

BOARD OF GOVERNORS MEETING

**1:00 p.m., Thursday, April 24, 2014
Room 4155 Stevenson Hall**

1. Adoption of Agenda - Open Session
2. [Report of the President](#) (Amit Chakma)
3. Unanimous Consent Agenda - [Appendix I](#)
 - Includes Open Session Minutes of the Meeting of January 30, 2014
4. Business Arising from the Minutes
5. Reports of Committees:
 - Property & Finance Committee - [Appendix II](#) (Matthew Wilson)
 - Audit Committee - [Appendix III](#) (Jim Knowles)
 - Fund Raising and Donor Relations Committee - [Appendix IV](#) (Jeremy Adams)
 - Senior Operations Committee – [Appendix V](#) (Chirag Shah)
6. Items Referred by Senate - [Appendix VI](#) (Amit Chakma)
7. Questions from Members
8. Other Business
9. Adjournment to Confidential Session

<i>Meetings of the Board beginning at 1:00 p.m. will normally end by 4:30 p.m. unless extended by a majority vote of those present.</i>
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MINUTES OF THE MEETING OF THE BOARD OF GOVERNORS

APRIL 24, 2014

The meeting was held at 1:00 p.m. in Room 4155 Stevenson Hall.

PRESENT: Mr. C. Shah, Chair
 Ms. I. Birrell, Secretary

Mr. J. Adams
Dr. S. Armstrong
Dr. C. Beynon
Mr. J. Bitove
Dr. J. Capone
Dr. A. Chakma
Ms. K. Cole
Dr. R. Darnell
Dr. J. Deakin
Mr. M. Dietrich

Mr. J. English
Ms. L. Gainey
Ms. S. Grindrod
Mr. H. Hassan
Mr. J. Knowles
Ms. G. Kulczycki
Mr. D. Lemieux
Mr. A. Syed
Mr. M. Wilson

By Invitation: R. Chelladurai, J. Carson, L. Logan, A. Weedon

BG.14-21 REPORT OF THE PRESIDENT

The President's Report distributed with the agenda included the following topics: new support for university research in the Federal budget, Strategic Mandate Agreements update, leadership update, and the President's activities since the January 30, 2014 Board meeting. In addition, members were updated on recent government funding announcements in support of student entrepreneurship activities and the status of the Strategic Mandate Agreements given the Provincial election call.

BG.14-22 UNANIMOUS CONSENT AGENDA [Appendix I]

It was moved by J. Knowles, seconded by L. Gainey,

That the 21 items listed in Appendix I, Unanimous Consent Agenda, be approved or received for information by the Board of Governors by unanimous consent.

CARRIED

BG.14-23 Minutes of the Previous Meeting

The open session minutes of the meeting of January 30, 2014, were approved as circulated.

REPORT OF THE PROPERTY & FINANCE COMMITTEE [APPENDIX II]

BG.14-24 **2014-15 University Operating & Capital Budget**

It was moved by M. Wilson, seconded by M. Dietrich,

That the Board of Governors approve the 2014-15 University Operating and Capital Budgets, and the proposed Program Specific Fees and Other Supplemental Fees for 2014-15.

J. Deakin provided an overview of the proposed operating and capital budgets. Overhead slides used to highlight her presentation are attached as [Appendix 1](#).

In the ensuing discussion, the following matters were raised:

- A member acknowledged the presence of representatives of the Society of Graduate Students as observers at the meeting and their interest in voicing concerns about graduate students' financial difficulties. While he was in favour of the budget as presented, he urged the administration and the graduate students to work collaboratively to find solutions to the concerns being raised.
- It was noted that there had been comment made at Senate about discrepancies between the numbers in the operating budget and the annual financial statements. L. Logan explained that the two documents are prepared on different accounting bases. The financial statements include all sources of income including endowments, research revenue, etc., are prepared on an accrual basis and are backward looking reflecting actual revenue and expenditures. The operating budget is prepared on a cash basis, and is forward looking. J. Deakin added that the budget reflects funds received from government and tuition upon which the university depends to pay for key expenditures. It is a "dollars in/dollars out" document to deliver the university's education programs.
- In answer to a comment that \$2 million for e-learning seemed a very small amount given the size of the overall budget, J. Deakin noted that while the government is pressing for system-wide e-learning solutions, e-learning is expensive to do well. The budget is dealing with only one year and it is important to match demand with expertise; on a 12-month basis we would not be able to effectively deploy more than is being allocated.
- A member asked for an explanation of the Academic Priorities Fund. J. Deakin explained that each Faculty, in the preparation of its budget makes requests for support from the fund in an ordinal ranking for things like incremental faculty appointments related to the Faculty's strategic plan. The Provost's Office can then deploy additional resources in those areas.

The question was called and CARRIED

BG.14-25 **Student Fee-Funded Units, Ancillaries and Academic Support Units**

It was moved by M. Wilson, seconded by A. Syed,

That the Board of Governors approve the 2014-15 budgets for Student Fee Funded Units, Ancillaries, and Academic Support Units summarized in the report entitled "Student Fee Funded Units, Ancillaries, Academic Support Units, and Associated Companies".

CARRIED

BG.14-26 **Student Organization Fee Proposals for 2014 - 2015**

It was moved by M. Wilson, seconded by D. Lemieux,

That the organization fees for the University Students' Council for 2014-15 shown in Table 2 (full-time undergraduates) and Table 3 (part-time undergraduates) be approved, as requested by the USC.

That the 2014-15 organization fees for the Society of Graduate Students shown in table 2 (full-time graduate students – three terms) and Table 3 (part-time graduate students), be approved with the proviso that the fee proposals in 2015 include a long-term, sustainable, balanced budget plan.

That the organization fee for the Honors Business Administration Association for 2014-15 shown in Table 2, note (c) be approved, as requested by the HBAA.

That the organization fee for the Master of Business Administration Association for 2014-15 shown in Table 2, note (b) be approved, as requested by the MBAA.

[Note: The Tables referenced in the motion above are in the report entitled "Student Fee Funded Units, Ancillaries, Academic Support Units, and Associated Companies" (Appendix II, Annex 3).]

In response to a question, G. Kulczycki explained that the proviso in the recommendation for the SOGS fee related to a decision by the organization to expand their travel bursary program despite being in a budgetary deficit position. M. Wilson added that SOGS was funding the increases to the program using one-time money and the Property & Finance Committee had concerns about sustainability.

The question was called and CARRIED.

BG.14-27 **Annual Report and Recommendations of the Student Services Committee**

It was moved by M. Wilson, seconded by L. Gainey,

That the ancillary fees collected by the University be those detailed in Appendix II, Annex 4, as recommended by the Student Services Committee.

CARRIED

BG.14-28 **Amendment to Special Resolution No. 4 – Investments**

It was moved by M. Wilson, seconded by J. Knowles,

That Special Resolution No. 4 – Investments be revised, effective April 24, 2014, by the addition of the following new paragraph:

In the case of donated securities, where donors transfer securities to an approved brokerage account in the name of The University of Western Ontario in accordance with Gift Acceptance Policy (2.1) and Gift Valuation Policy (2.28), any one of the following will have authority to issue sell instructions related to those donated securities held in the approved brokerage accounts.

Financial Officer, Finance & Budget (External)
Finance & Budget Analyst (External)
Executive Director, Advancement

CARRIED

BG.14-29 **Information Items Reported by the Property & Finance Committee**

The Report of the Property & Finance Committee, detailed in Appendix II, contained the following items that were received for information by unanimous consent.

- ING Direct Chair in Finance – Name Change to Tangerine Chair in Finance
- Leenders Purchasing Management Association Chair – Name Change to Leenders Supply Chain Management Association Chair
- Dr. Robert Zhong Chair in Translational Transplant Research
- Investment Committee Membership
- Quarterly Financial Report (Operating Budgets)
- Investment Committee Report
- Standard and Poors – Credit Rating
- Revenues, Expenditures & Tuition by Faculty
- Annual Report on Trademark Licensees Doing Business with the University Bookstore
- New and Revised Scholarships and Awards

REPORT OF THE AUDIT COMMITTEE [APPENDIX III]

BG.14-30 **Information Item Reported by the Audit Committee**

The Report of the Audit Committee, detailed in Appendix III, contained the following item that was received for information by unanimous consent:

- 2013 Annual Report – Campus Community Police Service

REPORT OF THE FUND RAISING AND DONOR RELATIONS COMMITTEE [APPENDIX IV]

BG.14-31 **Information Items reported by the Fund Raising and Donor Relations Committee**

The Report of the Fund Raising and Donor Relations Committee, detailed in Appendix IV, contained the following items that were received for information by unanimous consent:

- Western Fund Allocation
- Quarterly Report on Fundraising

REPORT OF THE SENIOR OPERATIONS COMMITTEE [APPENDIX V]

BG.14-32 **Conflict of Interest Declaration Changes**

C. Shah reported that, currently, Board members are asked to complete a conflict of interest form (detailed in Appendix V, Annex 1) when they first join the Board and only asked to file a new form if anything changes during the course of their membership. Best practice in governance accountability has been evolving and many institutions, including most Ontario universities, are now asking their members to complete a form annually. The conflict of interest policy guidelines issued by the Association of Governing Boards recommends that members be asked at least

annually to identify any potential, ongoing conflicts of interest. This practice will be adopted and a question with respect to identification of potential conflicts of interest will be added to each Board agenda.

