

Members are reminded of the requirement that they give notice of conflict of interest prior to consideration of any matter on the Board open and closed session agendas

BOARD OF GOVERNORS MEETING

**1:00 p.m., Thursday, November 23, 2017
Room 4155 Stevenson Hall**

The Land Acknowledgement Statement will be read at the beginning of the meeting.

1. Adoption of Agenda - Open Session
2. [Report of the President](#) (Amit Chakma)
3. Unanimous Consent Agenda - [Appendix I](#)
 - Includes [Open Session Minutes of the Meeting of September 26, 2017](#)
4. Business Arising from the Minutes
5. Reports of Committees:
 - Property & Finance Committee - [Appendix II](#) (Rick Konrad)
 - Governance & By-Laws Committee - [Appendix III](#) (Matthew Wilson)
 - Senior Policy & Operations Committee - [Appendix IV](#) (Hanny Hassan)
6. Items Referred by Senate - [Appendix V](#) (Amit Chakma)
7. Questions from Members
8. Other Business
9. Adjournment to Confidential Session

Meetings of the Board beginning at 1:00 p.m. will normally end by 4:30 p.m. unless extended by a majority vote of those present.

SUMMARY OF AGENDA ITEMS – NOVEMBER 23, 2017 - OPEN SESSION

Adoption of Agenda	ACTION
Report of the President	INFO
Unanimous Consent Agenda – Appendix I	ACTION
Minutes of the Meeting of September 26, 2017 – Open Session	ACTION

Report of the Property & Finance Committee- Appendix II

Revisions to Statement of Objectives, Policies and Governance	ACTION
Arthur Labatt Chair in Nursing – Name Change and Revised Terms	INFO
Discontinuation of Dancap Private Equity Faculty Fellowships	INFO
Budget Indicators for Previous Fiscal Year	INFO
Investment Committee Report	INFO
Report on Underwater Endowments	INFO
New Scholarships, Awards and Prizes	INFO

Report of the Governance & By-Laws Committee- Appendix III

Regulations governing Senate and Board Student Elections – Revision to Campaign Expenses	ACTION
Board Election Schedule for Spring 2018	INFORMATION

Senior Policy & Operations Committee – Appendix IV

Board Vacancies Update	INFORMATION
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Items Referred by Senate - Appendix V

Articulation Agreements between Lambton College and Huron University College for qualified graduates of the Business Accounting Diploma Program and the Business Diploma Program	ACTION
Introduction of a 2+2 Program between Central South University, China, and Western University	ACTION
Report of the Academic Colleague	INFORMATION
Announcements	INFORMATION
Questions from Members	

REPORT OF THE PRESIDENT

To: Board of Governors
From: Amit Chakma
Date: November 15, 2017
Re: President's Report to the Board

For the November 23, 2017 Board of Governors meeting, I'm pleased to provide the following update on important developments and achievements since the last meeting of the Board on September 26, 2017.

Linamar gift supports future engineering and business leaders: On September 27, we were delighted to celebrate a \$5-million gift from Linamar Corporation and the Hasenfratz family that creates 10 scholarships for female students each year which will fund half the cost of tuition for each of the three years recipients study in Western's Engineering and Business Dual Degree Program. The Linamar Scholarship for Women in Engineering and Business will also guarantee students a summer co-op at the end of their third and fourth years, plus an offer of full-time employment at Linamar after graduation. Among special guests present at the announcement was Linamar CEO and Western alumna **Linda Hasenfratz** (BSc'89, MBA'97), her father and Linamar founder **Frank Hasenfratz** (LLD'16), and Linda's husband, **Ed Newton**.

Partnership with Toronto and McMaster supports entrepreneurship: On September 28, Western announced a new partnership involving a collaborative work space located on the University of Toronto's campus for student entrepreneurs and their start-ups. 'ONRamp' offers students access to several flexible shared workspaces, meeting rooms, board rooms and event spaces, across three floors and 15,000 square feet of the Banting Institution on the U of T downtown campus, directly across MaRS Discovery District. The facility brings together entrepreneurs from members of the Campus-Linked Accelerator (CLA) program – including Western's Propel and McMaster's Forge. As many of Propel's student entrepreneurs, mentors and investors are from the GTA, access to this new space enables them to continue and accelerate their work off-campus in the heart of downtown Toronto.

Canada Foundation for Innovation backs five Western projects: On October 12, London MPs **Kate Young** and **Peter Fragiskatos** visited campus to announce nearly \$14M in funding for Western projects

as part of a nation-wide investment of \$554M in 117 new infrastructure projects across 61 universities, colleges and research hospitals. Western researchers and projects to benefit from this latest round of CFI grants include:

- Robarts Research Institute scientist **Geoffrey Pickering**, \$1.9M, for vascular disease research focused on understanding the vast network of small arteries that regulate how oxygen and nutrients are delivered to the organs;
- Brain and Mind Institute Director **Mel Goodale**, \$1.47M, for research into brain disorders;
- Microbiology and Immunology professor **Eric Arts**, \$3.19M, to establish a unique-in-Canada biological containment facility, called Imaging Pathogens for Knowledge Translation, that will allow real-time, non-invasive assessments of pathogen-host interactions by employing advanced cell and pathogen molecular tracking systems;
- Chemistry professor **David Shoesmith**, \$4.47M, to study materials degradation and establish Western as an international leader in studying materials degradation and working to avoid and/or mitigate materials damage; and
- Robarts Research Institute scientist **Terry Peters**, \$2.7M, to renovate existing medical imaging research space at Robarts Research Institute specifically designed for minimally invasive surgery.

New interdisciplinary clinic opens to support children's mental health: On October 25, Dean of Education **Vicki Schwean**, Education professor **Colin King** and an interdisciplinary team of faculty, staff and graduate students from across campus celebrated the official opening of the new Child and Youth Development Clinic. Located in the Bank of Montreal Building adjacent to the Richmond Street gates entering campus, the new clinic offers a range of high-quality and affordable services for children and youth aged 3 to 18 grappling with educational, psychological, behavioural, speech and/or language difficulties — without a doctor's referral. The clinic also provides a state-of-the-art interdisciplinary training facility for graduate students in the School and Applied Child Psychology, Clinical Psychology, Applied Behaviour Analysis, and Speech and Language Pathology. Beyond its Faculty partners, the clinic will also involve experts from the Children's Hospital (Developmental Pediatrics and Pediatric Neurology), as well as colleagues from the School of Social Work at King's University College. According to Children's Mental Health Ontario, as many as 1-in-5 children and youth in the province will experience some form of mental-health problem, with 5-in-6 of those not receiving the treatment they need.

Provost hosts budget town halls: On October 31, Provost & VP (Academic) **Janice Deakin** hosted two public town halls in UCC's McKellar Room for more than 200 campus community members, providing an overview of the fiscal challenges Western faces in the 2018-19 budget year as well as the next four-year planning cycle. With prospects for revenue growth constrained by the government's tuition framework and new funding model (which eliminates incremental funding increases for enrolment growth), Ontario's postsecondary institutions will all be challenged to find alternate funding sources while reducing costs.

Fall Preview Day: On November 12, more than 15,000 guests attended our annual Fall Preview Day, including prospective students, parents, families and friends, representing a 15% increase over last year's open house attendance. Nearly 1,400 students, staff and faculty volunteered their time to ensure the day's success. Of this total, more than 1,000 were current students which speaks to the high level of engagement and satisfaction they have with their own experience at Western. All Faculties/Schools participated with welcome and academic sessions, departmental displays, lectures, lab demonstrations, student panels, and tours of their respective areas. Other activities included bus and walking tours of campus, residence and student recreation centre tours, as well as a drop-in centre located in UCC's Mustang Lounge featuring information from ancillary units, clubs, and student support services.

Western leadership update: The work of leadership selection committees for the Provost & Vice-President (Academic) and the Deans of FIMS, Science and the Ivey Business School continues.

UNANIMOUS CONSENT AGENDA

FOR APPROVAL

Any member who wishes to ask a question, discuss, or oppose an item that is listed below may have it removed from the consent agenda by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the following motion.

Recommended: That the following items be approved or received for information by the Board of Governors by unanimous consent:

Minutes

1. Open Session Minutes of the September 26, 2017	ACTION
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Report of the Property & Finance Committee – Appendix II

2. Revisions to Statement of Objectives, Policies and Governance	ACTION
3. Arthur Labatt Chair in Nursing – Name Change and Revised Terms	INFORMATION
4. Discontinuation of Dancap Private Equity Faculty Fellowships	INFORMATION
5. Budget Indicators for Previous Fiscal Year	INFORMATION
6. Investment Committee Report	INFORMATION
7. Report on Underwater Endowments	INFORMATION
8. New Scholarships, Awards and Prizes	INFORMATION

Report of the Governance & By-Laws Committee – Appendix III

9. Regulations governing Senate and Board Student Elections – Revision to Campaign Expenses	INFORMATION
10. Board Election Schedule for Spring 2018	INFORMATION

Report of the Senior Policy & Operations Committee – Appendix IV

11. Board Vacancies Update	INFORMATION
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Items Referred by Senate – Appendix V

12. Articulation Agreements between Lambton College and Huron University College for qualified graduates of the Business Accounting Diploma Program and the Business Diploma Program	ACTION
13. Introduction of a 2+2 Program between Central South University, China, and Western University	ACTION
14. Report of the Academic Colleague	INFORMATION
15. Announcements	INFORMATION

The Unanimous Consent Agenda

The Board's parliamentary authority -- *Sturgis Standard Code of Parliamentary Procedure* -- explains the consent agenda:

Organizations having a large number of routine matters to approve often save time by use of a *consent agenda*, also called a *consent calendar* or *unanimous consent agenda*. This is a portion of the printed agenda listing matters that are expected to be non-controversial and on which there are likely to be no questions.

Before taking the vote, the chair allows time for the members to read the list to determine if it includes any matters on which they may have a question, or which they would like to discuss or oppose. Any member has a right to remove any item from the consent agenda, in which case it is transferred to the regular agenda so that it may be considered and voted on separately. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual votes.

A number of Canadian university Boards have employed the consent agenda format to include not only routine approval items, but also information items. One reason for using this format is to allow the Board to focus on major items of business. While approval of an omnibus motion saves time at Board meetings, Board members will want to review the agenda materials carefully in order that they properly discharge their responsibilities.

How it works:

The Secretary identifies action and information items that are routine and/or likely non-controversial. In so doing, she may consult with the Chair of the Board, the relevant committee chair, and principal resource persons. In each Committee's report, these items are noted in the list of items at the beginning of the report. Action and information items on the agenda and in committee reports that are not noted on the consent agenda will be presented singly for discussion and voting (when appropriate).

When members receive their Board agendas, they should review all reports in the usual manner. **If any member wants to ask a question, discuss, or oppose an item that is marked for the consent agenda, he or she can have it be removed from the consent agenda** by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the motion to approve or receive, by unanimous consent, the items listed.

At the Board meeting, before the unanimous consent motion is presented for approval, the Chair of the Board (1) will advise the Board of items that are to be removed from the list, based on prior requests from Board members; and (2) will ask if there are any other items that should be removed from the list. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual presentation and voting. Those matters that have been struck from the consent agenda will be handled in the usual way as each Committee's report is presented.

The minutes of the Board meeting will report matters approved as part of the consent agenda as "carried by unanimous consent". Information items received as part of the consent agenda will be reported as received.



MINUTES OF THE MEETING OF THE BOARD OF GOVERNORS

SEPTEMBER 26, 2017

The meeting was held at 1:30 p.m. in the Juniper Room, Ivey Spencer Leadership Centre.

