
  - Partial Support for Faculty Appointment in First Nations Studies
- Round 2 PASF (\$178K)
  - Graduate Student Furniture & Equipment
  - Museum Display & Artifact Storage – History
  - Space Costs -- Research Centre in Peru
- Round 2 Capital (\$295K)
  - Create Office Space in AFAR
  - Create Graduate Student Computer Lab

## ECF/GEF/GEF+ for the Faculties



## Interdisciplinary Initiatives Round 2

- Round 2 UPIF (\$94K)
  - Faculty Appointment -- Director of Environment & Sustainability
  - Supplements one-time funding provided last year in support of the IDI
  - Joint Initiative -- Science, Social Science, and Engineering

## Other Resource Allocations to the Faculties

- Allocations of Vacated Space
  - Engineering -- Alumni Hall
    - Graduate Student Space -- Temporary Allocation
  - Health Sciences -- Alumni Hall
    - Expansion of Metras Museum, SRS Ticket Office
- Other Spaces currently under consideration
  - Bank of Montreal Building
  - Natural Sciences Centre

## Other Resource Allocations to the Faculties

- ECF, GEF, GEF+ will provide substantial additional resources to the Faculties

## Future Issues



### **Future Issues**

- Pursuing the Strategic Plan Priorities in a Period of Reduced Resources
  
- Graduate Expansion
  - We must continue with recruitment efforts for 2008
    - Especially at the PhD level
  - Need to plan for and respond to the 2<sup>nd</sup> Round of Expansion -- i.e. 2009 and 2010