ITEMS REFERRED BY SENATE [APPENDIX VI]

BG.14-33

Report of the Vice-President (Research)

Dr. Capone, Vice-President (Research), provided an overview of his Annual Report distributed with the agenda (Appendix VI, Annex 1). Overhead slides used to highlight his presentation are attached as [Appendix 2](#).

Discussion included the following issues/questions:

- With respect to progress on the endowed chairs program, Dr. Capone noted that this was a long-term goal and somewhat dependent on opportunities coming available. He was in discussion with a number of organizations and individuals with ideas, such as medical convergence initiatives, that it is hoped will resonate with potential donors. Dr. Deakin added that 14 are complete and additional money has been put into the matching fund to support more. A number of proposals with respect to that fund are well advanced and others have been identified as “eligible.” The endowed chairs program is an important part of the fundraising campaign, the university’s long-term research strategy, and the capacity-building strategy around faculty.
- In answer to a question about income from clinical trials, Dr. Capone noted that clinical trial money was really a flow-through and did not help build institutional capacity.
- With respect to rankings, Dr. Capone acknowledged that there was a long way to go to reach the aspirational targets that had been set. His report was, in a sense, a reality check. Reaching 5th place in Canada would require a cultural shift within the institution to bring all faculties on board – something that will take a lot of mobilization in a complex and diverse institution such as Western

BG.14-34

Information Items Referred by Senate

Appendix VI, Items Referred by Senate, contained the following items that were received for information by unanimous consent:

- 2014-15 University Operating and Capital Budgets
- Amendments to Appointment Procedures for Senior Academic and Administrative Officers of the University*
- Vice-Provost’s Report on Faculty Recruitment and Retention
- Report of the Academic Colleague
- Honorary Degree Recipients – Spring 2014
- Teaching Award Recipients – 2013-14
- Indigenous Postsecondary Education Council (IPEC) Terms of Reference

**[Secretary’s Note: Board approval of amendments to the Appointment Procedures was received via an e-mail vote prior to this meeting of the Board.]*

QUESTIONS FROM MEMBERS

BG.14-35 **Graduate Student Support and Enrolment**

A member noted her strong empathy with the position presented by the graduate students who had conducted their silent protest before and during the meeting. She was concerned that the Board was insulated from the realities faced by many graduate students in programs that took longer than four years to complete. The Board talked about increasing research excellence which necessitated enhanced graduate enrolment but did not talk about giving those students a living wage. In her view, financially-based, humane issues were appropriate for the Board to talk about.

The President remarked that Western directs more operating dollars to graduate student support than any other Canadian university. He agreed that in an ideal world it would be highly desirable to do more. However, relying on the central university is a model that no longer works. Funding for scholarships is a central pillar of the fundraising campaign and that will help, but changes need to be made to the way in which graduate students are funded. McGill University, which is very graduate studies intensive, spends half the money that Western spends on support because more of their students arrive with significant scholarship support and because the institution receives more research funding, which has a direct impact on the ability to support graduate students.

BG.14.36 **Falling Enrolment in Arts & Humanities**

A member noted that the Faculty of Arts & Humanities is the only faculty with two departments in the QS100 rankings, yet the Faculty's enrolment is falling. He asked whether there were any initiatives underway to counter this. Dr. Deakin remarked parenthetically that, in fact, Social Science also has two departments (Economics and Psychology) in the same ranking. She pointed out that the enrolment situation in Arts & Humanities at Western is not unique; it is a North American trajectory. The establishment of the School for Advanced Studies in the Arts & Humanities is one initiative that has been undertaken. She stressed, however, that the overall situation requires support from academic colleagues in articulating the value of arts and humanities programs, the skills students receive from completion of those degrees and how those skills will serve them in future careers. There is also need to identify new courses or programs that will spark interest and embrace new pedagogies that will attract top students.

The meeting adjourned to the *confidential* session.

C. Shah
Chair

I. Birrell
Secretary

2014-15 Operating and Capital Budgets

Board of Governors Meeting
April 24, 2014

Western 

External Context

- **Provincial Deficit and Debt** continue at very high levels
- **Grant Funding being reduced in “Small Chunks”**
 - Policy Levers, International Student related recoveries, B.Ed. Changes, Cuts in Student Aid Envelopes
- **Tuition Increases Constrained at 3%** (overall cap)
 - 10% of incremental revenue has to be used for Student Aid
- **Other Tuition-related Issues**
 - Program Tuition Threshold Changes
 - Other Tuition/Fee Related Changes
 - Billing Timelines, Deposits, Deferral Fees

Western 

Estimated Impact of the “Cuts in Small Chunks” (\$M) (Constituent University Only)

	Base Reduction: 2016-17 over 2011-12
Policy Levers	4.4
International Student Related Recoveries	2.3
B.Ed. Program Funding Reduction	1.8
Reductions to Student Aid Programs	3.9
Total	12.4

Western 

Estimated Impact of Other Tuition-related Proposals (\$M) (Constituent University Only)

	Base Revenue Loss
Flat Fee Threshold Change	1.0
Fee Collection Related Changes	1.2
Total	2.2

Total Revenue Reduction \$14.6M

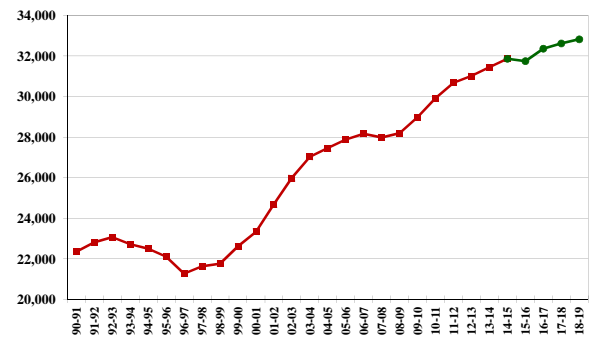
Western 

Western's Planning Parameters

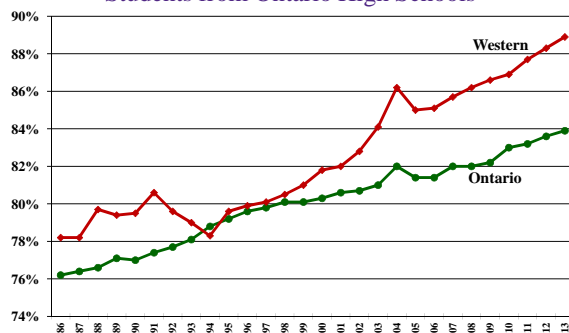
- Moving to Final Year of Four-Year Plan in 2014-15
- Enrolments
 - Undergraduate: First-Year Class of 4925 (575 Int'l)
 - Graduate: as per Faculty Plans
- Recommendations Guided by New Strategic Plan
- Tuition Rates
 - Domestic Rates at Max of 3% Overall
 - Undergrad Int'l: still moving towards Ontario-U15 levels



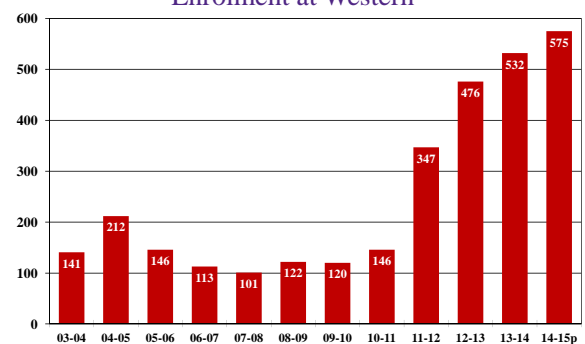
Western: Total Constituent FTE Enrolment (Full-Time plus Part-time FTEs)



Average Entering Grade of Full-Time First-Year Students from Ontario High Schools



Full-Time Year 1 Undergraduate International Enrolment at Western



Our Priorities and New Initiatives

Ongoing Priorities – Identified in Last Three Budgets

- Enhancing our Research/Scholarship Profile
- Graduate Expansion

New Priorities in this Budget

- Student Innovation and Entrepreneurship Initiatives
- Student Collaborative and Study Spaces
- E-Learning
- Strategic IT Initiatives
- Modernization of University College
- CFI Matching Funds
- Fundraising – Endowed Chairs Matching Program

Summary of the Operating Budget

Summary of the 2014-15 Operating Budget (Table 2)

