

Members are reminded of the requirement that they give notice of conflict of interest prior to consideration of any matter on the Board open and closed session agendas

**BOARD OF GOVERNORS MEETING**

**1:30 p.m., Tuesday, September 24, 2019**  
**Cherry Room, Ivey Spencer Leadership Centre**

*The Land Acknowledgement Statement will be read at the beginning of the meeting.*

1. Adoption of Agenda - Open Session
2. Report of the President (Alan Shepard)
3. Unanimous Consent Agenda – **Appendix I**  
Includes **Open Session Minutes of the Meeting of June 27, 2019**
4. Business Arising from the Minutes
5. Reports of Committees:
  - Property & Finance Committee – **Appendix II** (Keith Gibbons)
  - Senior Policy & Operations Committee – **Appendix III** (Paul Jenkins)
  - Governance & By-Laws Committee – **Appendix IV** (Rick Konrad)
  - Audit Committee – **Appendix V** (Susan Bennett)
  - Fund Raising and Donor Relations – **Appendix VI** (Carol Stephenson)
  - McIntosh Gallery – **Appendix VII**
6. Items Referred by Senate – **Appendix VIII** (Alan Shepard)
7. Questions from Members
8. Other Business
9. Adjournment to Confidential Session

## **SUMMARY OF AGENDA ITEMS – SEPTEMBER 24, 2019 - OPEN SESSION**

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| Adoption of Agenda | ACTION |
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| Unanimous Consent Agenda – <a href="#">Appendix I</a> | ACTION |
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| Minutes of the Meeting of June 27, 2019 – Open Session | ACTION |
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### **Report of the Property & Finance Committee – [Appendix II](#)**

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| Scholarships, Awards, and Prizes                | INFORMATION |
| McEwen Western Integrated Science Professorship | INFORMATION |

### **Report of the Senior Policy & Operations Committee – [Appendix III](#)**

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| Committee Appointments | INFORMATION |
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### **Report of the Governance & By-Laws Committee – [Appendix IV](#)**

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| Principles of Engagement for Board Committee Chairs | ACTION      |
| Board of Governors By-Election Schedule – Fall 2019 | INFORMATION |

### **Report of the Audit Committee – [Appendix V](#)**

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| Financial Statements – Related Companies              | INFORMATION |
| Annual Report on Non-Discrimination/Harassment Policy | INFORMATION |
| Western Retirement Plans Report September 2019        | INFORMATION |

### **Fund Raising and Donor Relations – [Appendix VI](#)**

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| Fund Raising Activity Quarterly Report to July 31, 2019 | INFORMATION |
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### **Report of the McIntosh Gallery – [Appendix VII](#)**

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| McIntosh Gallery Report to the Board of Governors – September 2019 | INFORMATION |
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### **Items Referred by Senate – [Appendix VIII](#)**

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| Report of the Honorary Degrees Committee                  | INFORMATION |
| Institutional Quality Assurance Report (IQAP) – June 2019 | INFORMATION |
| Report of the Academic Colleague                          | INFORMATION |
| Academic Administrative Appointments                      | INFORMATION |
| Questions from Members                                    |             |

## REPORT OF THE PRESIDENT

To: Board of Governors

From: Alan Shepard

Date: September 17, 2019

Re: President's Report to the Board

Dear Governors,

This report summarizes noteworthy developments since I joined Western July 1 and also highlights some of the matters for the months ahead.

**Getting started:** It was a pleasure to welcome my first incoming class as Western's 11<sup>th</sup> president earlier this month on UC Hill. This year's first-year cohort is approximately 5,300, with a total undergraduate and graduate enrolment of approximately 33,000 on main campus. We are fortunate to attract so many great students to Western and our affiliated university colleges, and it's a privilege to have the opportunity to participate in their educations.

**Opening our doors:** Western hosted several major conferences and events over the summer—including our 3rd annual *World's Challenge Challenge*, the *Times Higher Education* Teaching Excellence Summit, the International Association for College Admission Counseling, and the 7th annual *See the Line* symposium on concussion research, among others—attracting upwards of 3,000 delegates from across Canada and around the world. Congratulations and thanks go to the many faculty, staff and students who contributed to the success of these events.

Looking ahead, we will be hosting a reception September 24 on campus for colleagues and external partners who are involved with the planning for Congress 2020. Scheduled for May 30-June 5, this important event will attract 8,000 or more delegates to Western and promote the wide range of research conducted across the humanities and social sciences. Western is hosting Congress for the second time, the first being a very successful event in 2005. Thanks go to Academic Convenor **Jeff Tennant** and the many volunteers behind this major institutional initiative.

**Accolades:** I'm pleased to congratulate the following faculty, students and alumni who, among others, were recognized with special honours over the summer:

- Professors **Ann Chambers** (Oncology, Medical Biophysics and Pathology), **Joy MacDermid** (Physical Therapy) and **Ravi Menon** (Medical Biophysics) on being elected as fellows of the Royal Society of Canada (RSC)
- Professors **Lauren Flynn** (Chemical & Biochemical Engineering) and **Janice Forsyth** (Sociology) on being named members of the RSC's College of New Scholars, Artists and Scientists
- Professor **Neil Banerjee** (Earth Sciences) on being awarded the Canadian Fulbright Fellowship in support of his research on synchrotron technology and mining

- Students **Katie Brown** (Physics) and **Liam Israels** (Engineering) on receiving the Schulich Leaders Scholarships
- Alumni **Selwyn Collaco** (EMBA'15) and **Devish Gupta** (JD'12) on be named among Canada's Top 25 Immigrants in an awards program sponsored by RBC and Canadian Immigrant Magazine

Celebrating the success of our colleagues is important to building a positive campus culture. I recognize this list is not a comprehensive reflection of all the summer's special achievements and I encourage you to reach out to share your good news stories with me. Please join me in a collective effort to recognize the good work of others.

**Team success:** Among a long list of scholarly achievements and research advancements that captured public attention over the summer—including the opening of Western's one-of-a-kind ImPaKT imaging and pathogen containment facility—many of our colleagues were part of successful efforts to attract the support of various federal granting agencies.

On July 17, the Social Science & Humanities Research Council announced that 97 Western research projects have been awarded a total of \$8.4 million. Noteworthy among this deserving work is a large collaborative project co-directed by **Laura Stephenson** (Political Science) called the Consortium on Electoral Democracy involving a nationwide network of scholars studying political engagement, representation, public opinion, electoral behaviour and systems, electioneering and other factors that influence policy-making in Canada.

Also on July 17, more than 20 Western researchers involved with 10 separate projects in the Schulich School of Medicine & Dentistry and the Faculty of Health Sciences were awarded more than \$8 million from the Canadian Institutes of Health Research. Their work ranges from evaluating new ways of looking at spinal cord injury to understanding how neuroimaging can improve diagnostics for Parkinson's disease.

Then, on August 12, the Canada Foundation for Innovation announced over \$1 million in infrastructure support for six projects involving Western faculty members in Psychology, Computer Science, Anatomy & Cell Biology, Chemical & Biochemical Engineering, and Mechanical & Materials Engineering.

It's great to see team success across the disciplines, particularly those involving partnerships within and beyond our institution. Congratulations to all.

**Government update:** On the provincial front, formal processes related to the next round of Strategic Mandate Agreements (SMA3) for Ontario's postsecondary institutions are underway, with expectation that submissions will be made to the government in the first quarter of 2020.

On August 12, I met **Ross Romano**, the new Minister of Training, Colleges & Universities, who was appointed to the role as part of a spring cabinet shuffle that saw former minister **Merilee Fullerton** appointed Minister of Long-Term Care. We've also had opportunity to meet with other TCU officials including **David Piccini**, recently named parliamentary assistant to the minister. We look forward to participating in the Council of Ontario Universities Summit in Toronto later this month where we will continue our advocacy efforts on Western's behalf.

On the federal front, we are now of course looking ahead to the newly announced October 21 election. There are numerous issues that will be focal points for this campaign that are likely to keep postsecondary matters in the background. However, together with our peer institutions in the U15, we will be looking for opportunities to advocate on behalf of our sector.

**Senior appointments:** I want to recognize and extend my best wishes for success to the following colleagues who have assumed new leadership roles effective July 1 or more recently:

- **Sarah Prichard**, Acting Vice-President (Research)
- **Kevin Shoemaker**, Acting Associate Vice-President (Research)
- **Jacquelyn Burkell**, Acting Associate Vice-President (Research)
- **Ken Coley**, Dean, Faculty of Engineering
- **Perry Klein**, Interim Acting Dean, Faculty of Education (July 1-September 31, 2019)
- **Kathy Hibbert**, Acting Dean, Faculty of Education (October 1-June 30, 2020)
- **Jim Weese**, Acting Vice-Provost & Associate Vice-President (International)
- **Mark Daley**, Special Advisor to the President (Data Strategy)

**Leadership review and selection:** The work of selection committees for the next Dean of the Schulich School of Medicine & Dentistry and the next Vice-Provost & Associate Vice-President (International) remain underway. Meanwhile, the work of review committees for the Vice-Provost (Academic Planning, Policy & Faculty) and the Dean of Social Science is also underway. Looking ahead, selection committees to recruit the next Vice-President (Research) and the next Dean of the Faculty of Education will soon be constituted and begin their work in the fall.

Yours sincerely,

Alan Shepard

**UNANIMOUS CONSENT AGENDA**

**FOR APPROVAL**

*Any member who wishes to ask a question, discuss, or oppose an item that is listed below may have it removed from the consent agenda by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the following motion.*

**Recommended:** That the following items be approved or received for information by the Board of Governors by unanimous consent:

**Minutes**

|                                              |        |
|----------------------------------------------|--------|
| 1. Open Session Minutes of the June 27, 2019 | ACTION |
|----------------------------------------------|--------|

**Report of the Property & Finance Committee – Appendix II**

|                                                    |             |
|----------------------------------------------------|-------------|
| 2. Scholarships, Awards, and Prizes                | INFORMATION |
| 3. McEwen Western Integrated Science Professorship | INFORMATION |

**Report of the Senior Policy & Operations Committee – Appendix III**

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| 4. Committee Appointments | INFORMATION |
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**Report of the Governance & By-Laws Committee – Appendix IV**

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| 5. Board of Governors By-Election Schedule – Fall 2019 | INFORMATION |
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**Report of the Audit Committee – Appendix V**

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| 6. Financial Statements – Related Companies              | INFORMATION |
| 7. Annual Report on Non-Discrimination/Harassment Policy | INFORMATION |
| 8. Western Retirement Plans Report September 2019        | INFORMATION |

**Fund Raising and Donor Relations – Appendix VI**

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| 9. Fund Raising Activity Quarterly Report to July 31, 2019 | INFORMATION |
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**Report of the McIntosh Gallery – Appendix VII**

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| 10. McIntosh Gallery Report to the Board of Governors – September 2019 | INFORMATION |
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**Items Referred by Senate – [Appendix VIII](#)**

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| 11. Honorary Degree Recipients – Fall 2019             | INFORMATION |
| 12. Institutional Quality Assurance Report – June 2019 | INFORMATION |
| 13. Report of the Academic Colleague                   | INFORMATION |
| 14. Academic Administrative Appointments               | INFORMATION |

### **The Unanimous Consent Agenda**

The Board's parliamentary authority -- *Sturgis Standard Code of Parliamentary Procedure* -- explains the consent agenda:

Organizations having a large number of routine matters to approve often save time by use of a *consent agenda*, also called a *consent calendar* or *unanimous consent agenda*. This is a portion of the printed agenda listing matters that are expected to be non-controversial and on which there are likely to be no questions.

Before taking the vote, the chair allows time for the members to read the list to determine if it includes any matters on which they may have a question, or which they would like to discuss or oppose. Any member has a right to remove any item from the consent agenda, in which case it is transferred to the regular agenda so that it may be considered and voted on separately. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual votes.

A number of Canadian university Boards have employed the consent agenda format to include not only routine approval items, but also information items. One reason for using this format is to allow the Board to focus on major items of business. While approval of an omnibus motion saves time at Board meetings, Board members will want to review the agenda materials carefully in order that they properly discharge their responsibilities.

#### **How it works:**

The Secretary identifies action and information items that are routine and/or likely non-controversial. In so doing, she may consult with the Chair of the Board, the relevant committee chair, and principal resource persons. In each Committee's report, these items are noted in the list of items at the beginning of the report. Action and information items on the agenda and in committee reports that are not noted on the consent agenda will be presented singly for discussion and voting (when appropriate).

When members receive their Board agendas, they should review all reports in the usual manner. **If any member wants to ask a question, discuss, or oppose an item that is marked for the consent agenda, he or she can have it be removed from the consent agenda** by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the motion to approve or receive, by unanimous consent, the items listed.

At the Board meeting, before the unanimous consent motion is presented for approval, the Chair of the Board (1) will advise the Board of items that are to be removed from the list, based on prior requests from Board members; and (2) will ask if there are any other items that should be removed from the list. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual presentation and voting. Those matters that have been struck from the consent agenda will be handled in the usual way as each Committee's report is presented.

The minutes of the Board meeting will report matters approved as part of the consent agenda as "carried by unanimous consent". Information items received as part of the consent agenda will be reported as received.





## **MINUTES OF THE MEETING OF THE BOARD OF GOVERNORS**

**JUNE 27, 2019**

The meeting was held at 1:35 p.m. in Room 4155 Stevenson Hall.

PRESENT: P. Jenkins, Chair  
K. Kwan, Secretary

|                     |               |
|---------------------|---------------|
| S. Bennett          | G. Parraga    |
| W. Boye             | M. Robinson   |
| C. Burghardt-Jesson | C. Rorabeck   |
| A. Chakma           | B. Ross       |
| G. Dick             | J. Shapiro    |
| K. Gibbons          | S. Shortreed  |
| R. Giffin           | C. Stephenson |
| C. Karakatsanis     | K. Sullivan ☎ |
| D. Keddy            | J. Toswell    |
| R. Konrad           | H. Usher      |
| M. Lerner           | V. Pirone     |
| K. Mequanint        |               |

By Invitation: J. C. Aubin, J. Capone, K. Cole, H. Connell, A. Hrymak, L. Logan, J. O'Brien,  
P. Paolatto, P. Racco, M. Ruddock, C. Trollop

Regrets: S. Chrominska

### **Land Acknowledgement**

D. Keddy read the Land Acknowledgement.

### **Chair's Remarks**

The Chair of the Board noted the many accomplishments of President Chakma, thanked him for his excellent leadership and invited the President to address the Board of Governors with some outgoing remarks.

BG.19-55

### **REPORT OF THE PRESIDENT**

The President discussed the following items:

- Provincial cabinet shuffle
- Linda Hasenfratz named Western's 23<sup>rd</sup> Chancellor
- Dr. Sarah Prichard appointed as Western's Acting Vice-President (Research)
- Julie McMullin appointed Vice-President (Academic) & Provost at Mount Saint Vincent University in Halifax
- Patrick Callaghan appointed Assistant Vice-Chancellor, Finance & Operations at the Scripps Institution of Oceanography at the University of California, San Diego
- Ken Coley named Dean of the Faculty of Engineering
- Paul Paolatto named interim Director of Finance and Administration at Research

Western

- Jim Weese appointed Acting Vice-Provost & Associate Vice-President (International)
- Kevin Shoemaker named as interim Associate Vice-President (Research)
- The selection committee for the next Dean of the Schulich School of Medicine & Dentistry remains underway
- Review Committees for the Vice-Provost (Academic Planning, Policy & Faculty) and the Dean of Social Science are underway
- Selection committees to recruit the next Vice-President (Research), next Associate Vice-President (Research), and the next Vice-Provost & Associate Vice-President (International) will soon be struck or have already begun their work

Additional items reported on by the President:

- The President noted his ongoing support of the University and his gratitude to the Board of Governors for the privilege serving as the President of the University.
- A member asked if the President would like to make any comments on the *Times Higher Education* World University Rankings 2019. The President advised that Western had worked hard to improve in the ranking metrics, but that other universities were working equally as hard. He stated that Asian and Australian universities were performing quite well, and that this is an opportunity for the University to improve by challenging the status quo.
- A member noted that the Library and Information Sciences program performed well against its previous standing.

A member requested information on the retirement incentive offer provided to Faculty, including the parameters and eligibility criteria. She requested clarification on why this was not offered to staff members. The President advised the Board that 38 faculty members had elected to take advantage of the program, to date.

Dr. A. Hrymak (Provost & Vice-President (Academic)) noted that approximately 320 people were eligible for the incentive program. Individualized packages were sent to eligible faculty, discussing details relating to exit dates (between January 2020 through July 2020) and compensation. He stated that the Human Resources Department is actively working with eligible faculty who are interested in considering this opportunity. The Provost informed members that staff members had not been offered the incentive package, but that ongoing review of the budget and needs of the University did not rule out the possibility of a package at a later time.

A member requested clarification on the Right to Strike Legislation from the provincial government and asked if there were any employee groups currently bargaining. Dr. A. Hrymak (Provost & Vice-President (Academic)) advised the Board that the University was in discussions with UWOFALA.

BG.19-56 **UNANIMOUS CONSENT AGENDA**

It was moved by K. Gibbons, seconded by C. Rorabeck,

That the 13 items listed in Appendix I, Unanimous Consent Agenda be approved or received for information, except item 8 from Appendix V, the 2018 Annual Report: Campus Community Police Service.

CARRIED

BG.19-57 **Minutes of the Previous Meeting**

The open session minutes of the meeting of April 25, 2019 were approved as circulated.

BG.19-58 **Business Arising from the Minutes**

There were no items noted as business arising from the January 24, 2019 minutes.

**REPORT OF THE PROPERTY & FINANCE COMMITTEE** [Appendix II]

Prior to considering the Report of the Property & Finance Committee, K. Gibbons provided a high-level overview of the recent work of the Committee.

BG.19-59 **Revisions to MAPP Policies**

It was moved by K. Gibbons, seconded by D. Keddy,

That the Board of Governors approve revisions to the following MAPP policies attached as Appendix II, Annex's 1-4 as shown below:

That MAPP 1.25 be amended as shown in Appendix II, Annex 1

That MAPP 1.10 be deleted as shown in Appendix II, Annex 2

That MAPP 1.50 be amended and renamed "Signage and Election Posters" as shown in Appendix II, Annex 3 and,

That MAPP 1.36 be amended as shown in Appendix II, Annex 4.

CARRIED

BG.19-60 **MAPP 2.12 Acquisition of Investment Services – Revisions to Policy**

It was moved by K. Gibbons, seconded by R. Konrad,

That the Board of Governors approve revisions to MAPP 2.12 as shown in Appendix II, Annex 5.

CARRIED

BG.19-61 **MAPP 2.26 Statement of Investment Objectives Policies and Governance**

It was moved by K. Gibbons, seconded by G. Dick,

That the Board of Governors approve the revised Statement of Investment Objectives, Policies & Governance for the Short-Term Portfolio, the Mid-Term Portfolio and the Operating and Endowment Fund.

CARRIED

BG.19-62 **MAPP X.XX – Major Strategic Opportunities Fund Policy**

It was moved by K. Gibbons, seconded by R. Konrad,

That the Board of Governors approve the Major Strategic Opportunities Fund Policy (MAPP X.XX) as shown in Appendix II, Annex 6, and,

That the Board of Governors approve \$250 million from the Undistributed Investment Returns be set aside for this purpose, effective July 1, 2019.

In the discussions it was noted that the policy provides the President with the ability to take timely advantage of strategic opportunities, with full transparency and oversight through approval of all proposals by the Board of Governors. This oversight would include risk management of the fund. It was also noted that the incoming President was aware of the fund.

Issues raised by Board members included a concern that investment of the funds could limit the funding pool for projects such as renovations and other capital projects in the future.

CARRIED

BG.19-63 New Tuition Fee Proposal for 2019-20 Medicine (MD) Program

It was moved by K. Gibbons, seconded by G. Parraga,

That the Board of Governors approve the following proposal of tuition fees for 2019-20:

Medicine (MD) – 5th Year: 50% of 4th Year Rate

CARRIED

BG.19-64 Revised Tuition Fee Recommendations for 2019-20 Part-Time JD Program

It was moved by K. Gibbons, seconded by G. Dick,

That the Board of Governors approve the following revision of tuition fees for 2019-20:

Part-time Per-Course Undergraduate Law (JD): 1/7th of Full-Time Rate

CARRIED

BG.19-65 Information Items Reported by the Property & Finance Committee

The Report of the Property & Finance Committee, detailed in Appendix II, contained the following items that were received for information by unanimous consent:

- Scholarships, Awards, Prizes
- Lucas Family Women in Science Distinguished Scholar
- Ancillary Financial Report
- Quarterly Ratio-Report on Non-Endowed Funds
- Quarterly Report – 2018-19 Operating Budget Update as at April 30, 2019

**REPORT OF THE GOVERNANCE & BY-LAWS COMMITTEE** [Appendix III]

Prior to considering the Report of the Governance and By-Laws Committee, R. Konrad provided a high-level overview of the recent work of the Committee.

BG.19-66 Statement on Equity, Diversity and Inclusion

It was moved by R. Konrad, seconded by D. Keddy,

That the Board of Governors approve the Statement on Equity, Diversity, and Inclusion, as a statement of principle of the Board, as shown in Appendix III, Annex 1.

CARRIED

BG.19-67 **Revisions to By-Law No. 1 and the Principles of Engagement for Members of the Board of Governors, and approval of the new Process for Dealing with a Breach of the Principles of Engagement for Members of the Board of Governors**

It was moved by R. Konrad, seconded by G. Dick,

That the Board of Governors approve the amendments to By-Law No. 1, as shown in Appendix III, Annex 2, and

That the Board of Governors approve the amendments to the Principles of Engagement for Members of the Board of Governors, as shown in Appendix III, Annex 3, and

That the Board of Governors approve the Process for Dealing with a Breach of the Principles of Engagement for Members of the Board of Governors, as shown in Appendix III, Annex 4.

R. Konrad advised members that the item had been reviewed at the previous meeting of the Board of Governors. He outlined the purpose of the By-Law and noted that the proposed changes clarified the powers of the Board of Governors to execute on the various authorities provided within the UWO Act.

The question was called and CARRIED.

Governor Lerner requested that his vote against the motion be recorded in the minutes.

**REPORT OF THE SENIOR POLICY & OPERATIONS COMMITTEE** [Appendix IV]

Prior to considering the Report of the Senior Policy & Operations Committee, P. Jenkins provided a high-level overview of the recent work of the Committee.

BG.19-68 **Information Items reported by the Senior Policy & Operations Committee**

Appendix IV, Report of the Senior Policy & Operations Committee, contained the following item that was received for information by unanimous consent:

- Membership of the University Discipline Appeal Committee (UDAC)

**REPORT OF THE AUDIT COMMITTEE** [Appendix V]

Prior to considering the Report of the Audit Committee, S. Bennett provided a high-level overview of the recent work of the Committee.

BG.19-69 **2018 Campus Community Police Service Annual Report**

L. Logan Vice-President (Operations & Finance) and J. C. Aubin, Campus Police provided the Board of Governors with a presentation on the 2018 Campus Community Police Service Annual Report.

A member requested clarification on the complement of female officers with specific information on any changes to the female officer complement of Campus Police. L. Logan noted that going forward the report will include a composition for Board of Governors members.

A member further noted that the report references a strategic plan but was unable to locate a copy of the plan for Campus Police online. L. Logan Vice-President advised members that the Campus Police website would be updated with the Strategic Plan.

**REPORT OF THE FUND RAISING AND DONOR RELATIONS COMMITTEE** [Appendix VI]

Prior to considering the Report of the Audit Committee, K. Cole provided a high-level overview of the recent work of the Committee.

BG.19-70 **Information Items reported by the Fund Raising and Donor Relations Committee**

Appendix VI, Report of the Fund Raising and Donor Relations Committee, contained the following item that was received for information by unanimous consent:

- Fund Raising Initiatives Quarterly Report to April 30, 2019

**ITEMS REFERRED BY SENATE** [Appendix VII]

BG.19-71 **Renewal of the Affiliation Agreement between The University of Western Ontario and Brescia University College, Huron University College, and King's University College**

It was moved by A. Chakma, seconded by B. Ross,

That the Board of Governors approve the Affiliation Agreement between The University of Western Ontario and Brescia University College, Huron University College, and King's University College for a four-year term effective July 1, 2019, as shown in Appendix VII, Annex 1.

CARRIED

BG.19-72 **Approval of the Institute for Earth and Space Exploration**

It was moved by D. Keddy, seconded by H. Usher,

That the Board of Governors approve the establishment of the Institute for Earth and Space Exploration, effective July 1, 2019.

Dr. J. Capone, Vice-President (Research) discussed the positive impact that the Institute for Earth and Space Exploration would have on the campus community, noting to members the various partnerships that the institute would bring to the University's campus. The Vice-President (Research) provided members with details relating to funding, research priorities and core faculty partnerships that could develop from the establishment of the Institute.

The question was called and CARRIED.

BG.19-73 **John M. Thompson Centre for Engineering Leadership and Innovation**

It was moved by K. Gibbons, seconded by B. Ross,

That the Board of Governors approve that the Centre of Engineering Leadership and innovation within the Faculty of Engineering be named the John M. Thompson Centre for Engineering Leadership and Innovation.

CARRIED

BG.19-74 **Faculty of Arts and Humanities – Proposed Name Change: “Department of Modern Languages and Literatures” to “Department of Languages and Cultures”**

It was moved by V. Pirone, seconded by G. Dick,

That the Board of Governors approve that the name of the Department of Modern Languages and Literatures within the Faculty of Arts and Humanities be changed to the Department of Languages and Cultures effective July 1, 2019, and

~~That the Constitution of the Faculty of Arts and Humanities be amended to reflect the name change from the Department of Modern Languages and Literatures to the Department of Languages and Cultures.~~

A member noted that it was not within the remit of the Board of Governors to approve a change to a Faculty Constitution and requested that the second clause of the motion be struck from further consideration.

The question was called and CARRIED.

BG.19-75 **Faculty of Social Science – Proposed Name Change: “First Nations Studies” Program to “Indigenous Studies” Program**

It was moved by K. Gibbons, seconded by K. Mequanint,

That the Board of Governors approve that the “First Nations Studies” Program within the Faculty of Social Science be changed to the “Indigenous Studies” Program effective July 1, 2019.

CARRIED

BG.19-76 **Information Items Referred by Senate**

Appendix VII, Items Referred by Senate, contained the following items that were received for information by unanimous consent:

- Annual Report of the Working Group on Information Security (WGIS) 2018
- Report on Promotion and/or Tenure – Faculty of 2018-2019 and LA 2008-2019
- Report of the Academic Colleague
- Academic Administrative Appointments

**ADJOURNMENT**

The meeting adjourned to the confidential session at 2:47 p.m.

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P. Jenkins  
Chair

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K. Kwan  
Secretary

**REPORT OF THE PROPERTY AND FINANCE COMMITTEE**

| Contents                                               | Consent<br>Agenda |
|--------------------------------------------------------|-------------------|
| <b>Scholarships, Awards, and Prizes</b>                | Yes               |
| <b>McEwen Western Integrated Science Professorship</b> | Yes               |

**FOR INFORMATION**

1. **Scholarships, Awards, and Prizes**

At its meeting on September 17, 2019, the Property & Finance Committee approved on behalf of the Board of Governors (subject to Senate approval on September 20, 2019), the Terms of Reference for new scholarships and awards as outlined in [Annex 1](#).

**Background:**

See [Annex 1](#).

2. **McEwen Western Integrated Science Professorship**

At its meeting on September 17, 2019, the Property & Finance Committee approved on behalf of the Board of Governors (subject to Senate approval on September 20, 2019), that the McEwen Western Integrated Science Professorship be established and appointed within the Faculty of Science.

**Background:**

See [Annex 2](#).



### **Scholarships, Awards, and Prizes**

#### **T.J. Laskowsky Class of 2001 Entrance Award in Law (Law)**

Awarded annually to a full-time student entering Year 1 in the Faculty of Law, based on demonstrated financial need and community service. Candidates' involvement in their community will be documented on their admission application. Online financial assistance applications are available through Student Center and must be submitted by July 1. The Faculty of Law will select the recipient after the Registrar's Office assesses the financial need. This award was established by the Law Class of 2001, family and friends, in memory of T.J. Laskowsky (LLB'01).

Value: 1 at \$1,000

Effective Date: 2019-2020 academic year

*T.J. Laskowsky graduated from Western's Law Class of 2001 and returned to his hometown in Oshawa where he worked at his father's law firm, Laskowsky & Laskowsky. T.J.'s light hearted personality and happy, social, and stress-free approach to life made him a friend to all. Sadly, T.J. died in 2015 at the age of 42.*

#### **Caterina Ligori Engineering Award (Engineering)**

Awarded annually to a full-time undergraduate female student in Year 2 or higher, in the Faculty of Engineering, who has achieved a minimum 75% overall average in the previous year and has shown exemplary support and encouragement to others through participation in extracurricular activities and/or mentorship. Applications must be completed online by September 30<sup>th</sup> through the Engineering Undergraduate Services website (<http://www.eng.uwo.ca/undergraduate>) outlining how they have demonstrated their support and encouragement of others in the spirit of the life values that Caterina lived by. Preference will be given to a female student whose parents immigrated to Canada. The Undergraduate Awards Committee in the Faculty of Engineering will select the recipient. This award was established with a generous gift from Angelo (BESc '78) Ligori and his wife Rosella, in memory of his mother, Caterina Ligori.

Value: 1 at \$1,000

Effective Date: 2019-2020 academic year

*Caterina Ligori was born in Italy in 1933 and immigrated to Canada with her husband and children in 1966. Caterina raised her four children following the values of Integrity, Honesty, Respect, Commitment and Openness to change. With only a Grade 3 formal education, Caterina used these life values to empower all of her children to graduate with a university degree. This award hopes to recognize the positive impact a loving and supportive person can make in the lives of those they touch.*

#### **Meds Class of 1977 Bursary (Schulich School of Medicine & Dentistry)**

Awarded annually to a full-time student in Year 1 or 2 of the Doctor of Medicine (MD) program in the Schulich School of Medicine & Dentistry, based on demonstrated financial need. Online financial assistance applications are available through Student Center and must be submitted by October 31. The Office of the Registrar will select the recipient. This bursary was established through generous donations from the Meds Class of 1977 Alumni Members.

Value: 1 at \$1,500

Effective Date: 2019-2020 academic year

#### **Brian Reid Graduate Scholarship (Medical Biophysics)**

Awarded annually to a full-time graduate student in a Masters or Doctoral program in Medical Biophysics at the Schulich School of Medicine & Dentistry, based on academic achievement and research merit. Preference will be given to a student studying imaging at Robarts Research Institute. Selection will be made by the Graduate Awards Committee in the Department of Medical Biophysics, with at least one representative of the committee holding membership in the School of Graduate and Postdoctoral Studies.