PRESENT: Mr. H. Hassan, Chair
Ms. K. Kwan, Secretary

Dr. P. Bishop
Ms. W. Boye
Dr. J. Capone
Dr. A. Chakma
Ms. S. Chrominska
Ms. K. Cole
Dr. J. Deakin
Mr. K. Gibbons
Dr. R. Giffin
Mr. P. Jenkins
Ms. C. Karakatsanis

Mr. D. Keddy
Mr. J. Knowles
Mr. R. Konrad
Mr. M. Lerner
Dr. K. Mequanint
Ms. N. Noonan
Mr. V. Pirone
Mr. B. Ross
Mr. K. Sullivan
Dr. J. Toswell
Mr. M. Wilson

Land Acknowledgement

H. Hassan read the Land Acknowledgement.

BG.17-86

WELCOME TO NEW MEMBERS

The Chair welcomed Douglas Keddy, elected by the Administrative Staff and Victor Pirone, elected by the Undergraduate Students, to their first board meeting.

BG.17-87

REPORT OF THE PRESIDENT

The President's report, distributed with the agenda, consisted of the following topics:

- Welcoming Western's newest students (nearly 5,100 first year students)
- Federal Response to Investing in Canada's Future: Strengthening The Foundations of Canadian Research ("Naylor Report")
- Western scholars recognized for research excellence
- Changing leadership at MAESD
- Western leadership update
- Activities of the President since the June 2017 meeting

BG.17-88

UNANIMOUS CONSENT AGENDA

It was moved by K. Gibbons, seconded by M. Wilson,

That the 16 items listed in Appendix I, Unanimous Consent Agenda, except item 8: Harassment and Discrimination Matters Annual Report and item 15: Performance Indicators Report, be approved or received for information by the Board of Governors by unanimous consent.

CARRIED

BG.17-89 **Minutes Of The Previous Meeting**

The open session minutes of the meeting of June 22, 2017, were approved as circulated.

REPORT OF THE PROPERTY & FINANCE COMMITTEE [Appendix II]

BG.17-90 **Revisions to MAPP 2.10 – Student Scholarships, Awards and Prizes**

It was moved by K. Gibbons, seconded by M. Wilson,

That MAPP 2.10 – Student Scholarships, Awards and Prizes policy be revised effective September 1, 2017, as shown in Appendix II, Annex 1, Appendix 1.

CARRIED (By Unanimous Consent)

BG.17-91 **Information Items Reported by the Property & Finance Committee**

The Report of the Property & Finance Committee, detailed in Appendix II, contained the following items that were received for information by unanimous consent:

- Suncor Chair in Energy Policy – Extension
- Quarterly Ratio Report on Non-Endowed Funds
- New Scholarships, Awards and Prizes

REPORT OF THE GOVERNANCE & BY-LAWS COMMITTEE [Appendix III]

BG.17-92 **Amendments to By-Law No. 1.**

It was moved by M. Wilson, seconded by J. Toswell,

That the revisions to By-Law No. 1, shown in Annex 1a, be approved.

CARRIED

BG.17-93 **Information Item Reported by the Governance & By-Laws Committee**

The Report of the Governance & By-Laws Committee, detailed in Appendix III, contained the following item that was received for information by unanimous consent:

- Revisions to Terms of Reference of the Audit Committee (Special Resolution 1.L) and of the Investment Committee (Special Resolution No. 1-N)

REPORT OF THE SENIOR POLICY & OPERATIONS COMMITTEE [Appendix IV]

BG.17-94 **Information Item Reported by the Senior Policy & Operations Committee**

The Report of the Senior Policy & Operations Committee, detailed in Appendix IV, contained the following item that was received for information by unanimous consent:

- Membership of the University Discipline Appeals Committee

REPORT OF THE AUDIT COMMITTEE [Appendix V]

BG.17-95 **Audited Financial Statements for the Year Ended April 30, 2017**

It was moved by K. Gibbons, seconded by J. Knowles,

That the Board of Governors approve the draft audited combined financial statements for the University for the fiscal year ended April 30, 2017 (Annex 1, Section 1).

A member suggested that going forward the name of the auditors, KPMG, be included in the statements for information.

The question was called and CARRIED.

BG.17-96 **Financial Statements – Related Companies**

The following financial statements for related companies and other organizations of The University of Western Ontario for the fiscal year ended April 30, 2017, were provided for the information of the Board of Governors and are found in Annex 1.

The University of Western Ontario Research and Development Park, as presented by the Company's auditors, KPMG, and as approved by the Directors of the Company on August 16, 2017.

The Siebens-Drake Research Institute as presented by the Institute's auditors, KPMG, and as approved by the Institute's Board of Directors on July 26, 2017.

The University of Western Ontario Foundation Inc., as presented by the Foundation's auditors, KPMG, and as approved by the members of the board of The UWO Foundation Inc., August 4, 2017.

Richard Ivey School of Business Group of Companies, Richard Ivey School of Business Foundation, and Richard Ivey School of Business (Asia) Limited, as presented by Ivey's auditors, KPMG, and as approved by the Board of Directors on September 5, 2017.

Robarts Clinical Trials Inc., as presented by the Company's auditors, KPMG, and as approved by the Company's Board of Directors on September 13, 2017.

The Pension Plan for Members of the Academic Staff; and The Pension Plan for Members of the Administrative Staff for the fiscal year ended December 31, 2016, as presented by the Pension Plan auditors, KPMG, and as approved by the Joint Pension Board on May 16, 2017.

BG.17-97 **Harassment and Discrimination Matters Annual Report**

The Harassment and Discrimination Matters Annual Report, detailed in Appendix V, was received for information.

Responding to a question about the distribution of the report, J. O'Brien said that the report is posted on the Equity and Human Rights Services website and is distributed to all employee groups. The President agreed to include this item in his report to Senate.

BG.17-98 **Information Item Reported by the Audit Committee**

The Report of the Audit Committee, detailed in Appendix V, contained the following item that was received for information by unanimous consent:

- Western Retirement Plans – Report to the Audit Committee for the Year ended December 2016

REPORT OF THE FUND RAISING & DONOR RELATIONS COMMITTEE [Appendix VI]

BG.17-99 **Information Item Reported by the Fund Raising & Donor Relations Committee**

The Report of the Fund Raising & Donor Relations Committee, detailed in Appendix VI, contained the following item that was received for information by unanimous consent:

- Fund Raising Activity Quarterly Report to July 31, 2017

REPORT OF THE MCINTOSH GALLERY COMMITTEE [Appendix VII]

BG.17-100 **Information Item Reported by the McIntosh Gallery Committee**

The Report of the McIntosh Gallery Committee, detailed in Appendix VII, contained the following item that was received for information by unanimous consent:

- 2016/17 Annual Report

ITEMS REFERRED BY SENATE [Appendix VIII]

BG.17-101 **Performance Indicators Report**

Concerns about this item were withdrawn.

BG.17-102 **Information Items Referred by Senate**

Appendix VIII, Items Referred by Senate, contained the following items that were received for information by unanimous consent:

- Report of the Honorary Degrees Committee
- Report of the Academic Colleague
- Annual Report of the Working Group on Information Security (WGIS)
- Announcements – Academic Administrative Appointments

OTHER BUSINESS

BG.17-103 **London Bus Rapid Transit Update**

A member asked for an update on the London Bus Rapid Transit initiative. L. Logan reported that a meeting was held on August 17, 2017 to discuss the fifteen issues Western had raised with regard to the Bus Rapid Transit initiative, including redevelopment of the bridge, stop locations and access to University Hospital. A second meeting has not been scheduled.

The meeting adjourned to the confidential session at 2:15 p.m.

H. Hassan
Chair

K. Kwan
Secretary

REPORT OF THE PROPERTY AND FINANCE COMMITTEE

Contents	Consent Agenda
Revisions to Statement of Objectives, Policies and Governance	Yes
Arthur Labatt Chair in Nursing – Name Change and Revised Terms	Yes
Discontinuation of Dancap Private Equity Faculty Fellowships	Yes
Budget Indicators for Previous Fiscal Year	Yes
Investment Committee Report	Yes
Report on Underwater Endowments	Yes
New Scholarships, Awards and Prizes	Yes

FOR APPROVAL

1. **Revisions to Statement of Objectives, Policies and Governance**

Recommended: That the last sentence of section 3.9 of the Statement of Investment Objectives, Policies and Governance be amended from: “The policy range of exposure to Non-Canadian currencies has been established to be within the range of 10% to 30% of the total Fund, after currency hedging” to “The policy range of exposure to Non-Canadian currencies has been established to be within the range of 0% to 60% of the total Fund, after currency hedging”.

Background:

See [Annex 1](#).

FOR INFORMATION

2. **Arthur Labatt Chair in Nursing – Name Change and Revised Terms**

See [Annex 2](#).

3. **Discontinuation of Dancap Private Equity Faculty Fellowships**

As a result of new funding for the DAN Department of Management & Organizational Studies from Aubrey Dan and with the approval of the donor, funding provided for the Dancap Private Equity Faculty Fellowships has been repurposed. Going forward, the endowment will support the Dancap Private Equity Priorities Fund.

4. **Budget Indicators for Previous Fiscal Year**

See [Annex 3](#).

5. **Investment Committee Report**

See [Annex 4](#).

6. **Report on Underwater Endowments**

See [Annex 5](#).

7. **New Scholarships, Awards and Prizes**

See [Annex 6](#).

Statement of Investment Objectives, Policies & Governance – Revisions

FOR APPROVAL

Recommended: That the last sentence of section 3.9 of the Statement of Investment Objectives, Policies and Governance be amended from: “The policy range of exposure to Non-Canadian currencies has been established to be within the range of 10% to 30% of the total Fund, after currency hedging” to “The policy range of exposure to Non-Canadian currencies has been established to be within the range of 0% to 60% of the total Fund, after currency hedging”.

Background:

Western’s Statement of Investment Objectives, Policies and Governance (SIOP&G) for the Operating and Endowment Fund provides a framework for the prudent investment of all funds which belong to the University and outlines the roles and responsibilities of those who make decisions regarding the portfolio. The Board last approved changes to this policy in January 2015.

In July 2016, the Investment Committee, a subcommittee of the Property & Finance Committee, approved an amendment to the Statement of Investment Objectives, Policies & Governance (SIOP&G). This report provides a rationale for the amendment.

Proposed VS Previous Currency Hedging Policies

Strategy	Target Weight	Currency	Previous Hedge Ratio	Proposed Hedge Ratio Range	Proposed Benchmark (Neutral Position)
S&P 500 Hedged	5.5%	USD	77%	0%-100%	50%
SSgA Managed Volatility	5.5%	USD	0%	0%-100%	50%
S&P Midcap 400 Hedged	4%	USD	100%	0%-100%	50%
JPMorgan 130/30	5%	USD	0%	0%-100%	50%
MFS	10%	Basket of EAFE currencies	100%	0%-100%	50%
Templeton	10%	Basket of EAFE currencies	0%	0%-100%	50%
Private equity	7.5%	USD and basket of EAFE currencies	0%	0%-100%	50%
Hastings	7%	AUD	100%	60%-100%	100%
First State	3%	Euro	100%	60%-100%	100%

The most recent version of the SIOPG has the following statement about currency exposure: “The policy range of exposure to Non-Canadian currencies has been established to be within the range of 10% to 30% of the total Fund, after currency hedging.”

Using the proposed currency hedging policy described in the table above, the target non-Canadian currency exposure would remain low (and within the existing range) at 23.75%. This would be achieved when all asset classes are at their target weight and hedge ratios are at 50% for equities and 100% for infrastructure.

However, the range of currency exposures would be wider with the proposed policy. The minimum foreign currency exposure would be 0%, which would occur when every currency exposure is hedged back into

CAD. At the other end of the spectrum, the maximum currency exposure would be 61%. It would be achieved when the following conditions are present:

- 70% of the portfolio is invested in equities (the maximum allowed);
- The allocation to Canadian equities is 15% (the minimum allowed);
- The entire currency exposure of the equity portfolio remains unhedged;
- The allocation to infrastructure is 15% (the maximum allowed);
- The currency exposure related to the infrastructure investments is 60% hedged (the minimum allowed).

In order to allow the currency manager to implement its strategy, we would recommend the range of exposure to non-Canadian currencies to be changed to 0% to 60% in the SIOPG. Although the range is much wider than with the previous policy, it is unlikely to significantly deviate from the target exposure, as it would require extreme and unusual conditions for it to happen.

