

Members are reminded of the requirement that they give notice of conflict of interest prior to consideration of any matter on the Board open and confidential session agendas

BOARD OF GOVERNORS MEETING – OPEN SESSION

Date: Thursday, November 19, 2020
Time: 1:30 p.m.
Place: Zoom

Members of the public who wish to attend the open session of the Board of Governors meeting are invited to contact the University Secretariat at secretariat@uwo.ca

1.0	Land Acknowledgement	
2.0	Adoption of Agenda – Open Session	Approval
3.0	Report of the President (A. Shepard)	Information
4.0	Consent Agenda	Approval
4.1	Open Session Minutes of the Meeting of September 22, 2020	Approval
	4. (a) usiness Arising from the Minutes	Information
4.2	Items from the Property and Finance Committee	
	4.2(a) New Scholarships, Awards, and Prizes	Information
	4.2(b) Semi-Annual Ratio Report on Non-Endowed Funds	Information
	4.2(c) Annual Report on Underwater Endowments	Information
4.3	Items from the Senior Policy and Operations Committee	
	4.3(a) University Discipline Appeals Committee (UDAC) – Membership	Information
	4.3(b) Strategic Planning Steering Committee – Board Representatives	Information
	4.3(c) Board Member Leave of Absence	Information
	4.3(d) Senior Appointment – Extension of Acting Dean, Faculty of Social Science	Information
	4.3(e) Senior Appointment – Dean, Faculty of Social Science	Information
	4.3(f) Senior Appointment – Dean, Faculty of Education	Information
	4.3(g) Senior Appointment – Acting Associate Vice-President	

	(Principal Gifts)	Information
	4.3(h) Senior Appointment – Acting Vice-President (University Advancement)	Information
4.4	Items from the Governance and Laws Committee	
	4.4(a) Revisions to Special Resolution No. 4 – Investments	Approval
	4.4(b) Revisions to Special Resolution No. 9 – Election Procedures	Approval
4.5	Items from the McIntosh Gallery Committee	
	4.5(a) Acquisitions of paintings by A.Y. Jackson and Ashoona	Information
4.	Items Referred by Senate	
	4.6(a) Report of the Academic Colleague	Information
	4.6(b) Academic Administrative Appointments	Information
5.0	Items removed from the Consent Agenda	
	<u>AGENDA</u>	
6.0	Report of the Property and Finance Committee	Information
	6.1 Enrolment Update (R. Chelladurai)	Information
7.0	Questions from Members	
8.0	Other Business	
9.0	Adjournment to Confidential Session	

ITEM 1.0 - Land Acknowledgement

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION/DISCUSSION

EXECUTIVE SUMMARY:

D. Keddy will read a land acknowledgement.

ITEM 2.0 - Adoption of Agenda – Open Session

ACTION REQUIRED: ☒ FOR APPROVAL ☐ FOR INFORMATION

Recommended: That the Agenda for the Open Session be approved as circulated.

ITEM 3.0 - Report of the President

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

EXECUTIVE SUMMARY:

A. Shepard will provide the Report of the President.

ATTACHMENT(S):

[Report of the President](#)

REPORT OF THE PRESIDENT

To: Board of Governors

From: Alan Shepard

Date: November 12, 2020

Re: Fall Report

This report highlights some noteworthy developments since my last report to the Board of September 22, 2020.

COVID-19 update: On November 2, we began a phased re-opening of in-person programming for students at the recreation centre, which will adhere to provincial guidelines for capacity restrictions and new, robust cleaning and safety protocols. I will provide a further update on Western's ongoing response to the pandemic in my oral report to the Board. Please continue to watch <https://www.uwo.ca/coronavirus/> for the latest news.

New anti-racism and EDI initiatives: I was pleased to speak September 30 at an anti-racism retreat hosted by the Schulich School of Medicine & Dentistry. Organized by Schulich's anti-racism working group co-chairs **Bertha Garcia** and **Danielle Alcock**, the retreat featured a keynote address by **Nicole Kaniki** and engaged approximately 130 faculty, staff and students in a dialogue about racism on campus. Schulich also hosted a student town hall on the subject on October 28.

On October 1, Ivey Dean **Sharon Hodgson** announced the creation of an Equity, Diversity and Inclusion Advisory Council for the business school, chaired by Ivey alumnus **Rashid Wasti**, Executive Vice-President & Chief Talent Officer for the Weston Group of Companies. The Council has been struck to support efforts behind the School's renewed commitment to EDI.

Looking ahead, plans are being made for a special event on December 1 featuring Smith College history professor Elizabeth Sordeur Pryor who will be discussing the impact of racism and the use of a word that has brought harm to the Black community for decades. I'm encouraged by these and other similar initiatives being undertaken across campus as the work of our Special Advisors on Anti-Racism, **Nicole Kaniki** and **Bertha Garcia**, continues.

Financial aid for students raised in foster care: On October 27, Western announced it will offer assistance on an annual basis to five incoming students who have “aged out” of foster care. Currently, of the 1,000 Ontario teens who age out of foster care each year, about 400 qualify for postsecondary study. However, of those only about 80 choose to pursue education beyond high school, often due to financial barriers. Western’s financial support will provide about \$50,000 per cohort, translating to \$200,000 per year for as many as 20 students as they progress through their studies. Our commitment will range from tuition and textbook relief to housing supports, depending on each student’s individual circumstances and needs. Western joined King’s, Brescia, and Huron university colleges in making the commitment in response to an initiative led by Western political science PhD candidate **Jane Kovarikova**, who is also founder and head of Ontario’s Child Welfare Political Action Committee.

Renewal of President’s Advisory Committee on Environment & Sustainability (PACES):

After a two-year hiatus, PACES is being reconstituted this fall to bring renewed focus on this institutional priority and to build on momentum created in [Western’s 2012 Sustainability Strategy](#). Co-Chaired by Provost **Andy Hrymak** and Vice-President (Operations & Finance) **Lynn Logan**, PACES is being restructured to include three new working sub-groups: 1) Research, Teaching & Learning; 2) Planning, Operations & Infrastructure; and 3) Community & Culture.

Membership on the advisory committee will include students, faculty, and staff from Faculties and administrative units across campus. Work undertaken by PACES will support Western’s commitments as a signatory to *[Investing to Address Climate Change: A Charter for Canadian Universities](#)* and affirm our responsibility for modeling evolving global environmental practices.

While we have much work to do, Western can take pride in several recent successes, including our ranking among the world’s top universities for our commitment to social and economic impact. In the 2020 *[Times Higher Education’s Impact Rankings](#)*, Western placed fifth in Canada and 26th in the world among 766 universities from 85 countries when measured against the [United Nations’ Sustainable Development Goals](#). Other successes include the achievement of LEED certification for 13 of our campus buildings, and increased student engagement through the [Western Sustainability Leaders Program](#).

Strategic Planning Steering Committee update: Membership of the Steering Committee has now been finalized—including Board members **Susan Bennett**, **Keith Gibbons** and **David Simmonds**—and the group met for the first time November 6. I want to thank all faculty, staff, and students who volunteered to participate. The strong interest expressed by our campus community bodes well for the consultations that lie ahead. I will be providing regular updates on the committee’s progress in the weeks and months ahead.

Accolades: Congratulations to the following campus community members who, among others, received special honours since the September Board meeting:

- English professor **Joel Falflak** named among Ontario's most outstanding university teachers by the Ontario Confederation of University Faculty Associations.
- More than 60 research colleagues have been recognized for reaching various market-readiness milestones in their journeys to commercializing their work through the [2020 Vanguard Awards](#) presented by WORLDDiscoveries. Honourees included Innovator of the Year recipient **Qingping Feng** (Physiology & Pharmacology) for his research and intellectual property related to Annexin and its use to treat inflammatory disorders. Fellow nominees for Vanguard's top award included **Jing Jiang** (Electrical & Computer Engineering) for his work supporting nuclear plant risk management, and **Eric Arts** (Microbiology & Immunology) for his work to develop HIV vaccine technology.
- Alumni **Armin Geraili** (MESC'19, Biomedical Engineering), **Elaine Kwok** (MCISc/PhD'19, Health & Rehabilitation Science), and **Moriah Sokolowski** (PhD'19, Psychology) each awarded the Governor General's Academic Gold Medal.
- Six Western alumni named among Canada's 50 Best Executives by the Globe and Mail:
 - **Carrie Baker**, President, North America, Canada Goose (BA'99, FIMS)
 - **Duncan Fulton**, Chief Corporate Officer, Restaurant Brands (MBA'13)
 - **Seema Lakhani**, Chief Produce Officer, Wattpad (HBA'07)
 - **Zainul Mawji**, President, Telus Home Solutions (MBA'01)
 - **Eva Salem**, Vice-President, Marketing, Canadian Tire Corp. (HBA'93)
 - **Alexandra Voyevodina**, Chief Financial Officer, Endy (BA'09, BMOS)
- Six Ivey alumni named among recipients of BNN Bloomberg's Top 40 Under 40 list, celebrating Canada's up-and-coming leaders:
 - **Michael Block** (HBA'07)
 - **Joelle Faulkner** (BESC'05 / HBA'05)
 - **Jason Mullins** (EMBA'13)
 - **Melissa Sariffodeen** (HBA'10)
 - **James Scongack** (EMBA'17)
 - **Shamez Virani** (HBA'06)
- Nursing professors **Helene Berman**, **Lorie Donelle**, **Marilyn Ford-Gilboe**, and **Victoria Smye** named inaugural Fellows of the Canadian Academy of Nursing
- Thirty-one Western undergraduates were highly commended for their submissions to the 2020 Global Undergraduate Awards competition hosted by Dublin's Trinity College. Special congratulations go to global award winners **Hailey Dall-Proud** (Schulich), **Ishita Kumar** (Economics at King's), **Catherine Li** (Psychology), and **Shawn Liu** (Ivey), as well

as to “regional award” winners representing the United States and Canada **Jaquelin Coulson** (Social Science), **Zamir Fakirani** (Social Science), **Prisca Hsu** (Schulich), and **Emma Wood** (Literature at King’s).