This scholarship was established by Dr. David Jaffray (PhD '94, Medical Biophysics) and Mrs. Stasia Jaffray (BSc '88 Communicative Disorders, MCISc '90) in memory of David's friend and classmate, Brian Keith Reid.

Value: 1 at \$1,900  
Effective Date: May 2019

*Brian Reid was an aspiring doctoral candidate in Medical Biophysics. He was completely engrossed in his studies and research in imaging at the Robarts Research Institute at The University of Western Ontario when he died tragically in 1991 at the age of 26.*

Eric K. Gillespie Professional Corporation Writing Scholarship (Law)

Awarded annually to a full-time student in Year 2 or 3 in the Faculty of Law, based on demonstrated excellence in writing and legal research in the area of environmental, sustainability and/or natural resources law. A paper written for a law school course, a seminar or an individual research paper, including a paper accepted for publication by a legal journal, will be considered. The recipient will be selected by the Scholarship and Awards committee in the Faculty of Law. This scholarship was established by a generous gift from Mr. Eric Gillespie (LLB'94) and colleagues, with the Eric K. Gillespie Professional Corporation.

Value: 1 at \$1,500  
Effective Date: 2018-2019 to 2022-2023 academic years inclusive

Brian Foster HBA '75 Award (Ivey Business School)

Awarded annually to a full-time HBA 1 student at the Ivey Business School, based on academic achievement, community leadership and demonstrated financial need. Online financial assistance applications are available through Student Center and must be submitted by September 30. The Office of the Registrar will determine financial need eligibility and the HBA Scholarship Committee will make the final selection of the recipient. This award was established with a generous gift from Brian Foster, HBA '75.

Value: 1 at \$5,000 continuing  
Effective Date: 2019-2020 to 2023-2024 academic years. Final recipient will be selected in 2022-2023.

Stephanie Just Resident Award in Clinical Neurological Sciences (Schulich School of Medicine & Dentistry, Clinical Neurological Sciences)

Awarded annually to a postgraduate trainee in a residency program in Clinical Neurological Sciences (CNS), with preference given to a resident whose research involves a Quality Improvement Project. Candidates must submit a one-page statement to the Departmental Office of CNS outlining the details of their project by March 31st. A committee in the Department of CNS will select the recipient. This award was established by a generous gift from the Just Family in memory of Stephanie Just.

Value: 1 at \$3,000  
Effective Date: 2019-2020 to 2021-2022 academic years inclusive

*Stephanie Michelle Just was a little girl with kindness and a smile for everyone she met. She was born with Familial Dysautonomia (Riley-Day Syndrome) and passed away at the age of 7. Stephanie and her family valued kind and humanistic medical care. Her legacy continues to brighten every tomorrow.*

London Western Excellence in Track & Field Scholarship (Any Undergraduate Program, Athletic Award)

Awarded annually to full-time students entering any degree program at Western, including the Affiliated University Colleges. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and will be making a contribution as a member of the London Western Track & Field Club. Candidates who are intercollegiate student athletes must be in compliance with current OUA and CIS regulations. The Western Athletic Financial Awards Committee will select the recipient. This committee will base its decision on its evaluation of academic performance/potential (20%)

and the written recommendation from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This scholarship was established by the London Western Track & Field Club.

Value: Number and Value of Awards will vary (up to \$5,000 available each year)  
Effective Date: 2019-2020 to 2023-2024 academic years inclusive

*The London Western Track & Field (LWTF) Club and Western Mustangs Track and Field have a long-standing history that dates back to 1970, when then head coach, Bob Vigars, started the club as a place for varsity athletes to train during the university off season. LWTF has since become a full-service track & field club for all ages and abilities. In an effort to keep local talent in London for their university career, LWTF has created a scholarship to help off-set post-secondary expenses for an individual choosing to attend Western and participate on the varsity track & field team.*

Western Waterpolo Award (Any Undergraduate Program, Athletic Award)

Awarded annually to full-time undergraduate or graduate students in any year of any degree program at Western, including the Affiliated University Colleges, who are making significant contributions as members of the Mustang Waterpolo team. Candidates who are intercollegiate student athletes must be in compliance with current OUA and CIS regulations. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80%, and a non-entering student must have an in-course average of 70%. The Western Athletic Financial Awards Committee will select the recipients based on its evaluation of academic performance/potential (20%) and the written recommendations from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This award was established by an anonymous donor.

Value: 1 at \$4,500 or 4 at \$1,000 Number and value will vary – A maximum of \$4,500 will be awarded to each student, with a total \$10,000 available each year for six years  
Effective Date: 2019-2020 to 2024-2025 academic years inclusive

Morneau Shepell Continuing Career Scholarship in Financial Modelling (Statistical and Actuarial Sciences)

Awarded to an undergraduate student in second year Honours Specialization Financial Modelling or Double Major that includes Financial Modelling, who has achieved high academic standing (minimum 75%), has demonstrated leadership abilities, and is committed to participating in two, four-month work experiences with the defined contribution pension consulting team at Morneau Shepell following second year and third year. Candidates must be Canadian citizens or permanent residents eligible for employment in Canada. The work term generally will be in Toronto, Ontario; however, opportunities may be available in other Morneau Shepell Offices across Canada, including Montreal or Vancouver, depending on availability. Recipients will be paid at standard rates for the student consulting pool within Morneau Shepell's organization for the work term, exclusive of the scholarship. A one-page statement must be submitted by September 30th to the Department of Statistical and Actuarial Sciences describing the candidate's leadership abilities, interest in a career in financial modelling or consulting, and commitment to summer employment with Morneau Shepell. Selection will be made by the Scholarship committee within the Department of Statistical and Actuarial Sciences. Recipients will be notified of selection by October 31. The recipient will continue to receive the scholarship in third year, provided they maintain a 75% average on a full course load. Participation in the work term is not required to retain the scholarship. Only one student will hold this scholarship during any year. This scholarship was established with a generous donation from Morneau Shepell.

Value: 1 at \$3,000, continuing for two years for a total of \$6,000 per recipient  
Effective Date: 2019-2020 to 2022-2023 academic years (with the last recipient selected in the 2021-2022 academic year)

Dr. Gord Vail Resident Award in Emergency Medicine (Emergency Medicine)

Awarded annually to a student completing their residency in Emergency Medicine at the Schulich School of Medicine & Dentistry–Windsor campus. The resident will have demonstrated a proficiency and dedication to the field of emergency medicine with an ability to integrate into the emergency medicine team by providing superb medical care to their patients, as well as a devotion to the advancement of emergency medicine in the Windsor community. Preference will be given to residents who have also demonstrated a dedication to athletics and leadership during their training. Nominations from other residents/faculty must be submitted to the Office of the Dean, Schulich School of Medicine & Dentistry–Windsor Campus, by March 31. Final selection will be made by a committee coordinated by the Dean, Windsor Campus. This award was established in Dr. Gord Vail's honour by his family and friends, and the men and women of the Windsor Emergency Services Community.

Value: 1 at \$2,000

Effective Date: 2019-2020 academic year

*Dr. Vail was a skilled and valued colleague and loyal true friend. He served as Medical Director of the Emergency Department, and Chief of Staff of Hotel Dieu Grace Hospital in Windsor, Ontario. He was an avid hockey player being active on the Ontario Medical Association teams, and the Windsor Emergency Services Hockey League. He provided 18 years of service, dedication and leadership to the Windsor community. He demonstrated a tireless work ethic and a devotion to excellent care to his patients and the entire team in emergency medicine. Dr. Vail died in 2019 at the age of 49. His service and life will always be remembered.*

Reise Family Foundation Wrestling Award (Any Undergraduate or Graduate Program, Athletic Award)

Awarded annually to full-time undergraduate and graduate students in any year of any degree program at Western including the Affiliated University Colleges who are making a contribution as members of the Men's or Women's Wrestling Team, who also have demonstrated financial need. Online financial assistance applications are available through Student Center and must be submitted by September 30th. Candidates must be in compliance with current OUA and CIS regulations. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and a non-entering student must have an in-course average of 70%. The Western Athletic Financial Awards Committee will select recipients after the Office of the Registrar has assessed for financial need. The committee will base its evaluation of academic performance/potential (20%) and the written recommendation from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). These awards were established by the Reise Family Foundation.

Value: Number and value will vary with \$5,000 available annually

Effective Date: 2019-2020 to 2021-2022 academic years inclusive

David Magee Scholarship in Visual Arts (Visual Arts)

Awarded annually to an undergraduate or graduate student in the Department of Visual Arts, based on academic achievement, and submission of a visual art project proposal. Candidates must submit their CV, as well as a proposal outlining their Practicum art project, including medium, a synopsis of their vision, what they are trying to communicate to the audience and how they visualize audience engagement to the Departmental Office of Visual Arts by September 30th. A committee within Visual Arts will select the recipient. This scholarship was established by a generous gift from Rosemary Slood (BEd '96) in honour of her husband, David Magee (BEd '96).

Value: 1 at \$1,500

Effective Date: 2019-2020 to 2023-2024 academic years inclusive

*David Magee received his Visual Arts education at Humber College, the Nova Scotia College of Art and Design, York University and The University of Western Ontario. While in Toronto, he worked on television commercials and movie sets with IATSE. He was an accomplished artist whose paintings were shown nationally and internationally. He taught at The University of Western Ontario, Wilfrid Laurier University, Fanshawe College, John Paul II and Galt House. David died in 2002 at the age of 50.*

Askunessippi (Antler River) Scholarship (FIMS)

Awarded annually to a graduate student entering the Masters of Library and Information Science program, based on academic achievement. Preference will be given to an Indigenous student (First Nations, Métis or Inuit). The recipient will be selected in accordance with the FIMS Awards and Scholarships Policy. This scholarship was established with a generous gift from an alumnus of the MLIS Class of 1992.

Value: 1 at \$1,000

Effective Date: May 2019

*Askunessippi means Antler River, which is the name of the Thames River in the Anishnaabe language. The name comes from a time when a very influential and highly regarded leader of the community lived at the mouth of the river at Lake St. Clair or the Lake of the Swirling Eddies. The area held a prominent place for Treaties and gatherings. The descendants of the great leader now live on Walpole Island.*

**Revisions to Western's Admission Scholarship Program**

|                                           | <b><u>Current</u></b>                                                                                                                                      | <b><u>Effective for 2020-20201 Academic Year</u></b>                                                                                                                                                                                                                                                                                    |
|-------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <u>Western Admission Scholarship</u>      |                                                                                                                                                            | <p>Awarded to entering, full-time first-year domestic or international students based on a final admission average from 90.0% to 91.9%. Students in receipt of a National Scholarship or a Schulich Leader Scholarship are not eligible.</p> <p>Value: \$1,500 each in first year plus \$1,000 for optional study abroad experience</p> |
| <u>Western Scholarship of Distinction</u> | <p>One-year admissions scholarships awarded to entering first-year students based on academic averages from 88.0% to 89.9%.</p> <p>Value: \$1,000 each</p> | <p>Awarded to entering, full-time first-year domestic or international students based on a minimum final admission average of 92%. Students in receipt of a National Scholarship or a Schulich Leader Scholarship are not eligible.</p> <p>Value: \$2,500 each in first year plus \$1,000 for optional study abroad experience</p>      |

|                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|---------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <u>Western<br/>Scholarship of<br/>Excellence</u>              | <p>One year admission scholarships awarded to entering first year students based on minimum academic average of 90.0% to 94.9%. These scholarships are available to International students.</p> <p>Value: \$2,000 each for 1 year</p>                                                                                                                                                                            | <p>250 admission scholarships recognizing outstanding academic achievement are available to entering, full-time first-year domestic or international students applying for admission directly from secondary school (or equivalent), based on the top admission averages. Students in receipt of a National Scholarship, a Schulich Leader Scholarship are not eligible. This cannot be combined with another Western admission scholarship.</p> <p>Value: 250 at \$6,000 in first year plus \$2,000 for optional study abroad experience</p> |
| <u>Four Year<br/>Continuing<br/>Admission<br/>Scholarship</u> | <p>An unlimited number of Continuing Scholarships will be available for students with entering averages of 95.0% or higher. The value of the award will be \$2,500 per year for four years. (In order to retain the award, students must maintain a yearly average of 80%. These Scholarships are available to International Students.</p> <p>Value: unlimited at \$10,000 (\$2,500 annually for four years)</p> | <p>Discontinued effective 2020-2021 academic year</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |

### **McEwen Western Integrated Science Professorship**

#### **Donor and Funding:**

This new professorship is funded through a non-endowed gift of \$300,000 from Robert McEwen (BA'73, Economics). The Professorship will be funded for a period of five years at \$60,000 annually.

#### **Effective Date:**

October 1, 2019

#### **Purpose**

The McEwen Western Integrated Science Professorship will be held by the Director of the Western Integrated Science Program.

Appointments to the Professorship will be conducted in accordance with Policy 2.22 – Funding of Academic Chairs, Professorships and Designated Faculty Fellowships of the University [https://www.uwo.ca/univsec/pdf/policies\\_procedures/section2/mapp222.pdf](https://www.uwo.ca/univsec/pdf/policies_procedures/section2/mapp222.pdf) and will be for a period of five years.

Should the holder of the Professorship be unable to complete their five-year term, a new appointment will be made for the duration of time for which available funding is still available.

#### **Criteria**

The WISc program is a resource intensive program which provides for a great deal of student-faculty interaction. The WISc students are challenged to push their intellectual and personal boundaries so as to help them to maximize their positive impact on the world by seeking scientific and personal contributions to some of the most crucial problems the world faces. The McEwen Western Integrated Science Professorship will be responsible for shaping the program. The Professorship will provide resources to establish a dedicated teaching and leadership position in WISc to ensure that Western is attracting prominent individuals to lead the program. The creation of the McEwen Western Integrated Science Professorship will allow its holder to devote more of their time to enhancing and growing the WISc Program.

#### **Allowable Expenses**

Expenses may include, but are not limited to, salary and benefits of the holder and/or teaching or administrative release for the holder of the position.

The administration of the spending of resources will be the responsibility of the Dean of the Faculty of Science.

#### **Reporting:**

The University, through the Faculty of Science will report twice a year (February and July) to the Donor regarding the activities of the holder of the Professorship for a period of five years. Additionally, Western representatives will personally visit with the Donor at least once per year.

#### **Background**

Mr. Rob McEwen is the chairman and chief owner of McEwen Mining Inc. He is also the founder and former chairman and CEO of Goldcorp Inc., one of the world's largest gold producers. Robert was inducted into the Canadian Mining Hall of Fame in 2017. Robert is a passionate innovator and marketer and serves on the board of trustees for XPRIZE Foundation.

In June 2019, Mr. McEwen received an honorary degree from Western.

#### **Reputational Risk**

Low

**REPORT OF THE SENIOR POLICY & OPERATIONS COMMITTEE**

| <b>Contents</b>               | <b>Consent<br/>Agenda</b> |
|-------------------------------|---------------------------|
| <b>Committee Appointments</b> | Yes                       |

**FOR INFORMATION**

1. **Committee Appointments**

On behalf of the Board of Governors, the following committee appointments were approved by the Senior Policy & Operations Committee, with terms as indicated:

- Sam Trosow to the McIntosh Gallery Committee effective October 1, 2019 until January 31, 2020
- Henri Boyi, Gerald Wright, Catherine Elliot Shaw and Thy Phu as members-at-large to the McIntosh Gallery Committee effective September 1, 2019 until January 31, 2020
- Fiona Cuddy to the Fund Raising & Donor Relations Committee effective October 1, 2019, as the designate for the President of the Alumni Association
- Parker Thomlinson to the Property and Finance Committee effective October 1, 2019 until January 31, 2020
- Aubrie Schettler, Graduate Student (Arts and Humanities) to the University Discipline Appeals Committee effective July 1, 2019 to June 30, 2020



**REPORT OF THE GOVERNANCE & BY-LAWS COMMITTEE**

| Contents                                                   | Consent Agenda |
|------------------------------------------------------------|----------------|
| <b>Principles of Engagement for Board Committee Chairs</b> | No             |
| <b>Board of Governors By-Election Schedule – Fall 2019</b> | Yes            |

**FOR APPROVAL**

1. **Principles of Engagement for Board Committee Chairs**

**Recommended:** That the Board of Governors approve the *Principles of Engagement for Board Committee Chairs*, as shown in **Annex 1**.

**Background:**

Following a recommendation from the Governance Review Task Force, the Board of Governors approved *Principles of Engagement for Members of the Board of Governors* and *Principles of Engagement for the Board Chair* in November 2018 (see [https://www.uwo.ca/univsec/board/board\\_members/index.html](https://www.uwo.ca/univsec/board/board_members/index.html)).

A further recommendation from the Governance Review Task Force was for the development of role statements for Board Committee Chairs. At the June 4, 2019 meeting, the Governance & By-Laws Committee considered a draft *Principles of Engagement for Board Committee Chairs*. The document provided in **Annex 1** reflects the feedback provided by Committee members at that meeting.

**FOR INFORMATION**

2. **Board of Governors By-Election Schedule – Fall 2019**

A By-Election will be held within the Undergraduate Student Constituency to select a candidate to continue the term of departing Undergraduate Student Board Member Justin Shapiro (June 2020). Nominations will open Friday, September 27, 2019 and close Friday, October 4, 2019 with campaigning and balloting to follow. Results of the by-election will be posted Friday, October 25, 2019.

The Board of Governors By-Election Schedule for Fall 2019 is outlined in **Annex 2**.



## Board of Governors

### PRINCIPLES OF ENGAGEMENT FOR BOARD COMMITTEE CHAIRS

**Effective Date:** \*

**Revised:** (NEW)

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The following describes the individual roles and responsibilities of Board Committee Chairs.

#### ROLE

A Standing Committee Chair must, in accordance with the *UWO Act*, be an external member of the Board. The principal role of a Board Committee Chair is to provide leadership and stewardship to a Committee of the Board, conducting the Committee's business and implementing its Terms of Reference. The Committee, through the Chair, is accountable to the Board; the Chair serves as the liaison between the Board and the Committee and presents the Committee's work to the Board.

#### DUTIES AND RESPONSIBILITIES

In addition to the duties and responsibilities of an individual Governor described elsewhere, a Board Committee Chair:

- works with the University Secretariat to establish and refine the annual work plan of the Committee;
- sets the agenda for each Committee meeting and ensures the timely distribution of materials, including minutes, to each Committee member;
- conducts the meeting according to the rules of order of the Board and applicable legislation, facilitating fulsome but efficient discussion of agenda items as needed, and ensuring that matters in front of the Committee are brought to a timely but informed resolution;
- ensures that Committee members are aware of information, both internal and external to the University, that is relevant to the Committee responsibilities;
- coordinates with the University Secretariat and Committee members to ensure that the Committee effectively discharges its responsibilities;
- where necessary and appropriate, works with other Committee Chairs and the Board Chair on matters of mutual interest to coordinate logistics and ensure that the Committee contributes to a high-functioning Board;
- at Board meetings, presents the Committee's reports and recommends the Committee's decisions, with particular focus on the Committee's priorities and how those align with the strategic plan of the University.

#### SKILLS AND KNOWLEDGE

All skills and knowledge required of an individual Governor as outlined in the *Principles of Engagement for Members of the Board of Governors*, plus:

- ability and knowledge to conduct a constructive meeting;
- skills and experience appropriate to the specific Committee;
- ability at time management and the meeting of deadlines;
- leadership experience in an appropriate venue (at the Board, in business or community leadership, elsewhere as appropriate) for the focus and mandate of the specific Committee;

## **Board of Governors**

- familiarity with the University's governance structures and administration, particularly its bicameral division of authority between Senate and Board of Governors;
- excellent communication skills and leadership skills that will facilitate good communication across the University and at all levels of the institution.



## **Board of Governors By-Election Schedule – Fall 2019**

Elections will be held for **one representative of the undergraduate student constituency**, as outlined below. The term of the undergraduate student representative will be until June 30, 2020.

| <b>Undergraduate Student Constituency</b>                                        |                                  |
|----------------------------------------------------------------------------------|----------------------------------|
| Nominations Open                                                                 | 9:00 a.m., Friday, September 27  |
| Nominations Close                                                                | 4:00 p.m., Friday, October 4     |
| Mandatory All Candidates' Advisory Meeting<br>(Organized by the USC - Place TBA) | 5:00 p.m., Monday, October 7     |
| Posting of Nominations                                                           | Monday, October 7                |
| Campaign Period begins                                                           | 12:01 a.m. Tuesday, October 8    |
| Campaign Period ends                                                             | 11:59 p.m., Tuesday, October 22  |
| Balloting on the Web - Polls Open                                                | 8:00 a.m., Wednesday, October 23 |
| Balloting on the Web - Polls Close                                               | 8:00 p.m., Thursday, October 24  |
| Posting of Results (Room 4101 STVH and web)                                      | Friday, October 25               |

*Last updated: September 17, 2019*

**REPORT OF THE AUDIT COMMITTEE**

| Contents                                                              | Consent Agenda |
|-----------------------------------------------------------------------|----------------|
| <b>Audited Financial Statements for the Year Ended April 30, 2019</b> | No             |
| <b>Financial Statements – Related Companies</b>                       | Yes            |
| <b>Annual Report on Non-Discrimination/Harassment Policy</b>          | Yes            |
| <b>Western Retirement Plans Report September 2019</b>                 | Yes            |

***Please bring the printed set of the Audited Financial Statements as this information is not available in electronic format***

**FOR APPROVAL**

1. **Audited Financial Statements for the Year Ended April 30, 2019**

**Recommended:** That the Board of Governors approve the draft audited combined financial statements for the University for the fiscal year ended April 30, 2019 (**Annex 1**, Section 1).

**FOR INFORMATION**

2. **Financial Statements – Related Companies**

The following financial statements for related companies and other organizations of The University of Western Ontario for the fiscal year ended April 30, 2019, are provided for the information of the Board of Governors and can be found in **Annex 1**.

**The University of Western Ontario Research and Development Park**, as audited by the Company's auditors, KPMG, and as approved by the Directors of the Company on **June 27, 2019**.

**The Siebens-Drake Research Institute**, as audited by the Institute's auditors, KPMG, and as approved by the Institute's Board of Directors on **August 8, 2019**.

**The University of Western Ontario Foundation Inc.**, as audited by the Foundation's auditors, KPMG, and as approved by the members of the board of The UWO Foundation Inc., **July 15, 2019**.

**Ivey Business School Group of Companies**, as compiled by Ivey's auditors, KPMG.

**Ivey Business School Foundation** and **Ivey Business School Asia Limited**, as audited by Ivey's auditors, KPMG, and as approved by the Board of Directors on **September 6, 2019**.

**The Pension Plan for Members of the Academic Staff and The Pension Plan for Members of the Administrative Staff for the fiscal year ended December 31, 2018**, as audited by the Pension Plan auditors, KPMG, and as approved by the Joint Pension Board on **May 14, 2019**.

3. **Annual Report on Non-Discrimination/Harassment Policy**

The Annual Report on Non-Discrimination/Harassment Policy is provided in **Annex 2**.

4. **Western Retirement Plans Report September 2019**

The Western Retirement Plans Report is provided in **Annex 3**.

# Annual Report

## Non-Discrimination/Harassment Policy

### May 1, 2018 to April 30, 2019

**Equity & Human Rights Services (EHRS)** is available to all members of Western's community. This Annual Report is prepared by EHRS as per the Non-Discrimination/Harassment Policy, (MAPP 1.35), section 12.

EHRS facilitates the development, implementation and ongoing management/coordination of Western's policies and programs relating to discrimination and harassment. Western's policies are in compliance with the *Ontario Human Rights Code* and *Occupational Health and Safety Act*.

EHRS offers the following services:

- Confidential consultation, support, and information available to all Western students, staff, faculty and post-doctoral scholars
- Support, education and advice to Administrative leaders and Academic leaders
- Culture and climate reviews, as appropriate
- Training and presentations

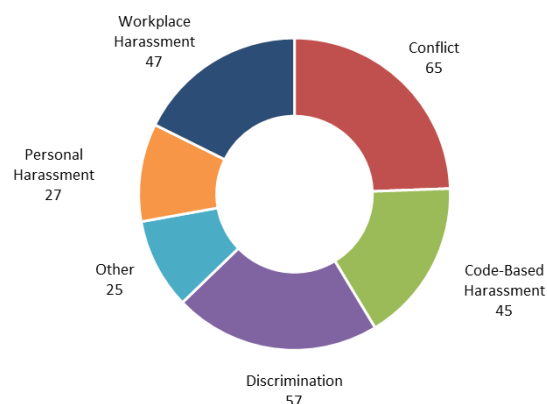
#### CONTACTS

Over the past academic year, EHRS recorded a total of **444** contacts. **222** of these were from individuals who believed they have been subjected to, or had witnessed, behaviour that may fall under the Policy. The remaining contacts were: requests for assistance from **92** Academic or Administrative Leaders (i.e., those with the authority and responsibility to address concerns); requests for information and/or assistance by **85** third-parties (often friends, coworkers or persons in non-authority roles seeking assistance to support others); and, **45** general requests for information.

#### INDIVIDUAL CONTACTS

**Tables I** and **Ia** display the number of consultations by issue for

**TABLE I: Individual Consultations by Issue (by #)**



the **222** individuals who contacted EHRS in relation to a concern they were directly experiencing. **Table II** displays the role at Western of those individuals contacting our office. Individuals may contact the office in relation to more than one ground.

All individuals reporting concerns to EHRS are provided with advice. If the matter falls under the Non-Discrimination/Harassment Policy, individuals are given the option to request alternate resolution or file a formal complaint and request investigation. However, it is common for individuals, having had the opportunity to voice their concerns and seek advice from EHRS, to attempt to resolve the situation themselves.

In the 2018-19, EHRS offered some form of alternate resolution in relation to **20** matters. Alternate resolution includes mediations, facilitations, educational sanctions (in coordination with Housing), and EHRS-led inquiries to support the proper application of the Policy.

The office of the Associate Vice President (Human Resources) receives and administers formal complaints. This past year, **34** complaints were received and **20** investigated (7 are on-going) under the Non-Discrimination and Harassment Policy. Seven complaints were withdrawn or alternatively resolved before investigation.

#### ACADEMIC OR ADMINISTRATIVE LEADER SUPPORT

Western's Policy requires Academic or Administrative Leaders to report any incidents which **may be** related to harassment and discrimination to EHRS, as well as to involve EHRS in any unit-based attempts at resolving or mediating potential harassment.

**Table III** displays the top issues reported by the **92** Academic and Administrative Leaders who contacted our office during the past year. Understanding the issues our leaders are facing assists us to determine where our office's focus should be in de-

**TABLE Ia: Code-Based Discrimination & Harassment Grounds (by #)**

|                                                                           |    |
|---------------------------------------------------------------------------|----|
| Religion ( <i>incl. accommodation</i> )                                   | 3  |
| Age                                                                       | 4  |
| Family                                                                    | 2  |
| Sexual Orientation                                                        | 6  |
| Gender Identity / Gender Expression                                       | 7  |
| Disability ( <i>includes accommodation concerns</i> )                     | 37 |
| Race                                                                      | 26 |
| Sex ( <i>includes sexual harassment and discrimination based on sex</i> ) | 28 |

**TABLE II: Individual Consultations—Role at Western**

| ROLE          | #          |
|---------------|------------|
| Undergraduate | 60         |
| Graduate      | 48         |
| Faculty       | 23         |
| Staff         | 74         |
| Other         | 17         |
| <b>TOTAL</b>  | <b>222</b> |

**A note regarding conflict**

Some form of conflict is present in most – if not all – concerns that come to the attention of EHRS. Conflict may be present along with harassment and discrimination concerns. However, some concerns do not fall within the scope of the Policy and present as interpersonal issues, misunderstandings about role or job clarity, or organizational structure. EHRS recognizes that unresolved conflict(s) can affect the work and academic settings. We often work with and/or refer to Western's network of support services.

**A note regarding safety**

EHRS is consistently mindful of concerns relating to potential violence or threats to individuals' safety. When appropriate, we refer or report such concerns to Campus Police (CCPS). EHRS referred to or contacted CCPS on 6 occasions this past year.

**EDUCATION AND OUTREACH**

EHRS conducts workshops, provides materials and other education and outreach assistance to various colleagues and groups across campus. This year, EHRS provided education and training to over **2000** Western members.

**TRENDS AND HIGHLIGHTS**

EHRS continues to focus the majority of its work on supporting campus members in understanding their roles and responsibilities under Western Non-Discrimination and Harassment Policy. Each year, our numbers of contacts rise. This shouldn't be interpreted as an increase in prevalence of harassment or discrimination but, rather we believe that there is growing understanding about the issues and of individual's rights within the academic and working environments. We are finding high engagement among academic and administrative leaders who are seeking advice about how to support individuals or how to manage culture and environment concerns. Continuing to providing direct support and advice (through individual communication and/or learning experiences) to our Academic and Administrative Leaders will be a focus of our work in the coming year.

We continue to see a large number of inquiries that relate to, or stem from, social media. We are living in a fast-paced world where people connect "online" and these connections influence experiences and interpersonal behaviours on campus. EHRS works with campus partners (Communications, Human Resources, Student Experience) in developing consistent approaches to addressing matters that appear on social media.

**TABLE III: Academic/Administrative Leaders -Top Issues**

| ISSUE                                           | #  | Total #   |
|-------------------------------------------------|----|-----------|
| <b>Code-Based Discrimination and Harassment</b> |    | <b>57</b> |
| Race                                            | 15 |           |
| Sex / Sexual Orientation                        | 28 |           |
| Religion ( <i>incl. accommodation</i> )         | 6  |           |
| Disability ( <i>incl. accommodation</i> )       | 9  |           |
| Gender Identity/Expression                      | 6  |           |
| <b>Workplace Harassment</b>                     |    | <b>10</b> |
| <b>Conflict</b>                                 |    | <b>24</b> |
| <b>Personal Harassment</b>                      |    | <b>14</b> |
| <b>Other</b>                                    |    | <b>6</b>  |

An annual highlight is Western's participation in London's Pride Festival. Recognizing that the festival occurs in the summer months, EHRS will be facilitating continuing conversations throughout the year in an effort to provide support for ongoing, year-round Pride initiatives. Additionally, EHRS sees great opportunities for creating connections across campus that support Equity, Diversity and Inclusion. EHRS will be exploring strategies and/or structures that bring campus members together to in pursuit of creating greater diversity and inclusion on campus.

Finally, EHRS will focus over the coming year will be on providing individuals with more choices (and information) about how they can address concerns through engaging with others in restorative ways.

**CONCLUSION**

EHRS wishes to express our appreciation to all those we interact with in an effort to assist with resolution of matters. We look forward to continuing to support all students, staff and faculty members on a campus which respects, accepts, nurtures, and celebrates its diversity.