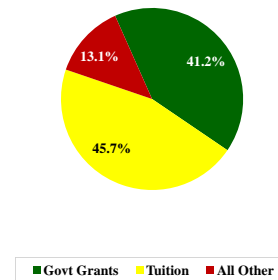
- Revenue Forecast = \$ 679.2M
– Increase of 3.1%
- Expenditure Plan = \$ 689.2M
– Increase of 3.9%
- Projected In-Year Deficit = \$ 10.1M
– Due to \$38M One-Time Allocation for Priority Initiatives
- Projected Operating Reserve = \$ 32.1M

Operating Revenues

2014-15 Operating Revenues (Table 3)

- Government Grants are Lower by \$5.0M
– Net of Reductions and Targetted Increases
- Tuition Revenues Increase by \$22.2M
– Due to Rate Increases and Enrolment Growth, including additional International Students
- All Other Revenues Increase by \$3.2M – over a number of Revenue Lines

Projected 2014-15 Operating Revenues (Total = \$679.2M)



Operating Expenditures

Recommendations for the Faculties (Table 4)

- Initial Budget Adjustment (IBA)
- Faculty Turnover Recovery – if applicable
- Academic Priorities Fund (APF) Allocations
- Ivey School's Funding Model
- CRC Allocations
- Revenue Sharing Mechanism for the Faculties
- Overall Base Increase of \$7.8M – to \$441.7M
- Faculties also receive \$8.2M in One-Time Funding – as shown in Table 8

Scholarships and Bursaries (Table 5)

- Total of \$29M in 2014-15
- Major Changes
 - Tuition Re-Investment increases by \$490K
 - Privately-Funded Awards Increase by \$100K
 - Govt’s “Aim for the Top” Declines by \$600K
- Faculties now responsible for Graduate Support
 - \$54M Projected for 2014-15



Recommendations for Support Units (Table 6)

- Initial Budget Adjustment (IBA)
- Support Unit Priorities Fund (SUPF) Allocations
 - Including Teaching Fellows Program
- Base Allocations to “Maintain Core Services”
- Operating Costs of New Facilities
 - To Facilities Management and Police
- Additional Support for our Fundraising Campaign
- Overall Increase of \$140K – to \$87.9M
- Support Units also receive \$4.3M in One-Time Funding (shown in Table 8)



University-wide Expenditures (Table 7)

- Total of over \$65M
- Major items:
 - Utilities (\$18.2M)
 - Library Acquisitions (\$13.9M)
 - MMI Transfer (\$13.3M)
 - IT Infrastructure (\$8.3M)

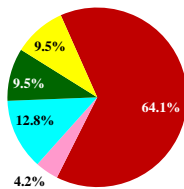


One-Time Allocations (Table 8)

- Total of nearly \$52M
- Major Priority Items
 - Endowed Chairs Matching (\$12M)
 - Strategic IT Initiatives (\$10M)
 - Student Collaborative and Study Spaces (\$8M)
 - CFI Matching Funds (\$3M)
 - E-Learning Initiatives (\$2M)
 - Modernization of University College (\$2M)
 - Student Innovation and Entrepreneurship Initiatives (\$1M)



2014-15 Operating Expenditures (Total = \$689.2M)



■ Faculties ■ Schol/Burs ■ Support Units ■ University-Wide Exp ■ All Other

The Capital Budget

Overview of the 2014-15 Capital Budget

- Supports Long-Range Space Plan (Table 14)
- Upcoming Major Projects
 - New Academic Building to House FIMS and Nursing
 - Delaware Hall Residence Renovations
 - Modernization of University College
 - Interdisciplinary Research Building
 - Secondary IT Data Centre at the AMP
 - University-wide Infrastructure Projects
 - Parking-related Projects

Overview of the 2014-15 Capital Budget

- Total Spending of \$103.6M (Table 15)
 - \$30.4M for New Construction (Table 18)
 - \$5.2M for Major Renovations (Table 18)
 - \$68.0M for All Other Expenditures
 - Utilities and Infrastructure
 - Modernization of Academic Facilities
 - General Maintenance and Modernization
 - Housing Renovations



Western's New Strategic Plan

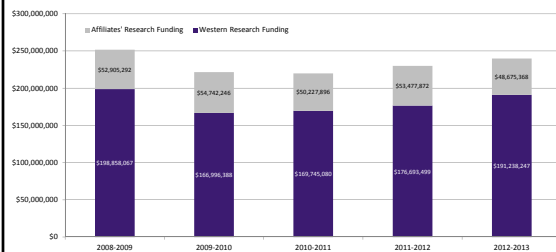
Achieving Excellence on the World Stage

- Invest selectively in interdisciplinary areas of strength
- Increase focus on research inputs
- Increase focus on research outcomes
- Increase national share of Federal Tri-Council funding
- Increase number of faculty members who have won national/international awards
- Recruit and retain senior faculty
- Address societal needs for Highly Qualified Personnel
- Partner with other institutions and communities
- Celebrate our colleagues' successes
- Bring the world to Western

Western Research

Total Research Funding

- 2012-13: **\$239,913,615**, up 4.2% over last year
 - Western: **\$191,238,247** (up 9%); Affiliates: **\$48,675,368**
 - Goal: 300M by 2017 = 6% yearly increase



Western Research

National Funding Rank

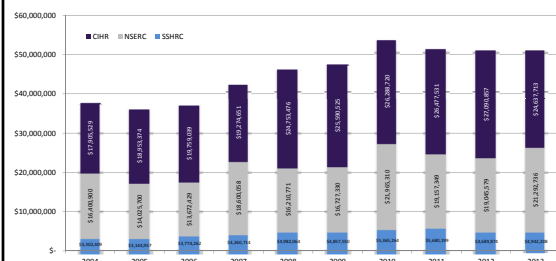
- 10th, four of the past five years
 - Goal: 2nd provincially (6th nationally); requires 35% increase

	2008	2009	2010	2011	2012
Toronto	1	1	1	1	1 (\$1,038,390,000)
UBC	3	2	2	2	2 (\$595,154,000)
Montreal	4	4	3	4	3 (\$536,213,000)
McGill	<	<	<	<	4 (\$491,579,000)
Alberta	2	3	4	3	5 (\$452,156,000)
McMaster	6	6	6	6	6 (\$325,156,000)
Uaval	7	7	7	7	7 (\$302,783,000)
Ottawa	8	10	9	9	8 (\$302,341,000)
Calgary	9	8	8	8	9 (\$282,771,000)
Western	10	9	10	10	10 (\$241,696,000)
Queen's	12	11	11	13	12 (\$168,025,000)
Saskatchewan	11	13	12	11	13 (\$166,677,000)
Manitoba	13	12	13	12	15 (\$159,763,000)
Calhouse	16	16	16	17	16 (\$140,099,000)
Waterloo	15	14	15	15	17 (\$137,006,000)

Western Research

Tri-Council Funding

- Total Tri-Council Funding, 2012-13: **\$50,872,657**
 - Up 0.9% over 2011-12, 35.3% over 10 years



Western Research

Tri-Council Funding & %Share

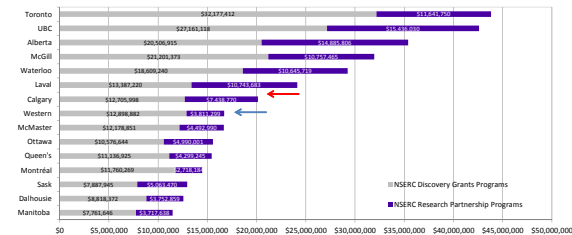
- Goal: 4.5% of national share
 - 0.5% increase in national share = 10 additional CRCs and \$1.5M in FFICR funding

	2011	2012	2013
CIHR	26.5 (3.7)	27 (3.9)	24.7 (3.6)
NSERC	19.2 (2.8)	19.1 (2.7)	21.3 (2.8)
SSHRC	5.7 (3.5)	4.7 (3.3)	4.9 (3.4)
Total	51.4 (3.35)	50.8 (3.3)	50.9 (3.25)
FFICR	9.3M	9.1M	9.0M
CRC	66	66	66

Western Research

U15 NSERC Awards

- 2012-13 research-based NSERC awards: **\$16,710,181**
 - Discovery: \$12,898,882; Partnership: \$3,811,299
 - Goal: 3rd in Ontario, 7th nationally



Western Research

ON-U6 NSERC Funding, '09-13

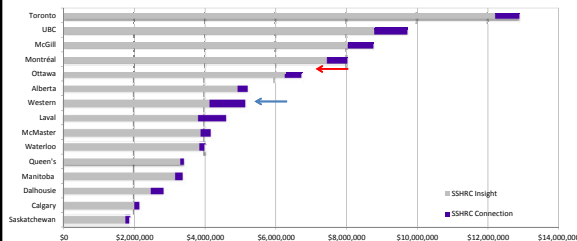
	Total Funding	Average Award (per year)
Toronto	329,918,626	42,002
Waterloo	208,413,439	41,525
Queen's	140,790,542	54,401
McMaster	132,251,875	45,541
Ottawa	114,972,976	42,269
Western	107,498,562	34,192

US average award = 45K; this is ~30% higher than Western's average award.