Arthur Labatt Family Chair in Nursing
Change in Name and Revised Terms

FOR INFORMATION

At its meeting on November 14, 2017, the Property and Finance Committee approved a recommendation that provisions for the Arthur Labatt Chair in Nursing with academic appointment in the Faculty of Health Sciences be updated and approved as follows.

Donor and Funding: The creation of this Chair was made possible through a generous donation that was received from Arthur and Sonia Labatt in the amount of \$2,050,000. The Labatt's gift has been endowed at the University.

Previously, the Chair was known as the Arthur Labatt Family Nursing Research Chair in Human Resource Optimization. With the approval of the donor the name and terms of the Chair are being updated as follows.

Effective Date: December 1, 2017

Purpose: The Arthur Labatt Chair in Nursing will lead, develop and maintain a program of research excellence focused on envisioning and addressing pressing individual, family, and community health care issues.

The income from the endowment fund will be used exclusively to support the Arthur Labatt Chair in Nursing. Such support may be directed towards salary and benefits of the incumbent, their research program, or some combination thereof.

The administration of the spending of resources will be the responsibility of the Dean of the Faculty of Health Sciences, in consultation with the Director of the School of Nursing.

Criteria: Funds available through the establishment of this prestigious Chair will enable the University to recruit a stellar researcher who will lead the creation of 'real-world' knowledge pertaining to transformation of health care and the health care system that translates into positive health outcomes worldwide. In so doing, the Chair will be instrumental in the training of respectful, ethical, and effective nursing leaders for the health care practices of tomorrow.

Appointments to the Chair will be conducted in accordance with the relevant policies and procedures of the University and will normally be for a five-year term, renewable.

Reporting: The University, through the Faculty of Health Sciences, will report to the donors regarding the activities of the Chair and will also provide an annual financial report regarding the endowment.

No Reputational Risk

Operating Budget Indicators for the Previous Fiscal Year

FOR INFORMATION

Review of Five Budgetary Indicators

Each spring, our annual Operating Budget contains references to some important budget indicators: the Operating Reserve, Operating Revenue, and Student Aid – along with preliminary estimates of those figures for the fiscal year just ending. Annually, in the fall, we report to the Property & Finance Committee the actual values for these indicators. Table 1 shows the final values for these indicators for 2016-17 – as well as historical data back to 1983-84 and some additional calculations.

(1) Carryforward Reserve

Each year, resources are allocated to the individual Faculties and Support Units, with no requirement that they be spent in the year in question. Unspent funds are carried-forward into the next budget and appear in the Carryforward Reserve, shown in column (1) of the Table. There is no Board target for the size of this reserve, which reflects a great many individual decisions in our decentralized budgetary environment. The Carryforward Reserve grew sharply from 1988 to 1992, at a time when real operating revenue was also growing. The reductions in real operating revenue during the 1994 to 1997 period resulted in a sharp fall in the Carryforward Reserve from 1996 to 2000, as units have spent the funds in the Reserve. Since 2001, as University revenues grew (due to enrolment growth and government investment), carryforwards have also seen steady increases. At April 30, 2017 the Carryforward Reserve had a balance of \$166.8 million. The decline in 2017 (to \$166.8 million from the 2016 level of \$204.8 million) is largely due to the transfer of the endowed chairs matching funds (about \$20 million – which was held in the operating budget) to our endowment portfolio.

(2) Operating Reserve

For the period 1984 to 1998, the Board recommended a target level of 1% of operating revenues for the Operating Reserve. As column (2) shows, from 1984 to 1996, this target was achieved once (in 1986), and from 1989 to 1996 the Reserve was in deficit position. In 1997, the Operating Reserve achieved the target of 1% after a \$9.3 million transfer from the investment reserve, and in 1998 the Operating Reserve exceeded the target by \$1.8 million. Since 1999 the Operating Reserve target level had been set at \$2.5 million. In January of 2016, the Board approved a new operating reserve target of \$7.5 million. At April 30, 2017 the Operating Reserve was \$67.7 million, \$60.2 million above the target level recommended by the Board and above the estimated Operating Reserve of \$64.2 million (as shown in the 2017-18 Budget). The 2017-18 University Budget shows expenditures forecast to exceed revenues next year, bringing the operating reserve forecast to \$61.5 million at the end of 2017-18.

(3) Student Aid

Student Aid continues to be of high priority to the University. As column (4) indicates, Student Aid grew by approximately 637% between April 1997 and April 2017, in part because of government-mandated transfers of tuition revenues to the student aid budget. During the past year, total funds available in the central University Budget for student aid increased by \$1.4 million reflecting increased support for graduate students and support from the University's private fundraising and endowments.

During these same years Total Operating Revenue (column 3) grew by 200%, thus indicating a growth in the proportion of the budget that is allocated to student aid.

(4) Real Operating Revenue excluding Student Aid

This measure, shown in column (7), is one indicator of the budgetary stress the University is experiencing. The years shown can be divided into a number of periods: from 1984 to 1993, Real Net Revenue grew by 24.2%, from 1993 to 1998 Real Net Revenue decreased by 9.7%, and from 1998 to 2008 it again increased by 55.8%. In 2009, Real Net Revenue decreased by 0.6%, due mainly to negative investment returns. From 2010 to 2017, Real Net Revenue increased by 20.6%.

(5) Real Operating Revenue excluding Student Aid per Student FTE

Column 10 provides Real Net Revenue per Student FTE and shows that the budgetary stress on the University is more evident than is concluded in the above indicator. During 1984 to 1993, Real Net Revenue per Student FTE increased by 18.1%, from 1993 to 1998 it decreased by 8% and then from 1998 to 2008 Real Net Revenue per Student FTE increased 20.4%. Since 2008, this indicator has increased steadily in small increments – with the overall increase between 2008 and 2017 amounting to 7.8%.

Table 1
Western University
Operating Reserve & Revenue History (Revised)

Change in Real Operating Revenue excluding Student Aid											
Year Ended	Carryforward Reserve (\$000)	Operating Reserve (\$000)	Total Operating Revenue (\$000)	Total Student Aid (\$000)	Net Operating Revenue (\$000)	C.P.I.	Real Net Revenue (\$000)	% Change	Student FTE's	Real Net Revenue Per FTE (\$)	% Change
	(1)	(2)	(3)	(4)	(5)=(3)-(4)	(6)	(7)=(5)/(6)	(8)	(9)	(10)=(7)/(9)	(11)
30-Apr-84	3,119	696	145,200	2,527	142,673	1.000	142,673	-	20,961	6,807	-
30-Apr-85	2,673	1,448	153,554	2,711	150,843	1.038	145,321	1.9%	20,918	6,947	2.1%
30-Apr-86	2,551	1,647	160,900	2,808	158,092	1.078	146,653	0.9%	20,787	7,055	1.6%
30-Apr-87	3,215	986	167,701	2,723	164,978	1.127	146,387	-0.2%	20,965	6,982	-1.0%
30-Apr-88	3,046	1,185	183,221	2,770	180,451	1.172	153,968	5.2%	21,426	7,186	2.9%
30-Apr-89	4,278	-502	197,237	3,003	194,234	1.225	158,558	3.0%	21,951	7,223	0.5%
30-Apr-90	6,878	-1,147	210,636	3,197	207,439	1.286	161,306	1.7%	22,326	7,225	0.0%
30-Apr-91	9,880	-3,207	225,572	3,664	221,908	1.367	162,332	0.6%	22,292	7,282	0.8%
30-Apr-92	10,712	-4,828	240,323	3,934	236,389	1.390	170,064	4.8%	22,814	7,454	2.4%
30-Apr-93	9,807	-4,104	254,616	3,915	250,701	1.415	177,174	4.2%	22,032	8,042	7.9%
30-Apr-94	11,581	-4,036	254,075	4,342	249,733	1.418	176,116	-0.6%	22,690	7,762	-3.5%
30-Apr-95	11,595	-3,831	250,027	5,946	244,081	1.453	167,984	-4.6%	22,505	7,464	-3.8%
30-Apr-96	11,255	-7,122	252,149	6,754	245,395	1.474	166,482	-0.9%	22,107	7,531	0.9%
30-Apr-97	9,325	2,533	247,540	8,926	238,614	1.499	159,182	-4.4%	21,264	7,486	-0.6%
30-Apr-98	3,315	4,297	253,267	11,489	241,778	1.511	160,012	0.5%	21,629	7,398	-1.2%
30-Apr-99	982	497	271,530	14,277	257,253	1.536	167,482	4.7%	21,754	7,699	4.1%
30-Apr-00	-1,579	-531	290,834	17,022	273,812	1.568	174,625	4.3%	22,626	7,718	0.2%
30-Apr-01	3,990	423	312,037	19,834	292,203	1.624	179,928	3.0%	23,350	7,706	-0.2%
30-Apr-02	13,000	7,152	337,817	22,464	315,353	1.652	190,892	6.1%	24,691	7,731	0.3%
30-Apr-03	24,449	7,294	352,543	26,529	326,014	1.701	191,660	0.4%	25,987	7,375	-4.6%
30-Apr-04	41,590	16,154	388,727	32,076	356,651	1.728	206,395	7.7%	27,029	7,636	3.5%
30-Apr-05	45,349	12,144	416,518	31,071	385,447	1.769	217,890	5.6%	27,464	7,934	3.9%
30-Apr-06	47,539	7,311	446,812	34,730	412,082	1.811	227,544	4.4%	27,879	8,162	2.9%
30-Apr-07	45,482	8,953	484,055	45,316	438,739	1.851	237,028	4.2%	28,164	8,416	3.1%
30-Apr-08	55,630	25,465	521,985	52,849	469,136	1.882	249,275	5.2%	27,981	8,909	5.9%
30-Apr-09	47,071	29,007	522,747	54,363	468,384	1.890	247,822	-0.6%	28,197	8,789	-1.3%
30-Apr-10	70,992	31,420	555,479	57,148	498,331	1.924	259,008	4.5%	28,987	8,935	1.7%
30-Apr-11	93,830	33,014	585,915	58,753	527,162	1.987	265,305	2.4%	29,913	8,869	-0.7%
30-Apr-12	115,713	43,947	610,893	60,915	549,978	2.027	271,326	2.3%	30,679	8,844	-0.3%
30-Apr-13	152,223	46,744	633,962	60,341	573,621	2.035	281,878	3.9%	31,018	9,088	2.8%
30-Apr-14	189,205	41,386	661,262	60,164	601,098	2.080	288,989	2.5%	31,448	9,189	1.1%
30-Apr-15	184,082	39,534	683,916	60,313	623,603	2.100	296,954	2.8%	31,766	9,348	1.7%
30-Apr-16	204,827	53,141	706,491	64,320	642,171	2.136	300,642	1.2%	31,796	9,455	1.1%
30-Apr-17	166,765	67,655	743,458	65,780	677,678	2.170	312,294	3.9%	32,529	9,600	1.5%

Report of the Investment Committee

FOR INFORMATION

This report outlines the performance of the Operating and Endowment portfolio and the recent activities of the Investment Committee.

Performance

The performance of the portfolio for the past four years was as follows:

<u>Asset Class</u>	Annual June 2017	Annual June 2016	Annual June 2015	Annual June 2014	Annualized for Four years Ending June 2017
Equities:					
Canadian	13.18%	2.44%	3.30%	30.02%	11.71%
US	16.96	3.50	17.48	27.01	15.93
Non North American	27.30	-9.74	12.76	24.03	12.59
Private	19.23	12.36	25.86	33.18	22.41
Fixed Income	0.79	5.09	6.26	5.26	4.33
Real Estate	8.26	6.94	7.68	7.56	7.61
Absolute Return Strategies	8.81	9.18	8.92	8.00	8.73
Infrastructure	13.40	18.82	12.28	-	-
<i>Total Fund Return</i>	<u>14.10%</u>	<u>2.15%</u>	<u>10.25%</u>	<u>20.09%</u>	<u>11.46%</u>
<i>Policy Return</i>	<u>11.88%</u>	<u>1.00%</u>	<u>7.51%</u>	<u>18.11%</u>	<u>9.45%</u>

Returns in relation to the real rate of return objective

One of the Investment Committee's objectives is to earn a 4% real rate of return over the long term (i.e., to earn 4% over the rate of inflation, as measured by the Consumer Price Index). Inflation has averaged 1.5% per year for the four year period and 1.6% per year for the ten year period.