- Professors **Marilyn Ford-Gilboe** (Nursing), **Amit Garg** (Medicine, Epidemiology & Biostatistics), **Marlys Koschinsky** (Physiology & Pharmacology), **Grace Parraga** (Medical Biophysics), **Michael Rieder** (Medicine), and **Lisa Saksida** (Psychology, Physiology & Pharmacology) named Fellows of the Canadian Academy of Health Sciences.
- Windermere Manor sales and event manager **Jodi Mabee** appointed Western’s Sponsored Employee for the 2020-21 campaign for United Way Elgin Middlesex

Leadership update: Professor **Donna Kotsopoulos** has been appointed Western’s next Dean of the Faculty of Education, beginning January 21, 2021. Donna is currently a professor of management and organizational studies at Huron University College and holds adjunct research faculty appointments in Western’s departments of psychology and statistical and actuarial sciences. Prior to joining Huron, she was a professor of education at Wilfrid Laurier University with a cross-appointment to the department of mathematics. Donna held various leadership roles during her career at Laurier, including associate dean (education), graduate coordinator (education), acting dean (education), acting associate vice-president research, and vice-chair of Senate. After completing her undergraduate education in mathematics and education at York University, Donna earned her MEd and PhD in educational studies from Western. We look forward to welcoming Donna to Western and extend our sincerest thanks to Acting Dean of Education **Kathy Hibbert** for her ongoing service until Donna’s arrival in the new year.

Professor **Nicholas (Nick) Harney** has been appointed Western’s next Dean of the Faculty of Social Science beginning August 1, 2021. Nick is currently head of the department of sociology, anthropology and criminology at the University of Windsor. Prior to his appointment at the University of Windsor in 2016, he held several academic appointments at The University of Western Australia (UWA), including chair of anthropology and sociology, and associate dean (international) for the Faculty of Arts. Between appointments at UWA and Windsor, he served a term as secretary of the examining commission at Italy’s top-ranked Università degli Studi di Trento where he has held a continuous appointment as visiting professor with the Centre of Migration Studies & Social Cohesion since 2012. Graduating *magna cum laude* in social anthropology from Harvard University, Nick earned his MA and PhD in social/cultural anthropology from the University of Toronto. He pursued postdoctoral studies as the Mariano A. Elia Chair in Italian Canadian Studies at York University. We look forward to welcoming Nick to Western and extend our sincerest thanks to Acting Dean of Social Science **Joan Finegan** for her ongoing service until Nick’s arrival next summer.

Dana James has been appointed Acting Vice-President (University Advancement), effective November 16, following Kelly Cole's transition to her new role as President & CEO of Sunnybrook Health Sciences Centre Foundation. In her current role as Associate Vice-President (Principal Gifts), Dana leads Western's portfolio of philanthropic investments of \$1 million or more. In her 18 years working in development, Dana has held positions at the Ivey Business School, Mount Sinai Hospital Foundation, and Robarts Research Institute. She has contributed to two highly successful fundraising campaigns at Western, including Ivey's *Campaign for Leadership* and Western's *Be Extraordinary Campaign*. She is also a proud Western alumna, graduating with a Master's of Library & Information Science from the Faculty of Information & Media Studies in 2001.

In conjunction with Dana's appointment, **Abbey Baran** has been appointed Acting Associate Vice-President (Principal Gifts, also effective November 16. In her current role as Director (Principal Gifts), Abbey collaborates with administrative and academic leaders, faculty fundraising professionals, and philanthropists in support of strategic priorities across Western. She is also part of a cross-functional team leading a \$45-million project to advance Western's Entrepreneurship Ecosystem. During her 12 years at Western, Abbey has served in other roles including Associate Director of Corporate Relations at Ivey — a portfolio that doubled in size during her tenure. She also worked as an Alumni & Development Officer at the Schulich School of Medicine & Dentistry. Prior to Western, Abbey was a fundraiser for the United Way of London & Middlesex.

The work of review/selection committees for the following senior leadership positions remains underway: the Deans of Music and Health Sciences, as well as the Vice-Provost (Academic Planning, Policy & Faculty), Vice-Provost & Associate Vice-President (Indigenous Initiatives), University Secretary, and Vice-President (University Advancement).

ITEM 4.0 – Unanimous Consent Agenda

ACTION REQUIRED: ☒ FOR APPROVAL ☐ FOR INFORMATION

Recommended: That the items listed on the consent agenda be approved or received for information by the Board of Governors by unanimous consent save and except for Items 4.2(c), 4.4(b), and 4.5(a).

EXECUTIVE SUMMARY:

The Board's parliamentary authority -- *Sturgis Standard Code of Parliamentary Procedure* -- explains the consent agenda:

Organizations having a large number of routine matters to approve often save time by use of a *consent agenda*, also called a *consent calendar* or *unanimous consent agenda*. This is a portion of the printed agenda listing matters that are expected to be non-controversial and on which there are likely to be no questions.

Before taking the vote, the chair allows time for the members to read the list to determine if it includes any matters on which they may have a question, or which they would like to discuss or oppose. Any member has a right to remove any item from the consent agenda, in which case it is transferred to the regular agenda so that it may be considered and voted on separately. The remaining items are then unanimously approved en bloc without discussion, saving the time that would be required for individual votes.

A number of Canadian university Boards have employed the consent agenda format to include not only routine approval items, but also information items. One reason for using this format is to allow the Board to focus on major items of business. While approval of an omnibus motion saves time at Board meetings, Board members will want to review the agenda materials carefully in order that they properly discharge their responsibilities.

How it works:

The Secretary identifies action and information items that are routine and/or likely non-controversial. In so doing, she may consult with the Chair of the Board, the relevant committee chair, and principal resource persons. In each Committee's report, these items are noted in the list of items at the beginning of the report. Action and information items on the agenda and in committee reports that are not noted on the consent agenda will be presented singly for discussion and voting (when appropriate).

When members receive their Board agendas, they should review all reports in the usual manner. **If any member wants to ask a question, discuss, or oppose an item that is marked for the consent agenda, he or she can have it be removed from the consent agenda** by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the motion to approve or receive, by unanimous consent, the items listed.

At the Board meeting, before the unanimous consent motion is presented for approval, the Chair of the Board (1) will advise the Board of items that are to be removed from the list, based on prior requests from Board members; and (2) will ask if there are any other items that should be removed from the list. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual presentation and voting. Those matters that have been struck from the consent agenda will be handled in the usual way as each Committee's report is presented.

The minutes of the Board meeting will report matters approved as part of the consent agenda as "carried by unanimous consent". Information items received as part of the consent agenda will be reported as received.

ITEM 4.1 - Approval of Minutes

ACTION REQUIRED: ☒ FOR APPROVAL ☐ FOR INFORMATION

Recommended: That the open session minutes of the meeting held September 22, 2020 be approved as circulated.

ATTACHMENTS:

[Open Session Minutes of the Meeting of September 22, 2020](#)



MINUTES OF THE MEETING OF THE BOARD OF GOVERNORS

SEPTEMBER 22, 2020

The meeting was held at 1:00 p.m. on Zoom.

PRESENT: R. Konrad, Chair
A. Bryson, Acting Secretary

S. Bennett	C. Rorabeck
W. Boye	B. Ross
G. Dick	A. Shepard
K. Gibbons	S. Shortreed
C. Karakatsanis	D. Simmonds
D. Keddy	C. Stephenson
J. Kum	K. Sullivan
M. Lerner	P. Thomlinson
S. Neiman	J. Toswell
G. Parraga	S. Trosow
S. Pitel	H. Usher

By Invitation: A. Blackburn-Evans, R. Chelladurai, K. Cole, P. Eluchok, A. Hrymak,
L. Koza, L. Logan, E. Mallory, J. O'Brien, T. Rice, L. Rigg [Lesley]

Secretariat Resource: L.A. McKivor, M. O'Connor, L. Rigg [Lisa]

Regrets: C. Burghardt-Jesson, L. Hasenfratz, E. Holder

Land Acknowledgement

D. Keddy read a Land Acknowledgement.

Adoption of Agenda – Open Session

It was moved by D. Keddy, seconded by C. Rorabeck,

That the agenda for the Open Session be approved as circulated.

CARRIED

BG.20-92

REPORT OF THE PRESIDENT

The Report of the President, distributed with the agenda, consisted of the following topics: COVID-19 update, Special Advisors appointed to continue anti-racism efforts, Parr family gift to support student mental health, Gray family gift to support mobility research, accolades for campus community members who were recognized with special honours, and leadership updates.

A. Shepard, President & Vice-Chancellor commended faculty, staff and students for their work to make the beginning of the fall term possible. He said that 20-25% of courses have a face-to-face component. Residence halls have been de-densified and are at approximately 70% capacity. He noted that the University has moved back to Phase 3 of the Return to Campus plan in wake of reports that a number of students tested positive for COVID-19. He indicated that the vast majority of these cases were located in off-campus housing. He reported that the testing centre on campus now has a capacity of approx. 500 tests per day. He provided his thanks to the volunteers and employees staffing the testing centre, as well as to the Middlesex-London Health Unit for its support and guidance. A. Shepard also thanked his senior leadership team for their constant engagement, for their monitoring, and for their great responsiveness to the community.

A. Shepard said that the University is committed to doing its part to control the viral spread in London. He said that he has written to all of the University's students urging them to follow the rules of public health. He also reported that the University has reduced the number of people students may host in their residence room down to one person. He said that the University hopes to be able to move back to Phase 4 of the Return to Campus plan in time.

Lastly, A. Shepard said that the University has now signed the Strategic Mandate Agreement (SMA3) that the Board approved in March.