Western Research

SSHRC Awards

- Research-based SSHRC awards 2012-13: **\$4,942,208**
 - Insight: \$4,153,382 – 7th in U15
 - Connection: \$1,021,178 – 1st in U15
 - Goal: 2nd in Ontario/ 5th nationally: 35% increase in funding



Western Research

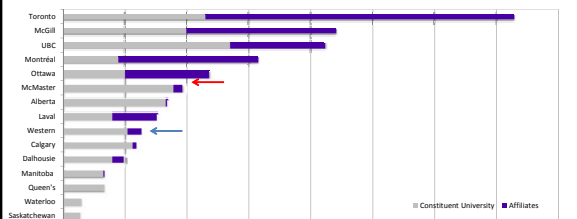
ON-U6 SSHRC Insight 2012

	Total Awarded	Success Rate	Total Applications	Average Award (total)
McMaster	943,000	7.8	51	235,000
Ottawa	3,400,000	23.7	76	189,000
Queen's	2,200,000	24.6	61	147,000
Toronto	9,900,000	29.4	214	157,000
Waterloo	1,900,000	14.8	61	211,000
Western	1,900,000	20.3	73	146,000
		20.1		180,000
York	4,800,000	27.7	94	184,000
Ryerson	1,900,000	25	32	237,000
		21.6		188,000

Western Research

CIHR Awards

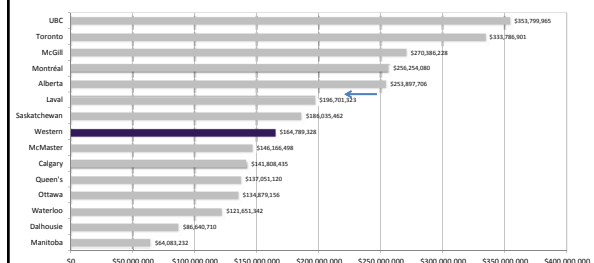
- Research-based CIHR awards 2012-13: **\$25,235,139**
 - Western: \$20,782,224 (7th), Affiliates: \$4,452,915 (7th)
 - Goal: 2nd in Ontario/4th nationally: 65% increase in funding



Western Research

U15 CFI Funding

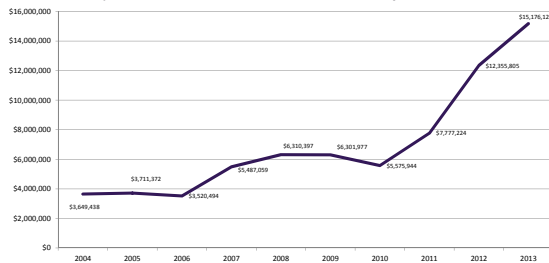
- CFI funding since inception: **\$164,789,328**
 - 2nd in Ontario, 8th nationally: Goal: 6th nationally (unlikely to change significantly)



Western Research

Funding from Corporations

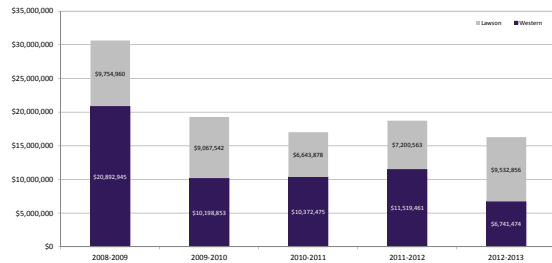
- Funding from corporations, 2012-13: **\$15,176,127**
- Up 22.8% from 2011-12, 315.8% over 10 years



Western Research

Contract Research

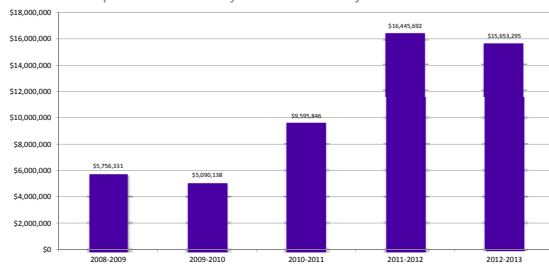
- Total 2012-13 Contract Research: **\$16,274,330**
- Western: \$6,741,474; Lawson: \$9,532,856



Western Research

Clinical Trials

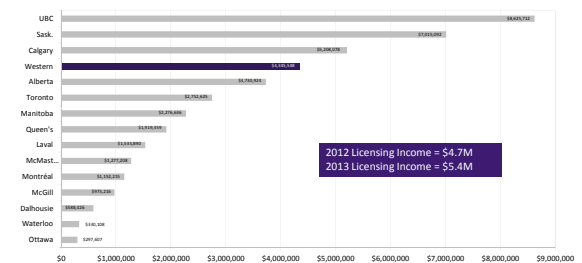
- Total 2012-13: **\$15,653,295** (Western Only)
- Up 172% over five years as economy has recovered



Western Research

U15 Technology Transfer

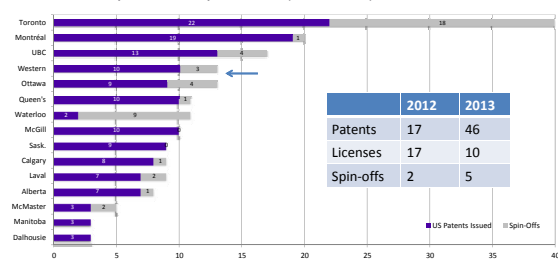
- 2011 licensing income: **\$4,345,548** (4th in U15)



Western Research

U15 Technology Transfer

- 2011 US Patents Issued: **10** (4th in U15)
- 2011 Spin-off companies: **3** (5th in U15)



Western Research

Grant Holders per Faculty*

- Institutional target: **75%**
- Requires external funding by an additional 92 faculty members

Faculty	Total # of Faculty	# who hold external funding	# to 50% target	# to 65% target
A&H	133	46 (34.6%)	21	41
Arts	77	35 (45.5%)	4	15
Education	36	17 (47.2%)	1	6
Engineering	88	88 (100%)	0	0
Health Sciences	97	73 (75.3%)	0	0
HMIS	36	14 (38.8%)	4	9
Law	29	11 (37.8%)	5	8
Schulich	168	146 (86.9%)	0	0
Music	36	2 (5.6%)	16	21
Science	174	166 (95.4%)	0	0
Social Sciences	200	115 (57.5%)	0	15
TOTAL	1074	713 (64%)	71%	77%

*Tenure, tenure-track; any external funding

Western Research

2013 Scimago Rankings

- Measure of research output and quality (number of papers and citations)
- Rank 7th overall in output (180th, globally)
 - Normalized impact (% citations over mean) ranks 11th in U15; High Quality % ranks 10th

University	Country Rank	World Rank	Norm Impact	Rank
Toronto	1	9	1.82	2
UBC	2	35	1.77	3
McGill	3	67	1.64	4
Alberta	4	69	1.45	8
Calgary	5	138	1.55	6
McMaster	6	145	1.98	1
Western	7	180	1.36	11
Waterloo	8	186	1.41	10
Montréal	9	209	1.56	5
Ottawa	10	217	1.54	7
Laval	12	305	1.44	9
Queen's	13	319	1.45	8
Manitoba	14	338	1.34	12
Dalhousie	15	351	1.41	10
Saskatchewan	16	384	1.13	13

Western Research

Publications & Impact, 2008-11

- Based on Leiden Rankings
- U15 Ranking (All sciences, including social sciences & humanities)

	Western	McMaster	Queens	Toronto
Total Publications	6 (108) *	7 (121)	12 (235)	1 (2)
Mean Citation Score	12 (316)	4 (143)	10 (285)	1(74)
Proportion top 10%	14 (329)**	5 (210)	11 (293)	1(88)
Collaboration (inter-institutional)	15	2	14	
Collaboration with industry	14	3	10	
International Collaboration	14	4	11	

*Numbers in brackets represent world rankings (of 500 universities)

**The proportion of the publications of a university that, compared with other publications in the same field and in the same year, belong to the top 10% most-frequently cited

Western Research

Strategic Goals

Invest selectively in interdisciplinary areas of strength

- Major new initiatives:
 - Western Clusters of Research Excellence
 - Western Research Chairs
- Multi-million-dollar investment to further develop strategic areas of research excellence to achieve and sustain global prominence and impact, and to attract established, leading researchers
- The first Cluster of Excellence, *Cognitive Neuroscience*, has been established, and the first WRC recruited
- A call for proposals for additional Clusters has been issued, and new Clusters will be identified over the next few months