For the four years ending June 30, 2017, the annualized real rate of return was 10.0%. For ten years ending June 30, 2017, the annualized real rate of return for the portfolio was 5.0%.

Value added by Active Management

Another of the Investment Committee's objectives is to earn the return produced by the asset mix policy based on the returns of the market indices plus a premium to reflect the additional fees related to active management.

Over the four years ending June 30, 2017, the actual annualized return for the portfolio was 11.5% and the return generated by the market indices for the portfolio was 9.4% (the policy return). Over the ten years ending June 30, 2017, the actual annualized return for the portfolio was 6.6% and the return generated by the market indices for the portfolio was 5.6%. The objective was met for both of these periods.

Please refer to the attached pages for additional information related to total fund value added and the real returns for periods ending June 30, 2017.

The table below provides the classifications and market value of the assets held at June 30, 2017:

	Market	Target Asset Mix			Actual Asset
	Value	Minimum	Target	Maximum	Mix
Equities					
Canadian	249,815,086	15.0%	20.0%	25.0%	19.9%
US	252,925,710	15.0%	20.0%	25.0%	20.1%
EAFE	266,493,933	15.0%	20.0%	25.0%	21.2%
Private	64,023,327	0.0%	5.0%	10.0%	5.1%
Total Equities	833,258,056	60.0%	65.0%	70.0%	66.3%
Fixed Income					
Core Fixed Income	124,504,027	5.0%	10.0%	30.0%	9.9%
Commercial Mortgages	53,830,289	0.0%	5.0%	10.0%	4.3%
Total Fixed Income	178,334,316	10.0%	15.0%	35.0%	14.2%
Real Assets					
Real Estate	62,173,203	0.0%	5.0%	10.0%	4.9%
Infrastructure	128,317,908	5.0%	10.0%	15.0%	10.2%
Total Real Assets	190,491,111	5%	15%	20%	15.1%
Diversifiers					
Cash	12,167,790	0.0%	0.0%	10.0%	1.0%
Absolute Return Strategies, Market Neutral Strategies	29,790,111	0.0%	5.0%	10.0%	2.4%
Total Diversifiers	41,957,901	0%	5%	15%	3.4%
SSgA Dynamic Strategic Hedging	13,178,509				1.0%
Grand Total	1,257,219,893				100.0%

The following chart summarizes the total investments held at June 30, 2017:

Investment Portfolio	Value Invested
Short term	286.5
<i>Operating:</i>	
<i>Obligations</i>	307.9
<i>Surplus</i>	<u>293.2</u>
Total Operating	<u>601.1</u>
Endowed	656.1
Total Operating & Endowed Portfolio	1,257.2
Total Investments	1,543.7

Update on Investment Committee Activities

- The Committee:
 - Is continuing their review of smart beta strategies, assessing their merit and investigating how to best integrate them into Western Operating & Endowment Fund.
 - Is continuing to review various strategies that could be used to meet the 7.5% allocation to Private Equity.
 - Has committed additional funds to commercial mortgages (\$10.0 million) and infrastructure (10.0 million EUR) to reach their target weight, subject to legal due diligence.
 - Completed an investment in Hastings of \$5.0 million. This fulfills the initial commitment.
 - Made a decision to reduce the actual asset allocation of US equities by 5% to the minimum of the target range and move the funds to EAFE equities. Up to 3% of this move will take place during Q4 2017. This decision will be reassessed at the December 2017 Investment Committee meeting with an additional move possible in Q1 2018.
 - Discussed our needs related to an Investment Consultant and decided to proceed with an RFP for Investment Consulting services, focusing on alternative investments.

UWO Operating - Combined

Total Fund Real Returns – June 30, 2017

A Real Return of 10.0% over the last 4 years and well above the 4% Real Objective in all time periods

	1 Year	2 Years	3 Years	4 Years	5 Years	6 Years	7 Years	8 Years	9 Years	10 Years	20 Years
UWO Annualized Returns	14.1	8.0	8.7	11.5	12.0	10.1	10.8	10.5	7.7	6.6	7.2
CPI (Inflation)	1.1	1.3	1.2	1.5	1.4	1.4	1.7	1.6	1.4	1.6	1.8
Real Return	13.0	6.7	7.5	10.0	10.6	8.7	9.1	8.9	6.3	5.0	5.3
	Yr Ending 6/30/2017	Yr Ending 6/30/2016	Yr Ending 6/30/2015	Yr Ending 6/30/2014	Yr Ending 6/30/2013	Yr Ending 6/30/2012	Yr Ending 6/30/2011	Yr Ending 6/30/2010	Yr Ending 6/30/2009	Yr Ending 6/30/2008	
UWO Annual Returns	14.1	2.1	10.3	20.1	14.1	1.0	15.0	8.6	-12.4	-2.8	
CPI (Inflation)	1.1	1.5	1.0	2.4	1.2	1.5	3.1	1.0	-0.3	3.1	
Real Return	13.0	0.7	9.2	17.7	13.0	-0.5	11.9	7.7	-12.1	-5.9	

UWO Operating - Combined

Total Fund Value Added – June 30, 2017

Good value added from active management in all annualized time periods!

	1 Year Annualized	2 Years Annualized	3 Years Annualized	4 Years Annualized	5 Years Annualized	6 Years Annualized	7 Years Annualized	8 Years Annualized	9 Years Annualized	10 Years Annualized
UWO Annualized Return (1)	14.1	8.0	8.7	11.5	12.0	10.1	10.8	10.5	7.7	6.6
Policy Return (2)	11.9	6.3	6.7	9.4	10.1	8.4	9.4	9.3	6.6	5.6
Value Added	2.2	1.7	2.0	2.0	1.9	1.7	1.4	1.2	1.1	1.0

	Yr Ending 6/30/2017	Yr Ending 6/30/2016	Yr Ending 6/30/2015	Yr Ending 6/30/2014	Yr Ending 6/30/2013	Yr Ending 6/30/2012	Yr Ending 6/30/2011	Yr Ending 6/30/2010	Yr Ending 6/30/2009	Yr Ending 6/30/2008
UWO Annual Return (1)	14.1	2.1	10.3	20.1	14.1	1.0	15.0	8.6	-12.4	-2.8
Policy Return (2)	11.9	1.0	7.5	18.1	12.6	0.4	15.4	8.6	-12.8	-2.5
Value Added	2.2	1.1	2.7	2.0	1.5	0.6	-0.5	0.0	0.4	-0.2

Policy Mix as of June 30

S&P/TSX Composite	20%	20%	20%	20%	20%	20%	20%	20%	20%	20%
S&P 500	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%
S&P 500 Hedged Currency	6%	11%	11%	11%	11%	11%	11%	11%	11%	10%
Russell 3000	6%	0%	0%	0%	0%	0%	0%	0%	0%	0%
S&P 400 Hedged Currency	4%	4%	4%	4%	4%	4%	4%	4%	4%	5%
MSCI EAFE	10%	10%	10%	10%	10%	10%	10%	10%	10%	10%
MSCI EAFE Hedged Currency	10%	10%	10%	10%	10%	10%	10%	10%	10%	10%
Total Equity	60%	60%	60%	60%	60%	60%	60%	60%	60%	60%
FTSE TMX Bond Universe (3)	18%	18%	18%	20%	25%	25%	30%	40%	40%	40%
MSCI All Country World (ACWI)	5%	5%	5%	5%	5%	5%	5%	0%	0%	0%
90-Day LIBOR	3%	3%	3%	10%	5%	5%	5%	0%	0%	0%
Infrastructure (CPI + 5)	10%	10%	10%	0%	0%	0%	0%	0%	0%	0%
Real Estate (4)	5%	5%	5%	5%	5%	5%	0%	0%	0%	0%

Notes:

(1) All returns are before deducting investment managers' fees.

(2) The UWO Policy Return is the return that would have been earned (before investment managers' fees) by investing the portfolio in index funds in proportion to the target or policy asset mix.

(3) Currently allocation is 10% FTSE TMX Bond Universe, 5% using a combination of (60% FTSE TMX ST / 40% FTSE TMX MT), and 2.5% FTSE TMX ST Bond Index.

Includes hedge fund and private equity prior to July 2010

(4) Current 5% allocation consists of 2.5% REALpac / IPD Canada - All Property Index and 2.5% REALpac / IPD Canada - All Industrials Index

Report on Western's Underwater Endowments

FOR INFORMATION

The University's policy with respect to the management of endowments permits a temporary draw down of endowment capital outlined in policy number 2.11, as follows:

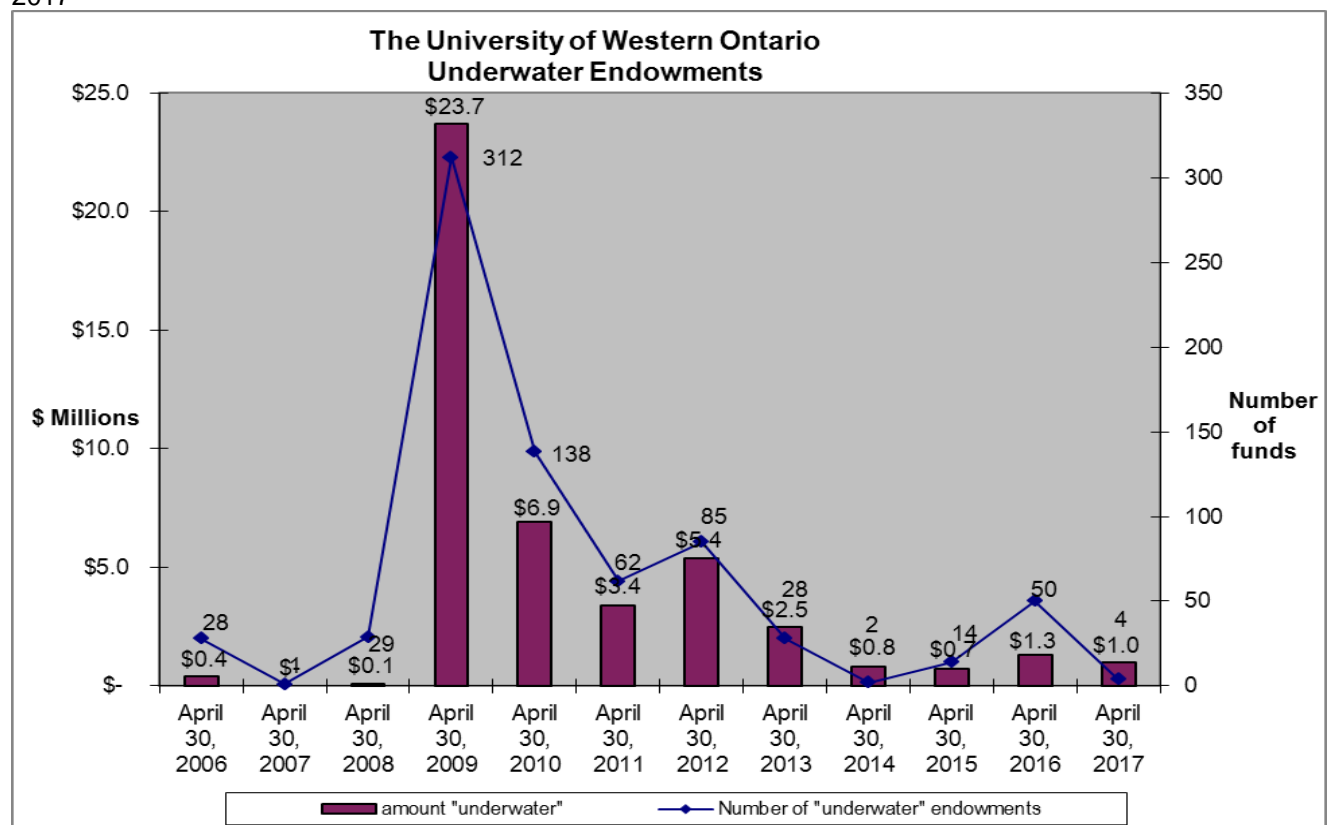
"In any particular year, should accumulated investment returns be insufficient to fund the amount made available for spending, endowment capital will be used, with the expectation that such amounts will be recovered from future investment returns."