A Board member noted that police involvement with the Broughdale street party has largely been responsive in past years. The Board member asked if there was any indication that the police would take a more active role should the event recur this year, given the public health guidelines and rules with regard to the number of people who can assemble in a location. A. Shepard said that the University hopes that people will not assemble for a street party under these conditions.

A Board member inquired if a communication would be sent to students to provide an update on the implementation of the recommendations from the Anti-Racism Working Group.

A. Shepard said that the two newly appointed Special Advisors to the President are working to establish a framework for an equity council. He noted that work would progress on the recommendations once the initial governance was established. He took the suggestion to provide an update to the community under advisement.

A Board member asked if the Parr family gift of \$9.2M for mental health would be used for the Thames Hall Building renovations. A. Shepard said that the gift will be used for additional resources for mental health. He noted that a unique feature of the gift is that it has funds set aside for Western to conduct pilot projects and experiments.

A Board member asked what the triggering conditions would be to return to Phase 4 of the Return to Campus plan. A. Shepard said that it would be a contextual decision. He indicated that he would like to see the Western case count drop down, and remain down, prior to returning to Phase 4.

BG.20-93 **UNANIMOUS CONSENT AGENDA**

It was moved by H. Usher, seconded by S. Bennett,

That the items listed in the Unanimous Consent Agenda, except item 5.4(a) and 5.6(b), be approved or received for information.

CARRIED

BG.20-94 **Minutes of the Previous Meeting**

The open session minutes of the meeting of June 25, 2020 and the open session minutes of the meeting of August 13, 2020 were approved as circulated.

BG.20-95 **Scholarships, Awards, and Prizes**

The scholarships, awards, and prizes approved by the Property and Finance Committee, on behalf of the Board of Governors, were provided for information in Item 5.1(a).

BG.20-96 **Report of the Investment Committee**

The Report of the Investment Committee was provided for information in Item 5.1(b).

BG.20-97 **McIntosh Gallery Committee Membership**

On behalf of the Board of Governors, the Senior Policy and Operations Committee approved the appointment of Sara Mai Chitty to the McIntosh Gallery Committee as a member-at-large, effective October 1, 2020 to June 30, 2023.

BG.20-98 **University Discipline Appeals Committee (UDAC) – Membership**

On behalf of the Board of Governors, the Senior Policy and Operations Committee amended the graduate student membership for the University Discipline Appeals Committee (UDAC) to appoint the following three candidates (term from July 1, 2020 to June 30, 2021): D. Broberg (Schulich), E. Mohler (Health Sciences), and J. Saldua Toomath (Health Sciences).

BG.20-99 **Revisions to Special Resolution No. 3 – Banking**

It was moved by H. Usher, seconded by S. Bennett,

That the Board of Governors approve the revisions to Special Resolution No. 3 –
Banking, as shown in Item 5.3(a).

CARRIED (by Unanimous Consent)

BG.20-100 **Equity and Human Rights Annual Report**

The Equity and Human Rights Report was provided to the Board for information in Item 5.4(b).

BG.20-101 **Fund Raising Activity Quarterly Report at April 30, 2020**

The Fund Raising Activity Quarterly Report at April 30, 2020 was provided to the Board for
information in Item 5.5(a).

BG.20-102 **Fund Raising Activity Quarterly Report at July 31, 2020**

The Fund Raising Activity Quarterly Report at July 31, 2020 was provided to the Board for
information in Item 5.5(b).

BG.20-103 **2019-2020 McIntosh Gallery Annual Report**

The 2019-2020 McIntosh Gallery Annual Report was provided to the Board of Governors for
information in Item 5.6(b).

BG.20-104 **Acquisition of Painting by Kelly Greene**

The purchase of *They Were Planted and They Came (2015)*, acrylic on plywood by artist Kelly
Greene was reported to the Board for information in Item 5.6(c).

BG.20-105 **ITEMS REMOVED FROM CONSENT AGENDA**

It was moved by H. Usher, seconded by C. Rorabeck,

That Item 5.4(a) and Item 5.6(a) be received for information.

CARRIED

BG.20-106 **Western Retirement Plans Report September 2020**

The Western Retirement Plans Report (September 2020) was provided for information in Item
5.4(a).

A Board member asked a question about the chart on p.49 showing that a substantial number of

academic plan members are not on track for a positive retirement. The Board member asked if the assessment as to whether the member is on track is based only on assets held in the Western plan or if the assessment also includes additional assets disclosed by the member. L. Koza, Director (Human Resources Digital and Operations) explained that the data comes from two different sources. The first source of data is from members who voluntarily complete a Sun Life retirement planning tool. This tool asks members to set out their retirement goals and to indicate any additional savings. The second source of data is from members who have not completed the tool. For this group, she explained that an assumption is made that members are looking for a replacement of 60% of their pre-retirement salary. The Board member expressed concern that the data was displayed in this form, arguing that it presents an incomplete picture of resources available to faculty members. The Board member noted that a significant number of people who did not complete the tool likely have additional assets available for retirement, and thus are in a better financial position than shown. L. Koza indicated that she would bring this feedback to the Academic Staff Pension Board.

BG.20-107 McIntosh Gallery Year End Financial Statement

The 2019-2020 McIntosh Gallery Annual Report was provided for information in Item 5(b).

Referencing the Statement of Operations table on p.72, a Board member asked for clarification on the value in the column labeled 'McIntosh Endowments'. R. Konrad clarified that the value is the income generated from the endowment fund, not the actual McIntosh endowments. In response to a question, L. Logan, Vice-President (Operations & Finance) reported that the value of the actual endowments was nearly \$2 million as of April 30, 2020.

BG.20-108 Business Arising from the Minutes

A report on business arising from the minutes of June 25, 2020 was provided in Item 6.0.

There were no items noted as business arising from the August 13, 2020 minutes.

REPORT OF THE SENIOR POLICY AND OPERATIONS COMMITTEE

BG.20-109 Strategic Planning Process Update

T. Rice, Executive Director (Marketing Communications) provided an update on the strategic planning process, detailed in Item 7.1. He reported that a Strategic Planning Steering Committee would be struck to help advise and steer the strategic planning process. He reviewed the draft Terms of Reference for the Committee and outlined the nomination process. He also provided an overview of a speaker series that will be used to engage the campus community in the strategic planning process.

A Board member inquired about the timeline for the strategic planning process. A. Shepard said that the initial timeline was disrupted by the pandemic. He noted that work was underway to revise the timeline and that he would bring this forward to the Board at a later date.

REPORT OF THE AUDIT COMMITTEE

BG.20-110 Audited Financial Statements for the Year Ended April 30, 2020

S. Bennett, Chair of the Audit Committee provided an overview of the audit. She indicated that a note was included in the report with respect to disclosing the impact of COVID-19.

It was moved by G. Dick, seconded by M. Lerner,

That the Board of Governors approve the draft audited combined financial statements for the University for the fiscal year ended April 30, 2020 (Section 1 of the Audited Financial Statements).

CARRIED

BG.20-111 Financial Statements – Related Companies

The financial statements for related companies and other organizations of The University of Western Ontario for the fiscal year ended April 30, 2020 were provided for information. S. Bennett noted that these statements have all been approved by the Directors of the various companies.

BG.20-112 QUESTIONS FROM MEMBERS

No questions were raised.

BG.20-113 OTHER BUSINESS

The Board recognized K. Cole, Vice-President (University Advancement) for her outstanding contributions to Western. K. Cole will leave Western to assume a new role as President and CEO of Sunnybrook Health Sciences Centre Foundation, effective November 16, 2020.

ADJOURNMENT TO CONFIDENTIAL SESSION

The meeting adjourned to the confidential session at 2:00 p.m.

R. Konrad
Chair

A. Bryson
Acting Secretary

ITEM 4.1(a) - Business Arising from the Minutes

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

EXECUTIVE SUMMARY:

There is no business arising from the minutes at this time.

ITEM 4.2(a) - New Scholarships, Awards, and Prizes

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

EXECUTIVE SUMMARY:

At its meeting on November 10, 2020, the Property & Finance Committee approved the following new scholarships, awards, and prizes on behalf of the Board of Governors. The approval of new scholarships, awards, and prizes is being reported to the Board of Governors in accordance with the Property & Finance Committee Terms of Reference.

ATTACHMENTS:

[Scholarships, Awards, and Prizes Report](#)

New Scholarships, Awards, and Prizes

Western Law Student Support Bursary (Law)

Awarded annually to full-time students entering Year 1 in the Faculty of Law, based on demonstrated financial need. Candidates must complete an online financial assistance application form, which is available through Student Center, by October 31st. The Office of the Registrar will select the recipients. This bursary was established through generous gifts from various donors.

Value: up to 30 at \$5,000

Effective Date: 2020-2021 academic year

Dr. S. Deborah Lucy Graduate Award in Physical Therapy (Physical Therapy)

Awarded annually to a full-time graduate student in second year of the Master of Physical Therapy (MPT) program, who has demonstrated a commitment to promoting the collective wellbeing and health of the student physiotherapy community at Western. Candidates (or their nominators) must submit a one-page statement outlining their activities that have contributed to student health and wellness in the MPT program. Statements must be received in the School of Physical Therapy Office by April 1st. The recipient will be selected by the Student Affairs Committee in the School of Physical Therapy, of which at least one representative is a current member of the School of Graduate and Postdoctoral Studies. This award was established by Mrs. Kristin K. Ball (BESc 2002, BSc 2002), as well as family, friends and colleagues of Dr. S. Deborah Lucy (PhD Physiology 1997, MSc Physiology 1992, MCIsc Physical Therapy 1983, BScMR Physical Therapy 1971).