Western Research

Strategic Goals

Increase national share from each Federal Tri-Council Agency

- Re-purposed internal funding programs for better alignment, flexibility and focus with institutional strategic priorities and outcome objectives
- Eligibility directly tied to success in acquiring Tri-Council funding (and/or from other major, peer-reviewed agencies)
- Overall objective of increasing research funding, intensity, relative success rates and total number of eligible faculty members holding peer-reviewed funds and multiple grants

Western Research

Recent CIHR Results

- Highlights:
 - Highest number of applications of recent competitions
 - Received one of only six national 'large category' grants (\$4M)
 - Successful CIHR grant in Arts & Humanities

Open Competition	Mar-12	Sep-12	Mar-13	Sep-13
Total Funding	\$8.6M	\$7.2M	\$12.3M	\$14.5M
Success Rate	16.80%	12.20%	24%	23%
Nat. Success Rate	17.50%	17.50%	17.80%	15.70%
National Share	3.40%	2.90%	5.00%	5.80%
National Rank	9 th	10 th	6 th	6 th

Western Research

SSHRC Initiatives

- Faculty Research Development Fund (FRDF):
 - New fund established to assist some faculties in promoting SSHRC-related research success and build research capacity
- SSHRC Partnership Program:
 - \$35K/year is provided to each successful Western-led SSHRC partnership grant
 - New Partnership grant funded this year
 - 4 LOIs submitted

SSHRC Insight	Total Funding	# of Grants	% Success rate (vs nat.)	% of nat. funding
2012/13	1,900,000	12	20/21.1	2.2
2013/14	2,600,000 (+38%)	15	26/23	3.0 (estimate)

Western Research

NSERC Initiatives

- **Goal:** Increase success on CRD grants and IRCs, and increase value of Discovery grants
 - 2 IRCs awarded this year, 3 applications pending
 - Goal: #1 in Ontario for IRCs, #3 for CRDs (*most recent)

Institution	CRD	IRC
Waterloo	70	10
Toronto	53	7
McMaster	27	6
Ottawa	26	2
Western	18(26)*	5(7)*

NSERC Discovery	Total Funding	Success Rate (vs nat.)	% national
2012/13	2,733,000	57.7/58.5 (n=82)	4.03
2013/14	2,708,000	68.5% (n=85)*	n/a

Western Research

Strategic Goals

Recruit/retain senior faculty in support of research excellence

- **WRC Program:** External senior recruits through WCRE
 - First WRC recruited: full professor, CIHR-funded (\$2M)
- **CRC Tier 1 Program:** Recruit senior investigators as available
- **Strategic Senior Hires:** Help faculties attract senior investigators
 - 2 new full professors in Schulich, 1 in Engineering, with multi-million \$ in funding
- **Research Chairs:** Help faculties identify and secure IRCs and endowed chairs

Western Research

Strategic Goals

Address societal needs for HQP

- New incentive programs to attract the best graduate students/postdoctoral fellows
 - \$20K research grant to attract Banting Postdoctoral Fellows
 - \$10K research grant to attract Vanier/Trillium/Trudeau graduate students

	2011	2012	2013
Applications	39	82	61
Awards	12	13	15

- \$10K/year for successful, Western-led CREATE grants (currently, 6 programs based at Western; several applications pending)

Western Research

Strategic Goals

Address societal needs for HQP (cont.)

- MITACS funding:
 - Anticipated funding (2012-13): \$2,736,367 (2nd in Canada)
 - 113 Accelerate segments (\$1,631,667)
 - 15 Elevate Fellowships: \$892,500
 - 7 Globalink international interns: \$105,000
 - 268 Step grants: \$107,200
- New campus-linked student accelerator program (proposal recently funded)

Western Research

Strategic Goals

Increase number of national/international faculty awards

- 15 Western Fellows of the Royal Society; Ontario university average is 56
 - **Action:** hired two new staff members to increase applications and success rates for prestigious honours and awards
- Completed the following nominations (partial list) this year:
 - 19 RSC fellow nominations
 - Nominations for Brockhouse and Herzberg awards
 - Five nominations to the Order of Ontario
 - Two Killam Prize nominations

Western Research

Strategic Goals

Partner with other institutions and communities

- London Medical Innovation and Commercialization Network
 - City-wide partnership to develop an integrated platform for medical research, innovation, and commercialization
 - \$45M proposal to FedDev Ontario for Phase 1 submitted
- New partnerships with Fraunhofer institutes around medical imaging, and wind research
- Campus Accelerator for student entrepreneurship with Fanshawe College

Western Research

Strategic Goals

Partner with other institutions and communities (cont.)

- Expansion of WORLDdiscoveries Asia to serve as gateway for multiple Ontario institutions into China (5 Ontario Universities have signed-on so far)
- FedDev proposal with University of Toronto, McMaster and Waterloo for student entrepreneurship
- IBM-SOSCIP renewal of FedDev funding
- Institutional partner in two pending CERC programs
- Major industrial partnerships developing around big data, harsh environments, advanced manufacturing, smart campus

Western  Research

Emerging Opportunities

- 2014 CFI competition: Western's cap: \$29M
- ORF-RI and RE
- FedDev Ontario
- NCE application short-listed (\$25M)
- Development and expansion of Western Discovery Park and Advanced Manufacturing Park
- Further Development of the Medical Convergence and Commercialization Network
- New federal and provincial infrastructure programs

Western  Research



REPORT OF THE PRESIDENT

To: Board of Governors

From: Amit Chakma

Date: April 24, 2014

Re: President's Report to the Board

I'm pleased to provide the following update on important developments and achievements since the last meeting of the Board on January 30, 2014.

Federal budget includes new support for university research: On February 11, the federal government released its 2014 budget, which included a \$1.5-billion commitment over 10 years to increase Canada's global competitiveness through innovative university research. Central to this plan was the creation of a "Canada First Research Excellence Fund" which will see \$50 million flow to Canadian universities in 2015-16, growing to \$100 million in 2016-17, \$150 million in 2017-18, and reaching annual support of \$200 million in 2018-19 and beyond. While details on how this funding will be allocated remain to be determined, it is a good-news story for universities seeking to attract and retain top academic talent, stimulate the rate of ground-breaking discoveries, and partner with businesses looking for opportunities to translate new knowledge into market applications. Another \$37 million in funding has been earmarked for the federal granting councils, with money flowing to targeted areas such as physics and quantum computing research and the automotive sector, as well as \$9 million for indirect costs of research. As Chair of the U15 Group of Canadian Research Universities, I know this positive development in federal government policy resulted from a coordinated and sustained lobbying effort over several years among the country's leading universities. Credit for this

new injection of federal support should also be noted for the late Minister of Finance Jim Flaherty, who was a strong supporter of universities, the research conducted on our campuses, and the important role higher education plays in helping Canada compete on the global stage. Minister Flaherty's sudden passing came as a shock, and heart-felt condolences are extended to his friends and family, including his wife Christine Elliott (LLB'78) and son Quinn (BA'13), both of whom are proud Western graduates.

Strategic Mandate Agreement update: In late March, we met with MTCU's Special Advisor Paul Genest to discuss recent revisions to Western's SMA submission. The process of finalizing the SMA is ongoing but we have received some positive signals that Western is likely to receive, at minimum, its system share of funding for the incremental graduate student spaces yet to be allocated by the Province. We remain hopeful that the "differentiation" framework the Province has been working to establish in consultation with Ontario universities will provide opportunities to leverage our unique strengths in support of incremental funding allocations.

Leadership update: In March, several significant senior academic appointments were announced, beginning with Catherine Steeves being named Western's new Vice-Provost & Chief Librarian, commencing August 18. Ms. Steeves is a graduate of Western's Master of Library & Information Science program and returns to work for her alma mater after serving most recently as Deputy Chief Information Officer and Associate Chief Librarian at the University of Guelph.

Also in March, Dr. John Doerksen was re-appointed Vice-Provost (Academic Programs), a role in which he has served since September 2008. Dr. Doerksen's renewal was made in conjunction with the launch of a restructuring of the portfolio that oversees our undergraduate student learning experience with a view to building more administrative capacity to strengthen this key element of Western's reputation as a national leader. As part of the restructuring, Dr. Angie Mandich was appointed to the newly created role of Associate Vice-President (Student Experience), while the current Associate Registrar, Glen Tigert,

was promoted to the role of University Registrar. Both Dr. Mandich's and Mr. Tigert's appointments took effect April 1, and they mark the beginning of an important transitional period during which time the administrative structures that support student success inside and outside the classroom will be reviewed. These are positive organizational changes that are strongly supported by the University Students' Council and which closely align with our strategic plan's commitments to provide Canada's best education for tomorrow's global leaders.