**FOR FURTHER INFORMATION, PLEASE CONTACT:**

**Equity & Human Rights Services**

**Western University**

**Somerville House, Room 2319**

**London, ON N6A 3K7**

**equity@uwo.ca** (*for consultation and advice*)

**diversity@uwo.ca** (*for resources/training*)

**www.westernu.ca/equity**

*Western University sits on traditional territory. Equity & Human Rights Services acknowledges the Attawandaran (Neutral) peoples who settled the Southwestern Ontario region alongside the Algonquin and Haudenosaunee people, as well as the three other longstanding Indigenous groups of this region: the Anishinaabe Peoples, the Haudenosaunee Peoples and the Leni-Lunaape Peoples.*

## Western Retirement Plans Report to the Audit Committee September 2019

*Prepared using data as of December 31, 2018*

### FOR INFORMATION

#### 1. Summary

This report covers the calendar year January 1, 2018 to December 31, 2018. The Academic and Administrative Staff Pension Boards are responsible for the administration and oversight of two pension plans (the Academic Staff Pension Plan and the Administrative Staff Pension Plan). This report provides an overview of the plans, reviews the changes made to the plans in 2018 and describes the projects under way at the end of the year. The *2019-20 Risk Management Plan* for the pension plans is included as a supplement to this report.

#### 2. Plan Overview

##### Asset Values, Membership and Investment Returns

The combined plans include about 6,900 investors and assets with an approximate market value of \$1.1 billion. As defined contribution pension plans, members direct the investment of both their contributions and the University's contributions among 13 different investment options. The fund options are designed in tiers, in an attempt to match the level of education or willingness to make independent diversification decisions. In June 2018 the TDF 2018 fund matured and the 119 members invested in the fund were provided with notice to reallocate their investment to another fund. 104 members had their investment moved by default to the Money Market Fund. TDF 2020 will mature June 2020. The fund offerings have been consistent since the move to Sun Life custody in October 2016 and are outlined below:

| <b>Tier 1: Comprehensive Funds</b>                   | <b>Asset Value<br/>at 31/12/18</b> | <b>Number of Investors<br/>(can be in more than 1 fund)</b> | <b>Investment Returns<br/>in 2018</b> |
|------------------------------------------------------|------------------------------------|-------------------------------------------------------------|---------------------------------------|
| Balanced Income                                      | \$63.1m                            | 835                                                         | -0.13%                                |
| Balanced Growth                                      | \$246.1m                           | 3724                                                        | -3.01%                                |
| <b>Tier 2: Broad Asset Class Funds</b>               |                                    |                                                             |                                       |
| Diversified Bond                                     | \$173.5m                           | 2187                                                        | 1.63%                                 |
| Diversified Equity                                   | \$370.5m                           | 2781                                                        | -5.33%                                |
| <b>Tier 3: Regional/ Specific<br/>Strategy Funds</b> |                                    |                                                             |                                       |
| Money Market                                         | \$65.9m                            | 746                                                         | 1.53%                                 |
| Target Date Fund 2020                                | \$7.9m                             | 79                                                          | 1.78%                                 |
| Canadian Bond                                        | \$14.4m                            | 397                                                         | 1.36%                                 |
| Long Term Bond                                       | \$14.3m                            | 351                                                         | 0.20%                                 |
| Canadian Equity                                      | \$59.3m                            | 1122                                                        | -6.11%                                |
| US Equity Hedged                                     | \$30.5m                            | 633                                                         | -5.75%                                |
| US Equity Unhedged                                   | \$35.8m                            | 595                                                         | 4.19%                                 |
| Non North American Equity                            | \$26.3m                            | 668                                                         | -7.69%                                |
| SRI Global Equity Fund                               | \$10.4m                            | 311                                                         | 0.70%                                 |



### Communication and Education

Members have access to various reports and material to assist them in the monitoring of their retirement funds. These resources include on line access to detailed investment performance and analysis through the partnerships *Sun Life Financial* has with *Morningstar*. There is comprehensive and up-to-date performance information which members can access through Sun Life, and customized point-in-time messaging from Sun Life Financial.

Each January members receive a comprehensive and personalized annual statement for the prior year. In 2017 and 2018 a semi-annual statement was also available electronically from each member's Sun Life account. Beginning in 2019 e-statements are posted to a member's account quarterly. Members are now receiving personalized communications from Sun Life, including prompts to take action, reminders of customized retirement planning/projection tools, and details on relevant on-campus workshops. The statements and communications have enabled targeted messaging so members are receiving the communications that are most relevant to them. Various information sessions, workshops, guest speakers and planning sessions are also available to assist members with their investment and retirement decisions. A total of 17 group information sessions and workshops were attended by over 825 members and/or spouses in 2018.

Morneau Shepell, Western's pension and benefits consultant, has been the facilitator of the full day financial and pre-retirement planning workshops offered several times per year to members approaching retirement. Feedback on these workshops and the presenter remains highly positive. Attendees appreciate that content is unbiased, holistic and addresses all sources of retirement income: CPP and OAS as well as defined benefit pensions, and LIF/RRIF and annuity options for their Western pension. The budgeting tools, tax information and income splitting details have been identified as helpful content.

Additional topics including pension plan provisions, contribution levels, investment choices, income options, and navigating tools and support are covered by Sun Life Financial throughout the year in sessions that have been designed to coincide with Financial Literacy Week in November, the Staff & Leaders' Conference in February and the annual pension plan member updates in May. Metrics for pension client services in 2018:

|       |                                                                                                       |
|-------|-------------------------------------------------------------------------------------------------------|
| 160   | One-on-One consultations on Campus                                                                    |
| 330   | Individual Planning Sessions for those within 5 years of retirement, in London-based Sun Life offices |
| 1,264 | Members receiving support by phone                                                                    |
| 4,300 | Members navigating their account and using tools offered through Sun Life website                     |

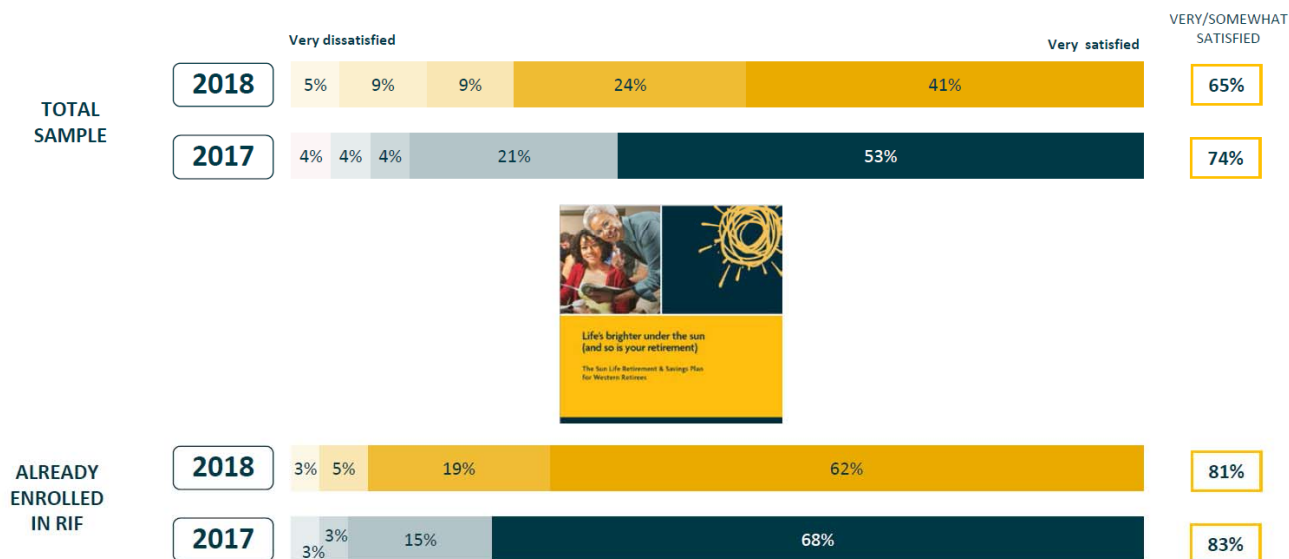
In addition to delivering group sessions, Sun Life Financial Education Consultants met one-on-one on campus with 160 Western plan members who were looking for support in managing their account. Sun Life Retirement Consultants are also in London monthly to provide planning support to pre-retirees. In 2018, 303 in-person meetings were held with members who are within 5 years of retiring; a further 464 consultations were held by phone. More than 800 unique members received support from Sun Life by phone and over 4,300 members navigated their personal account on Sun Life's website.

Quarterly Western receives a Service Report Card (*2018 Q4 report enclosed*) measuring the performance of Sun Life against service standards. In addition to aggregated reporting for all Group Retirement Services clients on the Client Care Centre (phone) support, the report also covers administrative dimensions (timely deposit of contributions, issuance of statements and termination paperwork and processing of withdrawals) specific to Western. Sun Life has consistently met or exceeded the standard across all services. In November 2018 Sun Life introduced a feedback process for the one-on-one consultations happening on campus. There was a 35% participation rate and positive feedback both on the consultants and the substance of the consultation.

In December 2018 Sun Life conducted the second annual survey of Western plan members who had been supported by a Sun Life Retirement Consultant in planning for their transition into retirement. Some of the results are provided below:

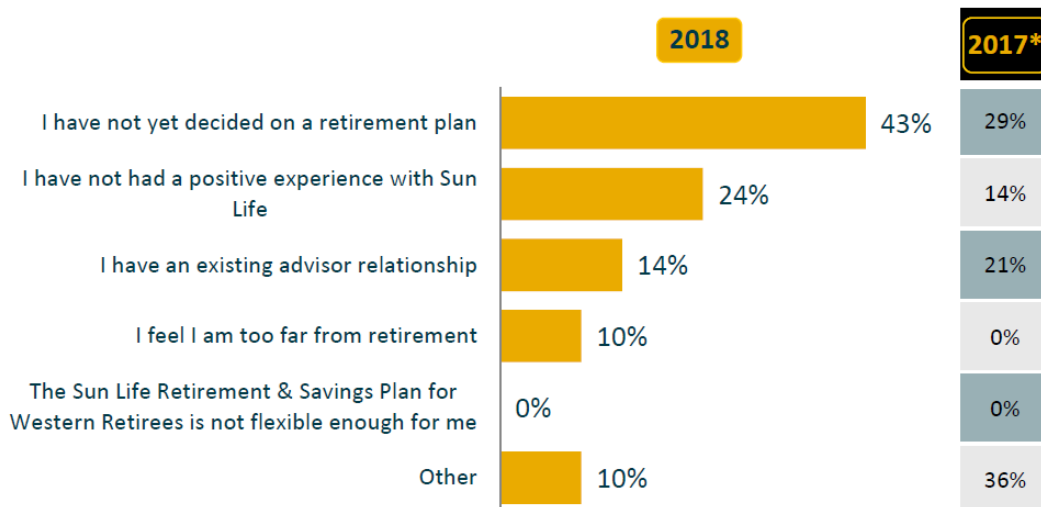
## Overall satisfaction remains higher among those already enrolled

### Overall Satisfaction with Retirement & Savings Plan



Close to half of those not enrolled have not made a decision

### Barriers to Using the Retirement & Savings Plan



\* Among those not enrolled  
Caution: Small base sizes

### Pension Governance and Operations

Each of the pension boards consist of 7 members: 4 elected by the membership and 3 appointed by the University. These boards work jointly in the design of investment, communication and administrative policy (Joint Pension Board). The design and implementation of policy is completed with significant assistance from staff in human resources and financial services. The board and staff rely on the expert advice of external service providers including Russell Investments and Sun Life Financial to fulfill their duties and implement changes. The operational costs remained at 0.21% in 2018.

### Prior Defined Benefit Program

Prior to July 1970 (Academic) and March 1974 (Administrative Staff), the University pension plans were a defined benefit design: annual pension income at retirement was promised based on a formula. The liabilities for the defined benefits are now substantially underwritten by annuity contracts with The Canada Life Assurance Company (annuity provider, part of the Great-West Life Assurance Company). There remain 2 active employees in the Administrative Staff Pension Plan who are entitled to the better of a defined benefit pension or what can be purchased with their defined contribution plan balance at retirement. The University's actuaries continue to monitor the liability and the assets available to support these potential defined benefits. As at December 31, 2018 there remains a surplus of assets over projected liabilities in the administrative plan of approximately \$187,000. Further there is a surplus of assets remaining in the Academic plan of approximately \$428,000 (\$782,000 in 2017). The reduction relates to the November, 2017, University Board of Governors approval, on recommendation of the Academic Pension Board, to apply surplus assets in the Pension Plan for Academic Staff to support the cost of pension improvements for certain Special Members and certain operational expenditures for the Plan, effective January 1, 2018. The surplus assets are currently invested in short term money market securities.

### **3. Projects Underway as at December 31, 2018: Review of Global Small-Mid Cap Equity Managers**

In June 2018 the University's investment consultant shared that Franklin Templeton, investment manager for the Global Small-Mid Cap mandate, was downgraded to "Review". Coupled with underperformance, the Joint Pension Board decided to proceed with a review of alternative managers in the fall of 2018. Western's Director, Investments, Associate Director, Investments and the Consultant from Russell Investments have scheduled meetings with a short list of managers for September 2019.

#### **Academic Pension Board Membership**

**John Ciriello**, Physiology and Pharmacology (Chair)  
**Michelle Loveland**, DAN Management and Organization Studies  
**Stephen Foerster**, Ivey School of Business  
**Steve Kopp**, Statistics & Actuarial Science

#### **Administrative Staff Pension Board Membership**

**Jim Loupos**, Internal Audit (Chair)  
**Ab Birch**, Financial Services  
**Megan Clements**, Don Wright Faculty of Music  
**Amna Wasty**, Western International

#### **Appointed University Representatives on Each Board**

Bill Mathers, Acting Associate Vice-President Financial Services  
Jane O'Brien, Associate Vice-President Human Resources  
Louise Koza, Director Human Resources (Total Compensation)

# Customized Report Card

Sun Life Financial Group Retirement Services

Service Period: Q1 2019

Contracts: Y79 - THE UNIVERSITY OF WESTERN ONTARIO

| QUALITY                                                                                  |                                                                                                                                                                                                                                                                             |                                                                              |         |                                                                                                  |          |
|------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|---------|--------------------------------------------------------------------------------------------------|----------|
| Evaluation we conduct                                                                    | Target                                                                                                                                                                                                                                                                      | Performance measurement                                                      | Results | Comments                                                                                         |          |
| Accuracy                                                                                 | Accuracy of our administration processes                                                                                                                                                                                                                                    | Meets/Exceeds: 98% and over<br>Notice: 95% - 97.9%<br>Alert: < 95%           | 99.7%   |                                                                                                  |          |
| ADMINISTRATION PROCESS                                                                   |                                                                                                                                                                                                                                                                             |                                                                              |         |                                                                                                  |          |
| Services we provide                                                                      | Target                                                                                                                                                                                                                                                                      | Performance measurement                                                      | Results | Volume Completed                                                                                 | Comments |
| Enrolments                                                                               |                                                                                                                                                                                                                                                                             |                                                                              |         |                                                                                                  |          |
| Set-up client accounts according to instructions received                                | 5 business days                                                                                                                                                                                                                                                             | Meets/Exceeds: 95% and over<br>Notice: 90% - 94.9%<br>Alert: < 90%           | 100.0%  | 13                                                                                               |          |
| Contributions                                                                            |                                                                                                                                                                                                                                                                             |                                                                              |         |                                                                                                  |          |
| Ensuring that client contributions are credited to their accounts in a timely manner     | Contributions credited same business day:<br>2 p.m. ET for submissions received by file transfer (internet or spreadsheet upload)<br>3 p.m. ET for submissions received by mail, email or fax<br>4 p.m. ET for submissions received through the plan sponsor website        | Meets/Exceeds: 95% and over<br>Notice: 90% - 94.9%<br>Alert: < 90%           | 100.0%  | 76                                                                                               |          |
| Withdrawals                                                                              |                                                                                                                                                                                                                                                                             |                                                                              |         |                                                                                                  |          |
| Liquidate assets according to instructions received                                      | 3 business days<br>(Excludes life events)<br>1 additional day for direct-held stock                                                                                                                                                                                         | Meets/Exceeds: 95% and over<br>Notice: 90% - 94.9%<br>Alert: < 90%           | 100.0%  | 152                                                                                              |          |
| Inter-fund transfers                                                                     |                                                                                                                                                                                                                                                                             |                                                                              |         |                                                                                                  |          |
| Transfers between funds                                                                  | Transfers are processed the same business day if received by:<br>3 p.m. ET for requests received by paper or the Client Care Centre<br>4 p.m. ET for requests received through the automated phone system or plan member website (excluding the Notional as not applicable) | Meets/Exceeds: 95% and over<br>Notice: 90% - 94.9%<br>Alert: < 90%           | 96.7%   | 30                                                                                               |          |
| Life events                                                                              |                                                                                                                                                                                                                                                                             |                                                                              |         |                                                                                                  |          |
| Coming later in 2019<br>Process marriage breakdown and asset transfer once in good order | 15 business days                                                                                                                                                                                                                                                            | Meets/Exceeds: 98% and over<br>Notice: 95% - 97.9%<br>Alert: < 95%           | N/A     |                                                                                                  |          |
| Life events                                                                              |                                                                                                                                                                                                                                                                             |                                                                              |         |                                                                                                  |          |
| Send termination or retirement package                                                   | 10 business days                                                                                                                                                                                                                                                            | Meets/Exceeds: 95% and over<br>Notice: 90% - 94.9%<br>Alert: < 90%           | 100.0%  | 127                                                                                              |          |
| Life events                                                                              |                                                                                                                                                                                                                                                                             |                                                                              |         |                                                                                                  |          |
| Coming later in 2019<br>Send death claim package                                         | 15 business days                                                                                                                                                                                                                                                            | Meets/Exceeds: 95% and over<br>Notice: 90% - 94.9%<br>Alert: < 90%           | N/A     |                                                                                                  |          |
| CLIENT CARE CENTRE                                                                       |                                                                                                                                                                                                                                                                             |                                                                              |         |                                                                                                  |          |
| Services we provide                                                                      | Target                                                                                                                                                                                                                                                                      | Performance measurement                                                      | Results | Comments                                                                                         |          |
| Service                                                                                  |                                                                                                                                                                                                                                                                             |                                                                              |         |                                                                                                  |          |
| is provided to members from 8 a.m. to 8 p.m. ET                                          | 70% of calls are answered within 20 seconds                                                                                                                                                                                                                                 | Meets/Exceeds: 70% and over<br>Notice: 65% - 69.9%<br>Alert: < 65%           | 70%     |                                                                                                  |          |
| Call abandonment rate                                                                    | 5% of calls abandoned                                                                                                                                                                                                                                                       | Meets/Exceeds: 5% and below<br>Alert: > 5%                                   | 2.8%    |                                                                                                  |          |
| Call resolution                                                                          | 85% of calls                                                                                                                                                                                                                                                                | Meets/Exceeds: 85% and over<br>Notice: 80% - 84.9%<br>Alert: < 80%           | 91.5%   |                                                                                                  |          |
| Client satisfaction                                                                      |                                                                                                                                                                                                                                                                             |                                                                              |         |                                                                                                  |          |
| Clients who are overall satisfied with their most recent client care centre experience   | 95% of clients                                                                                                                                                                                                                                                              | Meets/Exceeds: 95% and over<br>Notice: 90% - 94.9%<br>Alert: < 90%           | 93.9%   | Client Satisfaction results dipped slightly below target in Q1 due to market volatility impacts. |          |
| Email correspondence                                                                     | 95% within 2 business days                                                                                                                                                                                                                                                  | Meets/Exceeds: 95% and over<br>Notice: 90% - 94.9%<br>Alert: < 90%           | 96.4%   |                                                                                                  |          |
| REPORTS & SYSTEM AVAILABILITY                                                            |                                                                                                                                                                                                                                                                             |                                                                              |         |                                                                                                  |          |
| Services we provide                                                                      | Target                                                                                                                                                                                                                                                                      | Performance measurement                                                      | Results | Comments                                                                                         |          |
| Mailing of member statements                                                             | 15 business days of period end date<br>20 business days of period end date if hardcopy inserts are included                                                                                                                                                                 | Meets/Exceeds: 85% and over<br>Alert: < 85%                                  | 52.7%   | All statements were completed within 30 business days.                                           |          |
| Monthly financial statements                                                             | Posted on sponsor website within 10 business days after month end                                                                                                                                                                                                           | Meets/Exceeds: 10 days and below<br>Notice: 11 - 15 days<br>Alert: > 15 days | 10      |                                                                                                  |          |
| RRSP tax receipts                                                                        | Issued within 15 business days following effective date                                                                                                                                                                                                                     | Meets/Exceeds: 15 days and below<br>Notice: 16 - 20 days<br>Alert: > 20 days | 100.0%  |                                                                                                  |          |

|                                                                           |                                                                                                                                                                                                  |                                                                                              |               |
|---------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------|
| <b>System availability</b><br><i>Ensuring web is available to members</i> | <b>99.5% uptime excluding any planned system outages.</b><br><i>Uptime is calculated as follows: Total minutes in a month minus unplanned outage minutes divided by total minutes in a month</i> | <b>Meets/Exceeds: 99.5% and over</b><br><b>Notice: 99% - 99.4%</b><br><b>Alert: &lt; 99%</b> | <b>100.0%</b> |
|---------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------------|

**Notes:**  
*Client Care Centre metrics are not client-specific but rather for all GRS clients.  
All required documentation received from a member, beneficiary or representative must be accurately completed.  
A business day means any day that Sun Life is open for business; for transactions of securities it excludes any day for which the sale of securities is not reasonably practicable.  
A target of one business day means the business day following receipt by Sun Life when the information provided is in good order.  
Timeline for bulk enrolments > 50 members will be agreed upon by both parties.*



## 2019-2020 Risk Management Plan

June 2019

### Management of Risks with the Retirement Programs

The following table describes the risks that the retirement programs are exposed to, including strategies we currently use to manage the risks as well as the resources necessary to effectively manage the risks.

- i. Investment Risk: risk inherent in investing in capital markets
- ii. Operational Risk: risk faced by the administration of the retirement plans including errors in procedure and process error
- iii. Legal and Compliance Risk: meeting requirements from trust law, provincial pension legislation and regulations, and the tax act and considering and managing litigation risk as required

a) **Risk Description / Event Identification:** internal and external events affecting achievement of the retirement plans objectives are identified.

b) **Control Activities**

- **Risk Management Process:** policies and procedures are established and implemented to help ensure risk responses are implemented
- **Skillset/Tools Required:** resources and tools are identified for risk response implementation.

c) **Variables and Sensitivities/Risk assessment:** risks are assessed considering likelihood and impact as part of the basis on how they should be managed

d) **2019/2020 Risk Management Strategies/Risk Response:** a set of actions to align retirement program management with identified risks.<sup>1</sup>

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<sup>1</sup> Concepts taken in part from the COSO – Enterprise Risk Management Framework

## Investment Risk

| Event Identification: Risk Description                                                                                                                                                                                           | Control Activity:<br>Risk Management Process                                                                                                                                                                                                                                                                                                                                                                                                                                       | Control Activity:<br>Skill set/tools required                                                                                                                                                                                                                                                                              | Risk Assessment:<br>Variables – Sensitivities                                                                                                                                                                                                                                                                                                                                           | Risk Response: Risk Management<br>Strategies                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Market risk:</b> The risk that market fluctuations may negatively impact the value of an investment. This could involve investments in any asset class, but tend to be greater for equities particularly over the short-term. | <ul style="list-style-type: none"> <li>Design investment portfolios that are appropriate given an investors' time horizon</li> <li>Use quantitative analysis to identify investments with high probability of preserving capital over the targeted investment horizon</li> </ul>                                                                                                                                                                                                   | <ul style="list-style-type: none"> <li>Knowledge of investment time horizon</li> <li>Knowledge of universe of investment opportunity</li> <li>Quantitative tools and/or provider of quantitative data</li> <li>Skill in applying quantitative data</li> </ul>                                                              | <ul style="list-style-type: none"> <li>A market correction (decline in value of more than 20%) would exacerbate the situation and cause a permanent impairment to members approaching or in the decumulation phase</li> <li>Probability is low to high, depending on the fund and the stage of the market cycle</li> <li>The impact can potentially be high for equity funds</li> </ul> | <ul style="list-style-type: none"> <li>On-going – Maintain diversified equity portfolios</li> <li>On-going – Maintain rebalancing of the balanced funds and manager of manager funds monthly.</li> <li>On-going - Auto re-balancing is available at members' option.</li> <li>Ongoing - Maintain member education programs to help ensure appropriate investments are chosen by members. Investment Specialists support individual members in assessing their risk tolerance, making appropriate selections among available options.</li> <li>A warning message alerts members that changing their investment allocation online to a "sub-optimal" mix based on their unique risk profile which takes into account investment horizon</li> </ul> |
| <b>Volatility in returns:</b> The risk that the actual return experience is significantly different from the expected returns during the period of investment.                                                                   | <ul style="list-style-type: none"> <li>Use quantitative analysis before investment and during the life of an investment to monitor volatility</li> <li>Diversify portfolio to minimize volatility</li> <li>Clearly communicate to investors the expected volatility and returns of the investment</li> <li>Set asset allocations so that highly volatile assets do not over-influence the results</li> <li>Do not offer risky investment options on a stand-alone basis</li> </ul> | <ul style="list-style-type: none"> <li>Quantitative tools and/or provider of quantitative data</li> <li>Skill in applying quantitative data</li> <li>Knowledge of universe of investment opportunity</li> <li>Knowledge of modern portfolio theory</li> <li>Strong, clear communication development and updates</li> </ul> | <ul style="list-style-type: none"> <li>A period of high volatility, which is generally combined with increased correlation, would make it difficult to minimize the volatility through diversification</li> <li>Probability is low to high, depending on the fund</li> <li>The impact can potentially be high for equity funds</li> </ul>                                               | <ul style="list-style-type: none"> <li>On-going – Keep track of volatility of the investment options to ensure that the hierarchy of risk is consistent.</li> <li>On-going - Maintain member education programs to help ensure appropriate investments are chosen by members; Historical return patterns readily available to members through on-line portal.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                         |

| Event Identification: Risk Description                                                                                                                                                                                                                  | Control Activity: Risk Management Process                                                                                                                                                                                                                                                                                                                                    | Control Activity: Skill set/tools required                                                                                                                                                                                                                                                                                                                                                                  | Risk Assessment: Variables – Sensitivities                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Risk Response: Risk Management Strategies                                                                                                                                                                                                                                                                                                        |
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| <b>Interest rates changes:</b> The risk that the value of investments and expected returns vary with changes in prevailing interest rates. Associated is the risk that retirement income is insufficient based on annuity rates in force at retirement. | <ul style="list-style-type: none"> <li>Offer investment choices that allow investors to choose interest rate exposure (short-mid-long)</li> <li>Maintain diversified bond funds</li> <li>Allow for deferred annuity purchases</li> </ul>                                                                                                                                     | <ul style="list-style-type: none"> <li>Knowledge of universe of investment opportunity</li> <li>Knowledge of capital asset pricing models</li> <li>Understanding of annuity industry trends and actuarial calculations</li> <li>Understanding of macroeconomic factors and relationships</li> <li>Knowledge of how interest rate movements impact the various fixed-income options and annuities</li> </ul> | <ul style="list-style-type: none"> <li>A prolonged period of low interest rates would make it difficult for members to achieve good investment returns in less risky fixed income funds</li> <li>An increase in inflation would cause interest rates to go up and create losses to fixed income funds</li> <li>Probability is high for fixed income funds</li> <li>The impact is low for the Money Market Fund, moderate for the Target-Date Funds and high for the other fixed income funds</li> </ul> | <ul style="list-style-type: none"> <li>On-going – Maintain diversified fixed income portfolios and provide investment options with short duration; consider offering Guaranteed Investment Certificates for conservative fixed income investors.</li> </ul>                                                                                      |
| <b>Currency exposure:</b> The risk that Canadian investments relative to other opportunities reduce in value because of the Canadian \$ exchange rate                                                                                                   | <ul style="list-style-type: none"> <li>Diversify bond and equity portfolios to gain exposure to other currencies</li> <li>Member option US Equity Fund (\$Cdn-Hedged)</li> <li>Significant amount of diversified bond fund is hedged</li> <li>Ensure that investment managers have the expertise and the flexibility to hedge the currency within their mandates.</li> </ul> | <ul style="list-style-type: none"> <li>Knowledge of macro economic theory</li> <li>Understanding of influences that political and monetary policies have on currency values</li> </ul>                                                                                                                                                                                                                      | <ul style="list-style-type: none"> <li>The presence of fiscal and trade deficits in the U.S. could potentially cause the U.S. currency to be devalued, which would have a negative impact on funds exposed to U.S. currency fluctuations</li> <li>Probability: moderate on funds exposed to currency fluctuations</li> <li>Impact: moderate on funds exposed to currency</li> </ul>                                                                                                                     | <ul style="list-style-type: none"> <li>On-going – Maintain partial currency hedge</li> <li>On-going -Monitor costs and performance of the hedge</li> </ul>                                                                                                                                                                                       |
| <b>Credit risks:</b> The risk that the issuing institution of a payment promise fails to meet their promise. This includes bond issues as well as life insurance firms providing annuities.                                                             | <ul style="list-style-type: none"> <li>Set minimum credit risk ratings for bond portfolios</li> <li>Monitor credit ratings of insurance providers and only purchase annuities from highly rated</li> <li>Only purchase annuity income up to Assuris insurance (\$2000/mth)</li> </ul>                                                                                        | <ul style="list-style-type: none"> <li>Understanding of financial statements and credit evaluation process</li> <li>Access to current credit rating agency data</li> <li>Ability to monitor the managers' selection and review process transparency)</li> </ul>                                                                                                                                             | <ul style="list-style-type: none"> <li>A recession would increase the risk of defaults</li> <li>Probability: low since minimum credit ratings are set</li> <li>Impact: low since minimum credit ratings are set</li> </ul>                                                                                                                                                                                                                                                                              | <ul style="list-style-type: none"> <li>On-going – Assess the investment manager's expertise in managing credits. Review portfolio and attribution analysis to determine the magnitude of active management risk taken.</li> <li>Leverage insurance by purchasing from 2 financial institutions – option is now available via Sun Life</li> </ul> |