Value: 1 at \$1,000

Effective Date: May 2020

Dr. S. Deborah Lucy was a passionate and dedicated professor in the School of Physiotherapy, who cared deeply for her students, her colleagues, and the integrity of her profession. Over her 40+ year career at Western, her contributions were many. She taught and inspired hundreds of students, was a leader in the field of cardiorespiratory research, and embraced numerous positions of leadership throughout her tenure. Dr. Lucy was also a staunch advocate for student mental health and was instrumental in the creation of the School's Student Affairs Committee. This award is to honour her lasting legacy on the School and to celebrate those individuals who most embody her compassionate, selfless and relentless spirit in the support of others.

Gurmukh Family Bursary in Law (Law)

Awarded annually to a full-time student in Year 1 or 2 in the Faculty of Law, based on demonstrated financial need. Preference will be given to a student who is: Black, Indigenous, or is a member of another racialized group experiencing hardship economic disadvantage or discrimination. Online financial assistance applications are available through Student Center and must be submitted by October 31st. The Office of the Registrar, in consultation with the Faculty of Law, will select the recipient. This bursary is made possible by a generous gift from Mr. Sunil Gurmukh (LLB 2008) and the Gurmukh family.

Value: 1 at \$1,000

Effective Date: 2020-2021 academic year

Note: A review of the bursary criteria will take place every three years to ensure the wording is still applicable, with the first review scheduled for May 2023.

Sunil Gurmukh is a human rights lawyer in Toronto, an Adjunct Professor at Western Law and was named one of Canada's top 25 most influential lawyers by Canada Lawyer Magazine in 2019. The Gurmukh family established this award to increase diversity in the legal profession and the Western Law student community.

ITEM 4.2(b) - Semi-Annual Ratio Report on Non-Endowed Funds

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

EXECUTIVE SUMMARY:

The semi-annual report presents the quarterly activity of the non-endowed funds and monitors the ratio of investments to obligations against the target ratio of 1.08. As at September 30, 2020 the ratio is 1.62 and exceeds the target ratio. The report is provided to the Board of Governors in accordance with the Property & Finance Committee terms of reference.

ATTACHMENT(S):

[Semi Annual Ratio Report on Non-Endowed Funds](#)

Semi-Annual Ratio Report on Non-Endowed Funds

The attached report shows the non-endowed fund quarterly activity from 1999/2000 to the end of the second quarter of fiscal 2020/21 (September 30). The balance in the Undistributed Investment Returns Account at September 30, 2020 was \$116.2 million. At September 30, 2020 the 12-quarter moving average of non-endowed investments to the obligations of the portfolio was 1.62 (column 10), well above the target ratio of 1.08.

- ❑ Net returns (column 1) amounted to \$14.7 million for the quarter.
- ❑ Allocations to accounts (column 2), represents income distributed to the non-endowed funds. The non-endowed rate is based on the 30-day Treasury bill rate less administrative and management fees of 0.42%. The rate is adjusted quarterly and is applicable to non-endowed fund balances with the exception of Robarts. The non-endowed rate for the period July to September was 0%. In the case of Robarts, in accordance with the operating agreement, the long term portion of their fund balance earned the rate of return generated by the Operating & Endowment portfolio.
- ❑ The allocation to the operating budget (column 3) for fiscal 2021 is zero as approved by the Board.
- ❑ Other Allocations (column 4) for the quarter ending September 30, 2020 were zero.
- ❑ The non-endowed investments increased \$10.6 million (column 6) during the quarter.
- ❑ The total market value of the non-endowed fund's portion of the externally managed portfolio at September 30, 2020 amounted to \$647.2 million (column 7).
- ❑ The obligations of the portfolio amounted to \$531 million at September 30, 2020 (column 8).
- ❑ The ratio of investments to obligations stood at 1.22 (column 9) at September 30, 2020.

Western University Non-Endowed Funds: Quarterly Values										
Quarter	Net Returns (1)	To Accounts (2)	Allocations To Operating Budget (3)	Other (4)	Change in amount Owed (5)	Net Change (6)	Total Investments (7)	Total Obligations (8)	Ratio of Investments to obligations (9)	Ratio of Investments to obligations Average for 12 Quarters (10)
1999/2000	2.4	(0.6)	(1.5)	(0.3)	4.0	4.0	88.0	74.3	1.18	1.23
2000/2001	0.9	(0.8)	(2.1)	(0.2)	5.9	3.7	113.7	101.0	1.13	1.16
2001/2002	0.8	(0.7)	(1.5)	0.0	5.9	4.6	127.0	125.5	1.01	1.10
2002/2003	(2.6)	(0.3)	(0.3)	0.0	0.4	(2.7)	120.6	132.3	0.91	1.02
2003/2004	6.3	(0.5)	0.0	0.0	1.3	7.1	140.7	135.5	1.04	0.99
2004/2005	2.9	(0.4)	0.0	0.0	3.6	6.2	157.7	141.1	1.12	1.03
2005/2006	7.0	(0.7)	0.0	0.0	2.9	9.3	199.4	160.4	1.24	1.13
2006/2007	7.6	(1.2)	(1.3)	0.0	6.2	11.4	236.9	179.1	1.32	1.22
2007/2008	0.4	(1.0)	(1.6)	(0.3)	2.7	0.2	258.7	197.7	1.31	1.29
2008/2009	(12.2)	0.3	0.0	(0.2)	(2.5)	(14.6)	213.3	197.7	1.08	1.24
2009/2010	10.5	(0.7)	0.0	(0.6)	(3.6)	5.7	219.1	181.2	1.21	1.20
2010/2011	6.9	(0.5)	0.0	(0.4)	(1.0)	5.1	234.5	174.5	1.34	1.22
2011/2012	1.2	(0.2)	0.0	(0.4)	9.9	10.6	260.9	194.4	1.34	1.30
2012/2013	10.7	(0.8)	0.0	(0.9)	3.7	12.7	315.1	223.7	1.41	1.37
2013/2014	15.4	(1.0)	0.0	(1.6)	8.8	21.6	384.4	240.4	1.60	1.46
2014/2015										
Q1	13.2	(0.2)	0.0	0.0	1.9	14.9	436.6	263.0	1.66	1.48
Q2	6.8	(0.2)	0.0	0.0	(3.7)	2.9	439.5	259.3	1.69	1.50
Q3	22.8	(0.3)	0.0	0.0	(14.5)	8.0	447.5	244.8	1.83	1.54
Q4	14.0	(2.8)	0.0	(3.5)	5.2	12.9	460.4	250.0	1.84	1.59
2015/2016										
Q1	12.7	(0.1)	0.0	0.0	14.6	27.2	487.6	264.6	1.84	1.63
Q2	(9.6)	(0.1)	0.0	0.0	2.2	(7.5)	480.1	266.8	1.80	1.66
Q3	(6.8)	0.0	0.0	0.0	(1.9)	(8.7)	471.4	264.9	1.78	1.69
Q4	6.6	0.0	0.0	(1.9)	40.8	45.5	516.9	305.7	1.69	1.71
2016/2017										
Q1	25.9	0.0	0.0	0.0	14.9	40.8	557.7	320.6	1.74	1.73
Q2	9.9	0.0	0.0	0.0	(1.8)	8.1	565.8	318.8	1.77	1.75
Q3	22.3	0.0	0.0	0.0	(15.1)	7.2	573.0	303.7	1.89	1.76
Q4	27.5	(3.3)	0.0	(0.2)	(17.3)	6.7	579.7	286.4	2.02	1.80
2017/2018										
Q1	0.7	0.0	0.0	0.0	(5.5)	(4.8)	574.9	280.9	2.05	1.83
Q2	23.6	(0.1)	0.0	0.0	(11.5)	12.0	586.9	269.4	2.18	1.87
Q3	16.4	(0.1)	0.0	0.0	(16.6)	(0.3)	586.6	252.8	2.32	1.91
Q4	0.8	(2.4)	0.0	(49.9)	47.2	(4.3)	582.3	300.0	1.94	1.92
2018/2019										
July 2018 Q1	21.8	(0.5)	0.0	0.0	5.5	26.8	609.1	305.5	1.99	1.93
October 2018 Q2	(19.2)	(0.5)	0.0	0.0	(7.5)	(27.2)	581.9	298.0	1.95	1.94
January 2019 Q3	10.7	(0.6)	0.0	0.0	(15.2)	(5.1)	576.8	282.8	2.04	1.97
April 2019 Q4	34.6	(4.3)	0.0	(4.4)	1.3	27.2	604.0	284.1	2.13	2.00
2019/2020										
July 2019 Q1	5.1	(0.9)	0.0	(255.0)	259.9	9.1	613.1	544.0	1.13	1.95
October 2019 Q2	15.6	(1.8)	0.0	0.0	(4.3)	9.5	622.6	539.7	1.15	1.90
January 2020 Q3	31.6	(1.9)	0.0	0.0	(13.9)	15.8	638.4	525.8	1.21	1.84
April 30 2020 Q4	(50.2)	6.6	0.0	(4.9)	(12.3)	(60.8)	577.6	513.5	1.12	1.77
2020/2021										
July 2020 Q1	38.1	(0.7)	0.0	0.0	21.6	59.0	636.6	535.1	1.19	1.70
September 2020 Q2	14.7	0.0	0.0	0.0	(4.1)	10.6	647.2	531.0	1.22	1.62

- General notes:
For 1995/96 through to 2012/13 the figures are the average for the four quarters.
- Columns (1) to (6) refer to changes during the quarter, column (7) to (10) refer to the end of each quarter.
All figures are millions of dollars, except columns (9) and (10). Column (9) is the ratio of column (7) to column (8).
Column (10) is the average of the ratios in column (9) over 12 quarters (i.e. over 3 year period).
- Notes:**
- (1) Net investment returns, including changes in unrealized capital gains, net of consulting and investment management fees. This total includes returns on internally managed funds and other sundry investment earnings less overdraft interest and interest paid to employee benefit accounts.
 - (2) Allocations from the fund to all accounts and associated companies, except the Operating budget and Other allocations (column 4)
 - (3) Allocations to the Operating budget.
 - (4) July 2019 allocated \$250.0 to MSOF and \$5.0 million to internal endowed Athletics Coaching Matching Fund
 - (4) April 2018 special allocations: \$30.0 to set up sinking funds related to the debenture issues; \$20.0 to capital
 - (4) The above amount is netted by the year end mark-to-market adjustment on the RBC SWAP interest agreement and the cost to carry related to unused debenture proceeds (0.1).
 - (4) The April 2019 amount is related to a year end mark-to-market value adjusted on the RBC SWAP interest agreement (1.1) as well as the cost to carry related to unused debenture proceeds (3.3).
 - (4) The April 2020 amount is related to a year end mark-to-market value adjusted on the RBC SWAP interest agreement (1.3) as well as the cost to carry related to unused debenture proceeds (3.6).
 - (5) The change in the amount owed to other funds for the quarter is the net cash flows into the accounts less any transfers to Western's bank account.
 - (6) Quarterly net change, is the sum of columns (1) to (5) and is the change in the value of the fund in column (7), which is the change in the market value of investments during the quarter.
 - (7) Total non-endowed externally managed funds. Endowed funds are not included.
 - (8) Total of the non-endowed externally managed funds that are owed to University accounts and other creditors.
 - (9) The ratio of column (7) to column (8).
 - (10) The average of this ratio over the previous 12 quarters. The Board target for this ratio is an average of 1.08.