Meanwhile, at the time of writing this report, the committee reviewing the Provost & Vice-President (Academic) is nearing the completion of its mandate. Looking ahead to the 2014/15 academic year, Senate-appointed committees are in the process of being struck to review the Deans of the Faculty of Social Science, the Faculty of Health Sciences, and the Schulich School of Medicine & Dentistry.

ACTIVITIES OF THE PRESIDENT

(January 18, 2014 – April 16, 2014)

January	21	London	Meeting with Chirag Shah and Hanny Hassan
	21		Property & Finance Meeting
	21		Senior Operations Meeting
	21		Phone call with Honorary Degree candidate
	21		Phone call with Honorary Degree candidate
	21		Phone call with Honorary Degree candidate
	21		Affiliates Dinner
	22		Western's United Way Campaign Announcement
	22		Lunch with external stakeholder
	22		Phone call with Honorary Degree candidate
	22		Teleconference STIC
	23		Phone call with Honorary Degree candidate
	23		External Budget Meeting
	23		Lunch with Pat Whelan (USC President)
	23		Phone call with external stakeholder
	23		Memorial Service for Dr. Fyfe
	23		Phone call with external stakeholder
	24		Senate Meeting
	24		Teleconference U15
	27		Phone call with Feridun Hamdullahpur
	28		State of the City Address
	28	Ottawa	STIC Meeting
	29	London	Interview with Globe & Mail
	30		Phone call with external stakeholder
	30		Board of Governors Lunch and Board Meeting
	30		Phone call with Honorary Degree candidate
	31		Phone call with Honorary Degree candidate
February	4	London	Deans Meeting
	4		Central Secondary School – speaker
	5		Lunch with United Way winner
	5		Pre-budget meeting
	7		CulturePlex Tour
	9		Western Family Recognition Event
	10	Ottawa	British High Commissioner Dinner
	11	Ottawa	Federal Budget Tabling
	13	London	Phone call with Donor
	13		Interview with National Post
	13	Toronto	Donor meeting
	14	London	Senate Meeting
	14		Teleconference U15 Executive Committee
	14		Donor Dinner
	18	Bonita Springs	Alumni Lunch Event
	22-26	Australia	Australia-Canada Economic Leadership Forum

	27	Hong Kong	Donor meeting
	28	Hong Kong	Donor Meeting
March	1	Hong Kong	Donor Meeting
	3	London	Phone call with Joe Rotman
	3		Phone call with Honorary Degree candidate
	3		Phone call with Honorary Degree candidate
	3		National Chamber of Commerce Event
	4		Deans Meeting
	4		Phone call with Honorary Degree candidate
	5		Phone call with Chirag Shah
	5	Toronto	CST Board of Directors Meeting and Dinner
	6		Donor meeting
	6		Donor meeting
	6		Western Purple & White Gala, Trump Tower
	7	London	Western Community Founder's Day Event
	7		Bob Kennedy - lunch
	7		Teleconference with Donor
	7		Teleconference STIC State of the Nation
	10		Phone call Donor
	10		Lunch with Pat Whelan (USC President)
	10		Phone call with Honorary Degree candidate
	10		Phone call with Honorary Degree candidate
	11		Teleconference WUSC
	12		Meeting with Chirag Shah and Hanny Hassan
	12		Campus Council
	18		Property & Finance Meeting
	18	Toronto	Premier's Summit on PSE
	19		Teleconference RISB Board Meeting
	19	London	Western Heads East
	19		Business Achievement Awards Dinner
	20		Provost Consultations
	20		Faculty Author Reception
	21		Senate
	21		Teleconference U15 Executive Committee
	22	Ottawa	WUSC Meeting
	24	London	Teleconference Paul Genest
	24	London	Student Residence Reception
	25	Ottawa	Building Canada Roundtable Meetings
	25	Ottawa	U15 Executive Heads Strategic Meeting & Dinner
	26	Toronto	Meric Gertler, Lunch Meeting
	26		Donor Meeting
	27	London	Pat Whelan (State of the USC Speech)
	27		Scholar's Electives Poster Showcase
	27		Interview with National Post
	27-28		Visiting University

	31	London	PACES Green Awards
	31		Arts & Humanities Research Day
April	1	London	Student Award of Merit Lunch
	1		Indigenous Graduation Event
	1		Hugh Allen Dinner
	2		Visit with Consul General
	2		Mustang Athletics Banquet
	3		Alumni Association President Annual Meeting
	3		Phone call with Arvind Gupta
	3		First Year Engineering Design Showcase
	3	Toronto	MaRs Discovery PurifAid Event
	4	Waterloo	Industry Minister Announcement
	7	Toronto	Joe Rotman
	7		Teleconference STIC SoN Working Group
	8	London	Chirag Shah
	8		Donor lunch meeting
	8		Teleconference with Donor
	11		Ahsan Syed
	11		Phone call with Janet Walden, NSERC
	11		Senate Meeting
	11		Teleconference U15 Executive Committee
	14		Phone call with external stakeholder
	14		Lunch with Pat Whelan (USC President)
	14		FRDRC Meeting
	14		Mandolin Bartling (President's Scholarship Holder)
	15	Toronto	SOSCIP
	15		Teleconference Senior Operations Meeting
	15		Visitation for Jim Flaherty
	15		Teleconference Property & Finance Meeting
	15	London	Faculty Scholars Reception
	16	Toronto	State Funeral for Jim Flaherty

UNANIMOUS CONSENT AGENDA

FOR APPROVAL

Any member who wishes to ask a question, discuss, or oppose an item that is listed below may have it removed from the consent agenda by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the following motion.

Recommended: That the following items be approved or received for information by the Board of Governors by unanimous consent:

Minutes

1. Open Session Minutes of the Meeting of January 30, 2014	ACTION
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Report of the Property & Finance Committee – [Appendix II](#)

2. ING Direct Chair in Finance – Name Change	INFORMATION
3. Leenders Purchasing Management Association Chair – Name Change	INFORMATION
4. Dr. Robert Zhong Chair in Translational Transplant	INFORMATION
5. Investment Committee Membership	INFORMATION
6. Quarterly Financial Report (Operating Budgets)	INFORMATION
7. Investment Committee Report	INFORMATION
8. Standard and Poors – Credit Rating	INFORMATION
9. Revenues, Expenditures, & Tuition by Faculty	INFORMATION
10. Annual Report on Trademark Licensees Doing Business with the University Bookstore	INFORMATION
11. New and Revised Scholarship	INFORMATION

Report of the Audit Committee – [Appendix III](#)

12. 2013 Annual Report – Campus Community Police Service	INFORMATION
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Fund Raising and Donor Relations Committee – [Appendix IV](#)

13. Western Fund Allocation	INFORMATION
14. Quarterly Report on Fundraising	INFORMATION

Items Referred by Senate – [Appendix VI](#)

15.	2014-15 Operating and Capital Budgets – Advice	INFORMATION
16.	Amendments to <i>Appointment Procedures for Senior Academic and Administrative Officers of the University</i>	INFORMATION
17.	Vice-Provost's Report on Faculty Recruitment & Retention	INFORMATION
18.	Report of the Academic Colleague	INFORMATION
19.	Honorary Degree Recipients – Spring 2014	INFORMATION
20.	Teaching Award Recipients	INFORMATION
21.	Indigenous Postsecondary Education Council – Terms of Reference	INFORMATION

The Unanimous Consent Agenda

The Board's parliamentary authority -- *Sturgis Standard Code of Parliamentary Procedure* -- explains the consent agenda:

Organizations having a large number of routine matters to approve often save time by use of a *consent agenda*, also called a *consent calendar* or *unanimous consent agenda*. This is a portion of the printed agenda listing matters that are expected to be non-controversial and on which there are likely to be no questions.

Before taking the vote, the chair allows time for the members to read the list to determine if it includes any matters on which they may have a question, or which they would like to discuss or oppose. Any member has a right to remove any item from the consent agenda, in which case it is transferred to the regular agenda so that it may be considered and voted on separately. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual votes.

A number of Canadian university Boards have employed the consent agenda format to include not only routine approval items, but also information items. One reason for using this format is to allow the Board to focus on major items of business. While approval of an omnibus motion saves time at Board meetings, Board members will want to review the agenda materials carefully in order that they properly discharge their responsibilities.

How it works:

The Secretary identifies action and information items that are routine and/or likely non-controversial. In so doing, she may consult with the Chair of the Board, the relevant committee chair, and principal resource persons. In each Committee's report, these items are noted in the list of items at the beginning of the report. Action and information items on the agenda and in committee reports that are not noted on the consent agenda will be presented singly for discussion and voting (when appropriate).

When members receive their Board agendas, they should review all reports in the usual manner. **If any member wants to ask a question, discuss, or oppose an item that is marked for the consent agenda, he or she can have it be removed from the consent agenda** by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the motion to approve or receive, by unanimous consent, the items listed.