An endowment becomes underwater when the current market value of the fund is less than the original donated amount.

Capital markets experienced positive returns during fiscal year 2017, although the range of returns was fairly wide depending on the asset class and geographies. Despite the occurrence of a number of geopolitical events throughout the year (Brexit and the U.S. Presidential election to name two), equity markets around the world were pretty resilient and posted strong returns for one-year period ending on April 30, 2017. The net return for the Operating & Endowment portfolio for fiscal 2017 was 15.5%.

Western now administers 2,045 endowed accounts with a market value of \$678 million (\$578 million at April 2016). Of the 2,045 endowments, there are 4 that are underwater by a total of \$956,095 (.141% of the endowed market value). Two of those are part of the Schulich group of endowments and are underwater by \$939,747 (.139% of the endowed market value). These endowments are administered outside of Investment Payout Policy 2.11. The balance of \$16,348 is spread over 2 endowments and represents only .002% of the total endowment value.

The following chart shows the number and amount of underwater endowments for fiscal years 2006 – 2017



Fiscal 2018 is off to a slow start with the Operating & Endowment portfolio earning 0.34% to August 31, 2017.

SCHOLARSHIPS/AWARDS/PRIZES

FOR INFORMATION

At its meeting on November 14 2017, the Property and Finance Committee approved on behalf of the Board of Governors, the following terms of reference for new scholarships, awards bursaries and prizes.

Ion Inculet PhD Award in Engineering (Engineering)

Awarded annually to a graduate student registered in a PhD program in Electrical and Computer Engineering, based on academic achievement (minimum 85% average over the last 2 years of engineering studies). The candidate will be a Canadian citizen. Preference will be given to a student specializing in Applied Electrostatics. A committee within the Graduate Department of Electrical and Computer Engineering will select the recipient. At least one member of the committee must hold membership in the School of Graduate and Postdoctoral Studies. This award was established by a generous gift from the Estate of Professor Ion Inculet (PhD '96).

Value: 1 at \$3,000

Effective Date: May 2017

Professor Ion Inculet was born in Romania and immigrated to Canada in 1947. He was educated as an Electrical Engineer. He retired, after 47 years, from Western's Faculty of Engineering, as Professor Emeritus. He was Director of the Applied Electrostatics Research Centre, and an internationally renowned Inventor (27 patents), Researcher, and Academician. Professor Inculet died in 2011 at the age of 90.

Sheffar Family Athletic Award (Any Undergraduate Program, Athletic Award)

Awarded annually to a full-time undergraduate student in any year in any degree program at Western, or its Affiliated University Colleges, who is making a contribution as a member of the Mustangs' Cross Country or Track Team. Preference will be given to a long-distance runner. Candidates must be in compliance with current OUA and CIS regulations. The Western Athletic Financial Awards Committee will select the recipient based on its evaluation of academic performance/potential (20%) and the written recommendation from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This award was established by a generous gift from Michael Sheffar (BA '89) and Tammy Sheffar (BEd '85, BA '84).

Value: 1 at \$2,000

Effective Date: 2017-2018 to 2021-2022 academic years inclusive

3M Company Canada Graduate Scholarship in Physical Therapy (Physical Therapy)

Awarded annually to a full-time graduate student in the Master of Clinical Science Program in the School of Physical Therapy in the Wound Healing program, based on academic achievement. The award will be adjudicated by the Master of Clinical Science Wound Healing Field Committee. At least one committee member must hold current membership in the School of Graduate and Postdoctoral Studies. This scholarship was made possible by a generous gift from 3M Company Canada, Critical and Chronic Care Solutions Division.

Value: 1 at \$2,500

Effective Date: May 2017

Arts and Humanities Students' Council Global Opportunities Award (Arts and Humanities)

Awarded to undergraduate or graduate students in the Faculty of Arts and Humanities, who are participating in a university sanctioned international exchange or study abroad program. This includes exchange programs; approved study abroad programs; curriculum based international field courses, international study, or international community service; and other University led international credit or non-credit learning experiences. Students participating in this program who are registered at the constituent University may be considered (with the exception of Ivey students). Students may apply for

this award in advance of being accepted into an international learning experience with receipt of the award contingent upon acceptance into the program. Preference will be given to full-time students who have not received funding from other sources. Students may only receive a Global Opportunities award once during their academic career at Western, and the international experience must be a minimum of one week in duration. Online applications are available on the Global Opportunities website, Western International. Transcripts are required for undergraduate students who studied elsewhere in their previous academic year. Applications are due on November 15th (for decisions in early January) and March 15th (for decisions in early May). Students will be selected based on a combination of academic achievement, as well as a statement outlining how this experience will contribute to their development as a global citizen, what they expect to learn through their international experience and how they will be an effective Ambassador for Western. This award was established by a generous gift from the Faculty of Arts and Humanities Students' Council.

Value: 10 at \$1,000*

Effective Date: 2017-2018 to 2020-2021 academic years inclusive

**5,000 from the Donor's gift will be matched by \$5,000 through the University's Global Opportunities Award Matching Program on an annual basis, for four years, or as long as the program exists.*

John and Catherine Kelly Bursary for Students who have been admitted to Canada by the Government of Canada as a Refugee, Students from Kosovo, or Students who are Indigenous to Canada – First Nations, Métis and Inuit (Any Graduate Program)

Awarded annually to a full-time graduate student, in any year of any Masters or Doctoral program, who has demonstrated financial need. Eligible students are those who have been admitted to Canada from any country as a refugee, and have current refugee status or are now permanent residents or Canadian citizens; or are international students from Kosovo; or are students who are Indigenous to Canada – First Nations, Métis and Inuit (status or non-status). Preference will be given to students who came to Canada as refugees as above and international students from Kosovo based on financial need; then to students who are Indigenous to Canada – First Nations, Métis and Inuit – based on financial need. Students must submit an application form by January 31, available from the School of Graduate and Postdoctoral Studies' Office, outlining their financial need and circumstances. The School of Graduate and Postdoctoral Studies will complete an assessment of the students' financial need and select the recipient. When First Nations, Métis and Inuit students are being considered for the bursary, a representative of Indigenous Services will be part of the selection process. This bursary was established through the generosity of Dr. John Kelly (MA '70, BA '68) and his wife Catherine Kelly (Althouse College of Education '69, BA '68).

Value: 1 at \$10,000 annually as funds permit

Effective Date: May 2018

Dr. John Kelly received his PhD in Sociology from York University in 1978. Dr. Kelly was employed by Statistics Canada from 1972 to 1981 and by the United Nations Economic Commission for Europe from 1981 to 2003. After retiring, he worked from 2008 to 2012 as a consultant for the European Commission and the Council of Europe in Kosovo, assisting the National Statistical Office of Kosovo to plan and carry out its 2011 population and housing census. Dr. Kelly and his wife Catherine are passionate about financially assisting the following three groups of students so that they can pursue graduate studies at the University: refugees beginning new lives in Canada; students from Kosovo; and students who are Indigenous to Canada – First Nations, Métis and Inuit.

REPORT OF THE GOVERNANCE & BY-LAWS COMMITTEE

Contents	Consent Agenda
Regulations governing Senate and Board Student Elections – Revision to Campaign Expenses	Yes
Board Election Schedule for Spring 2018	Yes

FOR INFORMATION

1. **Regulations governing Senate and Board Student Elections – Revision to Campaign Expenses**

The Governance & By-Laws Committee approved the following recommendation on behalf of the Board:

That Section C. Campaign Expenses be revised as follows:

3. Each candidate running for Board or Senate seats will have a spending limit of \$200.00.
Students running for both Senate and Board seats will have a spending limit of \$400.00.

The inclusion of a spending limit into the Regulations was requested by the students who ran for Board and/or Senate seats in 2016-17. The amended text of the Regulations is attached as **Annex 1**.

2. **Board Election Schedule for Spring 2018**

See **Annex 2**.

Regulations governing Senate and Board student elections

A. All-Candidates meeting

1. Prior to the start of the campaign period, candidates must attend, or send a proxy to, an All-Candidates meeting that will be held by the University Secretariat. Candidates will be informed of the date and location of the meeting at the time of nomination and the date and location will also be posted on the Secretariat's website: www.uwo.ca/univsec . Failure to attend or send a proxy to the meeting will result in automatic disqualification.

B. Campaign rules

1. Candidates shall campaign in accordance with the rules of fair play. Breaking the rules of fair play includes, but is not limited to, libel, slander, general sabotage of the campaigns of other candidates, misrepresentation of facts, and malicious or intentional breach of the applicable regulations.
2. Candidates are responsible for their campaigns and for any and all actions undertaken by individuals or groups assisting with their campaigns.
3. The start and end dates of the campaign period are as outlined in the election schedules posted on the University Secretariat's website. During the voting period only electronic campaigning activities are permitted.
4. All campaign activities and materials are subject to official university regulations and policies (including Codes of Conduct), as well as municipal, provincial and federal laws.
5. With respect to posters, signs, chalking, distribution of campaign materials, and canvassing, candidates are required to abide by the following:
 - (a) The Board of Governor's policy on Signage:
http://www.uwo.ca/univsec/pdf/policies_procedures/section1/mapp150.pdf
 - (b) Building regulations established by the Division of Facilities Management or heads of divisions occupying buildings;
 - (c) At the Affiliated University Colleges, any regulations established by the Colleges;
 - (d) Within residences, any regulations established by the Division of Housing and Ancillary Services.

It is the responsibility of candidates to familiarize themselves and their volunteers as to the various regulations in place across campus.

6. Physical campaign materials shall not be distributed to individuals without their consent.
7. Campaign materials shall not be left on vacant surfaces throughout the university (i.e. candidates may not leave campaign materials on desks in libraries, or tables in eateries etc.)
8. Campaign materials may not be distributed to any individual, residence, or apartment complex or place of business without consent expressed in writing from the building manager, business owner etc.
9. Campaign materials must be removed and disposed of within 48 hours following the close of balloting.
10. The content of campaign materials is restricted as follows:

- (a) Campaign material must not contain copyrighted material or images without the consent of the copyright holder.
- (b) Campaign materials must not depict images of alcohol or drug use.
- (c) Campaign material must not be in contravention of the Code of Student Conduct or the Policy on Harassment/Non-Discrimination.

C. Campaign expenses

- 1. Candidates for Senate or Board seats will receive a maximum of \$100.00 (one hundred dollars) reimbursement towards their campaign expenses upon submission of original receipts. Students running for both Senate and Board seats are eligible for a maximum \$200.00 (two hundred dollars) reimbursement. Eligible expenses include printing costs, supplies (paper, pen, markers, paint etc.) to create posters, flyers or poster boards.
- 2. Campaign expenses in the form of original receipts, along with a reimbursement form, must be submitted to the University Secretariat's office no later than 14 calendar days following the close of voting. The form is available from the University Secretariat.

- 3. **Each candidate running for Board or Senate seats will have a spending limit of \$200. Students running for both Senate and Board seats will have a spending limit of \$400.**

Irregularities and Violations

- 1. The University Secretary is the Chief Returning Officer (CRO) for Senate and Board elections.
- 2. If during the course of the election, it appears to any candidate or member of the student body that an irregularity has occurred, it must be reported to the CRO in writing as soon as possible, but no later than seven calendar days following the close of voting.
- 3. The CRO will investigate and consider any complaints that arise regarding the electoral process. All complaints will be dealt with in a timely manner.
- 4. The decision of the CRO in dealing with a complaint is final, unless the CRO determines that a candidate is disqualified as a result of the complaint.
- 5. In the event of a decision to disqualify a candidate, the candidate has a right of appeal to an ad hoc committee established as follows:
 - (a) For Senate elections, the ad hoc committee will consist of the Chair and Vice-Chair of the Senate Operations Agenda Committee and a student Senator.
 - (b) For Board elections, the ad hoc committee will consist of the Chair and Vice-Chair of the Board's Governance and By-laws Committee and a student Board member.
- 6. An appeal of the CRO's decision to disqualify must be submitted to the University Secretary within 48 hours of the decision being communicated to the candidate
- 7. The decision of the ad hoc committee hearing the appeal shall be final.

