

POLICY 2.14 – Disclosure of Information on UWO Investments

Policy Category: Financial

Supersedes: October 21, 1977

POLICY

- 1.00 The President or the Vice-President (Operations & Finance) is authorized to respond to *bona fide* requests to the extent of advising that the University has, or does not have, an investment in a particular company or of providing a list of the companies in which the University has an investment. *Bona fide* requests are defined as those which come from members of the University community or from recognized organizations in the University community.
- 2.00 Requests which are not deemed by the President or the Vice-President (Operations & Finance) to be *bona fide* as defined above or which extend beyond company names will be referred to the Senior Operations Committee of the Board of Governors with the recommendation of the President.
- 3.00 This policy is not intended to restrict the interchange of information with investment dealers, bankers, etc. in the normal conduct of the investment management function.