| Event Identification: Risk Description                                                                                                                                                                                                                                                                                                            | Control Activity:<br>Risk Management Process                                                                                                                                                                                                                                                                                                                                                                                            | Control Activity:<br>Skill set/tools required                                                                                                                                                                                                                                     | Risk Assessment:<br>Variables – Sensitivities                                                                                                                                                                                                                                                            | Risk Response: Risk Management<br>Strategies                                                                                                                                                                                                                                                                                                                                                                                                           |
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| <b>Cost of Living:</b> The risk that the capital invested or the income provided fails to retain its purchasing power.                                                                                                                                                                                                                            | <ul style="list-style-type: none"> <li>Offer investment portfolios which, based on quantitative history, have ability to provide positive real returns over the time horizon</li> <li>Offer option of inflation (CPI) indexed annuity income</li> <li>Offer Retirement Income Funds with a Preferred Provider at competitive group rates/fees</li> <li>Provisions allow Diversified Bond fund to invest in Real Return Bonds</li> </ul> | <ul style="list-style-type: none"> <li>Quantitative tools and/or provider of quant data and knowledge of application of quantitative data</li> <li>Administrative systems and skills to calculate and make payments</li> </ul>                                                    | <ul style="list-style-type: none"> <li>A period of high inflation would be harmful for members within or approaching the decumulation phase</li> <li>Probability: low since the Bank of Canada is committed to controlling inflation</li> <li>Impact: High</li> </ul>                                    | <ul style="list-style-type: none"> <li>On-going – Monitor changes in purchasing power</li> <li>On-going – Monitor members in low interest funds and alignment to their retirement goals using tools provided by Sun Life</li> </ul>                                                                                                                                                                                                                    |
| <b>Illiquidity:</b> The risk that invested assets are not available to an investor when needed.                                                                                                                                                                                                                                                   | <ul style="list-style-type: none"> <li>Design portfolio mandates that include assets with a high level of marketability</li> <li>Design administrative procedures to provide appropriate level of access to members</li> <li>Maintain an adequate valuation methodology to prevent any potential arbitrage from members</li> <li>Set a limit for the maximum amount of illiquid assets a fund can have</li> </ul>                       | <ul style="list-style-type: none"> <li>Knowledge of universe of investment opportunity including marketability of assets</li> <li>Knowledge of operational restrictions imposed by securities legislation, custodian or managers</li> </ul>                                       | <ul style="list-style-type: none"> <li>A market crisis, such as the non-bank ABCP illiquidity event of August 2007 would make it difficult for members to make redemptions</li> <li>Probability: low</li> <li>Impact: moderate (on members)</li> </ul>                                                   | <ul style="list-style-type: none"> <li>On-going – Review the liquidity of asset classes being considered for the retirement plans</li> <li>Monitor liquidity of assets held by the plans.</li> <li>Most underlying investments are pooled with other investors on Sun Life platform, reducing the illiquidity risks substantially</li> <li>Limits set on % of fund in monthly valued or relatively illiquid funds for fund of fund offering</li> </ul> |
| <b>Commodity Risk:</b> A fund may invest in companies engaged in the energy or natural resources industries that produce various commodities, such as oil, gold, copper, etc. This is the risk that the stock price of these companies is affected by changes in the price of commodities, which could be substantial over short periods of time. | <ul style="list-style-type: none"> <li>Design portfolios taking into account that the Canadian equity market is heavily weighted towards commodities</li> <li>Diversify equity portfolios by adding exposure to markets with a smaller commodity weighting</li> <li>Develop model that would estimate the sensitivity of a fund to changes in commodity prices</li> </ul>                                                               | <ul style="list-style-type: none"> <li>Quantitative tools and/or provider of quantitative data</li> <li>Skill in applying quantitative data</li> <li>Knowledge of universe of investment opportunity</li> <li>Understanding of macroeconomic factors and relationships</li> </ul> | <ul style="list-style-type: none"> <li>A prolonged decline in commodity impact would negatively impact Canadian equity markets which are relatively heavy in commodities</li> <li>Probability: low to moderate</li> <li>Impact: high for Canadian equities, moderate for other equity markets</li> </ul> | <ul style="list-style-type: none"> <li>On-going – Maintain diversified portfolios</li> </ul>                                                                                                                                                                                                                                                                                                                                                           |

| Event Identification: Risk Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Control Activity:<br>Risk Management Process                                                                                                                                                                                                                                                                                                                                                  | Control Activity:<br>Skill set/tools required                                                                                                                                                                                                                        | Risk Assessment:<br>Variables – Sensitivities                                                                                                                                 | Risk Response: Risk Management<br>Strategies                                                                                                                                                                                             |
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| <p><b>Concentration risk:</b> When a fund invests a substantial proportion of its assets in a single security (typically more than 10%), it is said to be concentrated. Concentrated funds tend to be more volatile and/or less liquid.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <ul style="list-style-type: none"> <li>Ensure that fund investment guidelines limit the weight of any single security to 10%</li> </ul>                                                                                                                                                                                                                                                       | <ul style="list-style-type: none"> <li>Quantitative tools and/or provider of quantitative data</li> <li>Skill in applying quantitative data</li> </ul>                                                                                                               | <ul style="list-style-type: none"> <li>Probability: low</li> <li>Impact: low</li> </ul>                                                                                       | <ul style="list-style-type: none"> <li>On-going – Ensure that fund investment guidelines limit the weight of any single security to 10%</li> <li>Monitored by Sun Life on an on-going basis</li> </ul>                                   |
| <p><b>Credit spread risk:</b> The credit spread is the difference in interest rates between an issuer's bond and a similar bond (other than credit) issued by the Federal Government. When fixed income investors collectively determine that a higher return is required to compensate for the risk of owning the security, credit spreads widen. When the credit spread of a security widens, the value of a fixed income security decreases.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <ul style="list-style-type: none"> <li>Set minimum credit risk ratings for bond portfolios</li> </ul>                                                                                                                                                                                                                                                                                         | <ul style="list-style-type: none"> <li>Understanding of financial statements and credit evaluation process</li> <li>Access to current credit rating agency data</li> <li>Ability to monitor the managers' selection and review process (transparency)</li> </ul>     | <ul style="list-style-type: none"> <li>A period characterized by high risk aversion from investors would cause credit spreads to widen and cause investment losses</li> </ul> | <ul style="list-style-type: none"> <li>On-going - Review the investment guidelines of the Diversified Bond Fund to ensure that the active credit risk taken by the portfolio manager won't increase the risk of the portfolio</li> </ul> |
| <p><b>Derivative risk:</b> A derivative is an investment that bases its value on how well another kind of investment, like a stock, bond, currency or market index, is doing. Examples of derivatives include options, forwards, futures and swaps. There are risks associated with the use of derivatives, even if derivatives are used to help offset losses or what is called "hedging".</p> <p>For example, there's no guarantee that a fund will be able to buy or sell a derivative at the right time to make a profit or limit a loss. There's no guarantee that the other party in the contract (known as a "counterparty") will live up to its obligations, failure of which could result in a financial loss for the fund. If the other party a fund is dealing with goes bankrupt, the fund could lose any deposits that were made as part of the contract. If the derivatives are being</p> | <ul style="list-style-type: none"> <li>Ensure that the use of derivatives is for hedging only, not to leverage the portfolio</li> <li>Monitor the financial strength of counterparties used in derivatives transactions</li> <li>Maintain a list of approved counterparties</li> <li>Ask investment managers to report on the use of derivatives as part of annual Manager reviews</li> </ul> | <ul style="list-style-type: none"> <li>Knowledge of derivatives products</li> <li>Quantitative tools and/or provider of quantitative data</li> <li>Skill in applying quantitative data</li> <li>Ability to monitor the manager's portfolio (transparency)</li> </ul> | <ul style="list-style-type: none"> <li>Unauthorized use of derivatives by an investment manager</li> <li>Probability: low</li> <li>Impact: potentially high</li> </ul>        | <ul style="list-style-type: none"> <li>On-going – Monitor the use of derivatives in investment options</li> </ul>                                                                                                                        |

| Event Identification: Risk Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Control Activity:<br>Risk Management Process                                                                                                                                                                                                                                                       | Control Activity:<br>Skill set/tools required                                                                                                                                                                                                                                     | Risk Assessment:<br>Variables – Sensitivities                                                                                                                                                                            | Risk Response: Risk Management<br>Strategies                                                 |
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| traded on foreign markets, it may be more difficult and take longer to complete a deal. Foreign derivatives can also be more risky than derivatives traded on North American markets. Securities exchanges could set daily trading limits on options and futures contracts. This could prevent a fund from completing an options or futures deal, making it impossible to hedge properly, make a profit or limit a loss. If a fund is required to give a security interest in order to enter into a swap, there is a risk that the other party may try to enforce the security interest against the fund's assets. There's no guarantee that a hedging strategy will always work. A derivative won't always offset a drop in the value of a security, even if it has usually worked out that way in the past. Hedging doesn't prevent changes in the prices of the securities in a fund's portfolio, or prevent losses if the prices of the securities go down. Hedging can also prevent a fund from making a gain if the value of the currency, stock, or bond goes up. A fund might not be able to make a deal to hedge against an expected change in a market if most other people are expecting the same change. Hedging may be costly. |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                          |                                                                                              |
| <p><b>Foreign investment risk:</b> This is the risk that investing in securities issued by a foreign issuer may be impacted by characteristics unique to the issuer's country. These differences may include lower or lack of regulations, which may result in less reliable accounting, auditing and financial reporting standards. The local government may impose taxes or make other decisions that negatively impact the value of an investment. Wars or civil unrests, low standards of business practices and lack of financial information available may also negatively impact the value of an investment.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <ul style="list-style-type: none"> <li>Hire experienced and knowledgeable investment firms that have the expertise to invest in foreign markets</li> <li>Design diversified investment portfolios to reduce the exposure to single markets, especially those lacking proper regulations</li> </ul> | <ul style="list-style-type: none"> <li>Quantitative tools and/or provider of quantitative data</li> <li>Skill in applying quantitative data</li> <li>Knowledge of universe of investment opportunity</li> <li>Understanding of macroeconomic factors and relationships</li> </ul> | <ul style="list-style-type: none"> <li>Situation where an investment in a foreign country turned out to be worthless because of local government intervention</li> <li>Probability: low</li> <li>Impact: high</li> </ul> | <ul style="list-style-type: none"> <li>On-going – Maintain diversified portfolios</li> </ul> |

| Event Identification: Risk Description                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Control Activity:<br>Risk Management Process                                                                                                                                                                                             | Control Activity:<br>Skill set/tools required                                                                                                                                                                                                                                                                                       | Risk Assessment:<br>Variables – Sensitivities                                                                                                   | Risk Response: Risk Management<br>Strategies                                                                                                                                                   |
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| <b>Large transaction risk:</b> When a large number of investors make redemptions from or contributions to a fund that are large with respect to the value of the fund, it may negatively impact the return of the fund. When large redemptions are made, the portfolio manager may be forced to sell investments at a loss to cover the redemption. When large purchases are made, the portfolio manager may be forced to hold a large amount of cash until it can find suitable investments. | <ul style="list-style-type: none"> <li>Ensure that the investment manager will protect unitholders in case of a large redemption or contribution</li> <li>Monitor monthly investment returns to identify irregularities</li> </ul>       | <ul style="list-style-type: none"> <li>Quantitative tools and/or provider of quantitative data</li> <li>Skill in applying quantitative data</li> <li>Knowledge of operational restrictions imposed by securities legislation, custodian or managers</li> </ul>                                                                      | <ul style="list-style-type: none"> <li>Probability: low</li> <li>Impact: low</li> </ul>                                                         | <ul style="list-style-type: none"> <li>On-going – Sun Life provides adequate notice, and request an estimate of costs prior to booking large transactions with investment managers.</li> </ul> |
| <b>Leverage risk:</b> When a fund borrows to make investments, it is leveraged. This is the risk that capital losses are magnified by the use of borrowing.                                                                                                                                                                                                                                                                                                                                   | <ul style="list-style-type: none"> <li>Design portfolios where leverage is not allowed</li> <li>Attribution analysis of performance</li> </ul>                                                                                           | <ul style="list-style-type: none"> <li>Quantitative tools and/or provider of quantitative data</li> <li>Skill in applying quantitative data</li> <li>Ability to monitor the manager's portfolio (transparency)</li> <li>Tracking of market benchmarks and ability to identify strategies that led to performance results</li> </ul> | <ul style="list-style-type: none"> <li>Probability: low</li> <li>Impact: low</li> </ul>                                                         | <ul style="list-style-type: none"> <li>On-going – Monitor leverage in investment portfolios</li> </ul>                                                                                         |
| <b>Prepayment risk:</b> Certain fixed income securities, including mortgage-backed or other asset-backed securities, can be prepaid before maturity. If this happens unexpectedly or faster than predicted, the fixed income security can offer less income and/or potential for capital gains.                                                                                                                                                                                               | <ul style="list-style-type: none"> <li>Hire investment managers with the expertise to assess prepayment risk</li> <li>Design diversified fixed income portfolios to minimize the risk</li> </ul>                                         | <ul style="list-style-type: none"> <li>Quantitative tools and/or provider of quantitative data</li> <li>Skill in applying quantitative data</li> <li>Knowledge of universe of investment opportunity</li> </ul>                                                                                                                     | <ul style="list-style-type: none"> <li>Probability: low</li> <li>Impact: low</li> </ul>                                                         | <ul style="list-style-type: none"> <li>On-going – Monitor composition of fixed income portfolios</li> </ul>                                                                                    |
| <b>Reinvestment risk:</b> This is the risk that a fund may be forced to invest the proceeds of maturing securities in securities paying a lower interest rate.                                                                                                                                                                                                                                                                                                                                | <ul style="list-style-type: none"> <li>Design diversified fixed income portfolios to minimize the risk</li> <li>Offer investment options that would benefit from declining interest rates (long-term bond fund, equity funds)</li> </ul> | <ul style="list-style-type: none"> <li>Quantitative tools and/or provider of quantitative data</li> <li>Skill in applying quantitative data</li> <li>Knowledge of universe of investment opportunity</li> </ul>                                                                                                                     | <ul style="list-style-type: none"> <li>Probability: moderate for fixed income funds</li> <li>Impact: moderate for fixed income funds</li> </ul> | <ul style="list-style-type: none"> <li>On-going – Monitor composition of fixed income portfolios</li> </ul>                                                                                    |

| Event Identification: Risk Description                                                                                                                                                                                                | Control Activity:<br>Risk Management Process                                                                                                                                                                                                                                                                                                                                               | Control Activity:<br>Skill set/tools required                                                                                                                                                                                                                                                                                               | Risk Assessment:<br>Variables – Sensitivities                                                                                                                                                                                                                     | Risk Response: Risk Management<br>Strategies                                                                                                                                                                                        |
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| <b>Small Cap risk:</b> Smaller companies are typically riskier than larger ones because smaller companies are harder to value, they have smaller financial resources and they may be less liquid.                                     | <ul style="list-style-type: none"> <li>Design diversified portfolios to limit the exposure to small caps</li> <li>Monitor the level of small cap assets managed the investment manager</li> <li>Combine small cap mandate with a large cap mandate to allow flexibility to the manager regarding redemptions and contributions</li> </ul>                                                  | <ul style="list-style-type: none"> <li>Quantitative tools and/or provider of quantitative data</li> <li>Skill in applying quantitative data</li> <li>Ability to monitor the manager's portfolio (transparency)</li> </ul>                                                                                                                   | <ul style="list-style-type: none"> <li>Small cap securities may become illiquid and difficult to value</li> <li>Probability: low</li> <li>Impact: moderate</li> </ul>                                                                                             | <ul style="list-style-type: none"> <li>On-going - monitor the liquidity issues surrounding small cap investments</li> <li>On-going -Use pooled fund investments where practical to maximize liquidity and minimize costs</li> </ul> |
| <b>Specialization risk:</b> This is the risk that is present when a fund invests in a particular part of the world, a particular industry or using specific investment style, such as value or growth.                                | <ul style="list-style-type: none"> <li>Design portfolios with offsetting investment styles, diversify geographically and by industry</li> </ul>                                                                                                                                                                                                                                            | <ul style="list-style-type: none"> <li>Quantitative tools and/or provider of quantitative data</li> <li>Skill in applying quantitative data</li> <li>Ability to monitor the manager's portfolio (transparency)</li> <li>Knowledge of universe of investment opportunity</li> </ul>                                                          | <ul style="list-style-type: none"> <li>Probability: moderate for Canadian Equity Fund and SRI Global Equity Fund</li> <li>Impact: moderate for Canadian Equity Fund and SRI Global Equity Fund</li> </ul>                                                         | <ul style="list-style-type: none"> <li>On-going – Monitoring of Canadian Equity Fund and SRI Global Equity Fund</li> </ul>                                                                                                          |
| <b>Active management styles:</b> The risk that the degree of freedom provided to the selector of securities is inappropriate given the investment objective. Also, the risk that the managers' skill in selecting securities is poor. | <ul style="list-style-type: none"> <li>Choose active investment management where greatest potential to add value and where is appropriate for the investment objective</li> <li>Monitor active management closely</li> <li>Due diligence of investment management firm prior to and during appointment</li> <li>Design diversified portfolios with offsetting investment styles</li> </ul> | <ul style="list-style-type: none"> <li>Quantitative tools and/or provider of quantitative data</li> <li>Skill in applying quantitative data</li> <li>Understanding of managers' mandate and investment strategy and ability to assess the success of the strategy</li> <li>Ability to qualitatively assess firms and individuals</li> </ul> | <ul style="list-style-type: none"> <li>Market downturn could affect resources for research personnel for fund managers</li> <li>Active management may lead to significant periods of underperformance</li> <li>Probability: high</li> <li>Impact: high</li> </ul> | <ul style="list-style-type: none"> <li>Continued use of external consultant in performance monitoring</li> <li>Added insight provided by Sun Life Investment team</li> </ul>                                                        |

## Breakdown of Investment Risk Per UWO Fund

| Type of Risk                                         | Money Market | TDF 2020 | Diversified Bond | Canadian Bond | Long-Term Bond | Balanced Income | Balanced Growth | Diversified Equity | SRI Global Equity | U.S. Equity Hedged | U.S. Equity Unhedged | Non-North American Equity | Canadian Equity |
|------------------------------------------------------|--------------|----------|------------------|---------------|----------------|-----------------|-----------------|--------------------|-------------------|--------------------|----------------------|---------------------------|-----------------|
| Active management risk                               | °            |          | °                |               |                | °               | °               | °                  | °                 |                    |                      | °                         | °               |
| Commodity risk                                       |              |          |                  |               |                |                 |                 |                    |                   |                    |                      |                           | °               |
| Concentration risk                                   |              |          |                  |               |                |                 |                 |                    |                   |                    |                      |                           |                 |
| Credit risk                                          | °            | °        | °                | °             | °              | °               | °               |                    |                   |                    |                      |                           |                 |
| Credit spread risk                                   | °            | °        | °                | °             | °              | °               | °               |                    |                   |                    |                      |                           |                 |
| Currency risk                                        |              |          | °                |               |                | °               | °               | °                  | °                 |                    | °                    | °                         |                 |
| Derivative risk                                      |              |          | °                |               |                | °               | °               | °                  | °                 | °                  | °                    |                           |                 |
| Foreign investment risk (including emerging markets) |              |          | °                |               |                | °               | °               | °                  | °                 | °                  | °                    | °                         |                 |
| Interest rate risk                                   | °            | °        | °                | °             | °              | °               | °               |                    |                   |                    |                      |                           |                 |
| Large transaction risk                               |              |          | °                |               |                | °               | °               | °                  |                   |                    |                      |                           |                 |
| Large unitholder risk                                |              |          |                  |               |                |                 |                 |                    |                   |                    |                      |                           |                 |
| Leverage risk                                        |              |          |                  |               |                |                 |                 |                    |                   |                    |                      |                           |                 |
| Liquidity risk                                       |              |          | °                |               |                |                 |                 |                    |                   |                    |                      |                           |                 |
| Market risk                                          |              |          |                  |               |                | °               | °               | °                  | °                 | °                  | °                    | °                         | °               |
| Prepayment risk                                      |              |          | °                | °             | °              | °               | °               |                    |                   |                    |                      |                           |                 |
| Reinvestment risk                                    | °            |          | °                | °             | °              | °               | °               |                    |                   |                    |                      |                           |                 |
| Repo and securities lending                          | °            | °        | °                | °             | °              | °               | °               | °                  |                   |                    |                      |                           |                 |
| Small cap risk                                       |              |          |                  |               |                | °               | °               | °                  |                   |                    |                      |                           |                 |
| Specialization risk                                  |              |          |                  |               |                |                 |                 |                    | °                 |                    |                      |                           | °               |

## Operational Risks

| Event Identification: Risk Description                                                                                                                                                                                                                   | Control Activity: Risk Management Process                                                                                                                                                                                                                                                                                              | Control Activity: Skill set/tools required                                                                                                                                                                                                                                               | Risk Assessment: Variables – Sensitivities                                                 | Risk Response: Risk Management Strategies                                                                                                                                                                                                                                                                                                                                                                                                        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Concentration:</b> The risk that significant responsibilities are allocated to one firm or one individual and that entity is unable to meet the responsibilities usually because of an unpredictable event (e.g. accident, employee chooses to leave) | <ul style="list-style-type: none"> <li>Segregate duties</li> <li>Choose financially stable team-based investment firms</li> <li>Maintain back ups for responsibilities</li> <li>Provide fair compensation to individuals and other engagement strategies</li> </ul>                                                                    | <ul style="list-style-type: none"> <li>Knowledge of organizational data for firms that have been delegated responsibilities</li> <li>Knowledge of competitive compensation structures and engagement strategies</li> <li>Sufficient funding and resources to segregate duties</li> </ul> | <ul style="list-style-type: none"> <li>Probability: low</li> <li>Impact: medium</li> </ul> | <ul style="list-style-type: none"> <li>Continue to monitor the key personnel as part of fund manager review</li> <li>Development of selection criteria for experts and consultants</li> <li>Maintain a list of alternate experts for : Audit, Actuarial and performance measurement services</li> <li>Delegation of member servicing and recordkeeping to large diverse Sun Life team has substantially minimized concentration risks</li> </ul> |
| <b>Investment reporting:</b><br>The risk that the valuation and performance reporting of an investment fund is inaccurate, due to administrative error of Sun Life                                                                                       | <ul style="list-style-type: none"> <li>Sun Life are held to duty of care provisions in the service and fee agreements and accountable to correct for administrative errors made by their teams</li> <li>Western investment staff review the valuation of funds on monthly basis to ensure consistency with expected returns</li> </ul> | <ul style="list-style-type: none"> <li>Knowledge of expected returns</li> <li>Audit skills to review fund valuation processes</li> </ul>                                                                                                                                                 | <ul style="list-style-type: none"> <li>Probability: low</li> <li>Impact: medium</li> </ul> | <ul style="list-style-type: none"> <li>On-going- monitor monthly returns of funds relative to expected returns and those reported by the underlying managers</li> </ul>                                                                                                                                                                                                                                                                          |
| <b>Records and custodian Systems:</b> The risk that transactions and benefit entitlements are inaccurately recorded on the records or custodian systems, resulting in the wrong level of benefit payable to members                                      | <ul style="list-style-type: none"> <li>Monthly reconciliation of payroll deposits to employer pay registers</li> <li>Sun Life audit report, 5970 or equivalent audit report</li> </ul>                                                                                                                                                 | <ul style="list-style-type: none"> <li>Knowledge of pension statute, tax statute</li> <li>Knowledge of customized systems, reporting software, critical analysis/problem solving</li> <li>Understanding of efficient workflow and appropriate controls to be established</li> </ul>      | <ul style="list-style-type: none"> <li>Probability: low</li> <li>Impact: low</li> </ul>    | <ul style="list-style-type: none"> <li>Request audit reports from all managers and service providers</li> </ul>                                                                                                                                                                                                                                                                                                                                  |

| Event Identification: Risk Description                                                                                                                                                                                                   | Control Activity: Risk Management Process                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Control Activity: Skill set/tools required                                                                                                                                                                                                                          | Risk Assessment: Variables – Sensitivities                                               | Risk Response: Risk Management Strategies                                                                                                                                                                                                                                                                                                                           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Risk of Interruption in Records Systems:</b><br>Risk systems are unavailable or access to physical building is restricted for long period of time (ie. during a disaster or when required access to physical buildings is restricted) | <ul style="list-style-type: none"> <li>Ensure custodial system and service providers have sufficient business continuity plans</li> </ul>                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                     |                                                                                          | <ul style="list-style-type: none"> <li>Review and monitor changes in Sun Life business continuity plans</li> </ul>                                                                                                                                                                                                                                                  |
| <b>Oversight/governance:</b> The risk that the development, implementation, reporting and review of policies is not effective, resulting in slow or non-reaction to operational or systematic issues when they arise.                    | <ul style="list-style-type: none"> <li>Documented governance policy, accountabilities and decision making responsibilities, fully open to plan members</li> <li>Annual training and strategic planning for pension board and internal staff</li> <li>Manager assigned full time to ensure reporting and monitoring</li> <li>Pension Board to set annual priorities at retreat to set direction</li> <li>Select expert consultants to provide assistance on identification of emerging issues</li> </ul> | <ul style="list-style-type: none"> <li>Knowledge of governance models for effective decision making</li> <li>Disciplined, impartial, detail oriented manager of process</li> <li>Reasonable pool of potential fiduciaries to draw upon</li> </ul>                   | <ul style="list-style-type: none"> <li>Probability: low</li> <li>Impact: high</li> </ul> | <ul style="list-style-type: none"> <li>On-going - application of CAPSA Guideline No.3</li> <li>On-going - monitor best practices for governance</li> <li>Annually complete self assessment governance questionnaire</li> <li>Annually review Statement of Investment Beliefs</li> <li>Maintain appropriate staffing levels to support pension governance</li> </ul> |
| <b>Staffing, fiduciaries:</b> The risk that the number, diversity and skill set of staff and fiduciaries are insufficient to complete the accountabilities effectively.                                                                  | <ul style="list-style-type: none"> <li>Significant effort in recruitment of internal staff</li> <li>Regularly review and update job accountabilities and skills required</li> <li>Annual and as needed performance reviews</li> <li>Regularly review job descriptions for board members</li> </ul>                                                                                                                                                                                                      | <ul style="list-style-type: none"> <li>Knowledge of all risks associated with the plans</li> <li>Knowledge of professional training and on-going opportunities and needs</li> <li>Budget and opportunities for seminar and conferences for board members</li> </ul> | <ul style="list-style-type: none"> <li>Probability: low</li> <li>Impact: high</li> </ul> | <ul style="list-style-type: none"> <li>On-going - monitoring</li> <li>Training of internal staff on best practices related to risk/controls and pension plan governance</li> </ul>                                                                                                                                                                                  |
| <b>Expense risk:</b> the risk that as the external environment becomes more complex costs of the programs will escalate                                                                                                                  | <ul style="list-style-type: none"> <li>Significant effort to pool investment funds to reduce management fees</li> <li>Plan design for both pension plans to be aligned where possible</li> <li>Monitor consultant/actuarial/legal expenses – cap costs in contracts where possible</li> </ul>                                                                                                                                                                                                           | <ul style="list-style-type: none"> <li>Detailed contract terms on fees</li> <li>Knowledge expenses for similar plans</li> <li>Disclosure of fees paid by members.</li> <li>Annual budget</li> </ul>                                                                 | <ul style="list-style-type: none"> <li>Probability: low</li> <li>Impact: high</li> </ul> | <ul style="list-style-type: none"> <li>Fee and Service agreement with Sun Life with built in 10 year fee guarantee</li> <li>On-going: Sun Life has fund operating guidelines which penalize frequent trades and address queues for large transactions for members.</li> </ul>                                                                                       |



| Event Identification: Risk Description                                                                                                                                                                                        | Control Activity: Risk Management Process                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Control Activity: Skill set/tools required                                                                                                                                                                                                                                                                                                                                                                           | Risk Assessment: Variables – Sensitivities                                                                                                                          | Risk Response: Risk Management Strategies                                                                                                                                                                                                                                                    |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Member Engagement:</b><br>The risk that plan members will not actively manage their pension accounts and investment options or choose to participate when participation is mandatory that benefit adequacy may be an issue | <ul style="list-style-type: none"> <li>Detailed communications in multiple media</li> <li>Pension Advisors provide individual counseling</li> <li>Online education tools</li> <li>Outreach for part time eligibility</li> <li>Default options for the pension plans and outbound calls to members who have not made investment allocations</li> <li>Ongoing education, annual meetings for all members and newsletters</li> <li>Diligent selection of consultants to provide education and advice to members</li> <li>Focus communication to promote understanding and responsibilities of members in a DC plan</li> </ul> | <ul style="list-style-type: none"> <li>Knowledge of the pension plan and resources available to members</li> <li>Ability to communicate complex issues to a wide variety of members</li> <li>Develop formal communication Plan for the retirement plans</li> <li>Expert outside consultant for investment advice</li> <li>Projections on annual statements</li> <li>Seek to improve engagement of members</li> </ul> | <ul style="list-style-type: none"> <li>Probability: high</li> <li>Impact: medium</li> <li>- extensive communication may be overwhelming for some members</li> </ul> | <ul style="list-style-type: none"> <li>Sun Life to provide extensive member education and support</li> <li>Review Sun Life strategies and employ best communication practices to engage members in saving for retirement</li> <li>Review approach to outbound calls with Sun Life</li> </ul> |
| <b>Risk of erroneous information</b><br>Members are provided information that is not accurate or misleading on which members take action.                                                                                     | <ul style="list-style-type: none"> <li>Develop detailed notes and reference documents for call centre and other client facing staff at Western and Sun Life</li> <li>Make swift contact with members suspected to have received erroneous information</li> <li>Monitor selection of recorded calls as needed</li> <li>Quarterly performance report card and escalation report</li> </ul>                                                                                                                                                                                                                                   | <ul style="list-style-type: none"> <li>Understanding of call centre business processes, available resources for members</li> <li>Strong customer service skills, acting with integrity and authenticity</li> </ul>                                                                                                                                                                                                   | <ul style="list-style-type: none"> <li>Probability: medium with a new relationship; expect will be low overtime</li> <li>Impact: medium</li> </ul>                  | <ul style="list-style-type: none"> <li>As members report confusion to University team, work with Sun Life to ensure clarity and swift correction to the member</li> <li>Investigate items of concern or patterned escalations.</li> </ul>                                                    |