Last updated: November 2017



Board of Governors Election Schedule - Spring 2018

Elections will be held for **one faculty representative, one representative of the graduate student constituency and one representative of the undergraduate student constituency**, as outlined below. The term for the faculty representative will be for four years (July 1, 2018 - June 30, 2022), and for the undergraduate and graduate student representatives will be for two years (July 1, 2018 - June 30, 2020).

Faculty Constituency	
Nominations Open	9:00 a.m., Monday, January 8
Nominations Close	4:00 p.m., Thursday, January 25
Posting of Nominations [Room 4101 STVH, WWW]	Friday, January 26
Balloting on the Web - Polls Open	8:00 a.m., Tuesday, February 6
Balloting on the Web - Polls Close	8:00 p.m., Wednesday, February 7
Posting of Results [Room 4101 STVH, WWW]	Thursday, February 8

Graduate and Undergraduate Student Constituencies	
Nominations Open	9:00 a.m., Monday, January 8
Nominations Close	4:00 p.m., Thursday, January 25
Mandatory All Candidates' Advisory Meeting [USC Council Chambers – arranged by USC]	5:00 p.m., Friday, January 26
Posting of Nominations [Room 4101 STVH, WWW]	Monday, January 29
Campaign Period begins	12:01 a.m. Monday, January 29
Campaign Period ends	11:59 p.m., Sunday, February 11
Balloting on the Web - Polls Open	8:00 a.m., Monday, February 12
Balloting on the Web - Polls Close	8:00 p.m., Tuesday, February 13
Posting of Results [Room 4101 STVH, WWW]	Wednesday, February 14

REPORT OF THE SENIOR POLICY & OPERATIONS COMMITTEE

Contents	Consent Agenda
Board Vacancies Update	Yes

FOR INFORMATION

1. **Board Vacancies – Update**

The City of London renewed Mr. Michael Lerner's appointment for a second term ending November 30, 2021.

ITEMS REFERRED BY SENATE

Contents	Consent Agenda
Articulation Agreements between Lambton College and Huron University College for qualified graduates of the Business Accounting Diploma Program and the Business Diploma Program	Yes
Introduction of a 2+2 Program between Central South University, China, and Western University	Yes
Report of the Academic Colleague	Yes
Announcements	Yes

FOR APPROVAL

1. **Articulation Agreements between Lambton College and Huron University College for qualified graduates of the Business Accounting Diploma Program and the Business Diploma Program**

Recommended: That the Board of Governors approve the Articulation Agreement between Lambton College and Huron University College regarding the transfer of credit for students in the Business Accounting Program, effective September 1, 2018, as shown in [Annex 1](#),

and,

That the Board of Governors approve the Articulation Agreement between Lambton College and Huron University College regarding the transfer of credit for students in the Business Program, effective September 1, 2018, as shown in [Annex 2](#).

Background:

For the past several years, Huron has awarded transfer credit to graduates of the Business Accounting Program and Business Program at Lambton College. These agreements formalize this practice and support the initiatives of the province to develop formal articulation agreements recognized by the Ontario Council for Articulations and Transfer (ONCAT).

The Registrar's Office at each institution in consultation with the appropriate authorities in the respective programs will be responsible for the administrative processes associated with these Articulation Agreements.

The agreements will be effective September 1, 2018 and shall continue in force unless terminated by either party.

Senate, at its meeting on October 20, 2017 approved the above noted articulation agreements.

2. **Introduction of a 2+2 Program between Central South University, China, and Western University**

Recommended: That effective September 1, 2017, the introduction of a 2+2 Honors Bachelor of Science (Western), and Bachelor in Computer Science (CSU) program for students registered at Central South University, China and Western University as outlined in the Agreement included as [Annex 3](#) be approved (pending Senate approval on November 17).

Background:

The previous Articulation Agreement has expired and the parties have agreed to continue the program under a new Agreement. The main features of the program are unchanged, but the agreement has been updated to reflect current requirements at Western. Updates have also been made to the curriculum, course titles and course numbers as set out in [Annex 3](#). The continuation of this Program supports the initiatives of Western University to promote internationalization through academic studies. Senate will consider the above noted program at its November 17 meeting.

The Registrar's Office at each institution in consultation with the appropriate authorities in the respective programs will be responsible for the administrative processes associated with this Articulation Agreement.

This agreement will be effective September 1, 2017 and shall continue unless terminated by either party.

FOR INFORMATION

3. **Report of the Academic Colleague**

See [Annex 4](#).

4. **Announcements**

See [Annex 5](#).

An Articulation Agreement

Between:

HURON UNIVERSITY COLLEGE

(Hereinafter called "Huron")

and THE UNIVERSITY OF WESTERN ONTARIO

Of the First Part

And:

LAMBTON COLLEGE OF APPLIED ARTS & TECHNOLOGY

(Hereinafter called "Lambton")

Of the Second Part,

This articulation agreement establishes procedures for admission of qualified graduates of the Business Ontario College Diploma Program (BUSN) at Lambton into Year 3 of Management & Organizational Studies (Specialization in Organizational Studies, Policy & Ethics) at Huron University College at the University of Western Ontario (Huron) and the program, progression and graduation requirements for successful applicants.

OBJECTIVES OF THE AGREEMENT

1. To provide graduates from Lambton who satisfy the criteria described in this agreement the opportunity to apply for admission to the Bachelor of Management and Organizational Studies Program (BMOS) at Huron.
2. To develop clearly defined pathways for the movement of students between Huron and Lambton.
3. To attract top students to these programs at Huron, thus strengthening its credentials and academic reputation.

The Parties agree as follows:

TERMS OF THE AGREEMENT

1. The Recruitment & Admissions Office at Huron and the Dean of the School of Business at Lambton, in consultation with appropriate authorities in the respective programs will be responsible for the implementation of the Articulation Agreement.
2. Both Huron and Lambton agree to encourage qualified graduates of Lambton's two-year Business Ontario College Diploma program (BUSN) to participate by advising and informing them of the benefits of the articulation agreement.
3. Huron agrees to consider for admission to full-time study in Year 3 of the Bachelor of Management & Organizational Studies, students from Lambton who have complied with the following requirements:
 1. Submit an application to Huron through the Ontario Universities' Application Centre by May 1 of the year in which they are seeking admission, and provide Huron with academic transcripts by July 1 of that year;

2. Completion of the two-year Business Diploma program (BUSN) with a minimum overall average of “B+” or 3.3 GPA, as calculated by Western, based on all courses in the diploma program, and with no grade less than “C” or 2.0 GPA;
 3. Completion of the Business Diploma within the two years immediately prior to applying to the BMOS Program including the prescribed set of courses outlined in section 4; and
 4. Completion of MCV4U Calculus & Vectors or equivalent.
4. The required Lambton courses are listed below. Lambton students will receive a “block credit” for these courses equivalent to two years of full-time study (10.0 courses) in the Organizational Studies, Policy & Ethics Specialization module of the BMOS Program. This credit is not transferable to other Faculties, Programs or Campuses.
- One of: ANT 1003 & ANT 2003; or POL 1003 & POL 2003; or SOC 1003 & SOC 2003
 - One of: ACC 1004 Accounting Theory & Practice I (E); or ACC 1094 Accounting Concepts I (M) and ACC 2004 Accounting Theory & Practice II (E); or ACC 2014 Accounting Concepts II (M).
 - BUS 1234 Computer Applications for Business
 - BUS 1103 Global Business Principles
 - BUS 1043 Digital Tools
 - ECO 1123 Microeconomics
 - ECO 1113 Macroeconomics
 - ENG1244 Business English Skills I
 - ENG 2243 Business English Skills II
 - JSS 1001 Job Search and Success
 - LAW 1003 Business Law
 - MAN 1103 Human Resource Management
 - MAN 1163 Organizational Behaviour
 - MAN 1033 Operations Management
 - MKT 1014 Marketing Essentials
 - MKT 3213 Business Intelligence I
 - MKT 3353 Integrated Marketing Communication
 - MTH 1223 Business Mathematics I
 - MTH 2223 Business Mathematics II
 - PHL 1253 Ethical Leadership & Critical Decision Making
 - SDV 1001 College Orientation
 - STA 1103 Business Statistics
5. The list set out in section 4 may be amended from time to time with the agreement in writing of both parties. Each party must give the other reasonable notice of any anticipated changes to curriculum or course content for the courses set out in section 4. If Huron determines that “block credit” cannot be given due to course or curriculum changes that have been or will be instituted by either party, it may terminate this agreement. The effective date of the terminations shall be the earlier of three months after written notice of termination is given to Lambton, or the date upon which the changes are adopted by either of the parties.

6. The total number of students registered in the program pursuant to this agreement will be restricted normally to a maximum of 15 per year. The decision as to the number of students who will be registered in any academic year is solely that of Huron, will be reviewed annually, and is not subject to appeal by unsuccessful applicants. Huron will inform Lambton if any changes to the maximum are made each year.
7. Once admitted to Year Three of the BMOS Program, access to Huron's courses will be attained through modification of constraints for the courses involved. Students need to complete successfully the ten remaining courses in their program, maintaining a cumulative and graduating average of at least 65%. BMOS progression and degree requirements may be revised from time to time by Huron. Huron will provide Lambton with written notice of any revisions. Students who do not meet progression/graduation requirements for BMOS, but who do meet requirements for another program, may be eligible for transfer to another program at the discretion of the Dean. Students who transfer to another program will have the "block credit" that they received as part of the Articulation Agreement removed from their academic record. Credit from the Business Diploma will be assessed for individual credit on a course-by-course basis by the Admission's Office, as applicable, at that point in time.
8. To graduate from the BMOS Program, students must successfully complete 10.0 courses including the courses listed below. Huron will provide Lambton with written notice of any changes to these requirements.

Credit Weight	Huron Course Number
0.5	MOS 2242A/B
2.5 from:	MOS 3310A/B
	MOS 3320A/B
	MOS 3330A/B
	MOS 3362A/B
	MOS 3370A/B
	MOS 3371A/B
	MOS 3385A/B
	The former MOS 3372
0.5	MOS 4410A/B
0.5	MOS 3353F/G
1.0 from:	MOS 2275A/B
	Philosophy 2821F/G
	Philosophy 2822F/G
1.5 from:	Centre for Global Studies 2002F/G
	Centre for Global Studies 2004F/G
	Economics 2124A/B
	Economics 2125A/B
	Political Science 2246E
	Political Science 2257
	Political Science 2284F/G
	Religious Studies 3640A/B
1.0	Designated essay course 2000-level or above
2.5	Electives

PERIOD OF AGREEMENT

This articulation agreement is effective from the date below, and shall be in place for a period of three years. In addition to the termination rights given to Huron under “Terms of the Agreement” above, any party may terminate this agreement at any time upon three months’ written notice to the other party. Termination of this agreement shall not affect students who have been admitted to Huron prior to the termination date.

IN WITNESS WHEREOF the parties have executed this agreement under the hands of their duly authorized officers this _____ day of _____, .

LAMBTON COLLEGE

Judith Morris
President

Date

Yvonne Clarke
Dean, School of Business & Creative Design,
Liberal Studies & English

Date

HURON UNIVERSITY COLLEGE

Dr. Barry Craig
Principal

Date

Dr. Donna Kostopolous
Dean, Faculty of Arts and Social Science

Date

THE UNIVERSITY OF WESTERN ONTARIO

Dr. John Doerksen
Vice-Provost (Academic Programs)

Date

Kathleen Kwan
University Secretary

Date

An Articulation Agreement

Between:

HURON UNIVERSITY COLLEGE

(Hereinafter called "Huron")

and THE UNIVERSITY OF WESTERN ONTARIO

Of the First Part

And:

LAMBTON COLLEGE OF APPLIED ARTS & TECHNOLOGY

(Hereinafter called "Lambton")

Of the Second Part,

This articulation agreement establishes procedures for admission of qualified graduates of the Business - Accounting (ACTG) Ontario College Diploma program at Lambton into Year 3 of Management & Organizational Studies (Specialization in Accounting) at Huron University College at the University of Western Ontario (Huron) and the program, progression and graduation requirements for successful applicants.

