POLICY 2.14 - Disclosure of Information on Western Investments

Policy Category: Financial
Subject: Disclosure of Information on Western Investments
Approving Authority: Board of Governors
Responsible Officer: Vice-President (Operations & Finance)
Responsible Office: Financial Services
Related Procedures: Procedures related to the Disclosure of Information on Western Investments
Related University Policies: N/A
Effective Date: June 25, 2020
Supersedes: October 21, 1977

I. PURPOSE

The purpose of the policy is to define the responsibilities and accountabilities associated with the disclosure of information on Western investments.

II. DEFINITIONS

Disclosure requests: Requests that come from members of the University community or from recognized organizations in the University community which are not frivolous or made in bad faith. Members of the university community include students, faculty, staff, donors and alumni.

III. POLICY

1.0 Investment staff are authorized to respond to disclosure requests to the extent of advising that the University has, or does not have, an investment in a particular company or of providing a list of the companies in which the University has an investment.

2.0 All other requests will be referred to the Senior Policy and Operations Committee of the Board of Governors with the recommendations of the President and Vice-President (Operations & Finance).

3.0 This policy is not intended to restrict the interchange of information with investment dealers, bankers, etc. in the normal conduct of the investment management function.