## Legal Risks

| Event Identification: Risk Description                                                                                                                                                                                                                                                                                       | Control Activity: Risk Management Process                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Control Activity: Skill set/tools required                                                                                                                                                                                                                                                                                                                                                 | Risk Assessment: Variables – Sensitivities                                                                                                            | Risk Response: Risk Management Strategies                                                                                                                                                                                                                                                                                                                                                                                               |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Fiduciary liability:</b> Risk that the internal staff, the pension board or the Board of Governors are held liable for breaching their responsibilities as fiduciaries to the beneficiaries of the retirement plan –this includes the statutory duty in the Pension Benefits Act and the fiduciary duty imposed at common | <ul style="list-style-type: none"> <li>• Ensure all staff, board and governors are informed of their fiduciary duty</li> <li>• Report annually to the Board of Governors on policies, activities and issues</li> <li>• Consult legal counsel in areas where the obligations are unclear</li> <li>• Annual review of SIPP and periodic review of all fund managers</li> <li>• Monitor legal decisions to be aware of potential risks</li> <li>• High quality education courses for JPB members identified and made accessible</li> <li>• Continue to recruit JPB with expertise</li> <li>• Consider best practices in governance</li> <li>• Orientation session provided to new JPB members</li> </ul> | <ul style="list-style-type: none"> <li>• Avenue to report annually to Board of Governors in appropriate format</li> <li>• Basic understanding, history and rationale for fiduciary obligations under various laws</li> <li>• Monitoring tool for the assessment of governance</li> <li>• Knowledge of CAPSA governance principles</li> <li>• Knowledge of fiduciary obligations</li> </ul> | <ul style="list-style-type: none"> <li>• Impact: High</li> <li>• Probability: Low</li> </ul>                                                          | <ul style="list-style-type: none"> <li>• Ongoing use of CAPSA's model pension plan self governance questionnaire</li> <li>• Annual review of governance principles applied by the JPB</li> <li>• Review and approve the SIPPs annually</li> <li>• Monitoring of Investment managers ongoing</li> <li>• On-going monitoring of Sun Life and other agents</li> <li>• Review Risk Management Plan regularly (at least annually)</li> </ul> |
| <b>Pension Statute:</b> The risk that the Pension Benefits Act of Ontario is violated by the administrators or any pension agent, subjecting the administrators to fines and potentially jail time                                                                                                                           | <ul style="list-style-type: none"> <li>• Thorough understanding of the Act and regulations by internal staff members</li> <li>• Established procedures and controls in accordance with the Act (e.g. timing of contribution deposits, timing of communications)</li> <li>• Consult legal counsel for clarification as needed</li> <li>• Keep updated on changes to statutes and seek opinions from external consultants on how to properly implement changes</li> </ul>                                                                                                                                                                                                                               | <ul style="list-style-type: none"> <li>• Knowledge of current provincial legislation, legislation in other jurisdictions and any proposed amendments to law, including rationale for the proposed amendments</li> <li>• Accurate documentation of the Act, regulations and interpretation documents</li> <li>• Record manager compliance with mandate, SIP&amp;P and PBA, ITA.</li> </ul>  | <ul style="list-style-type: none"> <li>• Impact: High</li> <li>• Probability: Low</li> <li>- Legislative changes to PBA and other statutes</li> </ul> | <ul style="list-style-type: none"> <li>• Review record keeping practices with service provider to identify any gaps in compliance with statute</li> <li>• Ongoing use of a compliance checklist for annual FSRA &amp; CRA requirements</li> <li>• Amend pension plans and administrative process as needed for legislative changes</li> </ul>                                                                                           |

| Event Identification:<br>Risk Description                                                                                                                                                                                        | Control Activity:<br>Risk Management Process                                                                                                                                                                                                                                                                                                      | Control Activity:<br>Skill set/tools required                                                                                                                                                                                                                | Risk Assessment:<br>Variables –<br>Sensitivities                                                                                    | Risk Response: Risk Management Strategies                                                                                                                                                                                                                                                                                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Tax Statute:</b> The risk that tax is payable by the University or the pension trust because a provision of the Income Tax Act is violated. Also, risk that the plans are de-registered, subjecting all beneficiaries to tax. | <ul style="list-style-type: none"> <li>Thorough understanding of the provisions of the Act, regulations and interpretations relevant to pension plans. Consult legal counsel for clarification as needed</li> <li>Keep updated on changes to statutes and seek opinions from external consultants on how to properly implement changes</li> </ul> | <ul style="list-style-type: none"> <li>Knowledge of current tax legislation and any proposed budget changes, including rationale for the proposed amendments</li> <li>Accurate documentation of the Act, regulations and interpretation documents</li> </ul> | <ul style="list-style-type: none"> <li>Impact: High</li> <li>Probability: Low</li> </ul> <p>-Significant and sudden tax changes</p> | <ul style="list-style-type: none"> <li>On-going -Monitor the implementation of tax changes by Sun Life.</li> </ul>                                                                                                                                                                                                       |
| <b>Common Law and other statutes:</b> The risk that in fulfilling our duties another statute or a law is violated (e.g. family law act, employment standards act, privacy act, tort of negligent misrepresentation)              | <ul style="list-style-type: none"> <li>Consult legal counsel for clarification as needed</li> <li>Keep updated on changes to statutes and seek opinions</li> <li>Periodic review of processes, forms and other documents by external legal counsel</li> </ul>                                                                                     | <ul style="list-style-type: none"> <li>Awareness of changes in employment related legislation</li> <li>Affiliation with professional firm who will provide updates on recent court proceedings involving retirement plans</li> </ul>                         | <ul style="list-style-type: none"> <li>Impact: High</li> <li>Probability: Low</li> </ul>                                            | <ul style="list-style-type: none"> <li>Ongoing monitoring of case law and legislative updates</li> <li>Provide update and information to Pension Board and Pension Administration staff as needed.</li> <li>Additional controls and processes employed in day to day activities to protect member information</li> </ul> |
| <b>Labour Risk:</b> The risk that the bargaining groups will use grievances to advance pension claims                                                                                                                            | <ul style="list-style-type: none"> <li>Liaise with HR staff relations and Faculty Relations as needed</li> </ul>                                                                                                                                                                                                                                  | <ul style="list-style-type: none"> <li>Knowledge of collective agreement provisions re pension plans</li> <li>Seek outside counsel assistance as need</li> <li>Knowledge of pension legislation</li> </ul>                                                   | <ul style="list-style-type: none"> <li>Impact: Medium</li> <li>Probability: Unknown</li> </ul>                                      | <ul style="list-style-type: none"> <li>Ongoing monitoring</li> <li>Include unions and employee groups in the communication initiatives including the Communication plan</li> </ul>                                                                                                                                       |

**REPORT OF THE FUND RAISING AND DONOR RELATIONS COMMITTEE**

| <b>Contents</b>                                                                | <b>Consent<br/>Agenda</b> |
|--------------------------------------------------------------------------------|---------------------------|
| <b><a href="#">Fund Raising Activity Quarterly Report to July 31, 2019</a></b> | Yes                       |

**FOR INFORMATION**

1. **Fund Raising Activity Quarterly Report to July 31, 2019**

The Fund Raising Initiatives Quarterly Report to July 31, 2019 is provided in [Annex 1](#).



Fund Raising Initiatives Quarterly Report  
as at July 31, 2019  
(with comparative figures from the fiscal year 2017/18 to 2018/19)

**PLEDGE DATA<sub>1</sub>**

|                                                                 | May 1, 2019 to July 31, 2019<br>(000's) |                |                            | Fiscal Year 2019<br>(000's) |                          | Fiscal Year 2018<br>(000's) |                          |
|-----------------------------------------------------------------|-----------------------------------------|----------------|----------------------------|-----------------------------|--------------------------|-----------------------------|--------------------------|
|                                                                 | Target                                  | Actual         | Actual as a<br>% of Target | Year to Date<br>May to July | Year End<br>May to April | Year to Date<br>May to July | Year End<br>May to April |
| Pledges outstanding May 1                                       | 103,042                                 | 103,042        | N/A                        | 91,903                      | 91,903                   | 87,537                      | 87,537                   |
| New Gifts & Pledges (Gross)                                     | 66,300                                  | 9,460          | 14.27%                     | 7,663                       | 78,123                   | 5,229                       | 70,130                   |
| Pledges cancelled/amended on new/prior pledges                  | -2,403                                  | 2              | -0.08%                     | -260                        | -2,010                   | -275                        | -1,835                   |
| <b>Net New Pledges/Gifts</b>                                    | <b>63,897</b>                           | <b>9,462</b>   | <b>14.81%</b>              | <b>7,403</b>                | <b>76,113</b>            | <b>4,954</b>                | <b>68,295</b>            |
| Contributions received in payment of pledges/gifts <sub>2</sub> | 56,502                                  | 9,152          | 16.20%                     | 8,382                       | 64,974                   | 9,301                       | 63,929                   |
| <b>Net Pledges Outstanding</b>                                  | <b>110,437</b>                          | <b>103,352</b> | <b>93.58%</b>              | <b>90,924</b>               | <b>103,042</b>           | <b>83,190</b>               | <b>91,903</b>            |

**COST PER DOLLAR RAISED**

|                                                          | May 1, 2019 to July 31, 2019<br>(000's) |                               |                           | May 1, 2018 to April 30, 2019<br>(000's) |                                |                             | May 1, 2017 to April 30, 2018<br>(000's) |                               |                             |
|----------------------------------------------------------|-----------------------------------------|-------------------------------|---------------------------|------------------------------------------|--------------------------------|-----------------------------|------------------------------------------|-------------------------------|-----------------------------|
|                                                          | Net<br>Pledges/Gifts                    | Expenses                      | Cost per<br>Dollar Raised | Net<br>Pledges/Gifts                     | Expenses                       | Cost per<br>Dollar Raised   | Net<br>Pledges/Gifts                     | Expenses                      | Cost per<br>Dollar Raised   |
| Advancement Fund Raising Units                           | 7,956                                   | 2,019                         | NA                        | 56,248                                   | 9,259                          | \$0.16                      | 55,719                                   | 8,626                         | \$0.15                      |
| Alumni Relations & Development                           | 1,506                                   | 287                           | NA                        | 21,558                                   | 1,101                          | \$0.05                      | 14,302                                   | 1,087                         | \$0.08                      |
| Richard Ivey School of Business                          |                                         |                               |                           |                                          |                                |                             |                                          |                               |                             |
| <b>Total Expenses/Cost Per Dollar Raised</b>             | <b>9,462</b>                            | <b>2,307</b>                  | <b>NA</b>                 | <b>77,806</b>                            | <b>10,359</b>                  | <b>\$0.13</b>               | <b>70,020</b>                            | <b>9,713</b>                  | <b>\$0.14</b>               |
| <b>3-Year Average Cost Per Dollar Raised<sub>3</sub></b> | <b>NA</b>                               | <b>NA</b>                     | <b>NA</b>                 | <b>68,279</b>                            | <b>9,820</b>                   | <b>\$0.14</b>               | <b>58,351</b>                            | <b>9,630</b>                  | <b>\$0.17</b>               |
| <b><u>Return on Investment</u></b>                       | <b><u>Revenue</u></b>                   | <b><u>Expenses</u></b>        | <b><u>Return on</u></b>   | <b><u>Revenue</u></b>                    | <b><u>Expenses</u></b>         | <b><u>Return on</u></b>     | <b><u>Revenue</u></b>                    | <b><u>Expenses</u></b>        | <b><u>Return on</u></b>     |
| Fundraising/Development/Advancement                      | <u>Cash Received</u><br>9,139           | <u>Cash Received</u><br>2,307 | <u>Investment</u><br>NA   | <u>Cash Received</u><br>47,751           | <u>Cash Received</u><br>10,359 | <u>Investment</u><br>\$4.61 | <u>Cash Received</u><br>52,909           | <u>Cash Received</u><br>9,713 | <u>Investment</u><br>\$5.45 |
| <b>Total Return on Investment</b>                        | <b>9,139</b>                            | <b>2,307</b>                  | <b>NA</b>                 | <b>47,751</b>                            | <b>10,359</b>                  | <b>\$4.61</b>               | <b>52,909</b>                            | <b>9,713</b>                  | <b>\$5.45</b>               |

<sub>1</sub> Includes total activity of:

Western University  
The University of Western Ontario Inc.  
The University of Western Ontario (UK) Foundation  
The University of Western Ontario (HK) Foundation  
Richard Ivey School of Business (Asia) Limited

<sub>2</sub> Represents all contributions including cash, gift in kind and gift in purchase discounts entered in the CRM within reporting period and may differ from the general ledger reporting period.

<sub>3</sub> 3 Year Rolling Average - reflects the major gift factor and the post campaign period. Return on Investment and Cost per Dollar raised are included on April 30th Quarter reports.

### **REPORT OF THE MCINTOSH GALLERY COMMITTEE**

| <b>Contents</b>                                                           | <b>Consent<br/>Agenda</b> |
|---------------------------------------------------------------------------|---------------------------|
| <b>McIntosh Gallery Report to the Board of Governors – September 2019</b> | Yes                       |

#### **FOR INFORMATION**

McIntosh is a platform for intellectual and sociocultural exchange within the local, regional, and national arts communities. During 2018/19, we demonstrated our commitment to a diverse curatorial program that balances established artists with a strong focus on new generation, queer, and BIPOC artists and curators. We continued our relationship with Western's Department of Visual Arts by presenting a series of graduate student thesis exhibitions throughout the summer. PhD (curatorial) candidate Jessica Cappuccitti showed *Welcome to Detroit*, a critical exploration of narratives of urban decay in Detroit, featuring the work of Detroit born Suzy Lake (Toronto) and African American artist Orlando Ford (Detroit). PhD (studio) candidate Mark Kasumovic presented *A Human Laboratory*, which examined the relationship between the camera and scientific instruments as tools of inscription that record and disseminate natural, social, and scientific phenomena. Kolkata-born MFA candidate Sharmistha Kar's exhibition *Next to a River – Embroidery as Map and Shelter* comprised a series of large textile works that employed traditional and contemporary embroidery techniques to explore mapping, migration, and identity. MFA candidate Joy Wong combined experimental paint and print techniques in her installation *Skim*, a meditation on thresholds, boundaries, and the in-betweenness of skin.

*Glimmers of the Radiant Real*, curated by Ruth Jones and Sam Mogelonsky, and *Heads*, curated by visual arts professor Sky Glabush, were thematic group exhibitions that brought together established and new generation artists primarily from southern Ontario.

The diverse communities with which we collaborate inform our programming plans by providing expertise, knowledge and most importantly alternative perspectives and methodologies that challenge conventional, authoritarian curatorial practices with more dynamic and egalitarian models for cultural production. *Andil Gosine: Coolie Viens!*, curated by Matthew Ryan Smith, presented an auto ethnographic study of intergenerational trauma in the Caribbean among South Asian indentured labour and their descendants, including Gosine. The eponymous catalogue featured texts, in French and English by 16 writers, most from the Caribbean South Asian diaspora. Deanna Bowen's installation of video and family photographs, *We Are from Nicodemus*, traced threads of her own family's story within the broader history of African American migration to the Canadian Prairies.

McIntosh demonstrated its growing commitment to Indigenous art practices, which is the most dynamic area of production and research in Canadian art today. Skawennati's exhibition *From Skyworld to Cyberspace* engaged mechanisms of gaming and play, interweaving traditional Kanien'kehá:ka (Mohawk) stories and ritual objects with new technologies and processes. Drawing on ancestral narratives to chart alternative realities, a key principle of Indigenous Futurism, the exhibition reimagined the artistic and social potential of Indigenous peoples in years to come. Currently the exhibition is on display at the University of Waterloo Art Gallery. McIntosh is publishing the first monograph on Skawennati, featuring essays by exhibition curator Matthew Ryan Smith (PhD, Visual Arts, Western) McIntosh curator Helen Gregory (PhD, Visual Arts, Western) and Indigenous scholars Wahsontii Cross and Maize Longboat. McIntosh continued to expand its reach in the community through educational and collaborative projects in accessible and online spaces. We held an exhibition of a recently acquired landmark 1970s installation by Jamelie Hassan, *Wall with Door*, at Satellite Gallery in downtown London. We collaborated with Eugenio Salas on his community-based participatory art project, *The Super Cake*, in which he worked with new Canadians at an industrial bakery to critically examine the juncture of personal food-based rituals with industrial food production. A cook book authored and designed by the workers celebrating the diversity of their culinary traditions will be published by McIntosh in 2019.

In April 2018, McIntosh launched a new website documenting the vital role of women in the London arts community from 1867 to the present. This project, funded in part by the London Arts Council, targets young audiences through texts, images and video interviews about contemporary and historical women artists from London and Middlesex County. With new collections management software, 3,294 of our permanent collection of over 4,000 works of art is now accessible online.

Financially, McIntosh continued to demonstrate financial acumen ending the year with a budget surplus of \$154,269. Much of this the result of savings realized by the retirement of Catherine Elliot Shaw, curator, and administrative assist, Susan Skaith. With the hiring of current full time staff members, Dr. Helen Gregory, Curator, and Abby Vincent, Communications and Outreach Coordinator, we anticipate a substantially smaller surplus over the next three years.

Please note that the financial statements herewith have not yet been approved by the McIntosh Gallery Committee of the Board of Governors. We anticipate doing so in October, 2019.

Respectfully submitted,  
James Patten  
Director

**Background:**  
See [Annex 1](#).

# McIntosh Gallery

## Report to the Board of Governors Western University

September 2019



# COLLECTIONS REPORT

This report includes all acquisitions of art to the permanent collection from January 2016 to December 2018. Due to the volume of donations and the migration of data from our old collections management software to our new online database, it took longer than usual to catalogue works acquired in the two previous years.

During this three-year period we acquired 325 works of art, including 303 donations, 14 purchases, four commissions, and four works transferred from our archives to the permanent collection. Dimensions are in centimetres.

## Donations 2016:

*Gift of an anonymous donor:*

Luis Jacob (Canadian; b. Peru, 1970)  
untitled (from *Circle Jerk Series*) 2009  
lithograph on paper, 48.3 x 33.0  
Gift of Anonymous Donor, 2016  
2016.0026

*Gift from Doreen Curry:*

Greg Curnoe (Canadian, 1936-1992)  
Pangnirtung Looking East Aug.18, 1971 1971  
watercolour on paper behind Plexiglas, 29.2 x 35.6  
Gift of Doreen Curry, 2016  
2016.0034

Greg Curnoe (Canadian, 1936-1992)  
Adult Education #2 Mar. 31, 1978 1978  
watercolour and pencil on paper (watercolour on paper under Plexiglas), 28.9 x 32.7  
Gift of Doreen Curry, 2016  
2016.0035

Greg Curnoe (Canadian, 1936-1992)  
Cherry-Pop #5 Nov.18, 1964 1964  
mixed media on collage on card (collage on artist board), 37.4 x 47.5  
Gift of Doreen Curry, 2016

2016.0036

Ron Benner (Canadian, b.1949)

untitled (train) no date

photograph on paper, bitumen, Plexiglas, metal springclips, 38.3 x 51.4

Gift of Doreen Curry, 2016

2016.0037

Paterson Ewen (Canadian, 1925-2002)

Moon 1975

watercolour on homemade blue paper between acrylic, 48.8 x 49.9

Gift of Doreen Curry, 2016

2016.0027

Paterson Ewen (Canadian, 1925-2002)

Triple Life Stream 1959

chalk pastel on paper, 66.4 x 47.8

Gift of Doreen Curry, 2016

2016.0028

Paterson Ewen (Canadian, 1925-2002)

untitled 1949

oil on canvas board, 50.4 x 61.2

Gift of Doreen Curry, 2016

2016.0029

Paterson Ewen (Canadian, 1925-2002)

untitled 1957

oil on canvas, 45.7 x 55.9

Gift of Doreen Curry, 2016

2016.0030

Paterson Ewen (Canadian, 1925-2002)

untitled - Beaver Valley July 1969 1969

oil on canvasboard, 22.9 x 30.5

Gift of Doreen Curry, 2016

2016.0031

Paterson Ewen (Canadian, 1925-2002)

George Lake, Killarney, Ontario July 1969 1969

oil on canvasboard, 25.4 x 30.5  
Gift of Doreen Curry, 2016  
2016.0032

Paterson Ewen (Canadian, 1925-2002)  
untitled (Laurentian scene) c.1950  
watercolour on paper on board, 49.5 x 64.0  
Gift of Doreen Curry, 2016  
2016.0033

Dave Gordon (Canadian, b.1944)  
Cuba Mar. 1975 1975  
watercolour on paper, 50.9 x 35.9  
Gift of Doreen Curry, 2016  
2016.0038

*Gift of Imperial Oil Limited:*

Clark Holmes McDougall (Canadian, 1921-1980)  
Summer Wildflowers 1961  
enamel on board (oil and black enamel on board), 91.2 x 122.0  
Gift of Imperial Oil Limited, 2016  
2016.0041

*Gift of Richard and Donna Ivey:*

Thelma Rosner (Canadian, b.1941)  
Pears, Universe 1996  
oil on canvas, 61.0 x 38.1  
Gift of Richard and Donna Ivey, 2016  
2016.0049

*Gift of Rosamond Ivey:*

John McEwen (Canadian, b.1945)  
Genie Urn 2007  
corten steel, 226.1 x 66.0 x 66.0  
Gift of Rosamond Ivey, 2016  
2016.0042

*Gift of Elizabeth Merle Jones:*

Jean-Paul Riopelle (Canadian, 1923-2002)

untitled no date

oil on canvas, 32.5 x 23.6

Gift of E. Merle (McPherson) Jones, B.Sc. Honor Chemistry 1947, Western University,  
2016

2016.0043

*Gift of the late Eleanor Miller:*

kerry ferris (Canadian, 1949-2016)

My Tea Party no date

gouache on paper, 66.9 x 101.5

Gift of Eleanor Miller, 2016

2016.0045

Ron Martin (Canadian, b.1943)

Landscape in Canada 1967

enamel on plywood, coloured Plexiglas, 152.8 x 153.3

Gift of Eleanor Miller, 2016

2016.0044

*Gift of Nancy Monger:*

Mackie Cryderman (Canadian, 1986-1969)

untitled (fishing village) no date

oil on canvas, 50.8 x 63.5

Gift of Nancy Monger in memory of Marion Keron, 2016

2016.0050

*Gift of Deborah and Gerald Pedros:*

Two works by Walter Redinger (Canadian, 1940-2014):

Organic Wall Piece (unnumbered) c.1968

cast fibreglass and urethane paint, 81.3 x 71.1 x 15.2

Gift of Deborah and Gerald Pedros, 2016

2016.0051

Organic Wall Piece (unnumbered) c.1968

cast fibreglass and urethane paint, 81.3 x 71.1 x 15.2  
Gift of Deborah and Gerald Pedros, 2016  
2016.0052

*Gift of Al Sugerman:*

Two works by Walter Redinger (Canadian, 1940-2014):

untitled (from God Seekers Series) 2004  
wood, fibreglass, oil paint, 174.2 x 30.2 x 8.5  
accession no.

untitled (horn shape) c.1988  
found wood, fibreglass, oil paint, 102.5 x 34.5 x 22.5  
On behalf of my son Seth and daughter Emily / Al Sugerman, 2016  
2016.0047

*Gift of Ian and Joan Turnbull:*

Four Works by Eve Apostoll (Canadian; b. United States, 1931-1995):

A Fool's Farewell 1966  
oil on canvas, 121.9 x 182.9  
Gift of Ian and Joan Turnbull in memory of Eve Apostoll, 2016  
2016.0003

The Tarantella 1962  
oil on paper, 20.9 x 28.5  
Gift of Ian and Joan Turnbull in memory of Eve Apostoll, 2016  
2016.0004

Self Portrait 1968  
charcoal on paper, 45.3 x 27.7  
Gift of Ian and Joan Turnbull in memory of Eve Apostoll, 2016  
2016.0005

The Eye is a Camera 1981  
egg tempera on paper, 53.3 x 73.7  
Gift of Ian and Joan Turnbull in memory of Eve Apostoll, 2016  
2016.0006

*Gift of an anonymous donor:*

Jocelyne Alloucherie (Canadian, b.1947)

Ombre No. 7 2001

mixed media, photograph: 218.4 x 127.0; object: 103.0 x 115.0 x 39.0

Anonymous donation, 2016

2016.0039

Jocelyne Alloucherie (Canadian, b.1947)

Occident III 1997

mixed media, photograph: 218.4 x 127.0; object: 130.0 x 113.0 x 80.0

Anonymous donation, 2016

2016.0040

*Gift of an anonymous donor:*

Twelve works by Clark Holmes McDougall (Canadian, 1921-1980)

untitled (riverscape) no date

oil on panel, 50.9 x 61.1

2016.0008

untitled (unknown woman) no date

oil on panel, 81.0 x 61.0

2016.0009

Cars Love Shell no date

oil on panel, 61.0 x 81.0

2016.0010

untitled (George Thorman) no date

oil on panel, 81.3 x 61.0

2016.0011

untitled (stream) no date

chalk pastel on card, 40.7 x 51.0

2016.0012

untitled (Lake Erie) no date

chalk pastel on card, 40.7 x 50.9

2016.0013

untitled (country road) no date  
chalk pastel on card, 40.7 x 50.9

2016.0014

untitled (landscape) 1971  
enamel on hardboard, 91.8 x 122.2

2016.0015

untitled (Talbot Street, St. Thomas) no date  
pencil and enamel on hardboard, 91.8 x 122.2

2016.0016

untitled (cityscape) 1963  
enamel on hardboard, 94.8 x 122.2

2016.0017

untitled (grain field) no date  
watercolour on paper mounted on hardboard, 45.7 x 60.8

2016.0018

untitled (country road bridge) no date  
oil on panel, 50.7 x 61.1

2016.0048

## **2016 PURCHASES:**

Charles Chapman (Canadian, 1827-1887)

untitled 1876

watercolour on paper, 17.5 x 47.3

Purchase, 2016

2016.0020

William Nichol Cresswell (British, 1822-1888)

untitled 1860

watercolour on paper, 22.6 x 34.4

Purchase, 2016

2016.0021

William Nichol Cresswell (British, 1822-1888)  
untitled (Niagara Falls) 1888  
watercolour on canvas, 48.7 x 32.6  
Purchase, 2016  
2016.0022

William Nichol Cresswell (British, 1822-1888)  
untitled no date  
acrylic on canvas, 75.5 x 98.5  
Purchase, 2016  
2016.0025

John Powell Hunt (Canadian, 1854-1932)  
Cattle Watering, Late Autumn no date  
oil on canvas, 35.2 x 43.1  
Purchase, 2016  
2016.0019

Kim Moodie (Canadian, b.1951)  
Hope/War/Love/Peace 2016  
acrylic on canvas, 154.3 x 119.3

Purchased with the support of the Canada Council for the Arts Acquisition Grants  
program/Oeuvre achetée avec l'aide du programme de Subventions d'acquisition du  
Conseil des Arts du Canada, 2016  
2016.0002

Jenna Faye Powell (Canadian, b.1987)  
Expansion Potential 2016  
oil and acrylic on panel, 76.0 x 61.0  
Purchase, 2016  
2016.0024

George Harlow White (British, 1817-1887)  
Bytown, Ontario 1876  
watercolour on paper mounted on board, 14.0 x 22.3  
Purchase, 2016  
2016.0023



## **2016 COMMISSIONS**

Linda Kooluris Dobbs (b. New Jersey)

Stephen R. Coxford, Chair of Western University Board of Governors (2012-2013)  
2016

acrylic on canvas, 115.0 x 91.5

Commission, Board of Governors, University Portrait Fund, 2016

2016.0007

Tony Scherman (Canadian, b.1950)

Joseph Rotman, Chancellor of Western University (2012-2015) 2015

encaustic, 112.0 x 101.5

Commission, Board of Governors, University Portrait Fund, 2015

2016.0001

## **Acquisitions 2017**

### **2017 Donations:**

Gift of an anonymous donor:

Greg Curnoe (Canadian, 1936-1992)

Amorous Reading 1989

lithograph on paper, frame: 136.0 x 101.1 image: 112.0 x 77.9

Gift of Anonymous Donor, 2017

2017.0002

Murray Favro (Canadian, b.1940)

Guitar Design AP VIII/VIII 1982

silk screen on paper, frame: 77.0 x 93.0 image: 56.0 x 74.0

Gift of Anonymous Donor, 2017

2017.0003

Jamelie Hassan (Canadian, b.1948)

The Oblivion Seekers 1985

india ink on paper, frame: 100.0 x 80.0 image: 75.0 x 57.0

Gift of Anonymous Donor, 2017

2017.0010

Doug Mitchell (Canadian, b.1950)

Untitled 2001

wood, acrylic paint, 4.0 x 54.0

Gift of Anonymous Donor, 2017

2017.0004

*Gift of the Estate of Doreen Curry:*

Greg Curnoe (Canadian, 1936-1992)

Owen and Sheila, Monday Morning Apr. 19 1970 1970

ink on paper, 44.2 x 57.1

Gift of the Estate of Doreen Curry, 2017

2017.0039

Ron Benner (Canadian, b.1949)

Red Island from Gren Point, Placentia. Newfoundland Aug. 28 1982 1982

watercolour on paper, 23.0 x 31.0

Gift of the Estate of Doreen Curry, 2017

2017.0042

Paterson Ewen (Canadian, 1925-2002)

untitled (Laurentian scene) 1950

watercolour on paper, 27.5 x 37.7

Gift of the Estate of Doreen Curry, 2017

2017.0037

Paterson Ewen (Canadian, 1925-2002)

untitled (Laurentian scene) c.1950

watercolour on paper, 27.5 x 37.7

Gift of the Estate of Doreen Curry, 2017

2017.0038

Jamelie Hassan (Canadian, b.1948)

Cape Breton Summer 1985

watercolour on paper, 51.0 x 35.5

Gift of the Estate of Doreen Curry, 2017

2017.0040

Jamelie Hassan (Canadian, b.1948)

Shedden Pond 1981  
watercolour on paper, 31.0 x 41.0  
Gift of the Estate of Doreen Curry, 2017  
2017.0041

Gift of Berniece E. Dellow:

Too Poopogook (Canadian; Inuit)  
untitled (green seal) no date  
soapstone(?), 15.0 x 24.0 x 8.0  
Gift of Berniece E. Dellow in memory of P.G. Dellow, 2017  
2017.0008

Gift of Alyosha Ferris:

kerry ferris (Canadian, 1949-2016)  
St. Mary's Newfoundland 1977  
acrylic on canvas, 175.0 x 284.0  
Gift of Alyosha Ferris in memory of kerry ferris, 2017  
2017.0011

*Gift of Mora Gregg:*

Herbert Joshua Ariss (Canadian, 1917-2009)  
untitled (tired football players) no date  
glazed ceramic, board: 5.0 x 35.5 x 25.0 seated figure: 19.2 x 12.0 x 13.0 figure laying  
down: 13.0 x 11.0 x 21.2  
Gift of Mora Gregg, 2017  
2017.0007

Kenojuak Ashevak (Canadian; Inuit, 1927-2013)  
Owl and Sea Spirit 1963  
engraving on paper, 33.0 x 48.5  
Donated by Mora Gregg  
2017.0043

Gift of Angela Grauerholz:

Three works by Angela Grauerholz (Canadian; b. Germany, b.1952)

Beach Culture 1997

Azo dye print on paper, 121.9 x 182.9  
Gift of the artist, 2017  
2017.0030

Privation - Blue Book no. 16 (front) 2001  
ink jet print (Giclée) on Arches paper, 114.3 x 92.7  
Gift of the artist, 2017  
2017.0031

Privation - Image Book no. 237 (front) 2001  
ink jet print (Giclée) on Arches paper, 114.3 x 92.7  
Gift of the artist, 2017  
2017.0032

Gift of the London Free Press Employees' Association Collection:

Margot Ariss (Canadian, 1929-2013)  
untitled ceramic no date  
ceramic, 41.0 x 29.0 x 29.0  
Gift of the London Free Press Employees' Association Collection, 2017  
2017.0013

Jack Chambers (Canadian, 1931-1978)  
Grass Box #3 1970  
photolitho, frame: 98.1 x 123.0 image: 79.0 x 105.0  
Gift of the London Free Press Employees' Association Collection, 2017  
2017.0014