At the Board meeting, before the unanimous consent motion is presented for approval, the Chair of the Board (1) will advise the Board of items that are to be removed from the list, based on prior requests from Board members; and (2) will ask if there are any other items that should be removed from the list. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual presentation and voting. Those matters that have been struck from the consent agenda will be handled in the usual way as each Committee's report is presented.

The minutes of the Board meeting will report matters approved as part of the consent agenda as "carried by unanimous consent". Information items received as part of the consent agenda will be reported as received.

REPORT OF THE PROPERTY AND FINANCE COMMITTEE

Contents	Consent Agenda
Budgets and Fees <ul style="list-style-type: none"> • University Operating and Capital Budgets and Tuition Fees • Student Fee-Funded Units and Academic Supports • Student Organization Fees 2014 	No
Annual Report and Recommendations of the Student Services Committee	No
Amendment to Special Resolution No. 4 - Investments	No
ING Direct Chair in Finance – Name Change	Yes
Leenders Purchasing Management Association Chair – Name Change	Yes
Dr. Robert Zhong Chair in Translational Transplant Research	Yes
Investment Committee Membership	Yes
Quarterly Financial Report (Operating Budgets)	Yes
Investment Committee Report	Yes
Standard and Poors – Credit Rating	Yes
Revenues, Expenditures & Tuition by Faculty	Yes
Annual Report on Trademark Licensees Doing Business with the University Bookstore	Yes
New and Revised Scholarships	Yes

FOR APPROVAL

1. **2014-2015 University Operating & Capital Budget**

Recommended: That the Board of Governors approve the 2014-15 University Operating and Capital Budgets, and the proposed Program Specific Fees and Other Supplemental Fees for 2014-15.

Background:

The 2014-15 Operating and Capital Budgets are attached (**Annex 1**). Supplemental Fees and Other Charges, shown on pages 5-13 of the Program Specific Fees and Other Supplemental Fees report (**Annex 2**) are approved by the President throughout the year, as authorized under the Student Fee Policy (Policy 2.4). These are reported for information.

2. **Student Fee-Funded Units, Ancillaries and Academic Supports**

Recommended: That the Board of Governors approve the 2014-15 budgets for Student Fee Funded Units, Ancillaries, and Academic Support Units summarized in the report entitled “Student Fee Funded Units, Ancillaries, Academic Support Units, and Associated Companies”.

Background:

See [Annex 3](#).

3. **Student Organization Fee Proposals for 2014 - 2015**

The Tables referenced in the motion below are in the report entitled “Student Fee Funded Units, Ancillaries, Academic Support Units, and Associated Companies” ([Annex 3](#)).

Recommended: That the organization fees for the University Students’ Council for 2014-15 shown in Table 2 (full-time undergraduates) and Table 3 (part-time undergraduates) be approved, as requested by the USC.

That the 2014-15 organization fees for the Society of Graduate Students shown in table 2 (full-time graduate students – three terms) and Table 3 (part-time graduate students), **be approved with the proviso that the fee proposals in 2015 include a long-term, sustainable, balanced budget plan.**

That the organization fee for the Honors Business Administration Association for 2014-15 shown in Table 2, note (c) be approved, as requested by the HBAA.

That the organization fee for the Master of Business Administration Association for 2014-15 shown in Table 2, note (b) be approved, as requested by the MBAA.

4. **Annual Report and Recommendations of the Student Services Committee**

Recommended: That the ancillary fees collected by the University be those detailed in [Annex 4](#), as recommended by the Student Services Committee.

5. **Amendment to Special Resolution No. 4 – Investments**

Recommended: That Special Resolution No. 4 – Investments be revised, effective April 24, 2014, by the addition of the following new paragraph:

In the case of donated securities, where donors transfer securities to an approved brokerage account in the name of The University of Western Ontario in accordance with Gift Acceptance Policy (2.1) and Gift Valuation Policy (2.28), any one of the following will have authority to issue sell instructions related to those donated securities held in the approved brokerage accounts.

Financial Officer, Finance & Budget (External)
Finance & Budget Analyst (External)
Executive Director, Advancement

Background:

In accordance with Gift Acceptance Policy 2.1 and Gift Valuation Policy 2.28, The University accepts gifts of publicly traded securities from donors.

Donors direct their brokers to transfer securities into one of Western’s approved Brokerage accounts. The securities become the property of Western once the securities settle in our account. Donated securities are a relatively popular way to give due to the tax incentives provide by CRA. The dollar value associated with these gifts is relatively small, thus the risk associated with such gifts is minimal. In addition, it is Western’s policy not to hold any of these securities; they are sold immediately. The Brokers require authorization to process the trade at the time of sale.

Foundation Western administered the process related to all gifts of securities on behalf of Western.

With the unification of Foundation Western, the administrative process related to donated securities will move to Western.

In order to ensure a smooth transition of this process, Western's Investment Resolution requires an amendment to incorporate signing authorities specifically related to these securities.

The current Special Resolution No. 4 is attached as [Annex 5](#).

FOR INFORMATION

6. **ING Direct Chair in Finance – Name Change**

At its meeting of March 15, 2014, the Property and Finance Committee approved a proposal that the existing ING Direct Chair in Finance at the Richard Ivey School of Business Toronto campus be renamed the Tangerine Chair in Finance.

This name change is in response to recent changes to ING DIRECT:

- A year after being acquired by Bank of Nova Scotia, ING DIRECT is changing its name to Tangerine;
- ING DIRECT will cease to exist as of May 2014

The terms of the Chair remain unchanged.

7. **Leenders Purchasing Management Association Chair – Name Change**

At its meeting of March 15, 2014, the Property and Finance Committee approved a proposal that the existing Leenders Purchasing Management Association Chair at the Richard Ivey School of Business be renamed the Leenders Supply Chain Management Association Chair.

The Purchasing Management Association of Canada amalgamated with the Supply Chain & Logistics Association of Canada in 2013, forming the Supply Chain Management Association (SCMA). SCMA is the legal succession entity of the Purchasing Management Association of Canada and the proposed change of name for the Chair recognizes this.

The terms of the Chair remain unchanged.

8. **Dr. Robert Zhong Chair in Translational Transplant Research**

See [Annex 6](#).

9. **Investment Committee Membership**

The Property and Finance Committee approved the reappointed of William Hewitt to the Investment Committee for an additional two year term ending March 2016.

10. **Quarterly Financial Report (Operating Budgets)**

See [Annex 7](#).

11. **Investment Committee Report**

See [Annex 8](#).

12. **Standard and Poors – Credit Rating**

In May 2007, Western issued its first debenture, for \$190 million. As part of this process, the University received a credit rating of AA from Standard & Poor's.

Annually, Western undergoes a credit rating review by Standard & Poor's. The fourth annual review was undertaken in February 2014 and resulted in the affirmation of Western's AA Stable rating. The rationale provided in both the Research Update and Full Report also reflects Western's notably better performance outlook and strong underlying fundamentals compared to our peer institutions as the main reasons for maintaining the AA rating. A full report is attached as [Annex 9](#).

13. **Revenues, Expenditures & Tuition by Faculty**

See [Annex 10](#).

14. **Annual Report on Trademark Licensees Doing Business with the University Bookstore**

See [Annex 11](#).

15. **New and Revised Scholarships and Awards**

See [Annex 12](#).

REPORT FROM THE STUDENT SERVICES COMMITTEE

Report By:
Patrick Whelan, USC President
Chair of the Student Services Committee

March 11, 2014

Introduction:

The Student Services Committee met throughout the year to review units about their progress and success, as well as critically analyze the practices of the committee.

Recommendation:

The Student Services Committee recommends that the attached fee schedule be approved for the 2014-2015 academic year. The total increase to fees is 2.0%.

Report:

1) Future Staff Capacity

The reports provided by the fee-funded units alongside their budgets indicated a recurring trend about capacity issues at current funding levels. Many units cited consistent staff levels while also taking on increased demands, putting pressure on the unit. The committee noted that further discussions will be necessary in future years.

2) Artificial Turf Fields Project

Throughout the summer, the committee continued the work of the previous committee on the Artificial Turf Field project. The fields were completed slightly behind schedule, but before the beginning of intramurals. A unique agreement was made with the committee to name elements of the fields after student leaders, recognizing the student body for their contribution. The university has agreed that if the unnamed field has not been named by August 31, 2016, the committee will be given the right to consider more permanent naming opportunities. The intention is to allow the Advancement team at the university the right to seek donors and sponsorship to leverage for future athletics projects. The committee discussed articulating the best practices found in this process into a procedure future committees could use for similar projects.

3) Committee Process: Orientation, Budgeting and Planning Process

This year the committee asked for an expanded and consistent budget narrative that asked the units to provide their Mission Statement, Core Services & Programming, Challenges & Constraints, New Initiatives and Key Success Factors. This provided the committee with more context in the decision making process.