OBJECTIVES OF THE AGREEMENT

1. To provide graduates from Lambton who satisfy the criteria described in this agreement the opportunity to apply for admission to the Bachelor of Management and Organizational Studies Program (BMOS) at Huron.
2. To develop clearly defined pathways for the movement of students between Huron and Lambton.
3. To attract top students to these programs at Huron, thus strengthening its credentials and academic reputation.

The Parties agree as follows:

TERMS OF THE AGREEMENT

1. The Recruitment & Admissions Office at Huron and the Dean of the School of Business at Lambton, in consultation with appropriate authorities in the respective programs will be responsible for the implementation of the Articulation Agreement.
2. Both Huron and Lambton agree to encourage qualified graduates of Lambton's two-year Business-Accounting Ontario College Diploma program (ACTG) to participate by advising and informing them of the benefits of the articulation agreement.
3. Huron agrees to consider for admission to full-time study in Year 3 of the Bachelor of Management & Organizational Studies, students from Lambton who have complied with the following requirements:
 1. Submit an application to Huron through the Ontario Universities' Application Centre by May 1 of the year in which they are seeking admission, and provide Huron with academic transcripts by July 1 of that year;

2. Completion of the two-year Business-Accounting Diploma program (ACTG) with a minimum overall average of “B+” or 3.3 GPA, as calculated by Western, based on all courses in the diploma program, and with no grade less than “C” or 2.0 GPA;
 3. Completion of the Business-Accounting Diploma within the two years immediately prior to applying to the BMOS Program including the prescribed set of courses outlined in section 4; and
 4. Completion of MCV4U Calculus & Vectors or equivalent.
4. The required Lambton courses are listed below. Lambton students will receive a “block credit” for these courses equivalent to two years of full-time study (10.0 courses) in the Accounting Specialization module of the BMOS Program This credit is not transferable to other Faculties, Programs or Campuses.
- ACC 1004 Accounting Theory & Practice I
 - ACC 2004 Accounting Theory & Practice II
 - ACC 3403 Computerized Accounting Systems I
 - ACC 3804 Intermediate Accounting II
 - ACC 3204 Management Accounting I
 - ACC 4804 Intermediate Accounting II
 - ACC 4204 Management Accounting II
 - ECO 1123 Microeconomics
 - ECO 1113 Macroeconomics
 - ENG1244 Business English Skills I
 - ENG 2243 Business English Skills II
 - FIN 1203 Financial Management I
 - FIN 2203 Financial Management II
 - FIN 1114 Taxation I
 - LAW 1003 Business Law
 - MAN 1163 Organizational Behaviour
 - MTH 1223 Business Mathematics I
 - MTH 2223 Business Mathematics II
 - STA 1103 Business Statistics
 - SDV 1001 College Orientation
 - JSS 1001 Job Search & Success
5. The list set out in section 4 may be amended from time to time with the agreement in writing of both parties. Each party must give the other reasonable notice of any anticipated changes to curriculum or course content for the courses set out in section 4. If Huron determines that “block credit” cannot be given due to course or curriculum changes that have been or will be instituted by either party, it may terminate this agreement. The effective date of the terminations shall be the earlier of three months after written notice of termination is given to Lambton, or the date upon which the changes are adopted by either of the parties.

6. The total number of students registered in the program pursuant to this agreement will be restricted normally to a maximum of 15 per year. The decision as to the number of students who will be registered in any academic year is solely that of Huron, will be reviewed annually, and is not subject to appeal by unsuccessful applicants. Huron will inform Lambton if any changes to the maximum are made each year.
7. Once admitted to Year Three of the BMOS Program, access to Huron's courses will be attained through modification of constraints for the courses involved. Students need to complete successfully the ten remaining courses in their program, maintaining a cumulative and graduating average of at least 65%. BMOS progression and degree requirements may be revised from time to time by Huron. Huron will provide Lambton with written notice of any revisions. Students who do not meet progression/graduation requirements for BMOS, but who do meet requirements for another program, may be eligible for transfer to another program at the discretion of the Dean. Students who transfer to another program will have the "block credit" that they received as part of the Articulation Agreement removed from their academic record. Credit from the Accounting Diploma will be assessed for individual credit on a course-by-course basis by the Admission's Office, as applicable, at that point in time.
8. To graduate from the BMOS Program, students must successfully complete 10.0 courses including the courses listed below. Huron will provide Lambton with written notice of any changes to these requirements.

10.0

Year III

0.5 Computer Science 1032a/b

1.0 Business 2257E

0.5 Management & Organizational Studies 3370a/b

0.5 Management & Organizational Studies 2155a/b or 2181 a/b

1.0 Management & Organizational Studies 3360a/b and 3310a/b

0.5 Management & Organizational Studies 2275a/b

0.5 2000+ level elective other than Economics 2222, Statistics 2035, Management & Organizational Studies 2242a/b, 3320a/b, 3330a/b, 3372, or 3398a/b

0.5 Category B (Arts & Humanities)

Year IV

0.5 Management & Organizational Studies 4471a/b

1.0 Management & Organizational Studies 3362a/b and 3401f/g

1.0 Management & Organizational Studies 4410a/b and 3361 a/b

1.0 Management & Organizational Studies 4465a/b and 4462a/b

0.5 Philosophy 2074 f/g or MOS 4477a/b

1.0 3000+ level elective other than Management & Organizational Studies 3320a/b, 3330a/b, 3375, 3398a/b or 4488a/b

PERIOD OF AGREEMENT

This articulation agreement is effective from the date below, and shall be in place for a period of three years. In addition to the termination rights given to Huron under “Terms of the Agreement” above, any party may terminate this agreement at any time upon three months’ written notice to the other party. Termination of this agreement shall not affect students who have been admitted to Huron prior to the termination date.

IN WITNESS WHEREOF the parties have executed this agreement under the hands of their duly authorized officers this _____ day of _____, .

LAMBTON COLLEGE

Judith Morris
President

Date

Yvonne Clarke
Dean, School of Business & Creative Design,
Liberal Studies & English

Date

HURON UNIVERSITY COLLEGE

Dr. Barry Craig
Principal

Date

Dr. Donna Kotsopoulos
Dean, Faculty of Arts and Social Science

Date

THE UNIVERSITY OF WESTERN ONTARIO

Dr. John Doerksen
Vice-Provost (Academic Programs)

Date

Kathleen Kwan
University Secretary

Date

DUAL DEGREE AGREEMENT

BETWEEN

**CENTRAL SOUTH UNIVERSITY
AND**

THE UNIVERSITY OF WESTERN ONTARIO

WHEREAS Central South University (“CSU”), Changsha, Hunan, Peoples’ Republic of China and The University of Western Ontario (“Western”), London, Ontario, Canada, wish to enter into an agreement whereby qualified CSU students registered in the Bachelor of Science, Computer Science major, offered by the Department of Computer Science and Technology, School of Information Science and Engineering (SISE) at CSU may be accepted into Year 3 of the Faculty of Science, Honors Specialization in Computer Science at Western and upon successful completion of the program, qualify for degrees at both Western and CSU, in accordance with the provisions set out herein;

THEREFORE, the Universities agree as follows:

1. THE PURPOSE OF THE AGREEMENT

The purpose of this agreement is:

- (a) to set out the requirements and procedures for admission of CSU students to Year 3 of the Honors Specialization in Computer Science at Western;
- (b) to set out the courses that will be accepted for transfer credit at Western and CSU;
- (c) to set out the progression and graduation requirements for CSU students enrolled at Western and;
- (d) to describe the nature of the relationship between Western and CSU and describe the obligations of both in relation to the transfer credit and the awarding of an undergraduate degree from each institution upon a student’s successful completion of the Honors Specialization in Computer Science.

2. ADMISSION

- (a) Western will consider for admission to Year 3 of the Honors Specialization in Computer Science those applicants who have been proposed by CSU and who satisfy the following admission criteria:
 - i. In order to be eligible to apply for admission to Western, CSU students must have successfully completed the first and second year CSU courses set out in Appendix A;

- ii. CSU students must have an overall average of at least 70%, as calculated under Western's grading system. No individual grade may be less than 60%, as calculated under Western's grading system, over CSU Year 1 and Year 2 courses set out in Appendix A;
 - iii. CSU students must also meet one of the following English language proficiency requirements: Test of English as a Foreign Language (TOEFL) Internet Based Score of at least 83 with no section with a score of less than 20; or an International English Language Test Score (IELTS) of 6.5 with no section less than 6.0; or equivalent as defined on the Undergraduate Admissions prospective student website, subject to final approval by the Admissions Office at Western.
- (b) The final decision as to who will be admitted and the number of students who will be admitted each year in the Honors Specialization in Computer Science is made solely by Western.
 - (c) CSU agrees that it will provide Western with official academic transcripts (both mid-term and final) of all prospective students.
 - (d) The parties acknowledge that CSU and Western make their own admission decisions relating to applicants at their respective institutions.
3. TRANSFER CREDIT
- (a) Western agrees that it will grant transfer credit for the first and second year CSU courses set out in Appendix A to CSU students who are admitted to the third year of the Honors Specialization in Computer Science at Western pursuant to this Agreement.
 - (b) CSU agrees that it will grant transfer credit to CSU students who have successfully completed the third and fourth year courses at Western set out in Appendix A.
 - (c) CSU students will receive "block credit" for the first and second year courses set out in Appendix A equivalent to the first two years of full-time study in the Honors Specialization in Computer Science module. This credit is not transferrable to other Faculties or Programs at Western.
 - (d) The lists of CSU and Western courses set out in Appendix A may be amended during the term of this Agreement with the agreement in writing of the representatives of both parties. CSU must give Western reasonable notice of any anticipated changes to curriculum or course content for the first and second year courses set out in Appendix A. If Western determines that "block credit" cannot be given due to the course or curriculum changes, it may terminate this Agreement in accordance with section 8 below.

4. PROGRESSION REQUIREMENTS

- (a) CSU students studying at Western will be required to study on a full-time basis and are subject to all rules and regulations applicable to Western students, including academic progression requirements. The undergraduate program will normally be completed in two years of full-time study.
- (b) Once admitted to Year 3 of the Honors Specialization in Computer Science, access to Western courses will be attained through modification of constraints for the courses involved (with express permission of the departments concerned). Students need to complete successfully the remaining courses in their program, maintaining a cumulative average of 65% and modular average of 70% with no individual modular course below 60%. Should this average not be maintained, the CSU student may still be eligible for a General Specialization in Computer Science degree from Western. Students who successfully complete a General Specialization in Computer Science degree will be awarded a degree from CSU if the Western courses satisfy CSU degree requirements. Progression and degree requirements may be revised from time to time by Western. Western will provide CSU with written notice of any revisions.
- (c) Students performing unsatisfactorily in the Honors Specialization in Computer Science at Western will be counselled to consider whether they wish to continue in this program or change to another program at Western or return to CSU. Western will inform CSU about the progress of all the students. If the students return to CSU, CSU would determine how the credits already obtained at Western may be transferred back to CSU.
- (d) Students who request transfer to another program at Western will have the “block credit” that they received as part of the Articulation Agreement removed from their academic record. Credit from CSU Year 1 and Year 2 will be assessed for individual credit on a course-by-course basis by the Admissions Office in conjunction with the faculty, as applicable, at that point in time.

5. DEGREES

Upon successful completion of Year 3 and Year 4 of the Honors Specialization in Computer Science, students shall be awarded a Bachelor of Science, Honors Specialization in Computer Science degree at Western and a Bachelor of Computer Science at CSU.