Clark Holmes McDougall (Canadian, 1921-1980)  
Dan Patterson's Pantry no date  
oil on board, frame: 63.0 x 84.0 image: 60.0 x 80.0  
Gift of the London Free Press Employees' Association Collection, 2017  
2017.0012

Gilbert B. Moll (Canadian, 1948-2003)  
For the Entertainment of the Crowd no date  
airbrush, watercolour on artist board, frame: 115.6 x 78.5 image: 94.0 x 59.0  
Gift of the London Free Press Employees' Association Collection, 2017  
2017.0015

Frank Panabaker (Canadian, 1904–1992)  
untitled (Georgian Bay Scene) no date  
oil on canvas, frame: 59.1 x 68.0 image: 42.0 x 52.0  
Gift of the London Free Press Employees' Association Collection, 2017  
2017.0016

Albert Edward Templar (Canadian, 1897-1992)  
Gibbons Park in Winter no date  
oil on board, frame: 42.6 x 48.0 image: 20.6 x 26.5  
Gift of the London Free Press Employees' Association Collection, 2017  
2017.0017

Klaas Verboom (Canadian, 1948-2006)  
Thames River Near Kilworth no date  
gouache on paper, frame: 58.5 x 99.2 image: 35.0 x 76.5  
Gift of the London Free Press Employees' Association Collection, 2017  
2017.0018

*Gift of Frances V. Farmer:*

Kim Moodie (Canadian, b.1951)  
Heart Hand 2011  
india ink, acrylic on paper, 122.0 x 102.0  
Gift of Frances V. Farmer, 2018  
2017.0021

Kim Moodie (Canadian, b.1951)  
Sand Cowboy 2008  
india ink on paper, 56.7 x 76.3  
Gift of Frances V. Farmer, 2017  
2017.0023

Kim Moodie (Canadian, b.1951)  
Jigsaw 2008  
india ink on paper, 54.9 x 42.8  
Gift of Frances V. Farmer, 2017  
2017.0024

Jeannie Thib (Canadian, 1955-2013)  
Venus Redux 1991

ink on mulberry paper, 122.0 x 106.0  
Gift of Frances V. Farmer, 2018  
2017.0022

*Gift of Antje and David Laidler:*

Carl Beam (Canadian; First Nations, 1943-2005)  
Pink 1982  
acrylic, ink on canvas, 112.1 x 92.0  
Gift of Antje and David Laidler, 2017  
2017.0006

*Gift of Marcia and William McGrath:*

Murray Favro (Canadian, b.1940)  
Sky, Plane, Flight 1965  
oil on enamel on masonite, 121.9 x 121.9  
Gift of Marcia and William McGrath, 2017  
2017.0029

*Gift of the Estate of S. Elizabeth Moore:*

Eric Atkinson (Canadian; b. England, 1928)  
Huron Series 2011  
acrylic on cherry veneer, 25.0 x 25.0  
The Estate of S. Elizabeth Moore, 2017  
2017.0044

Philip Aziz (Canadian, 1923-2009)  
Dandelion 1967  
india ink on gesso panel, 33.5 x 26.7  
The Estate of S. Elizabeth Moore, 2017  
2017.0046

Shirley Brickenden (Canadian, b.1924)  
Christening Chysanthemum 1981  
watercolour on paper, 96.2 x 77.0  
The Estate of S. Elizabeth Moore, 2017  
2017.0045

Paul Chester (Canadian, b.1956)

Magenta Landscape no date  
watercolour on paper, 45.7 x 45.7  
The Estate of S. Elizabeth Moore, 2017  
2017.0051

Silvia Clarke (British, Canadian, 1911-1994)  
Daffodils no date  
watercolour on paper, 55.1 x 53.7  
The Estate of S. Elizabeth Moore, 2017  
2017.0053

Albrecht Dürer (German, 1471-1528)  
The Offer of Love (or The Ill Assorted Couple) mid-late 1500s  
etching on paper, 45.6 x 43.3  
The Estate of S. Elizabeth Moore, 2017  
2017.0056

Frank de Matteis (Canadian, b.1952)  
House Sparrow 1971  
watercolour on paper, 49.8 x 46.7  
The Estate of S. Elizabeth Moore, 2017  
2017.0048

William McElcheran (Canadian, 1927-1999)  
Three Business Men 1972  
bronze, 12.4 x 13.3 x 9.9  
The Estate of S. Elizabeth Moore, 2017  
2017.0057

Henry Moore (British, 1898-1986)  
Family Group 1945  
bronze, 14.7 x 11.8 x 8.8 base: 3.8 x 13.9 x 10.3  
The Estate of S. Elizabeth Moore, 2017  
2017.0058

Penny Moore (Canadian, b.1950)  
Untitled 1974  
watercolour on paper, 64.0 x 23.3  
The Estate of S. Elizabeth Moore, 2017  
2017.0049

Hugh Seaforth Mackenzie (Canadian, b.1928)  
Sacred Orange 1971  
watercolour on paper, 14.6 x 18.1  
The Estate of S. Elizabeth Moore, 2017  
2017.0047

Jeremy Smith (Canadian, b. England, b.1946)  
Study for Winter Window 1983  
ink on paper board, 49.9 x 41.0  
The Estate of S. Elizabeth Moore, 2017  
2017.0055

David Sorensen (Canadian, 1937-2011)  
Escalera 2: Umber ' Blue ' Yellow 2009  
oil on canvas, 51.0 x 40.9  
The Estate of S. Elizabeth Moore, 2017  
2017.0052

Charles Redfern (Canadian, 1919-1982)  
Mimosa with White Wine 1961  
gouache on paper, 50.3 x 53.7  
The Estate of S. Elizabeth Moore, 2017  
2017.0054

Tony Urquhart (Canadian, b.1934)  
In Search of Leonardo - 10 1982  
watercolour on paper, 36.0 x 31.1  
The Estate of S. Elizabeth Moore, 2017  
2017.0050

*Gift of Nancy Geddes Poole:*

Marilyn Conklin (Canadian)  
Explosion in the Universe no date  
acrylic on canvas, 42.5 x 49.0  
Donated by Nancy Geddes Poole, 2017  
2017.0019

*Gift of Barbara Steinman:*



Three work by Barbara Steinman (Canadian, b.1950):

TABLETS: Tolerance 2016

relief sandblasting audio waveforms, 6 mm. "Starfire" glass, 49.5 x 85.1

Courtesy Barbara Steinman, 2017

2017.0033

TABLETS: Compassion 2016

relief sandblasting audio waveforms, 6 mm. "Starfire" glass, 49.5 x 85.1

Courtesy Barbara Steinman, 2017

2017.0034

TABLETS: Indifference 2016

relief sandblasting audio waveforms, 6 mm. "Starfire" glass, 49.5 x 85.1

Courtesy Barbara Steinman , 2017

2017.0035

*Gift of Bill Stelpstra and Andrew Smyth:*

Jamelie Hassan (Canadian, b.1948)

Wall with Door 1977

various materials, 244.0 x 366.0

Gift of Bill Stelpstra and Andrew Smyth, London, 2017

2017.0001

*Gift of the Student Development Centre, Western University:*

Sasha McInnes (Canadian, b.1947)

Womanspirit Rising #3 1980

textile, 137.0 x 121.0

Gift of the Student Development Centre, Western University, 2017

2017.0005

*Gift of Aidan Urquhart:*

Ronald Langley Bloore (Canadian, 1925-2009)

untitled 1985

ink, gouache, oil on paper, 57.2 x 76.2

Gift of Aidan Urquhart, 2017

2017.0028

*Gift of Bill Young:*

Jack Chambers (Canadian, 1931-1978)

Portrait of Robert Young 1948

oil on canvas, 56.0 x 27.0  
Gift of Bill Young, 2017  
2017.0009

## **2017 PURCHASES**

Sheila Butler (Canadian-American, b.1938)  
Matters of Life and Death 1996  
mixed media on paper, 180.0 x 172.7  
Purchase from the artist, Abbott Fund, 2017  
2017.0036

Grace Hogg (Canadian, 1900-1989)  
At Gillam (on H.B. Highway) 1959  
watercolour on paper, 37.2 x 57.5  
Purchase, W.H. Abbott Fund, 2017  
2017.0026

Albert Edward Templar (Canadian, 1897-1992)  
untitled (nude) 1920  
conté on paper, 61.0 x 29.0  
Purchase, W.H. Abbott Fund, 2017  
2017.0025

## **2017 COMMISSIONS**

Philip Richards (Canadian, b.1951)  
Portrait of Chirag Shah, Chairman of the Board of Governors of Western University  
2017  
acrylic on canvas, 106.4 x 76.5  
Commission, Board of Governors, 2017  
2017.0020

## **2017 TRANSFERS**

Esther Mahlangu (South African, b.1935)

untitled 1995  
acrylic on board, 26.8 x 46.9  
McIntosh Gallery, Kaufmann Collection, 2017  
2017.0027

## Acquisitions 2018

### 2018 DONATIONS

*Gift of an anonymous donor:*

Gillian Saward (Canadian; b. England, 1934-1983)  
Working Drawing for "Homage #19" 1976  
graphite on paper, 37.0 x 45.7  
Gift of Anonymous Donor, 2018  
2018.0086

*Gift of Peter Lamb Becher:*

Eveline Marie Alexander (British; b. France, 1821-1906)  
Grand Military Steeple Chase at London, Canada West, 1845 1845  
coloured engraving, 45.0 x 53.3  
Gift of Peter Lamb Becher, 2018  
2018.0074

Silvia Clarke (British, Canadian, 1911-1994)  
untitled (St. Paul's Cathedral, Downtown London) c.1965  
oil on canvas, 45.4 x 61.0  
Gift of Peter Lamb Becher, 2018  
2018.0075

Caroline Farncomb (Canadian, 1859-1951)  
untitled (Portrait of a Boy) c.1900  
oil on canvas, mounted on board, 44.3 x 33.8  
Gift of Peter Lamb Becher, 2018  
2018.0076

James Hamilton (British, 1810-1896)  
Thornwood with Cattle on Becher's Island in Foreground c.1860

oil on canvas, 28.6 x 40.7  
Gift of Peter Lamb Becher, 2018  
2018.0077

James Hamilton (British, 1810-1896)  
untitled (Thornwood from the Thames) c.1860  
oil on canvas, 22.0 x 31.8  
Gift of Peter Lamb Becher, 2018  
2018.0078

Maria Perkins (Canadian)  
Self Portrait 1840  
watercolour on paper, 15.8 x 15.8  
Gift of Peter Lamb Becher, 2018  
2018.0079

Benita Sanders (Canadian; b. England, b.1935)  
untitled (abstract) c.1965  
itaglio print on paper, 59.5 x 48.9  
Gift of Peter Lamb Becher, 2018  
2018.0080

William Sawyer (Canadian, 1820-1889)  
Portrait of Mr. Perkins c.1845  
oil on canvas, 72.2 x 60.4  
Gift of Peter Lamb Becher, 2018  
2018.0081

William Sawyer (Canadian, 1820-1889)  
Portrait of Mrs. Perkins 1851  
oil on canvas, 73.9 x 61.4  
Gift of Peter Lamb Becher, 2018  
2018.0082

Albert Edward Templar (Canadian, 1897-1992)  
Still Life with Flowers (Daylilies, Roses, Delphiniums, Hydrangeas) c.1950  
oil on canvas, 64.7 x 54.6  
Gift of Peter Lamb Becher, 2018  
2018.0083

Albert Edward Templar (Canadian, 1897-1992)  
Still Life with Flowers (Zinnias in a Neo-Classical Urn) c.1950  
oil on canvas, 65.5 x 56.3  
Gift of Peter Lamb Becher, 2018  
2018.0084

Tony Urquhart (Canadian, b.1934)  
UWO Campus 1961  
ink wash on paper, 11.5 x 15.6  
Gift of Peter Lamb Becher, 2018  
2018.0085

*Gift of Sheila Curnoe:*

182 Works by Greg Curnoe (Canadian, 1936-1992)

Lou Fontinato III no date  
wood, plastic, aluminum, enamel paint, 37.4 x 12.9 x 2.4  
Gift of Sheila Curnoe, 2018  
2018.0001

Plastic Values No. 1 1962  
mixed media found objects, 85.1 x 40.4 x 7.3  
Gift of Sheila Curnoe, 2018  
2018.0002

Cathcart Normal School no date  
wood, stamped ink, ceramic insulator, screws, nails, 57.8 x 74.2 x 8.8  
Gift of Sheila Curnoe, 2018  
2018.0003

Hat Rack no date  
wood, ball cap, metal, enamel paint, bus transfer, blur circle stamps, 74.5 x 31.0 x 15.4  
Gift of Sheila Curnoe, 2018  
2018.0004

Plastic Values No.3 1962  
mixed media - wood frame, plastic, metal, tine, wire, paint, linoleum, 91.2 x 33.6 x 21.5  
Gift of Sheila Curnoe, 2018  
2018.0005

Double Kick Drum no date  
plywood, steel, bass drum parts, acrylic paint, 129.5 x 66.3 x 88.7  
Gift of Sheila Curnoe, 2018  
2018.0006

The Great Canadian, The Old Turd 1965  
mixed media, 70.2 x 94.9 x 4.9  
Gift of Sheila Curnoe, 2018  
2018.0007

Wheel Turning Device #3 1981  
wooden stool, steel armature, bicycle wheel rim, white cloth tape with ink  
121.2 x 65.0 x 44.5  
Gift of Sheila Curnoe, 2018  
2018.0008

Dibb, Chambers, Urquhart 1961  
oil on found oak door, 73.2 x 240.8 x 10.9  
Gift of Sheila Curnoe, 2018  
2018.0012

Jim About to be Cornholed by a Mail Bag 1964  
oil on plywood, 123.8 x 184.8  
Gift of Sheila Curnoe, 2018  
2018.0013

LIES no date  
wood, house paint, stamped lettering, metal, 216.3 x 116.3 x 16.2  
Gift of Sheila Curnoe, 2018  
2018.0014

Ticket Booth 1962  
oil on found oak door, 201.0 x 101.0 x 55.0  
Gift of Sheila Curnoe, 2018  
2018.0015

One That Will Be Truly Loved By The Prime Minister 1970  
ink on paper, 43.18 x 45.72  
Gift of Sheila Curnoe, 2018  
2018.0017

You Gotta Read 1970  
ink on paper, 43.18 x 45.72  
Gift of Sheila Curnoe, 2018  
2018.0018

The Empty Universe 1970  
ink on paper, 43.18 x 45.72  
Gift of Sheila Curnoe, 2018  
2018.0019

Who Does She Think She's Sewing 1970  
ink on paper, 43.18 x 45.72  
Gift of Sheila Curnoe, 2018  
2018.0020

Too Brilliant For Anything But The 20th Century 1970  
ink on paper, 43.18 x 45.72  
Gift of Sheila Curnoe, 2018  
2018.0021

Running Off The World's Truly Exotic Presses 1970  
ink on paper, 43.18 x 45.72  
Gift of Sheila Curnoe, 2018  
2018.0022

W.C.W. Will Be Listening On The Radio To F.D.R. 1970  
ink on paper, 43.18 x 45.72  
Gift of Sheila Curnoe, 2018  
2018.0023

Drink Deep on Your New Reality 1970  
ink on paper, 43.18 x 45.72  
Gift of Sheila Curnoe, 2018  
2018.0024

Watch Yourself When You're On The Radio 1970  
ink on paper, 43.18 x 45.72  
Gift of Sheila Curnoe, 2018  
2018.0025

O Lovers or Warth & Electricity 1970  
ink on paper, 43.18 x 45.72  
Gift of Sheila Curnoe, 2018  
2018.0026

Stick it up Your Ass "Sad Linc" 1970  
ink on paper, 43.18 x 45.72  
Gift of Sheila Curnoe, 2018  
2018.0027

Part of the Overall Humiliation 1970  
ink on paper, 43.18 x 45.72  
Gift of Sheila Curnoe, 2018  
2018.0028

Then Tried Several Diets On Her 1970  
ink on paper, 43.18 x 45.72  
Gift of Sheila Curnoe, 2018  
2018.0029

Take a Close Look 1970  
ink on paper, 43.18 x 45.72  
Gift of Sheila Curnoe, 2018  
2018.0030

Edited Drawing #1 Oct 13, 1976 1976  
watercolour on artist board / watercolour and ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0031

Edited Drawing #1a Mar 23, 1976 1976  
watercolour on artist board / watercolour and ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0032

Edited Drawing #1b Mar 30, 1976 1976  
watercolour on artist board / watercolour and ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0033



Edited Drawing #2 Oct 13, 1976 1976  
watercolour on artist board / watercolour and ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0034

Edited Drawing #3 Oct 14, 1976 1976  
watercolour on artist board / watercolour and ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0035

Edited Drawing #3a Mar 25, 1976 1976  
watercolour on artist board / watercolour and ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0036

Edited Drawing #3b Apr 7, 1976 1976  
watercolour on artist board / watercolour and ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0037

Edited Drawing #4 Oct 15, 1976 1976  
watercolour on artist board / watercolour and ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0038

Edited Drawing #5 Oct 18, 1976 1976  
watercolour on artist board / watercolour and ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0039

Edited Drawing #5a Sept 21 - Oct 24 1976  
watercolour on artist board / watercolour and ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0040

Edited Drawing #6 October 19, 1976 1976  
watercolour on artist board / watercolour and ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0041

Edited Drawing #7 October 20, 1976 1976  
watercolour on artist board / watercolour and ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0042

Edited Drawing #8 October 21, 1976 1976  
watercolour on artist board / watercolour and ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0043

Some Wink x1 1976  
watercolour on artist board, ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0044

Some Wink x2 1976  
watercolour on artist board, ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0045

Some Wink x4 1976  
watercolour on artist board, ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0046

Some Wink x8 1976  
watercolour on artist board, ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0047

Some Wink x16 1976  
watercolour on artist board, ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0048

Some Wink x32 1976  
watercolour on artist board, ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0049

Some Wink x1 1976  
watercolour on artist board, hand-tinted photo, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0050

Some Wink x1/2 1976  
watercolour on artist board, hand-tinted photo, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0051

Some Wink x1/4 1976  
watercolour on artist board, hand-tinted photo, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0052

Some Wink x1/8 1976  
watercolour on artist board, hand-tinted photo, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0053

Some Wink x1/16 1976  
watercolour on artist board, hand-tinted photo, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0054

Some Wink x1/32 1976  
watercolour on artist board, hand-tinted photo, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0055

Addenda Nov 11, 1976 1976  
graphite on artist board, ink on paper, 57.7 x 57.7  
Gift of Sheila Curnoe, 2018  
2018.0056

untitled no date  
oil on board, 122.0 x 60.7  
Gift of Sheila Curnoe, 2018  
2018.0100

No 1959  
oil on board, 122.0 x 60.5  
Gift of Sheila Curnoe, 2018  
2018.0101

untitled no date  
oil on board, 122.0 x 61.1  
Gift of Sheila Curnoe, 2018  
2018.0102

My Mouth Is Started With Green no date  
enamel on board, 122.1 x 61.0  
Gift of Sheila Curnoe, 2018  
2018.0103

Bloor 1959-07  
oil on board, 121.9 x 60.9  
Gift of Sheila Curnoe, 2018  
2018.0104

untitled no date  
oil on canvas, 122.0 x 61.0  
Gift of Sheila Curnoe, 2018  
2018.0105

untitled no date  
oil on board, 121.8 x 60.7  
Gift of Sheila Curnoe, 2018  
2018.0106

untitled no date  
oil on board, 122.0 x 61.0  
Gift of Sheila Curnoe, 2018  
2018.0107

untitled no date  
oil on board, 122.0 x 60.9  
Gift of Sheila Curnoe, 2018  
2018.0108

untitled no date  
oil on board, 122.0 x 60.3  
Gift of Sheila Curnoe, 2018  
2018.0109

untitled 1959  
oil on board, 122.1 x 60.2  
Gift of Sheila Curnoe, 2018  
2018.0110

untitled no date  
oil on board, 90.6 x 61.2  
Gift of Sheila Curnoe, 2018  
2018.0111

untitled 1959  
oil on board, 91.0 x 60.9  
Gift of Sheila Curnoe, 2018  
2018.0112

untitled no date  
oil on board, 91.5 x 60.9  
Gift of Sheila Curnoe, 2018  
2018.0113

Beowulf (Beouwolf) no date  
oil on board, 91.2 x 61.3  
Gift of Sheila Curnoe, 2018  
2018.0114

SCREAM 1958  
oil on cardboard, 89.0 x 55.8  
Gift of Sheila Curnoe, 2018  
2018.0115

untitled no date  
oil on board, 91.2 x 60.9  
Gift of Sheila Curnoe, 2018  
2018.0116

untitled no date  
oil on board, 91.4 x 61.1  
Gift of Sheila Curnoe, 2018  
2018.0117

untitled no date  
oil on board, 91.1 x 61.0  
Gift of Sheila Curnoe, 2018  
2018.0118

untitled no date  
oil on board, 91.3 x 61.0  
Gift of Sheila Curnoe, 2018  
2018.0119

untitled 1958  
watercolour chalk on artist board, 92.1 x 61.0  
Gift of Sheila Curnoe, 2018  
2018.012

untitled (hockey player) no date  
pen and ink on card, 22.5 x 12.8  
Gift of Sheila Curnoe, 2018  
2018.0121

untitled no date  
oil on board, 90.8 x 55.8  
Gift of Sheila Curnoe, 2018  
2018.0122

untitled no date  
oil on board, 91.1 x 61.0  
Gift of Sheila Curnoe, 2018  
2018.0123

untitled no date  
oil on board, 91.3 x 60.9  
Gift of Sheila Curnoe, 2018  
2018.0124

untitled no date  
oil on board, 91.3 x 60.6  
Gift of Sheila Curnoe, 2018  
2018.0125

untitled no date  
oil on board, 60.8 x 91.2  
Gift of Sheila Curnoe, 2018  
2018.0126

untitled no date  
oil on board, 91.3 x 61.0  
Gift of Sheila Curnoe, 2018  
2018.0127

untitled no date  
oil on board, 91.2 x 61.4  
Gift of Sheila Curnoe, 2018  
2018.0128

untitled no date  
oil on board, 91.8 x 60.7  
Gift of Sheila Curnoe, 2018  
2018.0129

untitled no date  
oil on board, 91.1 x 60.7  
Gift of Sheila Curnoe, 2018  
2018.013

untitled no date  
oil on board, 91.8 x 60.7  
Gift of Sheila Curnoe, 2018  
2018.0131

untitled no date  
oil on board, 60.7 x 91.1  
Gift of Sheila Curnoe, 2018  
2018.0132

untitled no date  
oil on board, 61.0 x 91.2  
Gift of Sheila Curnoe, 2018  
2018.0133

Father is Tired 1958  
oil on cardboard, 51.9 x 88.0  
Gift of Sheila Curnoe, 2018  
2018.0134

untitled no date  
oil on board, 61.0 x 80.9  
Gift of Sheila Curnoe, 2018  
2018.0135

untitled no date  
oil on board, 75.7 x 50.8  
Gift of Sheila Curnoe, 2018  
2018.0136

untitled no date  
oil on board, 73.7 x 55.5  
Gift of Sheila Curnoe, 2018  
2018.0137

untitled 1959  
oil on board, 77.0 x 47.0  
Gift of Sheila Curnoe, 2018  
2018.0138

Self no date  
Tempera on Card, 20.0 x 12.5  
Gift of Sheila Curnoe, 2018  
2018.0139

untitled no date  
oil on board, 50.9 x 61.5  
Gift of Sheila Curnoe, 2018  
2018.0140



untitled no date  
oil on board, 45.9 x 61.0  
Gift of Sheila Curnoe, 2018  
2018.0141

untitled still life (double-sided) no date  
oil on board, 60.5 x 51.0  
Gift of Sheila Curnoe, 2018  
2018.0142

untitled 1959  
oil on artist board, 50.1 x 60.9  
Gift of Sheila Curnoe, 2018  
2018.0143

Boxers 1955  
oil on artist board, 57.5 x 44.6  
Gift of Sheila Curnoe, 2018  
2018.0144

God Bless My Cousin no date  
oil on board, 50.8 x 40.8  
Gift of Sheila Curnoe, 2018  
2018.0145

untitled no date  
oil on board, 50.9 x 61.0  
Gift of Sheila Curnoe, 2018  
2018.0146  
Nik Neu at His Palette no date  
oil on artist board, 61.0 x 51.1  
Gift of Sheila Curnoe, 2018  
2018.0147

We'll See 1959-05  
oil on board, 60.7 x 50.8  
Gift of Sheila Curnoe, 2018  
2018.0148

Us And A New Dress (Self Portrait) no date  
oil on board, 48.1 x 58.2  
Gift of Sheila Curnoe, 2018  
2018.0149

Jane 1958  
oil on board, 61.0 x 45.5  
Gift of Sheila Curnoe, 2018  
2018.0150

untitled no date  
oil on board, 32.9 x 43.1  
Gift of Sheila Curnoe, 2018  
2018.0151

untitled no date  
crayon, tempera, pen and ink on artist board, 50.8 x 38.0  
Gift of Sheila Curnoe, 2018  
2018.0152

untitled (Sink) no date  
oil on canvas board, 40.4 x 24.9  
Gift of Sheila Curnoe, 2018  
2018.0153

untitled no date  
oil on artist board, 40.7 x 30.1  
Gift of Sheila Curnoe, 2018  
2018.0154

Larry Cousins 1957  
oil on board, 40.5 x 30.3  
Gift of Sheila Curnoe, 2018  
2018.0155

Ted Jackson 1958  
oil on canvas board, 40.4 x 30.2  
Gift of Sheila Curnoe, 2018  
2018.0156

Woman's Face 1959  
oil on board, 40.4 x 30.5  
Gift of Sheila Curnoe, 2018  
2018.0157

Anna Marie Wieser 1958  
oil on board, 40.7 x 30.5  
Gift of Sheila Curnoe, 2018  
2018.0158

Don Thompson 1957  
oil on board, 40.7 x 31.0  
Gift of Sheila Curnoe, 2018  
2018.0159

untitled (Lantern) no date  
oil on artist board, 40.3 x 27.0  
Gift of Sheila Curnoe, 2018  
2018.016

untitled no date  
oil on artist board, 40.1 x 30.9  
Gift of Sheila Curnoe, 2018  
2018.0161

Desperate Sight / Up Series 1958  
oil on canvas, 40.4 x 30.1  
Gift of Sheila Curnoe, 2018  
2018.0162

untitled 1958  
oil on board, 40.4 x 30.8  
Gift of Sheila Curnoe, 2018  
2018.0163

untitled (Bulldozer) no date  
oil on board, 40.7 x 30.5  
Gift of Sheila Curnoe, 2018  
2018.0164

Mad At The Red 1958

watercolour on artist board, 43.2 x 36.8  
Gift of Sheila Curnoe, 2018  
2018.0165

SYLVIO LAROCQUE 1958  
oil on artist board, 40.9 x 31.3  
Gift of Sheila Curnoe, 2018  
2018.0166

Woman Mystic Sees The Ultimate 1958  
oil on artist board and graphite, 40.7 x 50.2  
Gift of Sheila Curnoe, 2018  
2018.0167

Number 1 1956  
oil on board, 50.5 x 38.0  
Gift of Sheila Curnoe, 2018  
2018.0168

Two Around Me no date  
oil on board, 38.0 x 50.8  
Gift of Sheila Curnoe, 2018  
2018.0169

Strained Hand Study (up series) 1958  
oil on artist board, 31.0 x 40.8  
Gift of Sheila Curnoe, 2018  
2018.0170

Cover Me (up series) 1957  
oil on board, 30.5 x 40.9  
Gift of Sheila Curnoe, 2018  
2018.0171

untitled 1958  
oil on board, 40.5 x 30.5  
Gift of Sheila Curnoe, 2018  
2018.0172

Sport no date

gouache on artist board, 63.4 x 38.0  
Gift of Sheila Curnoe, 2018  
2018.0173

untitled no date  
oil on board, 29.5 x 22.5  
Gift of Sheila Curnoe, 2018  
2018.0176

untitled no date  
oil on board, 60.7 x 29.5  
Gift of Sheila Curnoe, 2018  
2018.0177

Face Series - Tarzan no date  
watercolour on card, 37.9 x 20.2  
Gift of Sheila Curnoe, 2018  
2018.0178

Corinne Ashwath 1957  
oil on artist board, 30.7 x 20.3  
Gift of Sheila Curnoe, 2018  
2018.0179

untitled no date  
graphite and watercolour on paper, 88.5 x 58.5  
Gift of Sheila Curnoe, 2018  
2018.0200

untitled no date  
watercolour on paper, 91.0 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0201

untitled no date  
watercolour on paper, 96.2 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0202

untitled no date

watercolour on paper, 96.0 x 63.0  
Gift of Sheila Curnoe, 2018  
2018.0203

Untitled no date  
watercolour on paper, 96.2 x 63.0  
Gift of Sheila Curnoe, 2018  
2018.0204

untitled no date  
watercolour on paper, 96.0 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0205

untitled no date  
watercolour on mauve paper, 94.5 x 57.1  
Gift of Sheila Curnoe, 2018  
2018.0206

untitled no date  
watercolour on paper, 96.0 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0207

untitled no date  
watercolour and graphite on paper, 96.0 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0208

untitled no date  
watercolour on paper, 96.5 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0209

untitled no date  
watercolour, graphite, and crayon on paper, 96.0 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.021

untitled no date

watercolour and crayon on paper, 63.5 x 96.5  
Gift of Sheila Curnoe, 2018  
2018.0211

Untitled no date  
watercolour on paper, 63.0 x 96.0  
Gift of Sheila Curnoe, 2018  
2018.0212

untitled no date  
watercolour and graphite on paper, 96.0 x 63.0  
Gift of Sheila Curnoe, 2018  
2018.0213

untitled no date  
watercolour and crayon on paper, 96.2 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0214

untitled no date  
watercolour and graphite on paper, 88.8 x 58.8  
Gift of Sheila Curnoe, 2018  
2018.0215

untitled no date  
watercolour on paper, 96.3 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0216

Untitled no date  
watercolour and crayon on paper, 96.0 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0217

untitled no date  
watercolour and crayon on paper, 96.0 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0218

untitled no date

watercolour and graphite on paper, 96.0 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0219

untitled no date  
oil wash, watercolour, technical pen, and crayon on paper, 96.0 x 63.7  
Gift of Sheila Curnoe, 2018  
2018.022

untitled no date  
oil wash, watercolour, and crayon on paper, 96.0 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0221

untitled no date  
watercolour on paper, 96.5 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0222