ITEM 4.2(c) - Annual Report on Underwater Endowments

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

EXECUTIVE SUMMARY:

The annual report summarizes endowments where the market value is less than the original donated capital (termed ‘underwater’). The historical information is presented as at April 30, and is a risk management practice to monitor the long-term health of University endowments.

During fiscal 2020, the quantity and value of underwater endowments increased to 145 and \$3.5M, respectively (2019 - 4 and \$1.1M), driven by poor market returns. Year-to-date returns for fiscal 2021 are 7.0% and if sustained, should contribute to an improvement in the number and value of underwater endowments in 2021.

ATTACHMENT(S):

[Annual Report on Underwater Endowments](#)

Annual Report on Underwater Endowments

The University's policy with respect to the management of endowments permits a temporary draw down of endowment capital outlined in policy number 2.11, as follows:

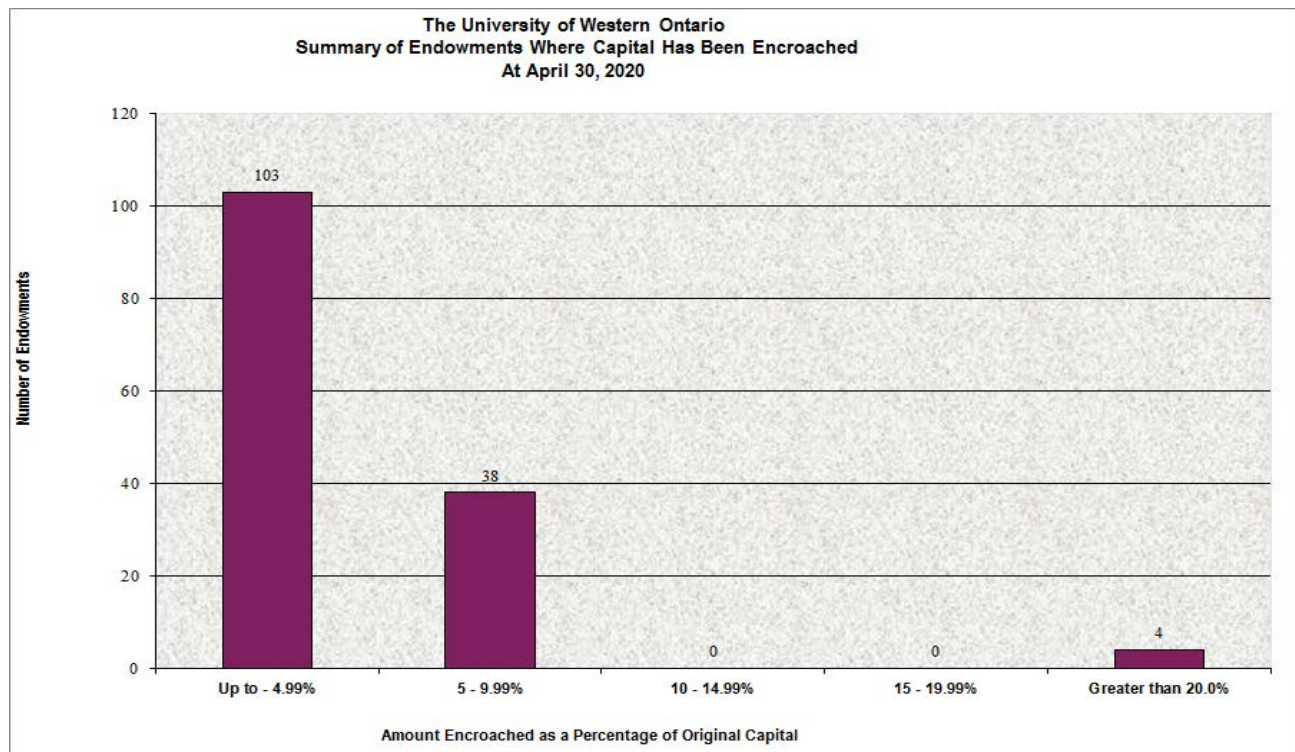
"In any particular year, should accumulated investment returns of any individual endowment be insufficient to fund the amount made available for spending, endowment capital will be used, with the expectation that such amounts will be recovered from future investment returns."

An endowment becomes underwater when the current market value of the fund is less than the original donated amount.

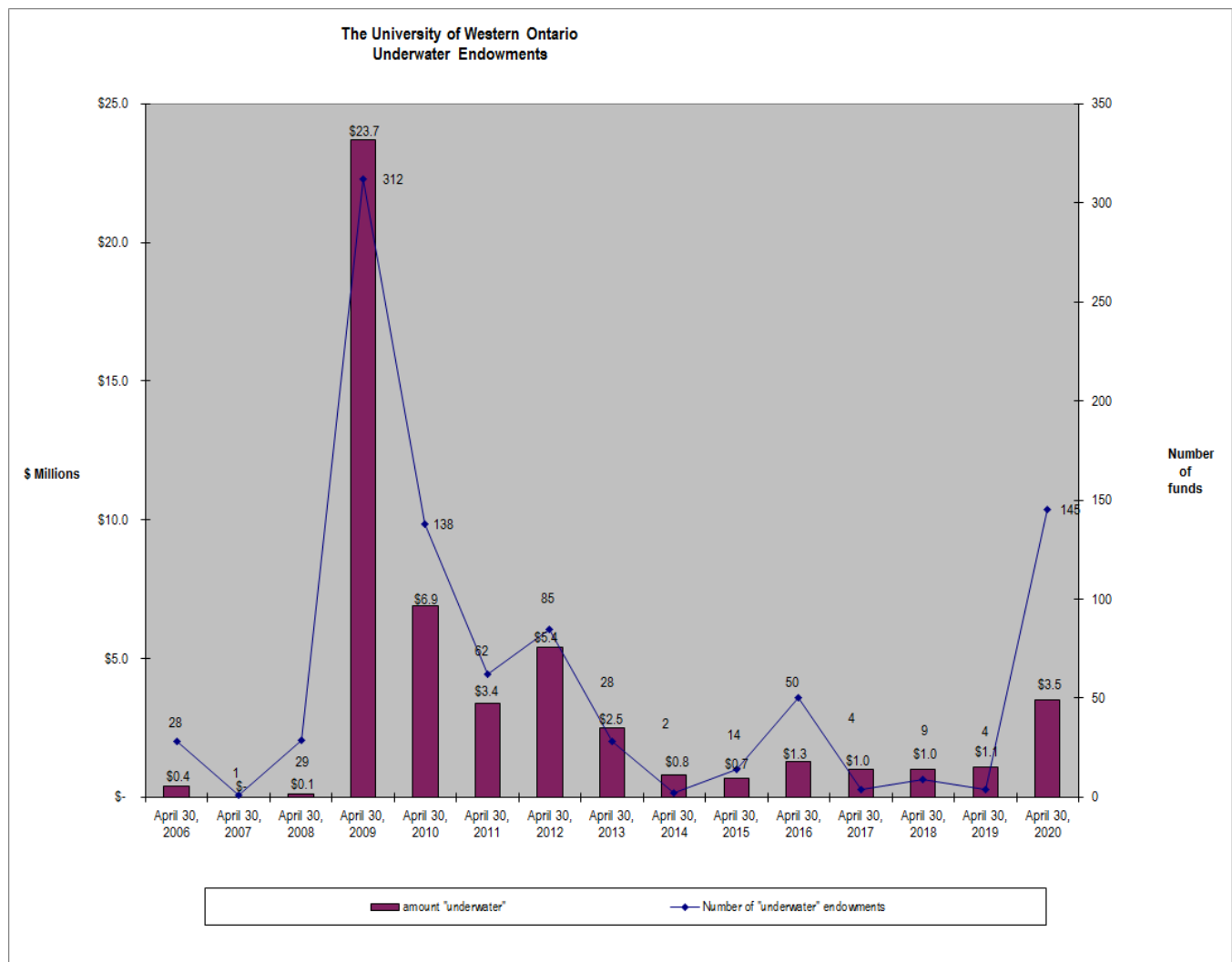
Equity returns for the fiscal year ending April 30, 2020 were weak, despite most markets posting strong returns in calendar 2019. Most of the strong performance for 2019 was concentrated in first four months of the year, while fiscal 2020 includes the sharp downturn caused by COVID 19. The Operating and Endowment Fund generated a -1.6% return net of fees for fiscal 2020.