6. INFORMATION EXCHANGE AND PROTECTION OF PERSONAL INFORMATION

- (a) CSU and Western will designate individuals responsible for communicating anticipated changes to the program and/or courses set out in Appendix A.
- (b) CSU and Western agree to take all reasonable measures to ensure that student personal information provided to it by the other institution is used only for the purposes for which it is provided and is protected against loss, unauthorized access, use, modification or disclosure.
- (c) CSU and Western agree that they will not transfer personal information to a third part without the prior written consent of the individual to whom the information relates.

7. GENERAL

- (a) CSU students will pay CSU fees to CSU in years 1 and 2 and Western international student fees to Western in years 3 and 4.
- (b) CSU students must purchase health coverage under the University Health Insurance Plan (UHIP) while they are studying in Canada.
- (c) CSU students who are accepted for registration at Western under this Agreement must demonstrate to Citizenship and Immigration Canada that they are eligible to study in Canada and that they have sufficient financial resources to complete two years of study at Western.
- (d) CSU students enrolled at Western will be assigned a faculty mentor to advise and assist them in becoming oriented to studying at Western.

8. PERIOD OF AGREEMENT

- (a) This Agreement is in effect for five years from the date of signing. Discussions about the renewal of the agreement shall begin at least 12 months prior to the end date.

- (b) Notwithstanding the above, this Agreement may be terminated by either party with at least six months' written notice. Notwithstanding such notice, students enrolled in Years 3 or 4 as of the date of the notice of termination shall be permitted to complete the Honors Specialization in Computer Science program and receive degrees from both institutions under the terms of this Agreement.

IN WITNESS WHEREOF the Universities have signed this Agreement under the hands of their authorized signing officers.

CENTRAL SOUTH UNIVERSITY

Prof. Zhou Kechao
Vice President (International)

Date: _____

Prof. Zou Bei
Dean, School of Information Science
and Engineering

Date: _____

THE UNIVERSITY OF WESTERN ONTARIO

Janice Deakin
Provost and Vice President (Academic)

Date: _____

Kathleen Kwan
University Secretary

Date: _____

Dr. Pauline Barmby
Dean, Faculty of Science

Date: _____

APPENDIX A
To Agreement between
The University of Western Ontario (Western)
and
Central South University (CSU)

Requirements – Area of Concentration: Computer Science

CSU required first and second year courses:

2012 CSU Course Schedule: Year I (5.0 Transfer Credits)

First Year Subject at CSU	CSU Credit Weight
Advanced Mathematics A(I) (130701X1)	5.0
Advanced Mathematics A(II) (130701X2)	5.0
Introductory Course for Freshmen (090001T1)	1.0
The Fundamental of Computers and Programming Language (090496X1)	2.5
Fundamental of Computer Programming Practice (090497X1)	1.0
Linear Algebra A (130703X1)	2.0
Object-Oriented Programming(C++) (090498X1)	3.0
Practice of Advanced Computer Programming(C++) (090499X1)	2.0
Moral Education and Foundation of Law (21020021)	3.0

2012 CSU Course Schedule: Year II (5.0 Transfer Credit)

Second Year Subject at CSU	CSU Credit Weight
Practice of Advanced Computer Programming(C++) (090499X1)	2.0
Probability and Statistics A (130704X1)	3.5
Discrete Mathematics (090401X1)	3.0
Data Structure (090402X1)	3.5

Introduction of Specialty (090403X1)	1.0
Cognitive Practice (090406X1)	2.0
Computer Network (090502Z1)	3.0
Principles of Computer and Assembly Language (090407Z1)	4.0
Principle of Database (090408X1)	3.0
Practice of Principles of Computer Composition and Assemble Language (0904011X1)	2.0
Note: In year 1 and/or 2 at CSU students must also complete Public Elective (PE) courses equivalent to 2.0 Western credits to meet Western's breadth requirements. Physics or other science courses (non-Computer Science, non-Math credits ¹) may also count toward this requirement. Western will determine whether the completed courses meet its breadth requirements.	8.0

(1) Note: Math courses include Applied Mathematics, Mathematics, Statistics and Actuarial Science.

2016 CSU Course Schedule: Year I (5.0 Transfer Credits)

First Year Subject at CSU	CSU Credit weight
C programming (090200T10)	4.0
Advanced Mathematics A1(I) (130701X10)	5.5
Introduction of computer science for New Student (090202T10)	1.0
Advanced Mathematics A (II) (130701X20)	5.5
Object-Oriented Programming (C++) (090203Z10)	3.0
Linear Algebra (130711X10)	2.0
Moral Education and Foundation of law (210200Z1)	3.0

2016 CSU Course Schedule: Year II (5.0 Transfer Credits)

First Year Subject at CSU	CSU Credit weight
Principle of Database (090212Z10)	3.0
Discrete Mathematics (090204X10)	3.0
Probability and Statistics (130712X10)	3.5
Data Structure (090205X10)	3.5
Practice of Programming (C++ & Data Structures) (090206X11)	2.0
Java Language and System Design (090207Z10)	3.0
Principles of Computer and Assembly Language (090222Z10)	4.0
Analysis and Design of Algorithms (090210Z10)	3.0
Computer Network (090211Z10)	3.0
Course Exercise in Operating System (090214Z11)	2.0
Application programming I (Network+Java) (090215Z11)	2.0
Practice of Principles of Computer Composition and Assembly Language (090223Z11)	2.0
Note: In year 1 and/or 2 at CSU students must also complete Public Elective (PE) courses equivalent to 2.0 Western credits to meet Western's breadth requirements. Physics or other science courses (non-Computer Science, non-Math ¹⁾) may also count toward this requirement. Western will determine whether the completed courses meet its breadth requirements.	8.0

(1) Note: Math courses include Applied Mathematics, Mathematics, Statistics and Actuarial Science.

The following courses, **worth 10.0 course credits** must be successfully completed at Western in Years 3 and 4:

Normally Taken in Year III (5 credits) ¹	
Year III Required Courses:	Western Credit Weight
Computer Science (CS) 3305A/B: Operating Systems	0.5
Computer Science (CS) 3307A/B: Object-Oriented Design and Analysis	0.5
Computer Science (CS) 3331A/B : Foundations of Computer Science	0.5
Computer Science (CS) 3340A/B : Analysis of Algorithms I	0.5
Computer Science (CS) 3342A/B : Organization of Programming Languages	0.5
Computer Science (CS) 3350A/B : Computer Architecture	0.5
Computer Science elective courses at the 3000-level or above (see list below)	1.0
Non-Computer Science, non-Math course ² at the 2000-level or above	0.5
Category A or B course at the 2000-level or above	0.5

Normally Taken in Year IV (5 credits) ¹	
Year IV Required Courses	Western Credit Weight
0.5 course <i>from</i> : Writing 2101F/G, Writing 2111F/G, Writing 2125F/G, Writing 2131F/G	0.5
Computer Science 4490Z	0.5
Computer Science elective courses at the 4000-level (see list below)	1.0
Computer Science elective courses at the 3000-level or above (see list below), one of which can be Math 2156A/B	2.0
Non-Computer Science, non-Math course ² at the 2000-level or above	0.5
Category A or B course (whichever category not taken in year III) at the 2000-level or above	0.5

Comments:

- (2) The order these required courses are taken in is up to the student (subject to course prerequisites being satisfied).
- (3) Note: Math courses include Applied Mathematics, Mathematics, Statistics and Actuarial Science.

Computer Science (CS) Course Options	
Year III Elective Options	Western Credit Weight
CS 3101A/B : Theory and Practise of High Performance Computing	0.5
CS 3120A/B: Databases for Informatics and Analytics	0.5
CS 3121 A/B: Artificial Intelligence for Informatics and Analytics	0.5
CS 3122A/B: Communications and Networking for Informatics and Analytics	0.5
CS 3319A/B: Databases I	0.5
CS 3325A/B: Law in Computer Science	0.5
CS 3346A/B: Artificial Intelligence I	0.5
CS 3357A/B: Computer Networks I	0.5
CS3375A/B: Data Visualization	0.5
CS 3377A/B: Software Project Management	0.5
CS 3388A/B: Computer Graphics I	0.5
CS 3377: Design for Interactive Multimedia Learning	0.5
CS 3320: Numerical Computing I	0.5
CS 3333, CS 3334, CS 3335, or CS 3336: Selected Topics	0.5 (each)
Year IV Elective Courses	Western Credit Weight
CS 4402A/B: Distributed and Parallel Systems	0.5
CS 4411A/B: Databases II	0.5
CS 4412A/B: Data Mining and its Applications	0.5
CS 4413A/B: Cryptography and Security	0.5
CS4414A/B: Introduction to Data Science	0.5
CS 4424A/B: Foundations of Computer Algebra	0.5
CS4432A/B: Foundations of Computer Science II	0.5
CS 4433A/B, CS 4434A/B, CS 4435A/B, 4436A/B, 4437A/B, or 4438 A/B: Selected Topics	0.5 (each)
CS 4442A/B: Artificial Intelligence II	0.5
CS 4444A/B: Semantics of Programming Languages	0.5
CS 4445A/B: Analysis of Algorithms II	0.5
CS 4447A/B: Compiler Theory	0.5
CS 4457A/B: Computer Networks II	0.5
CS 4460A/B: Bioinformatics Thesis	0.5
CS 4461A/B: Bioinformatics Tools and Applications	0.5
CS 4462A/B: DNA Computing	0.5
CS 4470YA/B: Software Maintenance and Configuration Management	0.5
CS 4471A/B: Software Design and Architecture	0.5
CS 4472A/B: Specification, Testing and Quality Assurance	0.5
CS 4473A/B: Requirements Analysis	0.5
CS 4474A/B: Human-Computer Interaction	0.5
CS 4481A/B: Image Compression	0.5
CS 4483A/B: Game Design	0.5
CS 4487A/B: Algorithms for Image Analysis	0.5
CS 4488A/B: Computer Graphics	0.5
CS 4491A/B/Y: Practicum in Computer Science	0.5
CS 4492A/B/Y: Practicum in Game Development	0.5
Math 2156B: Discrete Structures 2	0.5

Report to Senate of the Academic Colleague, Council of Ontario Universities

Erika Chamberlain, November 2017

FOR INFORMATION

The Academic Colleagues met in Toronto on 18-19 October 2017, when there was also a meeting of the full Council. The following items may be of interest to Senators.

Strategic Mandate Agreements: most universities have now signed SMA2, and the agreements will ultimately need to be posted to university websites. One of the most substantive aspects of this round of agreements is graduate allocations; some of the places have been redistributed because there were universities that did not meet their graduate enrolment targets from SMA1.

Boosting STEM Graduates: the provincial government has announced its intention to increase the amount of postsecondary graduates in the STEM disciplines by 25% over the next five years (from 40,000 to 50,000). This includes a partnership with the Vector Institute to increase growth in professional applied masters' graduates in the field of artificial intelligence. The government has announced an investment of \$30 million for these purposes.

Career Kick-Start Strategy: the government announced a "Career Ready Fund" in early September, with Stream One focused on experiential learning. It is an "allocation" rather than a competition; institutions can apply for their allocation in line with a broad set of eligible expenditures. Among other things, eligible learning experiences must be counted for either academic credit or credential completion, or otherwise be formally recognized and verified by the institution. Future Streams will focus on partnerships with business and transitioning recent graduates to the workplace.

Action on Student Mental Health: Ontario students and representatives from colleges and universities met with cabinet ministers, MPPs, and members of the mental health community to discuss plans for a comprehensive and integrated new approach to student mental health. Recommendations include plans to teach resiliency, counselling, and early warning systems. The full report, "In It Together: Taking Action on Student Mental Health" is available on the COU website.

ANNOUNCEMENTS

FOR INFORMATION

The Office of Faculty Relations provided the following list of academic administrative post(s) approved on behalf of the Board of Governors during the months of October and November 2017.

Name	Department/School	Faculty	Admin Post	Effective Date	End Date
Ashraf El Damatty	CEE	Engineering	Chair	January 1-2018	June 30-19
Jane Rylett		Schulich	Associate Dean, Animal Research Facilities	August 1, 2017	July 31, 2022
Marisa Tippet	Education Library		Acting Director	September 1, 2017	August 31, 2018