Untitled no date  
watercolour on paper, 96.5 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0223  
untitled no date  
watercolour on paper, 96.5 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0224

untitled no date  
watercolour and graphite on paper, 63.7 x 97.0  
Gift of Sheila Curnoe, 2018  
2018.0225

untitled no date  
watercolour on paper, 96.7 x 63.0  
Gift of Sheila Curnoe, 2018  
2018.0226

untitled no date  
watercolour on paper, 96.5 x 63.5



Gift of Sheila Curnoe, 2018  
2018.0227

untitled no date  
watercolour and crayon on paper, 96.4 x 63.3  
Gift of Sheila Curnoe, 2018  
2018.0228

untitled no date  
watercolour on paper, 63.2 x 96.5  
Gift of Sheila Curnoe, 2018  
2018.0229

untitled no date  
watercolour on paper, 96.5 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.020

untitled 1958  
watercolour and graphite on paper, 63.5 x 81.0  
Gift of Sheila Curnoe, 2018  
2018.0231

Untitled no date  
watercolour, crayon, and ink on paper, 96.5 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0232

untitled no date  
watercolour on paper, 96.7 x 63.4  
Gift of Sheila Curnoe, 2018  
2018.0233

untitled no date  
watercolour and graphite on paper, 96.5 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0234

untitled no date  
watercolour on mauve paper, 90.6 x 56.0

Gift of Sheila Curnoe, 2018  
2018.0235

untitled no date  
watercolour on paper, 96.2 x 63.0  
Gift of Sheila Curnoe, 2018  
2018.0236

untitled no date  
watercolour and graphite on paper, 63.5 x 89.5  
Gift of Sheila Curnoe, 2018  
2018.0237

untitled no date  
watercolour and technical pen on paper, 63.0 x 96.2  
Gift of Sheila Curnoe, 2018  
2018.0238

untitled no date  
watercolour, crayon, and technical pen on paper, 96.4 x 63.7  
Gift of Sheila Curnoe, 2018  
2018.0239

untitled 1958  
oil and graphite on paper, 63.0 x 90.2  
Gift of Sheila Curnoe, 2018  
2018.0240

untitled no date  
watercolour and crayon on paper, 96.5 x 63.4  
Gift of Sheila Curnoe, 2018  
2018.0241

Green Jane 1960  
watercolour on paper, 97.0 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0242

untitled no date  
watercolour on paper, 96.5 x 63.5

Gift of Sheila Curnoe, 2018  
2018.0243

untitled no date  
watercolour on paper, 96.5 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0244

untitled no date  
watercolour on paper, 96.5 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0245

untitled no date  
watercolour and graphite on paper, 81.0 x 63.4  
Gift of Sheila Curnoe, 2018  
2018.0246

untitled no date  
watercolour and graphite on paper, 96.6 x 63.5  
Gift of Sheila Curnoe, 2018  
2018.0247

untitled no date  
watercolour and graphite on paper, 96.5 x 63.0  
Gift of Sheila Curnoe, 2018  
2018.0248

Slip - Raised Arms 1958  
oil on board, 38.0 x 24.1  
Gift of Sheila Curnoe, 2018  
2018.0249

Half Nude Angry 1958  
crayon on paper, 39.5 x 29.5  
Gift of Sheila Curnoe, 2018  
2018.0250

untitled no date  
watercolour and graphite on craft paper, 60.5 x 202.0

Gift of Sheila Curnoe, 2018  
2018.0251

*Gift of Jayne Downs:*

Jack Chambers (Canadian, 1931-1978)  
untitled (Mountain Scene) no date  
oil on canvas panel, 19.9 x 25.3  
Gift in memory of Dorothy Downs, 2019  
2018.0057

*Gift of Arlene Kennedy:*

Roly Fenwick (Canadian, b.1932)  
Breaker #2 1997  
watercolour on paper, 31.7 x 57.9  
Gift of Arlene Kennedy, 2018  
2018.0059

*Gift of Frank Lamanna:*

Three works by Ron Martin (Canadian, b.1943):

untitled Feb. 8 to Feb. 10, 1981 #42 1981  
acrylic on canvas, 243.8 x 167.6  
Gift of Frank Lamanna, Toronto, 2018  
2018.0009

Reaching for the extreme creative limits - or On the Way #3 1977  
acrylic on canvas, 243.8 x 182.9  
Gift of Frank Lamanna, Toronto, 2018  
2018.0010

Wall Passage or, Faith Mass Ever Moving into Present #17 1976  
acrylic on canvas, 213.4 x 198.1  
Gift of Frank Lamanna, Toronto, 2018  
2018.0011

*Gift of Madeline Lennon:*

Sheila Butler (Canadian-American, b.1938)  
Beloved Child 1999  
etching on paper, 60.8 x 40.2  
Madeline Lennon, Professor Emerita, Visual Arts, 2018  
2018.0058

*Gift of Linda Mills:*

Clark Holmes McDougall (Canadian, 1921-1980)  
St. Peter's Anglican Church, Tyconnell no date  
oil on board, 40.6 x 50.7  
Gift of Linda Mills, Lunenburg, Nova Scotia, in memory of her mother Phyllis Mills (née Hulse), a childhood friend of Clark McDougall, 2018  
2018.0066

*Gift of Nancy Geddes Poole:*

S.K. Davidson (Canadian; b. England, 1848-1922)  
untitled (Thames River near London) no date  
oil on canvas, 30.7 x 73.7  
Gift of Nancy Geddes Poole, 2018  
2018.0060

*Gift of the Estate of Benjamin M. Portis:*

Patrick Bernatchez (Canadian, b.1972)  
Série Chrysalides (six from a series of seven): 1, 2,3,5,6,7 2006  
paper book leaves, 28.0 x 43.0  
Estate of Benjamin M. Portis, 2018  
2018.0062

Holger Kalberg (Canadian; b. Germany)  
Structure with Track 2005  
oil on canvas, 137.2 x 167.5  
Estate of Benjamin M. Portis, 2018  
2018.0065

Euan MacDonald (Canadian; b. Scotland, b. 1965)  
Deep Shite (Triptych) 2006

graphite on paper, 29.9 x 22.3  
Estate of Benjamin M. Portis, 2018  
2018.0064

Taras Polataiko (Canadian; b. Ukraine, b.1965)  
Glare Study (two pieces) 1994  
mixed media on paper, 32.8 x 25.4  
Estate of Benjamin M. Portis, 2018  
2018.0061

Seth Scriver (Canadian)  
untitled (Window Piece) 2005  
found window, acrylic, 72.2 x 63.3 x 5.8  
Estate of Benjamin M. Portis, 2018  
2018.0063

## **2018 PURCHASES**

Shuvinai Ashoona (Canadian; Inuit, b.1961)  
untitled 2016  
colour pencil and ink on paper, 58.5 x 76.0  
Purchase, W.H. Abbott Fund, 2018  
2018.0071

Marion Drysdale (Canadian, b.1929)  
Wheat Field 2010  
watercolour, 30.3 x 43.1  
Purchase, W.H. Abbott Fund, 2018  
2018.0072

Olia Mishchenko (Canadian; b. Ukraine, b.1980)  
untitled (Weldon Library) 2017  
pen and ink on paper, 108.0 x 76.9  
Purchase from the artist, Abbott Fund, 2018  
2018.0073

## **2018 TRANSFERS**

Michael T. Bidner (Canadian, 1944-1989)

Fag Rock (Series) 1973

Four works, photocopy collage on matboard wrapped in sealed plastic, 35.5 x 21.7

Estate of Stephen Joy, 2018

2018.0067, 2018.0068, 2018.0069, 2018.0070

## EXHIBITIONS and PROGRAMMES

### AUDIENCE REACH

Between May 1, 2018 and April 30, 2019, McIntosh Gallery reached over 470,000 people who visited the gallery, participated in programming, took part in off-site events, and connected with us online

## EXHIBITIONS

### **The Flora and Ian Tripp Collection**

April 5 - May 26, 2018

Curated by Catherine Elliot Shaw and Madelaine Tripp

### **Recent Acquisitions**

April 5 – May 26, 2019

Curated by James Patten

### **Jamelie Hassan: Wall with Door**

April 27 - May 12, 2018

Curated by Helen Gregory for McIntosh Gallery at Satellite Project Space

### **Welcome to Detroit: Suzy Lake and Orlando Ford**

June 8 - 30, 2018

Curated by Jessica Cappuccitti

Organized by McIntosh Gallery in collaboration with the Department of Visual Arts, Western University

### **Mark Kasumovic: A Human Laboratory**

June 8-30, 2018

Organized by McIntosh Gallery in collaboration with the Department of Visual Arts, Western University

### **Sharmistha Kar: Next to a River – Embroidery as Map and Shelter**

August 10 – September 8, 2018

Organized by McIntosh Gallery in collaboration with the Department of Visual Arts, Western University

**Joy Wong: skim**

August 10 – September 8, 2018

Organized by McIntosh Gallery in collaboration with the Department of Visual Arts, Western University

**Malcolm Pate: 620 to 550**

September 13 – November 3, 2018

Curated by Helen Gregory

**Jeff Wall: Steve's Farm, Steveston**

September 13 – November 3, 2018

Curated by Helen Gregory

**Andil Gosine: Coolie Coolie Viens**

November 8, 2018 – January 12, 2019

Curated by Matthew Ryan Smith

Organized by the Glenhyrst Art Gallery of Brant and McIntosh Gallery

**Glimmers of the Radiant Real**

January 17 – March 16, 2019

Curated by Ruth Jones and Sam Mogelonsky

Organized by the Robert McLaughlin Gallery

**The Ben Portis Archive**

February 1 – 28, 2019

Curated by Iraboty Kazi

Organized by McIntosh Gallery at D.B. Weldon University in collaboration with the Department of Visual Arts, Western University

**Deanna Bowen: We Are from Nicodemus**

March 21 – April 27, 2019

Curated by Sarah Bassnett, The Family Camera Network

Organized by McIntosh Gallery in collaboration with the Family Camera Network

**Skawennati: From Skyworld to Cyberspace**

March 21 – April 27, 2019

Curated by Matthew Ryan Smith



## **PUBLICATIONS**

Cappuccitti, Jessica. Welcome to Detroit: Suzy Lake and Orlando Ford. London: McIntosh Gallery, Western University, 2018.

Dickson, Jason, and Tom Baynes. Michael Bidner: Raw. London: McIntosh Gallery, Western University, 2019.

Jones, Ruth, Sam Mogelonsky, et al. Glimmers of the Radiant Real. The Robert McLaughlin Gallery, Art Gallery of Peterborough, and McIntosh Gallery, 2018

Lauder, Adam. Futurisms. London: McIntosh Gallery, Western University, 2017.  
Smith, Matthew Ryan, et al.

Andil Gosine: Coolie Coolie Viens. London: McIntosh Gallery, Western University, 2018.

## **PUBLIC PROGRAMS**

All programs occurred at McIntosh Gallery unless indicated otherwise

### **Art & Wellness Night**

April 13, 2018

Organized by McIntosh Gallery in partnership with the Student Projects Committee

### **TVDSB University Pathways Day**

May 11, 2018

Organized by McIntosh Gallery in collaboration with Tom Cull, the Thames Valley District School Board and Western University

### **Western World Challenge Challenge**

June 4, 2018

Curator and Artists Names (City of Residence):

Organized by McIntosh Gallery in collaboration with Western International and the World Challenge Challenge

### **Sculpture Garden Tour**

June 27, 2018

Organized by McIntosh Gallery in collaboration with Western University Student Services and CultureWorks ESL

### **Wellness Wednesday: Sculpture Garden Tour**

July 18, 2018

Organized by McIntosh Gallery in collaboration with Living Well @ Western

**We Are Womyn with a Path Leading into the Future**

July 7 – 31, 2018

Organized by +Positive Voice at Nokee Kwe in collaboration with McIntosh Gallery

Held at London Public Library, Central Branch

**Nuit Violette**

October 2, 2018

Organized by the University Student Council in collaboration with McIntosh Gallery

**/edition Art Book Fair**

October 25 - 28, 2018

Organized by McIntosh Gallery in collaboration with Forest City Gallery

Held at the Toronto Convention Centre

**Ekphrastic Poetry Workshop**

October 31, 2018

Organized by McIntosh Gallery in collaboration with the Department of English and Creative Writing Studies, Western University

**Andil Gosine Artist Talk**

November 8, 2018

Organized by McIntosh Gallery in collaboration with the Department of Visual Arts, Western University

**International Week: Tours of Coolie Coolie Viens**

November 14 - 15, 2018

Organized by McIntosh Gallery in collaboration with Western International, Western University

**Professional Development Workshop: Connecting to the Art World**

November 19, 2018

Organized by McIntosh Gallery in collaboration with Fanshawe College

Held at Fanshawe College

**Trip: David Milne: Modern Painting at the McMichael**

November 21, 2018

Organized by the McIntosh Gallery Art & Travel Committee

**Professional Development Workshop: Faculty of Education**

November 22, 2018

Organized by McIntosh Gallery in collaboration with the Faculty of Education, Western University

Held at Althouse College, Western University

**Arts & Humanities Student Council Publication Launch**

November 29, 2018

Organized by the Arts & Humanities Student Council in collaboration with McIntosh Gallery

**Art & Wellness Night**

November 30, 2018

Organized by McIntosh Gallery in partnership with the Student Projects Committee and the Western Art Club

**Humanizing the Healthcare Experience Through Art, Reflection and Storytelling: The Body as a Site of Power**

December 5, 2018

Organized by McIntosh Gallery in collaboration with the HHEART Centre at London Health Sciences Centre; Schulich School of Medicine, Western University

**Dusty Stacks of Mom: The Poster Project and Other Colourful Creations by Jodie Mack**

December 21, 2018

Organized by LOMAA in collaboration with McIntosh Gallery

Held at London Fringe Festival Theatre

**Course: The Art of Classic Greece**

January 10 – February 14, 2019

Organized by the McIntosh Gallery Art & Travel Committee

**Frenkel Defects**

February 1, 2019

Curated by Kevin Rice

Organized by LOMAA in collaboration with McIntosh Gallery

Held at London Fringe Festival Theatre

**In Her Moccasins**

February 1 – 28, 2019

Organized by +Positive Voice at Nokee Kwe in collaboration with McIntosh Gallery

Held at London Public Library, Central Branch

**Ivey Art Collection Tours**

February 27 – March 1, 2019

Organized by McIntosh Gallery in collaboration with the Ivey School of Business and the Department of Visual Arts, Western University

**Course: The Art of the Hill Towns of Tuscany and Umbria (Part One - Medieval)**

February 28 – April 4, 2019

Organized by the McIntosh Gallery Art & Travel Committee

**Skawennati Artist Talk**

March 19, 2019

Organized by McIntosh Gallery in collaboration with the Department of Visual Arts, Western University

**Trip: Impressionism in the Age of Industry: Monet, Pissaro and More at the Art Gallery of Ontario**

March 24, 2019

Organized by the McIntosh Gallery Art & Travel Committee

**Arts & Humanities Student Council Publication Launch**

March 28, 2019

Organized by the Arts & Humanities Student Council in collaboration with McIntosh Gallery

**The Super Cake**

March 31, 2019

Organized by McIntosh Gallery in collaboration with Eugenio Salas

**Museum Studies Collective Launch**

April 4, 2019

Organized by the Museum Studies Collective in partnership with McIntosh Gallery

**Day of Art**

April 5, 2019

Organized by McIntosh Gallery in collaboration with the Ontario Art Education Association

**Forest City Gallery's 45th Anniversary Celebration and Fundraiser**

April 6, 2019

Organized by Forest City Gallery in partnership with McIntosh Gallery

**Oversize, Overdue: The Architecture of the D.B. Weldon Library**

April 10, 2019

Organized by McIntosh Gallery

**Up with Art**

April 27, 2019

Organized by the Unity Project in partnership with McIntosh Gallery and others

**McINTOSH GALLERY TEAM**

## STAFF

### Full-time Staff

Helen Gregory, Curator  
Brian Lambert, Collections Manager  
James Patten, Director/Chief Curator

### Part-time Staff

Luvneet Rana, Registrar  
Abby Vincent, Communications & Outreach Coordinator  
Brian Wellman, Preparator

## INTERNS

### Young Canada Works Interns

Nataoka Duncan, BA  
Maria Napigkit, BA (Art History & Criticism)

### Graduate Independent Study Interns

Leah Abaza, MA, Department of Visual Arts, Western University  
Iraty Kazi, MA, Department of Visual Arts, Western University

### FIMS Undergraduate Communications Intern

Nicole Manfredi

## WORK STUDY BURSARY STUDENTS

Fawwaz Alhourani  
Olivia Chibechi  
Kathleen Cornejo, BSc (Engineering)  
Emilie Gardham, BA (English & Creative Writing)  
Maria Napigkit, BA (Art History & Criticism)  
Si Ma  
Renée Pascual  
Steven Roesch  
Jasmine Sihra  
Yongxin Ye, BFA (Visual Arts)

**ITEMS REFERRED BY SENATE**

| Contents                                                           | Consent Agenda |
|--------------------------------------------------------------------|----------------|
| <a href="#">Honorary Degree Recipients – Fall 2019</a>             | Yes            |
| <a href="#">Institutional Quality Assurance Report – June 2019</a> | Yes            |
| <a href="#">Report of the Academic Colleague</a>                   | Yes            |
| <a href="#">Academic Administrative Appointments</a>               | Yes            |

**FOR INFORMATION**

1. **Report of the Honorary Degrees Committee**

The Honorary Degrees Committee announces that the individuals to be honored at the Autumn 2019 Convocation ceremonies are outlined in [Annex 1](#).

2. **Institutional Quality Assurance Report – June 2019**

The Institutional Quality Assurance Report for the year ending June 30, 2019 is attached as [Annex 2](#).

3. **Report of the Academic Colleague**

The Academic College report to Senate with respect to the COU Academic Colleagues meeting on August 21-22, 2019 is outlined in [Annex 3](#).

4. **Academic Administrative Appointments**

Faculty Relations advised of the following academic administrative post(s) approved on behalf of the Board of Governors during the month of July 2019:

| Name             | Department/School                 | Faculty        | Admin Post                                     | Effective Date | End Date         |
|------------------|-----------------------------------|----------------|------------------------------------------------|----------------|------------------|
| Rob Klassen      | Business                          | Ivey Business  | Associate Dean (extension)                     | July 1-2019    | December 31-2019 |
| Ken Yeung        | Chemistry                         | Science        | Acting Chair                                   | July 1-2019    | June 30-2020     |
| Kristina Sendova | Statistical and Actuarial Science | Science        | Chair                                          | July 1-2019    | June 30-2024     |
| Gregory Dekaban  | Microbiology and Immunology       | Schulich       | Acting Chair                                   | July 1-2019    | June 30-2020     |
| Andrew Botterell | Law                               | Law            | Associate Dean (Research and Graduate Studies) | July 1-2019    | June 30-2022     |
| Wolfgang Lehmann | Sociology                         | Social Science | Acting Chair                                   | July 1-2019    | June 30-2020     |

|                        |                                            |                    |                                                             |                      |                       |
|------------------------|--------------------------------------------|--------------------|-------------------------------------------------------------|----------------------|-----------------------|
| Catherine Nolan        | Music                                      | Music              | Associate Dean<br>(Graduate Studies)                        | July 1-2019          | June 30-2021          |
| Emily Abrams<br>Ansari |                                            | Music              | Assistant Dean<br>(Research)                                | July 1-2019          | June 30-2022          |
| Janis Cardy            |                                            | Health<br>Sciences | Acting Associate Dean<br>– Research                         | July 1-2019          | June 30-2020          |
| Kevin Shoemaker        |                                            |                    | Acting Associate Vice-<br>President – Research              | July 1-2019          | June 30-2020          |
| Margaret<br>McGlynn    |                                            |                    | Acting Associate Vice-<br>Provost (SGPS)                    | September 1-<br>2019 | April 30-2020         |
| Stephan Vachon         | Centre for Environment<br>& Sustainability | Science            | Acting Director                                             | July 1-2019          | June 30-2020          |
| Gregory Gloor          | Biochemistry                               | Schulich           | Chair                                                       | July 1-2019          | June 30-2024          |
| Andrew Nelson          | Anthropology                               | Social Science     | Chair                                                       | July 1-2020          | June 30-2025          |
| Jisuo Kin              |                                            | Science            | Associate Dean –<br>Graduate and Post-<br>Doctoral Studies  | July 1-2019          | June 30-2022          |
| Perry Klein            | Education                                  | Education          | Acting Dean                                                 | July 1-2019          | September 30-<br>2019 |
| George Kim             |                                            | Schulich           | Assistant Dean, Rural<br>& Regional Community<br>Engagement | July 1-2019          | June 30-2024          |

Honorary Degree Recipients (Fall Convocation 2019)

| Date & Time                                                    | Ceremony                                                                                                                                                                                                                                                                                                                                                                                                   | Honorary Degree Recipient                        |
|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|
| <p>WEDNESDAY<br/>OCTOBER 23, 2019</p> <p><b>10:00 A.M.</b></p> | <p>School of Graduate and Postdoctoral Studies *</p> <p>King's University College (All Degrees)</p> <p>Faculty of Health Sciences (Undergraduate Degrees)</p> <p>Faculty of Law (All Degrees)</p> <p>Don Wright Faculty of Music (All Degrees)</p> <p>Faculty of Science (Undergraduate Degrees)</p> <p>Schulich School of Medicine &amp; Dentistry<br/>and Faculty of Science (Undergraduate Degrees)</p> | <p>Tessa Virtue, LL.D.<br/>Scott Moir, LL.D.</p> |
| <p>WEDNESDAY<br/>OCTOBER 23, 2019</p> <p><b>3:00 P.M.</b></p>  | <p>School of Graduate and Postdoctoral Studies *</p> <p>Faculty of Science (Graduate Degrees)</p> <p>Faculty of Social Science (Graduate Degrees)</p> <p>Schulich School of Medicine &amp; Dentistry (Graduate Degrees)</p>                                                                                                                                                                                | <p>Mina Bissell, D.Sc.</p>                       |
| <p>THURSDAY<br/>OCTOBER 24, 2019</p> <p><b>10:00 A.M.</b></p>  | <p>School of Graduate and Postdoctoral Studies *</p> <p>Faculty of Education (All Degrees)</p> <p>Faculty of Engineering (All Degrees)</p>                                                                                                                                                                                                                                                                 | <p>Donald Gerson, D.Sc.</p>                      |
| <p>THURSDAY<br/>OCTOBER 24, 2019</p> <p><b>3:00 P.M.</b></p>   | <p>School of Graduate and Postdoctoral Studies *</p> <p>Faculty of Arts and Humanities (Graduate Degrees)</p> <p>Health Sciences (Graduate Degrees)</p> <p>Faculty of Information and Media Studies (All Degrees)</p>                                                                                                                                                                                      | <p>Tibor Max Eisen, LL.D.</p>                    |
| <p>FRIDAY<br/>OCTOBER 25, 2019</p> <p><b>10:00 A.M.</b></p>    | <p>School of Graduate and Postdoctoral Studies *</p> <p>Brescia University College (All Degrees)</p> <p>Huron University College (All Degrees)</p> <p>Richard Ivey School of Business (All Degrees)</p>                                                                                                                                                                                                    | <p>Tim Hockey, LL.D.</p>                         |
| <p>FRIDAY<br/>OCTOBER 25, 2019</p> <p><b>3:00 P.M.</b></p>     | <p>Faculty of Arts and Humanities<br/>(Undergraduate Degrees)</p> <p>Faculty of Social Science (Undergraduate Degrees)</p>                                                                                                                                                                                                                                                                                 | <p>Anita Gaffney, LL.D.</p>                      |



University: Western University  
Reporting Year: 2018-2019  
Date Submitted:  
Institutional Contact: Andrew Hrymak, Provost & Vice President Academic  
Telephone Number: 519-661-3110  
Email Address: [provostvpa@uwo.ca](mailto:provostvpa@uwo.ca)

| Name of Program Modified                                                                    | Degree Designation | Classification of Major Modification | Type of Major Modification                | Brief Description of the Major Modification                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Date of Internal Approval (MM/DD/YYYY) | Date the Major Modification becomes Effective (MM/DD/YYYY) |
|---------------------------------------------------------------------------------------------|--------------------|--------------------------------------|-------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|------------------------------------------------------------|
| Faculty of Engineering: Introduction of Biomedical Engineering Concurrent Degrees (Options) | BESc               | Undergraduate                        | Add combined program options              | <p>Introduction of four concurrent degree programs where graduating students will receive two BESc degrees: one degree for professional accreditation purposes and one in Biomedical Engineering. All degree programs are previously approved. The programs are: Chemical Engineering and Biomedical Engineering; Electrical Engineering and Biomedical Engineering; Mechanical Engineering and Biomedical Engineering; Mechatronic Systems Engineering and Biomedical Engineering. Introduction of these concurrent degree programs are intended to maximize the employment opportunities for graduates. Concurrent degree structure will make students qualified for both types of engineering job functions; engineering positions in the medical device and other sectors, core engineering disciplines outside of the medical device sector; admission to BME graduate programs and medical school.</p> <p><a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21607&amp;SelectedCalendar=Live&amp;ArchiveID">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21607&amp;SelectedCalendar=Live&amp;ArchiveID</a><br/> <a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21608&amp;SelectedCalendar=Live&amp;ArchiveID">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21608&amp;SelectedCalendar=Live&amp;ArchiveID</a><br/> <a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21609&amp;SelectedCalendar=Live&amp;ArchiveID">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21609&amp;SelectedCalendar=Live&amp;ArchiveID</a><br/> <a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21610&amp;SelectedCalendar=Live&amp;ArchiveID">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21610&amp;SelectedCalendar=Live&amp;ArchiveID</a></p> <p>Introduction of an internship program by Brescia, Huron and King's University Colleges in their BMOS programs to mirror Western's internship program offered by the Department of Management and Organizational Studies taken by students to supplement their degree. The MOS Internship Program provides an 8-16 month practical degree-related experience in an employment setting and all students enrolled in the third year of a four-year degree program: Honors Specialization, Honors Double Major or Specialization in a MOS module at an Affiliated University College are eligible to enroll in the MOS internship Program.</p> <p><a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21613&amp;Keywords=MOS%20Internship%20Program&amp;SelectedCalendar=Live&amp;ArchiveID">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21613&amp;Keywords=MOS%20Internship%20Program&amp;SelectedCalendar=Live&amp;ArchiveID</a><br/> <a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21611&amp;Keywords=MOS%20Internship%20Program&amp;SelectedCalendar=Live&amp;ArchiveID">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21611&amp;Keywords=MOS%20Internship%20Program&amp;SelectedCalendar=Live&amp;ArchiveID</a><br/> <a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21612&amp;Keywords=MOS%20Internship%20Program&amp;SelectedCalendar=Live&amp;ArchiveID">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21612&amp;Keywords=MOS%20Internship%20Program&amp;SelectedCalendar=Live&amp;ArchiveID</a></p> | 10/19/2018                             | 09/01/2018                                                 |
| Affiliated University Colleges: Introduction of the BMOS Internship Program                 |                    | Undergraduate                        | Add introduction of an internship program |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 11/16/2018                             | 09/01/2018                                                 |

|                                                                                                                       |         |               |                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |            |            |
|-----------------------------------------------------------------------------------------------------------------------|---------|---------------|------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------|
|                                                                                                                       |         |               |                                                            | <p>After a program review in 2017 the Department of Visual Arts is implementing changes to its undergraduate program to modernize the department's offerings and includes modifications to the Honors Specialization in Art History and Museum Studies; Honors Specialization in Art History and Studio Art; Honors Specialization in Studio Art (BFA); Major in Art History and Studio Art; Major in Art History; Major in Museum and Curatorial Studies; Specialization in Visual Art. The modifications include adoption of a new suite of course numbers and the introduction of a few new courses to provide students with more flexibility in the modules.</p> <p><a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=20952&amp;Keywords=visual%20arts&amp;SelectedCalendar=Live&amp;ArchiveID=">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=20952&amp;Keywords=visual%20arts&amp;SelectedCalendar=Live&amp;ArchiveID=</a><br/> <a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=20953&amp;Keywords=visual%20arts&amp;SelectedCalendar=Live&amp;ArchiveID=">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=20953&amp;Keywords=visual%20arts&amp;SelectedCalendar=Live&amp;ArchiveID=</a><br/> <a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=20954&amp;Keywords=visual%20arts&amp;SelectedCalendar=Live&amp;ArchiveID=">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=20954&amp;Keywords=visual%20arts&amp;SelectedCalendar=Live&amp;ArchiveID=</a><br/> <a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=20946&amp;Keywords=visual%20arts&amp;SelectedCalendar=Live&amp;ArchiveID=">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=20946&amp;Keywords=visual%20arts&amp;SelectedCalendar=Live&amp;ArchiveID=</a><br/> <a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=20945&amp;Keywords=visual%20arts&amp;SelectedCalendar=Live&amp;ArchiveID=">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=20945&amp;Keywords=visual%20arts&amp;SelectedCalendar=Live&amp;ArchiveID=</a><br/> <a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=20947&amp;Keywords=visual%20arts&amp;SelectedCalendar=Live&amp;ArchiveID=">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=20947&amp;Keywords=visual%20arts&amp;SelectedCalendar=Live&amp;ArchiveID=</a><br/> <a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=20957&amp;Keywords=visual%20arts&amp;SelectedCalendar=Live&amp;ArchiveID=">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=20957&amp;Keywords=visual%20arts&amp;SelectedCalendar=Live&amp;ArchiveID=</a></p> |            |            |
| Faculty of Arts and Humanities, Department of Visual Arts: Reorganization of the Undergraduate Program in Visual Arts | BA, BFA | Undergraduate | Change course/program requirements                         | <p>Introduction of an Honors Specialization in Politics, Philosophy and Economics - Politics and Philosophy Concentration (PPE-P) and HBA Combined Degree Program and Introduction of an Honors Specialization in Politics, Philosophy and Economics - Economics Concentration (PPE-E) and HBA Combined Degree Program. All programs are previously approved. The five-year combined degree program leads to a BA in Honors Business Administration and a BA Honors Specialization in Politics, Philosophy and Economics.</p> <p><a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21631&amp;Keywords=PPE-P&amp;SelectedCalendar=Live&amp;ArchiveID=">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21631&amp;Keywords=PPE-P&amp;SelectedCalendar=Live&amp;ArchiveID=</a><br/> <a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21633&amp;Keywords=PPE-P&amp;SelectedCalendar=Live&amp;ArchiveID=">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21633&amp;Keywords=PPE-P&amp;SelectedCalendar=Live&amp;ArchiveID=</a></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 12/07/2018 | 09/01/2019 |
| Faculty of Social Science and Richard Ivey School of Business: Introduction of New Combined Degree Programs           | BA, HBA | Undergraduate | Add combined program option                                | <p>The Honors Specialization in Foods and Nutrition is a major modification that will offer students who are not interested in becoming a Registered Dietitian an alternative from the existing Honors Specialization in Nutrition and Dietetics (accredited program).</p> <p><a href="http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21634&amp;Keywords=foods%20and%20nutrition&amp;SelectedCalendar=Live&amp;ArchiveID=">http://www.westerncalendar.uwo.ca/Modules.cfm?ModuleID=21634&amp;Keywords=foods%20and%20nutrition&amp;SelectedCalendar=Live&amp;ArchiveID=</a></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 03/15/2019 | 09/01/2019 |
| Brescia University College: Introduction of an Honors Specialization in Foods and Nutrition                           | BSc     | Undergraduate | Add specialization, honours, option, concentration, stream | <p>The Honors Specialization module is intended for students in the Integrated Science Program at Western interested in a degree in Astrophysics. Both programs are previously approved. The Integrated Science Program combines unique integrated science courses with traditional discipline specific courses. The new module mirrors the structure of the eight existing Honors Specialization modules within the program.</p> <p><a href="http://www.westerncalendar.uwo.ca/Departments.cfm?DepartmentID=54&amp;Keywords=integrated%20science&amp;SelectedCalendar=Live&amp;ArchiveID=">http://www.westerncalendar.uwo.ca/Departments.cfm?DepartmentID=54&amp;Keywords=integrated%20science&amp;SelectedCalendar=Live&amp;ArchiveID=</a></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 04/12/2019 | 09/01/2019 |
| Faculty of Science: Introduction of an Honors Specialization in Integrated Science with Astrophysics                  | BSc     | Undergraduate |                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 06/07/2019 | 09/01/2019 |

|                               |       |          |                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |          |          |
|-------------------------------|-------|----------|-----------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|
| Art History                   | MA    | Graduate | Change program name                                             | <p>The MA in Art History will be renamed as the MA in Art History and Curatorial Studies. The MA in Art History program already includes curatorial studies and has attracted a number of students to study with a faculty member whose expertise is in the field. A number of theses and major research projects have been written dealing with the area. The relatively recent addition of a new faculty member, who has expertise in studio and curatorial studies, makes the program that much richer. There will be no changes to the program; all the name change does is to foreground an area the Department of Visual Arts has a strength in.</p> <p><a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19Jun7sen%20FULL.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19Jun7sen%20FULL.pdf</a></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 6-7-2019 | 5-1-2019 |
| Advanced Health Care Practice | MCISc | Graduate | Add new field, concentration, stream                            | <p>added two new fields to the one year course based master's program. Interprofessional Pain Management (IPM) and Applied Health Sciences (AHS). These two new fields will help meet the needs for advanced-level education in health care. The Interprofessional Pain Management (IPM) field will use a competency-based approach to develop key clinical knowledge, skills, attitudes, and behaviors relating to (i) pain and its clinical management, and (ii) interprofessional practice, reflexivity, and person-centred care. The Applied Health Sciences (AHS) field will be an online course-based field designed to provide students both depth and breadth of knowledge in the health sciences and optimize students' capacities to make meaningful contributions to the health and wellbeing of people across Canada and worldwide. Unlike conventional course-based education, wherein students focus on learning specific content for a set period, at the core of competency-based learning is that work on achieving all the required competencies can start simultaneously and achievement of competencies is realized when the student is ready.</p> <p><a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19Jun7sen%20FULL.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19Jun7sen%20FULL.pdf</a></p>                                                                                                                | 6-7-2019 | 9-1-2019 |
| Music                         | PhD   | Graduate | introduction of milestone to replace directed research projects | <p>This will eliminate the requirement of two Directed Research Projects (DRP) for the PhD in Music (fields of Musicology, Music Theory, &amp; Composition). The DRPs will be replaced by a new milestone entitled Dissertation Proposal. In order for this new milestone to function equivalently in the 3 fields, it is necessary to modify the course requirements in the field of Composition by reweighting the year 1 Composition course to become a 0.5 course and adding a new 0.5 course in Composition in year 2. This will bring greater consistency to the PhD in Music requirements and will allow the new milestone to serve the same function in the 3 fields. Part 2 of the proposal is the elimination of the current Directed Research Projects &amp; the introduction of a new milestone called Dissertation Proposal. Students would begin the Dissertation Proposal milestone in Term 3, &amp; would complete the milestone with an approved draft of a dissertation proposal in Term 7 or 8. The new milestone will help students to focus on the thoughtful development of a dissertation topic early in their program, beginning in Term 3. The approval of the draft proposal by the supervisor and second reader marks the completion of the new milestone.</p> <p><a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19may10sen_ALL.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19may10sen_ALL.pdf</a></p> | 6-7-2019 | 9-1-2019 |