Western now administers 2,200 endowed accounts with a market value of \$804 million as at April 30, 2020 (\$803 million at April 30, 2019). Of the 2,200 endowments, there are 145 that are underwater by a total of \$3.5 million (0.43% of the endowed market value). Of the 145 endowments that are underwater, 3 are part of the Schulich group of endowments. Those three endowments are underwater by a total of \$1.6 million or 0.20% of the endowed market value. These endowments are administered outside of Investment Payout Policy 2.11. The balance of \$1.9 million is spread over 142 endowments.

The chart below provides a breakdown of the underwater endowments by the level of encroachment as a percentage of original capital.



The following chart shows the number and amount of underwater endowments for fiscal years 2006 – 2020:



Fiscal 2021 is off to a good start with the Operating & Endowment Fund earning 7.0% year to date as at September 30, 2020.

ITEM 4.3(a) – University Discipline Appeals Committee (UDAC) – Membership

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

EXECUTIVE SUMMARY:

On behalf of the Board of Governors, the Senior Policy and Operations Committee approved the appointment of Wolfgang Lehmann (Social Science) to the University Discipline Appeals Committee (UDAC). Wolfgang Lehmann has been appointed to complete the term of Margaret McGlynn (term to June 30, 2023) who is serving as Acting Vice-Provost (Academic Planning, Policy and Faculty).

ITEM 4.3(b) – Strategic Planning Steering Committee – Board Representatives

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

EXECUTIVE SUMMARY:

The Senior Policy and Operations Committee recommended Susan Bennett and Keith Gibbons to the Board of Governors as two Board Members to serve on the Strategic Planning Steering Committee.

[Secretary's Note: The Board of Governors subsequently approved the appointment of Susan Bennett and Keith Gibbons to the Strategic Planning Steering Committee via e-vote on October 23, 2020].

ITEM 4.3(c) – Board Member Leave of Absence

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

EXECUTIVE SUMMARY:

Governor D. Simmonds is currently serving a term as a Visiting Professor in Western's School for Advanced Studies in the Arts & Humanities. He has requested of the Chair, and been granted, a leave of absence from the Board of Governors until his term as a Visiting Professor ends in December 2020.

ITEM 4.3(d) – Senior Appointment – Extension of Acting Dean, Faculty of Social Science

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

On behalf of the Board of Governors, and on the recommendation of the Provost & Vice-President (Academic), the Senior Policy and Operations Committee approved the extension of the appointment of Joan Finegan as Acting Dean of the Faculty of Social Science for the term July 1, 2021 to July 31, 2021.

ITEM 4.3(e) – Senior Appointment – Dean, Faculty of Social Science

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

On behalf of the Board of Governors, and on the recommendation of the Provost & Vice-President (Academic), the Senior Policy and Operations Committee approved the appointment of Nicholas Harney as Dean of the Faculty of Social Science, for the term August 1, 2021 to June 30, 2026.

ITEM 4.3(f) – Senior Appointment – Dean, Faculty of Education

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

On behalf of the Board of Governors, and on the recommendation of the Provost & Vice-President (Academic), the Senior Policy and Operations Committee approved the appointment of Donna Kotsopoulos as Dean of the Faculty of Education, for the term January 1, 2021 to December 25, 2025.

ITEM 4.3(g) – Senior Appointment – Acting Associate Vice-President (Principal Gifts)

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

On behalf of the Board of Governors, and on the recommendation of the President & Vice-Chancellor, the Senior Policy and Operations Committee approved the appointment of Abbey Baran as Acting Associate Vice-President (Principal Gifts), effective November 16, 2020 until the search for the new Vice-President (University Advancement) has been concluded and an incumbent has been appointed to the role.

ITEM 4.3(h) – Senior Appointment – Acting Vice-President (University Advancement)

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

On behalf of the Board of Governors, and on the recommendation of the President & Vice-Chancellor, the Senior Policy and Operations Committee approved the appointment of Dana James as Acting Vice-President (University Advancement), effective November 16, 2020 until the search for the new Vice-President (University Advancement) has been concluded and an incumbent has been appointed to the role.

ITEM 4.4(a) – Revisions to Special Resolution No. 4 – Investments

1. **ACTION REQUIRED:** ☒ FOR APPROVAL ☐ FOR INFORMATION

Recommended: That the Board of Governors approve the revisions to Special Resolution No. 4 – Investments, as shown in Item 4.4(a).

2. **EXECUTIVE SUMMARY:**

Update to Special Resolution No. 4 – Investments. Advancement Operations have made some changes to roles and have requested that changes be made to the signing authority to issue sell instructions on donated securities.

3. **ATTACHMENT(S):**

[Proposed Revisions to Special Resolution No. 4 – Investments](#)



Investments

Special Resolution: No. 4

Effective Date: April 24, 2014

Revised: November 26, 2009; May 4, 2006; September 28, 2000; April 22, 1999;
October 3, 1996; January 21, 1996; January 28, 1994; March 24, 1988;
March 29, 1984; September 22, 1983, November 18, 1977

-
1. Any one of Group "A" hereunder, together with any one of Group "B" hereunder, may purchase, transfer, exchange or otherwise dispose of securities owned by or held in the name of The University of Western Ontario.

GROUP "A"

President & Vice-Chancellor

Vice-President (Operations & Finance)

Provost & Vice-President (Academic)

Secretary, The Board of Governors

GROUP "B"

Associate Vice-President (Finance & Facilities)

Director, Investments

Controller

Manager, Treasury & Investments

2. (a) In the event that the University implements "Organized Closure" procedures as a result of the Middlesex-London Health Unit raising the pandemic alert level to phase 6, any one of Group "A" OR Group "B" may purchase, transfer, exchange or otherwise dispose of investments owned by or held in the name of the University of Western Ontario.
- (b) In the case of donated securities, where donors transfer securities to an approved brokerage account in the name of The University of Western Ontario in accordance with Gift Acceptance Policy (2.1) and Gift Valuation Policy (2.28), any one of the following will have authority to issue sell instructions related to those donated securities held in the approved brokerage accounts.

Executive Director, Advancement Operations ~~Financial Officer, Finance & Budget (External)~~

Director of Finance, Advancement Operations ~~Finance & Budget Analyst (External)~~

Financial Officer, Advancement Operations ~~Executive Director, Advancement~~

- (c) Subsequent reporting to the Property & Finance Committee will be completed for any transactions initiated under 2(a) that exceed \$5.0 million.
3. All investment transactions will be conducted in accordance with policies approved by the Board of Governors.

Dated this _____ day of _____, 2020, at London, Ontario

THE BOARD OF GOVERNORS
THE UNIVERSITY OF WESTERN ONTARIO

A. Shepard
President & Vice-Chancellor

A. Bryson
Acting Secretary of the Board of Governors

(Corporate Seal)

ITEM 4.4(b) – Revisions to Special Resolution No. 9 – Election Procedures

ACTION REQUIRED: ☒ FOR APPROVAL ☐ FOR INFORMATION

Recommended: That Special Resolution No. 9 – Election Procedures be revised as shown in Item 4.4(b), effective November 19, 2020.

EXECUTIVE SUMMARY:

The Board Election Procedures have been revised to bring the procedures into alignment with the University Students' Council By-Law #2 (Election Procedures):

- Language was added to indicate that undergraduate students will be required to submit a Bond to the USC. (Note: the USC has a system in place to assist students who identify a financial need)
- Section A.1(2) was revised to allow the campaign period to continue through to the close of balloting.

Additional revisions were made to clean up language and provide further clarification throughout the procedures, including:

- Removal of the requirement that a nominator may not nominate more candidates than there are seats to be filled in the constituency.
- Standardized use of the title "Secretary of the Board".
- Insertion of defined terms "the University" and the "Affiliated University Colleges".
- Minor changes to make wording consistent with the Senate Election Procedures.
- Minor edits to address grammar.

A similar exercise is underway to revise the Senate Election Procedures.

ATTACHMENT(S):

[Proposed Revisions to Special Resolution No. 9 – Election Procedures](#)

Election Procedures

Special Resolution: No. 9

Effective Date: **November 19, 2020** ~~November 24, 2016~~

Supersedes: **November 26, 2016;** January 30, 2014

Pursuant to the provisions for membership on the Board of Governors as set out in the University of Western Ontario Act (1982) and By-Law No. 1, the following shall be the procedures for election or appointment to the Board.

For purposes of these Election Procedures, “the University” means Western University, excluding the Affiliated University Colleges; and

The “Affiliated University Colleges” means Brescia University College, Huron University College, and King’s University College.

A. CONSTITUENCIES: FACULTY-AT-LARGE, ADMINISTRATIVE STAFF, UNDERGRADUATE STUDENTS, GRADUATE STUDENTS

A.1 General

- (1) The Secretary of the Board ~~of Governors~~ shall be the Chief Returning Officer.
- (2) The schedule for calling ~~for~~ **of** nominations, publication of candidates' names, and time lines for balloting shall be as published ~~from time to time~~ by the Secretary of the Board. Normally, nominations are open for approximately three weeks in early January, followed by a one- to two-week campaign period (depending on constituency), ~~with a two-day web-voting period following the close of campaigning.~~ **The campaign period may continue up to and including the day of the close of balloting. Balloting shall take place on-line over a two-day period.**
- (3) Candidates for election must be nominated by means of an official nomination form available from the Secretary of the Board and accessible on the **website of the University**
Secretariat: ~~Secretariat's website:~~ <http://www.uwo.ca/univsec/>
- (4). Nomination forms must be signed by **10** ~~ten (10)~~ persons eligible to vote in the constituency concerned. ~~A nominator may not nominate more candidates than there are seats to be filled in the constituency.~~
- (5) The nomination forms shall convey information on eligibility for and restrictions upon election to the Board, as provided in the University Act, collective agreements and Board policy.
- (6) Nominees must declare on the nomination form:

- (a) that they are willing to stand as candidates for election and to serve if elected;
 - (b) that they meet the eligibility requirements for the constituency.
- (7) Nominees **must** ~~may~~ submit with the nomination form a biographical statement or other comments up to a limit of **100 75** words and **may submit** a digital photograph for publication. The Secretary **of the Board** shall have discretion in restricting the published statement to **100 75** words ~~should that submitted be in excess of this limit~~. The statement, and a digital photograph **(if provided)** of the candidate will be posted on the election website and linked to the ballot, and by submitting the statement and photograph, candidates agree to such posting.
 - (8) Errors or irregularities on a nomination form constitute grounds for rejection of the nomination by the Secretary of the Board.
 - (9) When only sufficient nominations to fill the vacancies for any constituency are received, the Secretary of the Board shall declare the person~~s~~ **or persons** elected by acclamation, except when more than one seat with varying term lengths are to be filled and a vote is required to determine the candidate who shall serve the longer term. Where no candidates are nominated for the faculty or staff constituencies, the seat shall remain vacant until the next election. Where no candidates are nominated for a student constituency, the seat shall be filled in a manner determined by the **Governance and By-Laws** ~~Bylaws~~ Committee.
 - (10) Except where election is by acclamation, election shall be by secret ballot by those eligible to vote in the constituency concerned.
 - (11) The candidate receiving the largest number of votes shall be elected. Should there be more than one seat to be filled in a constituency in any given election, the candidate with the plurality of votes shall be elected to the longer membership term and the candidate with the next highest number of votes shall be elected for the shorter membership term.
 - (12) In cases where two or more candidates receive the same number of votes, the tie shall be broken by a lottery conducted by the Secretary of the Board in the presence of the tied candidates or their agents.
 - (13) An election shall not be invalidated by any irregularity which does not affect the outcome of such an election. Notification of any irregularity must be received by the Secretary of the Board within five days of the closing of the polls.
 - (14) The results of the election shall be announced as soon as possible after the closing of balloting. The number of votes received by each candidate will be made public.
 - (15) A list of voting results, validated by the Secretary of the Board, shall be retained for a period of at least two years.

A.2 Eligibility

A.2.1 Faculty-at-Large Constituency (2 members)

- (1) To be **eligible for election**, a candidate must be a full-time member of the Faculty of the University, or a Clinical Academic appointed under *Conditions of Appointment: Physicians Appointed in Clinical Departments and Clinical Divisions of Basic Science Departments*, at the rank of Assistant Professor or higher who has held academic appointments at the University for at least four academic years.
- (2) The following members of Faculty are **eligible to vote**:

- (a) all full-time members of the academic staff of the University at the rank of Lecturer, Assistant Professor, Associate Professor, or Professor; and
- (b) all Clinical Academics appointed under *Conditions of Appointment: Physicians Appointed in Clinical Departments and Clinical Divisions of Basic Science Departments*, at the rank of Assistant Professor, Associate Professor, or Professor, and
- (c) all part-time members of the academic staff of the University at the rank of Assistant Professor or higher who are listed as such in the **Records section of the Division of Human Resources**.

A.2.2 Administrative Staff Constituency (2 members)

- (1) To be **eligible for election**, a candidate must be a member of the full-time administrative staff of the University and be listed as such in the **Records section of the Division of Human Resources**. A member of the full-time administrative staff of the University who is a member of Faculty is not eligible for election or to vote in the administrative staff constituency.
- (2) All members of the full-time administrative staff of the University, except those who are members of Faculty, are **eligible to vote** in the administrative staff constituency.

A.2.3 Undergraduate Student Constituency (2 members)

- (1) To be **eligible for election**, a candidate must be an undergraduate student in the **Constituent University** who will have completed one academic year as a full-time student or the equivalent as a part-time student by the start date of the term of the seat. Full-time and part-time undergraduate students of the **Constituent University** who are full-time members of the administrative staff or members of Faculty are not eligible for candidacy in the undergraduate student constituency. **Elected individuals must maintain the status of a registered student at the University for the duration of their term.**
- (2) Students registered at an Affiliated University College are ineligible for candidacy.
- (3) All full-time and part-time undergraduate students in the **Constituent University** are **eligible to vote** in the undergraduate student constituency.

A.2.4 Graduate Student Constituency (1 member)

- (1) To be **eligible for election**, a candidate must be a graduate student in the **Constituent University** as may be verified by the official student records of the University. Full-time and part-time graduate students of the University who are full-time members of the administrative staff or members of Faculty are not eligible for candidacy in the graduate student constituency. **Elected individuals must maintain the status of a registered student at the University for the duration of their term**
- (2) Students registered at an Affiliated University College are ineligible for candidacy.
- (3) All Graduate Students in the **Constituent University** are **eligible to vote** in the graduate student constituency.

A.3 Procedures:

A.3.1 Faculty-At-Large/Administrative Staff Constituencies

- (1) In a year in which vacancies occur in the Faculty-at-Large or Administrative Staff Constituencies, the Secretary of the Board shall call for nominations.
- (2) Completed nomination forms must be submitted to the Secretary of the Board in accordance with the deadline provided in the posted Election Schedule. The Secretary of the Board shall publish the list of valid nominations on the **website of the University Secretariat: <http://www.uwo.ca/univsec>** ~~Secretariat's website~~ as soon as possible after the close of nominations. The official list shall be organized by constituency with candidates listed alphabetically by last name and with the title and academic/administrative unit of each nominee.
- (3) Balloting will be conducted during a designated period at an election site linked to **the website of the University Secretariat: <http://www.uwo.ca/univsec>**. ~~Western's homepage: <http://www.uwo.ca>~~
- (4) The Secretary of the Board shall publish the ~~name(s)~~ **names** of the successful ~~candidate(s)~~ **candidates on the website of the University Secretariat: <http://www.uwo.ca/univsec>**, in ~~an official notice of the Board to be posted at the University Secretariat's Office and on its website~~ as soon as possible after the close of balloting.

A.3.2 Undergraduate Student and Graduate Student Constituencies

- (1) When possible, elections to the Undergraduate and Graduate Student Constituencies are normally timed to run in conjunction with the USC and SOGS presidential elections. In years in which there is a vacancy in these constituencies, the Secretary of the Board will normally issue a call for nominations during the **first second** week of classes in January. Completed nomination forms must be submitted to the Secretary of the Board not less than seven but not more than fourteen consecutive days from the official date of call for nominations. **Undergraduate students will be required to submit a bond to the USC in line with the approved USC Election Guidelines available through the Western University Students' Council (USC).**
- (2) A mandatory all-candidates meeting will be scheduled for candidates **following the close of nominations** ~~during the week following the close of nominations~~. Student nominees who do not attend or have not made arrangements to send an alternate will be ~~automatically~~ disqualified from candidacy. Following the all-candidates meeting, the Secretary of the Board shall publish a list of valid nominations on the **website of the University Secretariat <http://www.uwo.ca/univsec>** ~~Secretariat's website~~ for each constituency. The official list shall be by last name alphabetically, and show for each candidate the academic program and year of registration as recorded in the official student records of the University.
- (3) For undergraduate students, the Secretary of the Board may employ the USC Election Committee to supervise campaigning by candidates but any decision that a candidate be disqualified may be appealed to the Secretary of the Board by 4:00 p.m. of the second working day following the date of the letter notifying the candidate of the Election Committee's decision that the candidate be disqualified. Where there is inconsistency between the policies and procedures stated in USC **By-Law #2** and those of the Board, the policies and procedures of the Board shall take precedence and the final authority for resolving all disputes in such matters shall rest with the Secretary of the Board.
- (4) For graduate students, the Secretary of the Board may employ the SOGS CRO and Appeals Review Commission to supervise campaigning by candidates but any decision that a candidate be disqualified may be appealed to the Secretary of the Board by 4:00 p.m. of the second working day following the date of the letter notifying the candidate of the Appeals Commission's decision that the candidate be disqualified. Where there is inconsistency

between the policies and procedures stated in SOGS election regulations and those of the Board, the policies and procedures of the Board shall take precedence and the final authority for resolving all disputes in such matters shall rest with the Secretary of the Board.

- (5) When either the USC or SOGS processes are not employed, candidates will be required to adhere to the campaign requirements and processes established by the Governance & By-Laws Committee of the Board of Governors.

B. CONSTITUENCIES: FACULTY ELECTED BY SENATE; ALUMNI MEMBERS; BOARD-ELECTED MEMBERS

B.1 Faculty Elected by Senate (2 members)

- (1) To be eligible for election by the Senate, the candidate must be a member of Faculty and a member of the Senate at the time elected.
- (2) The election of members to the Board by the Senate shall be the responsibility of the Senate and by such procedure as the Senate shall determine.

B.2 Members Elected by the Alumni Association (4 members)

The election of members to the Board by the Alumni Association shall be the responsibility of the Association except that the cost of such elections may be borne by the Board, subject to the approval of the Board.

B.3 Members Elected by the Board (4 members)

- (1) The election of members by the Board under Section 9.(1) of the Act, clause (h) shall be by a majority of those members of the Board entitled to vote in such election present at the meeting at which the election takes place, provided that a quorum of fourteen such eligible members are present.
- (2) The Senior **Policy and** Operations Committee shall be responsible for the identification of candidates as vacancies occur and shall make nominations to the Board.
- (3) Voting shall be by show of hands or by ballot as may be determined by the Board.

C. FILLING OF VACANCIES - Elected and Appointed Members

C.1. When a vacancy occurs prior to the expiration of the membership term of a member elected by the Faculty-at-large, the administrative staff, or students, action in respect to the filling of the vacancy shall be as follows:

- (1) If the time remaining in the term of office is six months or less, the seat shall normally remain vacant until the next regular election. However, for faculty, administrative staff and student constituencies, if the time remaining in the term of office is six months or less and the vacancy occurs after the February elections and prior to the commencement of new terms in July, the member-elect in the same constituency will be invited by the Secretary of the Board to assume the vacant seat.