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|--------------------------------------------------------------------------------------------|-----|----------|---------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------|
| American Studies                                                                           | MA  | Graduate | Closure of a program            | <p>The MA in American Studies is a one-year interdisciplinary program that started in 2010, but has had lower than required enrolments. Though the program is high quality, enrolments have declined substantially in recent years. At an expected sustained enrolment of 15 American Studies was always a modest-sized program. The enrolments maxed out at 13 (2012/13), with an average of about 8.7 students per year. In the current fiscal climate the Faculty of Social Science cannot justify maintaining the program.</p> <p><a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19may10sen_ALL.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19may10sen_ALL.pdf</a></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 6-7-2019  | 9-1-2021 |
| Civil and Environmental, Electrical and Computer, and Mechanical and Materials Engineering | Phd | Graduate | Change course/exam requirements | <p>Communication skills are important for engineers, yet research shows that many engineering graduates tend to struggle and feel unprepared when it comes to communicating their research to different stakeholders. Strong communication skills are critical to graduate research students during their studies, as well as in their career (academic or non-academic). These skills assist them in the critical literature review, technical writing (e.g. comprehensive exam proposal, thesis, journal/conference papers) and oral presentations to technical and non-technical audiences. The Faculty of Engineering in collaboration with the Faculty of Arts &amp; Humanities has developed a Research Communications Module for PhD graduate research students to help them develop effective oral &amp; written communication skills necessary for various purposes: doctorate studies &amp; other audiences (lay audience, potential employers). The module focuses on developing students' critical thinking of the research process &amp; practicing communicating their research plan &amp; (preliminary) results to different types of audiences.</p> <p><a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19may10sen_ALL.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19may10sen_ALL.pdf</a></p> | 5-10-2019 | 5-1-2019 |
| Comparative Literature                                                                     | MA  | Graduate | Restructure program             | <p>The six-term thesis-based MA is being revised to a three-term course-based MA with a Major Research Paper. This change will allow Western's MA program in Comparative Literature to be more competitive with the one-year programs in Comparative Literature, English Studies, French Studies, Hispanic Studies, Film Studies, and Critical Theory offered at other universities around the world. The learning outcomes of the program will remain fundamentally unchanged. In Propaedeutics, the required course on research methods, the instructor will prepare students for the Major Research Paper instead of the MA Thesis, but the main topics (e.g., the formulation of valid research questions, the choice of appropriate critical methods, the effective organization of thesis chapters, the comprehensive understanding of the range of fields within the contemporary discipline of Comparative Literature, etc.) will hardly differ from the range of topics covered in the course currently offered in the two-year program.</p> <p><a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19apr12sen_all.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19apr12sen_all.pdf</a></p>                                                                                                               | 4-12-2019 | 9-1-2019 |

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|-----------------------------------------|-------|----------|-----------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------|
| Chemical and Biochemical Engineering    | Meng  | Graduate | Add new field                                                                                             | <p>added a new field “Food Processing” to the current MEng program in Chemical and Biochemical Engineering. Food processing is a niche area that requires specific expertise in different streams of food processing operations. A major portion of these operations are engineering-focused and not only require advanced engineering, but also an in-depth understanding of food sciences, food safety and related policies. At present, such knowledge is primarily acquired through experience and some form of on-job training. Engineers who start their careers in the food and beverage industry typically do not have the required knowledge depth and expertise in this niche area and the industry has had to utilize significant resources to train them to the required level of expertise. A specialized field in a graduate degree serves to fill this gap in terms of the education and skills required to meet the growing demand for engineering professionals in the food industry. There are a lack of applied graduate programs in food processing, both in Canada and internationally, that train engineers for jobs in the food processing sector.</p> <p><a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19apr12sen_all.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19apr12sen_all.pdf</a></p> | 4-12-2019 | 9-1-2019 |
| Master of Management of Applied Science | MMASc | Graduate | <p>Change course/exam requirements<br/>Change faculty/other essential resources<br/>Change field name</p> | <p>there are 4 modifications to the MMASc spoke in GHS-A: a) Transition from the Faculty of Science to the Schulich School of Medicine and Dentistry, and the Faculty of Health Sciences; b) Renaming the Global Health Systems in Africa (GHS-A) Spoke to Global Health Systems (GHS);c) A slight reconfiguration of the course requirements; d) introduction of four courses and electives to the new GHS Spoke. Over the years the applicant pool for this spoke has evolved, with students expressing interest in global health issues such as health care management including occupational and physical therapy as well as mental health, oral health, and maternal/child health. About a third of students now come from Nursing, Kinesiology, and other Health Sciences disciplines. Hence, the modification to administratively migrate the spoke to the Schulich School of Medicine &amp; Dentistry and to incorporate Health Sciences into the new GHS profile is a natural and even essential step to advance the MMASc, GHS spoke.</p> <p><a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19apr12sen_all.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19apr12sen_all.pdf</a></p>                                                                                                                           | 4-12-2019 | 9-1-2019 |
| Communication Sciences and Disorders    | MCISc | Graduate | Change course/exam requirements                                                                           | <p>CSD 9504 – Audiology Practicum IV is a second-year practicum course in the two-year CSD MCISc program in the field of Audiology, and is currently optional as a fifth practicum placement. The proposed change will make this course mandatory, which is offered multiple times per year (Fall, Winter, and, as required, Summer terms) to facilitate scheduling and to optimize use of available off-campus placements. Student and faculty feedback indicated that development of key clinical competencies requires further coverage in placement/practicum courses. These competencies include students' progression toward further autonomy in the areas of hearing aid follow-up and repair, assessment, and participation in hearing loss prevention programs. In the current program, most students receive exposure to many of these, but feedback from students indicates that all students should be provided with equal opportunity to develop these skills. The placement/practicum will provide clinical faculty supervisors the opportunity to work more closely with students and provide additional training and support.</p> <p><a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19mar15sen%20_all.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19mar15sen%20_all.pdf</a></p>                       | 3-15-2019 | 9-1-2019 |

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| Business Administration                            | MBA   | Graduate | Add accelerated option                                             | adding an accelerated option for those working full time - this option will follow existing requirements in the MBA program. This new option will target students that have earned an undergraduate degree in business or commerce and have between two to seven years of full-time work experience. TheIt will be divided into 3 modules. 1. Leadership Essentials 2. Innovation and Disruption 3. Global Business. <a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19mar15sen%20_all.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19mar15sen%20_all.pdf</a>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 3-15-2019 | 1-1-2020 |
| Health Information Science                         | Phd   | Graduate | Closure of a field, option                                         | Removal of the field: Health Knowledge: systems, methods and theory. In compliance with the former OCGS requirements, a single PhD field was developed for the HIS program at the time of its introduction. Since fields are no longer required for graduate programs, it is proposed that the single field of "Health, Knowledge: Systems, Methods and Theory" be eliminated. The PhD program in HIS is intended to be interdisciplinary in character and, as such, does not offer specific areas of concentration. Instead, the program requires that students undertake two required courses addressing the theory and context of Health Information Science, and appropriate advanced research methods. Students then select elective courses that allow them to focus on the specific aspects of HIS that they wish to pursue in depth. Overall, the curriculum has been developed to provide structure and a common set of critical and analytic skills relating to appropriate theory and research methods while at the same time offering students flexibility and a high degree of individual choice. Students are encouraged to think across disciplines, therefore it is not necessary to provide a field of study. <a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19mar15sen%20_all.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19mar15sen%20_all.pdf</a> | 3-15-2019 | 1-9-2019 |
| Faculty of Health Sciences: Driving Rehabilitation | MCISc | Graduate | Closure of a program                                               | The Driving Rehabilitation Program was approved by Senate in 2015. Since that time, the program has attracted a very small number of applicants and only seven students were admitted. There are currently no students enrolled in the program, therefore it should be discontinued. <a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19mar15sen%20_all.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2019/a19mar15sen%20_all.pdf</a>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 3-15-2019 | 1-9-2019 |
| Richard Ivey School of Business, Management        | MSc   | Graduate | Add new field, concentration, stream<br>Closure of a field, option | In 2015, approval was granted for Ivey to add two new fields as part of the MSc in Management program in addition to the International Business field. These were "Business Analytics" and "Entrepreneurship and Innovation"; however, the introduction of the "Entrepreneurship and Innovation" field was postponed and was not implemented. It is now proposed that a third, "Digital Management" field be introduced to the program. The objectives of the Digital Management field is for students to learn about the evolving digital economy and to gain substantial hands-on practice with real world problems and businesses. Students will use innovation and design techniques that will allow them to develop new products, services and business models that drive business performance. The current MSc in Management program is four terms in length with fields in International Business and Business Analytics and the new Digital Management field will follow the existing format of these. <a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2018/a18dec7sen_all.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2018/a18dec7sen_all.pdf</a>                                                                                                                                                                                                                         | 12-7-2018 | 1-1-2019 |

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|------------------------------------------------------------------|------------|----------|--------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------|
| Mechanical and Materials Engineering                             | MESc / PhD | Graduate | Clustering of courses                | Students in the research based graduate programs selected courses from a pool of courses in consultation with their supervisors. It was observed that the courses for graduate research students did not necessarily provide them the fundamental knowledge base to support their research activities, since a lot of the courses were geared towards students enrolled in the MEng. program. The graduate program in MME has developed a course map for graduate research students to enrich their learning experience & to ensure that the current learning outcomes are properly met. The courses are divided into 3 categories (Methodology, Fundamentals, Advanced) Course in Cat 1&2 are offered every year, while Cat 3 will be offered in each of the primary research areas. This will ensure thate enough research courses are available for all graduate students in the program.                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 11-16-2018 | 1-9-2019 |
| Collaborative Graduate Specialization in Artificial Intelligence |            | Graduate | new collaborative specialization     | Introduction of a Collaborative Graduate Specialization in Artificial Intelligence-AI is a well-established branch of computer science which is concerned with methods to make computers, or machines in general, intelligent. More specifically, an artificially intelligent system is one that can learn on its own from experience and derive implicit knowledge. The Collaborative Graduate Specialization in AI will be jointly offered by the Graduate Programs in Computer Science and Electrical and Computer Engineering. It will provide training with a strong focus on artificial intelligence methodologies and enabling technologies with applications in the core research areas (computer science or electrical and computer engineering). Students will be expected to apply AI methodologies to real problems that do not easily fit within a course structure. They will be exposed to the synergy between AI methodologies and the technologies that make AI usable, and they will learn about the challenges, limitations, and advantages of AI methodologies through a seminar course.<br><a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2018/a18sep21sen_all.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2018/a18sep21sen_all.pdf</a>                                                                                     | 9-21-2018  | 9-1-2018 |
| Master of Data Analytics                                         | MDA        | Graduate | Add new field, concentration, stream | Introducing a new field in Artificial Intelligence in the Master of Data Analytics program. The MDA is a one-year professional science masters with a “hub-and-spoke” design, consisting of a core. (i.e., “hub”) curriculum that focuses on fundamental data analytics and professional skills, and specialty field (i.e., “spoke”) courses that are specific to an application domain. The core curriculum consists of seven halfcourses (0.5 FCE each) and a seminar. The Artificial Intelligence Specialty Field Curriculum • Artificial Intelligence Seminar series; • Plus, two Computer Science (CS) courses (0.5 FCE each) chosen from the following list: o CS 9138 Databases II o CS 9121 Artificial Intelligence for Informatics and Analytics, or CS 9146 Artificial Intelligence I o CS 9142 Artificial Intelligence II o CS 9160 Advanced Machine Learning This field will permit interested students to focus on AI-related training and will add to the breadth of the existing MDA program. There is a strong industry demand for graduates with applied master's-level AI training and students will be able to benefit from the MDA program's summer co-op component as well.<br><a href="https://www.uwo.ca/univsec/pdf/senate/minutes/2018/a18sep21sen_alI.pdf">https://www.uwo.ca/univsec/pdf/senate/minutes/2018/a18sep21sen_alI.pdf</a> | 9-21-2018  | 9-1-2018 |

**Western's Institutional Quality Assurance Process Report: September 2018 - June 2019 (Undergraduate)**

| <b>Program Name</b>                                                                             | <b>Site Visit</b> | <b>SUPR-U</b> | <b>SCAPA</b> | <b>Senate</b> | <b>Report</b> | <b>Report Due</b> | <b>Final Outcome</b>                    |
|-------------------------------------------------------------------------------------------------|-------------------|---------------|--------------|---------------|---------------|-------------------|-----------------------------------------|
| <b>New Program Reviews</b>                                                                      |                   |               |              |               |               |                   |                                         |
| Faculty of Science: Honors Specialization in Synthetic Biology                                  | 03/08/2019        | 04/17/2019    | 05/01/2019   | 06/07/2019    | No            |                   | Approved to Commence                    |
| Huron University College: Major in Japanese Studies                                             | 04/09/2019        | 06/10/2019    | Pending      | Pending       |               |                   | Pending                                 |
| Huron University College: Major in Global Great Books                                           | 04/18/2019        | 06/10/2019    | Pending      | Pending       |               |                   | Pending                                 |
| King's University College: Major in Human Rights Studies                                        | 04/15/2019        | 06/10/2019    | Pending      | Pending       |               |                   | Pending                                 |
| <b>Cyclical Program Reviews</b>                                                                 |                   |               |              |               |               |                   |                                         |
| Faculty of Arts and Humanities, Faculty of Social Science: Linguistics                          | 03/01/2018        | 06/06/2018    | 09/12/2018   | 09/21/2018    | No            |                   | Good Quality                            |
| Schulich School of Medicine & Dentistry: Biochemistry                                           | 03/07/2018        | 06/06/2018    | 09/12/2018   | 09/21/2018    | Yes           | 09/2021           | Good Quality with Report in Three Years |
| Schulich School of Medicine & Dentistry, Faculty of Science: Interdisciplinary Medical Sciences | 03/22/2018        | 06/06/2018    | 09/12/2018   | 09/21/2018    | No            |                   | Good Quality                            |
| Schulich School of Medicine & Dentistry: Medical Biophysics                                     | 03/23/2018        | 06/06/2018    | 09/12/2018   | 09/21/2018    | No            |                   | Good Quality                            |
| Schulich School of Medicine & Dentistry: Microbiology and Immunology                            | 03/28/2018        | 06/06/2018    | 09/12/2018   | 09/21/2018    | Yes           | 09/2020           | Good Quality with Report in Two Years   |
| Schulich School of Medicine & Dentistry, Faculty of Science: Physiology and Pharmacology        | 04/10/2018        | 06/06/2018    | 09/12/2018   | 09/21/2018    | No            |                   | Good Quality                            |
| Faculty of Social Science: Psychology                                                           | 03/19/2018        | 06/06/2018    | 09/12/2018   | 09/21/2018    | No            |                   | Good Quality                            |
| Faculty of Engineering: Civil & Environmental Engineering                                       | 11/26/2018        | 03/20/2019    | 04/03/2019   | 04/12/2019    | No            |                   | Good Quality                            |
| Faculty of Engineering: Mechanical Engineering                                                  | 11/26/2019        | 03/20/2019    | 04/03/2019   | 04/12/2019    | No            |                   | Good Quality                            |
| Faculty of Engineering: Integrated Engineering                                                  | 11/26/2019        | 04/17/2019    | 05/01/2019   | 06/07/2019    | Yes           | 06/2021           | Good Quality with Report in Two Years   |



| Program Name                                              | Site Visit            | SUPR-U     | SCAPA      | Senate     | Report | Report Due | Final Outcome                         |
|-----------------------------------------------------------|-----------------------|------------|------------|------------|--------|------------|---------------------------------------|
| <b>Cyclical Program Reviews</b>                           |                       |            |            |            |        |            |                                       |
| Faculty of Engineering: Software Engineering              | 11/26/2019            | 04/17/2019 | 05/01/2019 | 06/07/2019 | Yes    | 06/2021    | Good Quality with Report in Two Years |
| Faculty of Engineering: Electrical Engineering            | 11/26/2019            | 05/15/2019 | 05/29/2019 | 06/07/2019 | No     |            | Good Quality                          |
| Faculty of Engineering: Computer Engineering              | 11/26/2019            | 05/15/2019 | 05/29/2019 | 06/07/2019 | No     |            | Good Quality                          |
| Faculty of Arts & Humanities: Classical Studies           | 03/06/2019-03/07/2019 | 05/15/2019 | 05/29/2019 | 06/07/2019 | No     |            | Good Quality                          |
| Faculty of Engineering: Mechatronic Systems Engineering   | 11/26/2019            | 06/10/2019 | Pending    | Pending    |        |            | Pending                               |
| Faculty of Music: Music                                   | 02/28/2019-03/01/2019 | 06/10/2019 | Pending    | Pending    |        |            | Pending                               |
| Faculty of Science: Physics & Astronomy                   | 03/25/2019-03/26/2019 | 06/10/2019 | Pending    | Pending    |        |            | Pending                               |
| Faculty of Social Science: Geography                      | 03/15/2019-03/15/2019 | 06/10/2019 | Pending    | Pending    |        |            | Pending                               |
| <b>Affiliated University Colleges</b>                     |                       |            |            |            |        |            |                                       |
| Brescia University College: History and Political Science | 03/15/2018            | 06/06/2018 | 09/12/2018 | 09/21/2018 | Yes    | 09/2020    | Good Quality with Report in Two Years |
| Huron University College: Centre for Global Studies       | 02/15/2018-02/16/2018 | 06/06/2018 | 09/12/2018 | 09/21/2018 | Yes    | 09/2020    | Good Quality with Report in Two Years |
| King's University College: History                        | 03/30/2018            | 06/06/2018 | 09/12/2018 | 09/21/2018 | No     |            | Good Quality                          |
| Brescia University College: Leadership Studies            | 02/14/2018-02/15/2018 | 06/06/2018 | 09/12/2018 | 09/21/2018 | No     |            | Good Quality                          |
| Huron University College: Political Science               | 03/22/2018-03/23/2018 | 09/05/2018 | 09/12/2018 | 09/21/2018 | No     |            | Good Quality                          |
| King's University College: Social Justice & Peace Studies | 03/12/2019-03/13/2019 | 06/10/2019 | Pending    | Pending    |        |            | Pending                               |
| King's University College: Political Science              | 03/21/2019-03/22/2019 | Pending    | Pending    | Pending    |        |            | Pending                               |
|                                                           |                       |            |            |            |        |            |                                       |

**Cyclical Reviews from 2017-18**

Linguistics

Biochemistry

Interdisciplinary Medical Sciences

Medical Biophysics

Microbiology and Immunology

Physiology and Pharmacology

Psychology

History and Political Science (Brescia University College)

Centre for Global Studies (Huron University College)

History (King's University College)

Leadership Studies (Brescia University College)

Political Science (Huron University College)

Institutional Quality Assurance Process Report Year ending June 2019

| Program                                                                   | Degrees       | Onsite Visit           | SUPR-G Date | SCAPA        | Senate    | Outcome                                                           | Report | Report Due                                      | Final Outcome                             |
|---------------------------------------------------------------------------|---------------|------------------------|-------------|--------------|-----------|-------------------------------------------------------------------|--------|-------------------------------------------------|-------------------------------------------|
| 2015-2016 Cycle -Cyclical Reviews                                         |               |                        |             |              |           |                                                                   |        |                                                 |                                           |
| American Studies                                                          | M.A.          | April 18,19, 2016      | 23-Jan-17   | 8-Feb-17     | 17-Feb-17 | Good Quality with Report due January 2019                         | yes    | January 2019                                    | program closed -Major Mod SUPR-G April 15 |
| Political Science                                                         | M.A., PhD     | Jan 14, 15, 2016       | 18-Sep-16   | 5-Oct-16     | 21-Oct-16 | Conditional with Report due September 2017 & 2018                 | yes    | Sept 2017 &Sept 2018                            | Good Quality -SUPR-G November 19, 2018    |
| 2016-2017 Cycle -Cyclical Reviews                                         |               |                        |             |              |           |                                                                   |        |                                                 |                                           |
| Media Studies                                                             | M.A., PhD     | April 6, 7, 2017       | 11-Sep-17   | 4-Oct-17     | 20-Oct-17 | Conditional with Report due June 2018                             | yes    | first report accepted, 2nd report due Sept 2019 |                                           |
| Chemistry                                                                 | M.Sc.,Ph.D.   | Feb 22-23,2017         | 5-Jun-17    | 13-Sep-17    | 22-Sep-17 | Good Quality with report due June 2018                            | yes    | June 2018                                       | Good Quality - SUPR-G                     |
| 2017-2018 Cycle -Cyclical Reviews                                         |               |                        |             |              |           |                                                                   |        |                                                 |                                           |
| Microbiology & Immunology                                                 | M.Sc.,Ph.D.   | Nov 13, 14, 2017       | 12-Feb-18   | 7-Mar-18     | 16-Mar-18 | Good Quality with report due Feb 2020                             | yes    | February 2020                                   |                                           |
| Medical Biophysics                                                        | M.Sc.,Ph.D.   | Nov 23, 24, 2017       | 23-Apr-18   | 30-May-18    | 8-Jun-18  | Good Quality with update due Sept 2019 with full report Sept 2020 | yes    | Sept 2019 / Sept 2020                           |                                           |
| Clinical Medical Biophysics (combined with Medical Bio)                   | M.Sc.         | Nov 23, 24, 2017       | 23-Apr-18   | 30-May-18    | 8-Jun-18  | Good Quality with update due Sept 2019 with full report Sept 2020 | yes    | Sept 2019 / Sept 2020                           |                                           |
| Pathology (new name as part of review- Pathology and Laboratory Medicine) | M.Sc.,Ph.D.   | Jan 4, 5, 2018         | 17-Sep-18   | 3-Oct-18     | 19-Oct-18 | Good Quality                                                      | no     |                                                 |                                           |
| Theory & Criticism                                                        | M.A., PhD     | May 3, 4, 2018         | 17-Sep-18   | 3-Oct-18     | 19-Oct-18 | Good Quality with report due Sept 2020                            | yes    | Sep-20                                          |                                           |
| Psychology                                                                |               | Feb 26, 27, 2018       | 23-Apr-18   | 30-May-18    | 8-Jun-18  | Good Quality with report due April 2019                           | yes    | Apr-19                                          |                                           |
| Philosophy                                                                | M.A., PhD     | March 28-29, 2018      | 29-Oct-18   | 7, 28-Nov-18 | 7-Dec-18  | Good Qualiity with report due Oct 2020                            | yes    | Oct-20                                          |                                           |
| 2018-2019 Cycle -Cyclical Reviews                                         |               |                        |             |              |           |                                                                   |        |                                                 |                                           |
| Theology                                                                  | M.A.          | Oct 25, 26, 2018       | 21-Jan-19   | 6-Mar-19     | 15-Mar-19 |                                                                   |        |                                                 |                                           |
| Classics                                                                  | M.A., PhD     | Dec 3, 4, 2018         | 18-Mar-19   | 3-Apr-19     | 12-Apr-19 |                                                                   |        |                                                 |                                           |
| Surgery                                                                   | MSc           | Jan 14, 15, 2019       | 15-Apr-19   | 1-May-19     | 7-Jun-19  |                                                                   |        |                                                 |                                           |
| Biomedical Engineering                                                    | MESc, PhD     | April 23,24, 2019      |             |              |           |                                                                   |        |                                                 |                                           |
| Health Information Science                                                | MHIS, PhD     | April 29,30, 2019      |             |              |           |                                                                   |        |                                                 |                                           |
| Education                                                                 | M.A., PhD     | June 17, 18, 2019      |             |              |           |                                                                   |        |                                                 |                                           |
| Developmental Biology                                                     | Collaborative | May 21, 2019           |             |              |           |                                                                   |        |                                                 |                                           |
| Musculoskeletal Health Research                                           | Collaborative | dates not secure       |             |              |           |                                                                   |        |                                                 |                                           |
| Biostatistics                                                             | Collaborative | June11 & June 12, 2019 |             |              |           |                                                                   |        |                                                 |                                           |
| Engineering in Medicine                                                   | Collaborative | dates not secure       |             |              |           |                                                                   |        |                                                 |                                           |
| Molecular Imaging                                                         | Collaborative | dates not secure       |             |              |           |                                                                   |        |                                                 |                                           |

results not final at time of submission

**REPORT TO SENATE OF THE ACADEMIC COLLEAGUE, COUNCIL OF ONTARIO UNIVERSITIES**

| Contents                                                    | Consent Agenda |
|-------------------------------------------------------------|----------------|
| <b>Academic Colleague Report on the August 2019 Meeting</b> | Yes            |

**FOR INFORMATION**

The COU Academic Colleagues met on August 21-22 in Toronto.

The following items may be of interest to Senators:

**Labour Relations Issues**

Schedule 38 of the province's spring budget bill adds a new section to the *MTCU Act* to allow the Minister "to make regulations governing the reduction, limitation and alteration of compensation due to certain individuals."

The intent is to allow government to address employees who are simultaneously drawing full-time salary and pension payments, commonly referred as double dipping. It does not appear that a large number of employees in the sector are doing this. The government has not yet made changes based on this regulation. Implementation of the regulation could pose some risks for the sector: breach of collective agreements, *Charter* challenges, union grievances, increased risks of labour disruption, human rights and equity issues, and brain drain of talent to other jurisdictions.

On June 5, Minister Bethlenfalvy (President of the Treasury Board) introduced Bill 124, *an Act to implement moderation measures in respect of compensation in Ontario's public service*. The Act proposes to cap wage increases in Ontario's civil service and the Broader Public Sector (BPS), including colleges, universities and hospitals. The compensation cap is an average of one per cent for all employees under the collective agreement for each 12-month period. As an exception, an employee's salary may increase according to the terms of the collective agreement for recognition of the employees' length of time in employment, performance assessment, and/or successful completion of a program or course of professional technical education.

If passed, the provisions would apply for a period of three years upon the expiry of existing collective agreements. Any collective agreement concluded after June 5 is expected to be consistent with Bill 124. If not, it is the Minister's prerogative to force the parties to go back to the table and negotiate. The government has posted a technical briefing with additional details (<https://files.ontario.ca/tech-briefing-protecting-sustainable-english.pdf>).

Bill 124 does not apply to executives covered under the *Broader Public Executive Compensation Act*, who are under a legislated wage freeze.

**Nursing Programs**

MTCU has started a review of the collaborative (university-college) delivery of nursing education. This delivery model is generally considered good for students, and both colleges and universities have invested heavily in these programs. A few colleges would like to deliver stand-alone nursing degrees. In the past—this is the third review in about ten years—the government has decided to retain the current model. COU participated in the consultation meetings and developed a written submission.

**Intellectual Property:** MTCU has struck an Expert Panel on Intellectual Property with the task of delivering an action plan for a provincial intellectual property framework and maximizing commercialization opportunities specifically related to the postsecondary sector. In preparation for an announcement from MTCU, COU has convened an IP Working Group with the mandate to develop a sector-wide IP advocacy strategy.