- (2) If the time remaining in the term of office is more than six months but less than two years, the vacancy shall be filled from among the eligible candidates of the constituency concerned who were unsuccessful in the last election, in a priority determined by their plurality in that election, and provided that to be thus eligible a candidate must have polled at least 20% of the votes cast in that election. Failing such circumstances, the Secretary of the Board shall call a by-election.
- (3) If the time remaining in the term of office is more than two years, the vacancy shall be filled by a by-election.

The conduct of a by-election shall be the same as that of a regular election.

- C.2.** When a vacancy occurs prior to the expiration of the membership term of a member elected or appointed by the Council of the City of London, the Lieutenant Governor in Council, the Alumni Association, the Senate, or the Board, the body responsible for electing or appointing such member shall be requested to appoint a person to fill the vacancy for the time remaining in the membership term except that if the time remaining in the term of office is six months or less, the Board may declare by resolution that the seat remain vacant until the next regular appointment period.

ITEM 4.5(a) - Acquisition of Painting by A.Y. Jackson and Ashoona

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

EXECUTIVE SUMMARY:

The McIntosh Gallery committee approved the donation of *Evening, Baie St. Paul n.d.*, Oil on board, by artist A.Y. Jackson, and the purchase of *Baleen 2019*, Lithograph on BFK Reeves printmaking paper, by artist Shuvinai Ashoona by electronic approval on October 30, 2020.

The acquisition of these pieces is in keeping with current museum practices, whereby the McIntosh Gallery intends to engage in the process of decolonizing the collection. MAPP 1.57 The McIntosh Gallery Collection Policy states “Decolonization, in this context, refers to the process that institutions undergo to expand the perspectives they portray beyond those of the dominant cultural group, particularly European colonizers. This will take place through careful and considered deaccessioning of certain European works in accordance with the deaccession policy. Funds raised through such deaccessions will be used to purchase new works by Indigenous artists and artists of colour to create a collection that more accurately represents the population and history of our region.”

A. Y. Jackson was a founding member of the Group of Seven (and later of the Canadian Group of Painters), and this painting will complement the McIntosh Gallery’s existing holdings of work by the artist. The acquisition of *Baleen* will enhance the gallery’s substantial holdings of Inuit art; it will be the third work of Ashoona’s in the McIntosh collection.

The **purchase acquisition** of these pieces is being reported to the Board for information in accordance with the McIntosh Gallery terms of reference.

ATTACHMENTS:

Evening, Baie St. Paul n.d., Oil on board, by artist A.Y. Jackson

Baleen 2019, Lithograph on BFK Reeves printmaking paper, by artist Shuvinai Ashoona

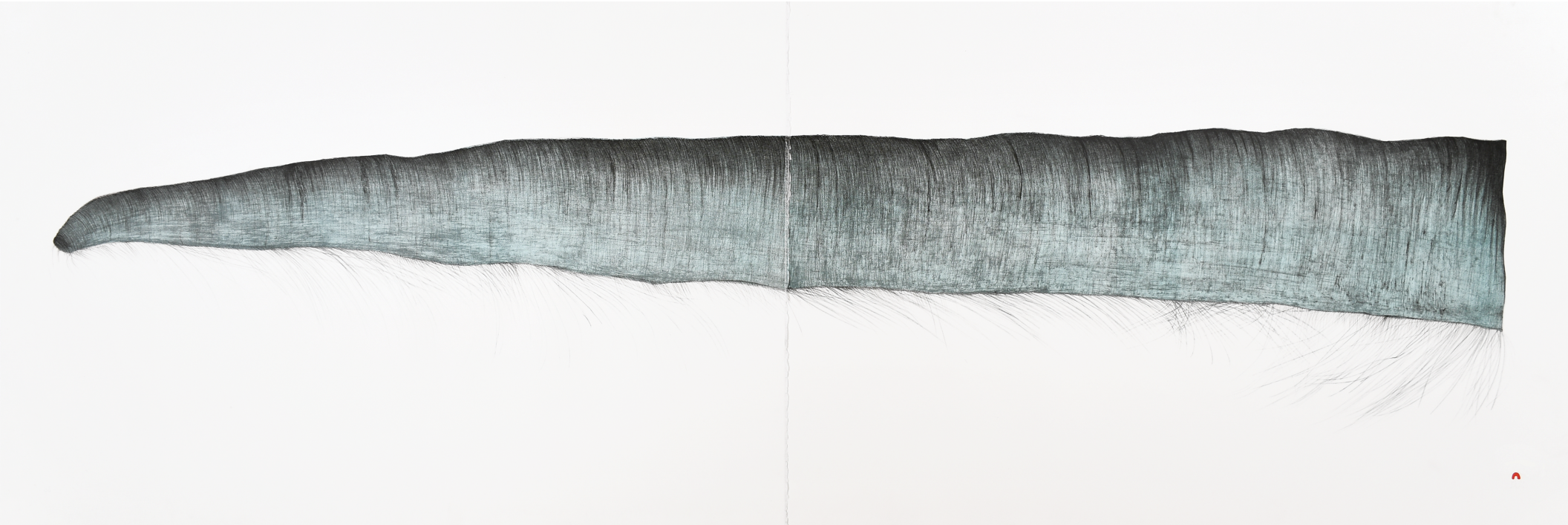


Evening, Baie St Paul
\$35.00
A. X. Jackson
Studio Bldg.
Severn St Toronto

This piece has been framed at:
Framing & Art Centre
188 Kent Street
London, ON N6A 1L3
(519) 438-2534
www.framingandart.ca

Date March 2013
TM1 SW

GUARANTEED
FRAMING
ACROSS CANADA



ITEM 4.6(a) - Report of the COU Academic Colleague

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

EXECUTIVE SUMMARY:

The Report of the COU Academic Colleague is reported to the Board of Governors through Senate for information.

ATTACHMENTS:

[Report of the COU Academic Colleague](#)

Report of the COU Academic Colleague

Erika Chamberlain, September 2020

The COU Academic Colleagues held a virtual meeting on October 13-14. We were joined by the new COU President and CEO, Steve Orsini, for a conversation about his plans and priorities for government advocacy. Orsini stressed his desire to position COU and universities as partners that can help the government address its current priorities.

Moving forward, Orsini noted that COU will be focusing on three key priorities:

- (1) enhancing advocacy to support financial sustainability and postsecondary education policy changes;
- (2) demonstrating to government and the public an effective sector-wide response to COVID-19 (in terms of testing, scientific discovery and the many other ways in which university faculties are supporting Ontario through the pandemic); and
- (3) growing and enhancing COU's data analysis capacity.

The full Council met on October 16. The primary discussion item was anti-Black racism initiatives at our universities, including institution-wide responses like targeted and cluster hiring. We were joined by special guest, Dr. Carl James, Professor and Senior Advisor on Equity and Representation at York University.

Other COU updates that may be of interest to Senators:

COVID-19: COU estimates that COVID-19 has cost the sector about \$700 million in lost ancillary revenue and additional expenses. Enrolment numbers were mixed among institutions, and it is not yet clear how long-term enrolment patterns (especially of international students) may be affected. COU is asking the provincial government to provide support to ensure the financial stability of the sector.

Consultations on "Modernization": MTCU officials have signaled that they expect to roll out several policy initiatives in the fall, including a new tuition framework. They also expect to share their direction in other areas, including micro-credentials and work-integrated learning.

COU has sent a letter to Minister Romano about the need to unfreeze tuition that highlights the sector's commitment to affordability, new cost pressures, and the long-term impact of frozen revenue levers.

Facilities Renewal: Universities and colleges receive annual payments to support facilities upkeep. The government has announced a new distribution formula, and universities are receiving less money than in previous years. Next year's university allocation will be \$80.9M – which is \$20M less than previously planned.

ITEM 4.6(b) - Academic Administrative Appointments

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION

EXECUTIVE SUMMARY:

Faculty Relations advised of the following academic administrative post(s) approved on behalf of the Board of Governors until the month of September 2020.

Start Date	End Date	Name	Department	Admin Appointment
9/1/2020	8/31/2021	Watling, Christopher	Schulich School of Medicine & Dentistry	Acting Vice Dean
9/1/2020	8/31/2021	Tithecott, Gary	Schulich School of Medicine & Dentistry	Acting Vice Dean
10/1/2020	9/30/2022	Stein, Robert	Schulich School of Medicine & Dentistry	Assistant Dean
10/1/2020	9/30/2025	Foxcroft, Laura	Schulich School of Medicine & Dentistry	Assistant Dean

ITEM 5.0 - Items Removed from the Consent Agenda

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION/DISCUSSION

EXECUTIVE SUMMARY:

The following items were removed from the Consent Agenda:

4.2(c) - [Annual Report on Underwater Endowments](#)

4.4(b) - [Revisions to Election Procedures](#)

4.5 (a) - [Acquisition of A.Y. Jackson & Ashoona](#)

ITEM 6.1 – Enrolment Update

ACTION REQUIRED: ☐ FOR APPROVAL ☒ FOR INFORMATION/DISCUSSION

EXECUTIVE SUMMARY:

R. Chelladurai, Associate Vice-President (Planning, Budgeting and Information Technology) will provide a verbal update on enrolment.

Enrolment Update: Final Actual *Full-Time Fall 2020*

	2019-20 Actual	Planned 2020-21	Final 2020-21	Final minus Plan
Year 1 Undergrad				
Domestic	4,683	4,600	5,415	815
International	639	830	592	-238
Total	5,322	5,430	6,007	577
Total Undergrad				
Domestic	22,113	21,985	23,039	1,054
International	2,893	3,085	2,952	-133
Total	25,006	25,070	25,991	921
Masters				
Domestic	2,936	3,129	3,070	-59
International	1,010	1,052	855	-197
Total	3,946	4,181	3,925	-256
Doctoral				
Domestic	1,554	1,562	1,545	-17
International	665	681	686	5
Total	2,219	2,243	2,231	-12
Total UG & Grad				
Domestic	26,603	26,676	27,654	978
International	4,568	4,818	4,493	-325
Total	31,171	31,494	32,147	653