The committee this year unfortunately took a few months to get up to speed on its responsibilities. Over the next few months we are planning to develop an orientation and transition program that will allow next year's committee to hear from the individual units earlier and begin planning & prioritization before the budgeting process begins.

The committee will be investigating improvements to this process by adding more detailed direction to units about what metrics and detail the committee sees necessary. The committee is also investigating opportunities to set high level and long range direction, to give an indication to units where proposals for new fees would be deemed appropriate (if any).

Western University
Student Activity Fee Recommendations
Fiscal 2013/14

This submission presents the recommended 2014/15 activity fee adjustments to the Student Services Committee (SSC) for consideration and approval.

Inflation Mechanism:

In 2004 The Student Services Committee implemented a fee adjustment mechanism designed to avoid the erosion of service levels caused by the negative financial impact of progressive inflation. The mechanism provides for an overall adjustment to ancillary fees equal to the greater of 2% or the rate of Canadian CPI for the preceding calendar year. Each year the 'adjustment pool' is allocated to the units based on the needs and priorities identified in the individual planning submissions. The total adjustment pool available for allocation in fiscal 2014/15 is the 2% minimum.

Fiscal 2014/15 Activity Fee Recommendations:

To help offset higher program operating costs and maintain current service levels, the University is recommending a uniform base increase of 2% for all units. Complete details of the recommended activity fee rate changes are presented on the student activity fee rate table found on page 3. No increases outside of the standard inflation provision are requested for new initiatives.

Western University
Proposed Fiscal 2014-15 Full- Time Student Activity Fee Rates

	2- Term Full-Time Undergraduate Students				3-Term Full-Time Graduate/MBA Students			
	Fiscal 2013/14 Rates \$	Inflation Pool Increase		Proposed Fiscal 2014/15 Rates \$	Fiscal 2013/14 Rates \$	Inflation Pool Increase		Proposed Fiscal 2014/15 Rates \$
		\$	%			\$	%	
Campus Recreation	93.53	1.87	2.0%	95.40	119.09	2.38	2.0%	121.47
Financial Aid	35.71	0.71	2.0%	36.42	35.71	0.71	2.0%	36.42
Indigenous Services	7.65	0.15	2.0%	7.80	7.65	0.15	2.0%	7.80
Intercollegiate Athletics	82.56	1.65	2.0%	84.21	82.56	1.57	1.9%	84.13
International Students Services	13.09	0.26	2.0%	13.35	13.09	0.26	2.0%	13.35
Off Campus Housing & Housing Mediation	8.31	0.17	2.0%	8.48	8.31	0.17	2.0%	8.48
Services for Students With Disabilities	12.36	0.25	2.0%	12.61	12.36	0.25	2.0%	12.61
Student Development Centre	69.15	1.38	2.0%	70.53	69.15	1.38	2.0%	70.53
Student Success Centre								
Careers Component	26.69	0.53	2.0%	27.22	26.69	0.53	2.0%	27.22
Undergraduate Student Component	15.87	0.32	2.0%	16.19	-	-	2.0%	-
Total Student Success Centre	42.56	0.85	2.0%	43.41	26.69	0.53	2.0%	27.22
Student Health Services	44.68	0.89	2.0%	45.57	44.68	0.89	2.0%	45.57
Western Foot Patrol	4.39	0.09	2.0%	4.48	4.39	0.09	2.0%	4.48
Thompson Recreation & Athletic Centre	18.09	0.36	2.0%	18.45	18.09	0.36	2.0%	18.45
Total UWO Student Activity Fees	432.08	8.63	2.0%	440.71	441.77	8.74	2.0%	450.51

The UNIVERSITY of WESTERN ONTARIO
BOARD OF GOVERNORS

INVESTMENTS

Ref: Special Resolution No. 4

Amended: 26NOV09

Terms of Reference

1. Any one of Group "A" hereunder, together with any one of Group "B" hereunder, may purchase, transfer, exchange or otherwise dispose of securities owned by or held in the name of The University of Western Ontario.

GROUP "A"

President & Vice-Chancellor	_____
Vice-President (Resources & Operations)	_____
Provost & Vice-President (Academic)	_____
Secretary, The Board of Governors	_____

GROUP "B"

Associate Vice-President (Financial Services)	_____
Director, Investments	_____
Controller	_____
Manager, Treasury & Investments	_____

2. (a) In the event that the University implements "Organized Closure" procedures as a result of the Middlesex-London Health Unit raising the pandemic alert level to phase 6, any one of Group "A" OR Group "B" may purchase, transfer, exchange or otherwise dispose of investments owned by or held in the name of the University of Western Ontario.
- (b) Subsequent reporting to the Property & Finance Committee will be completed for any transactions initiated under 2(a) that exceed \$5.0 million.
3. All investment transactions will be conducted in accordance with policies approved by the Board of Governors.

Dr. Robert Zhong Chair in Translational Transplant Research

FOR INFORMATION

At its meeting of March 15, 2014, the Property and Finance Committee approved a proposal that the Dr. Robert Zhong Chair in Translational Transplant Research be established with appointment to the Department of Medicine and the Department of Surgery in the Schulich School of Medicine & Dentistry.

Donor and Funding: The Lawson Health Research Institute has donated \$1.2 million and Novartis Pharmaceuticals has donated \$300,000. A total of \$1.5 million has been endowed at the University to support the Chair and this has been matched by \$1.5 million from the University, to create a \$3-million endowment to fund the Dr. Robert Zhong Chair in Translational Transplant Research.

Effective Date: July 1, 2014

Purpose: The creation of this Chair will position Western's Schulich School of Medicine & Dentistry and London Health Sciences Centre at the forefront of transplantation education and research, ultimately improving outcomes for patients. The Schulich School of Medicine & Dentistry at the University is internationally known for its formidable strengths in transplantation-related research. This endowed gift will continue to advance this success by ensuring that Western is an international leader in transplantation surgery, research and clinical practices.

The income from the endowment fund will be used to support a transplant clinician researcher or equivalent. The administration of the spending of resources will be the responsibility of the Dean of the Schulich School of Medicine & Dentistry in collaboration with Chair/Chief of the Department of Medicine and the Chair/Chief of the Department of Surgery together. Funds available may be directed towards salary and benefits or direct research support, or some mixture thereof.

Criteria: The Dr. Robert Zhong Chair in Translational Transplant Research will not only recognize and honour the outstanding scientific contributions of Dr. Zhong, a pioneer in transplantation, but will accelerate the Schulich School of Medicine & Dentistry's ability to attract and retain world-class researchers.

The income from the endowment fund will be used exclusively for the support of a transplant specialist who applies expert strategies toward the understanding and treatment of important clinical problems within translational transplant research. The Chair will hold a cross-appointment within the Department of Medicine and the Department of Surgery and be committed to academic activity and program development within translational transplant research.

Appointments to the Chair will be conducted in accordance with the relevant policies and procedures of the University and will normally be for a five-year term, renewable once. The selection committee will include the Chair/Chief of the Department of Medicine and Department of Surgery, or his/her designate.

Renewal of appointments to the Dr. Robert Zhong Chair in Translational Transplant Research will be conducted in accordance with University policies and procedures and guidelines established by the Schulich School of Medicine & Dentistry for reviewing endowed chairs.

Reporting: The University agrees to report annually to Lawson Health Research Institute regarding the financial status of the endowment.

2013-14 Operating Budget Update

as at February 28, 2014

(\$000)

<a> Summary

		As Approved by Board	Revised Forecast	Increase/Decrease	
				\$	%
1	Revenues 	653,675	658,811	5,136	0.79%
2	Expenditures <c>	662,545	663,400	855	0.13%
3	Surplus / (Deficit)	(8,870)	(4,589)	4,281	
4	Operating Reserve -- Beginning of Year	44,066	46,744	2,678	
5	Surplus / (Deficit)	(8,870)	(4,589)	4,281	
6	Operating Reserve -- End of Year	35,196	42,155	6,959	

 Revenue Changes

7	Base Grants			601	
8	Undergraduate Accessibility Grant			1,986	
9	Graduate Expansion Grant			(1,611)	
10	Performance Fund - Government Grant			630	
11	Program Expansion Grants (Medicine and Nursing)			1,068	
12	Productivity & Innovation Fund - Government Grant			726	
13	Tuition Revenue			217	
14	Canada Research Chairs (CRCs)			300	
15	Recoverable Salaries			509	
16	All Other			710	
17	Total Revenue Changes			5,136	

<c> Expense Changes

18	Program Expansion Funding Flowthrough (Medicine and Nursing)			981	
19	Canada Research Chair (CRC) related Allocations			290	
20	Recoverable Salaries			509	
21	Productivity & Innovation Fund Allocation to Units			726	
22	Utilities			(717)	
23	Net Employee Benefits			(635)	
24	All Other			(299)	
25	Total Expenditure Changes			855	

REPORT OF THE INVESTMENT COMMITTEE

FOR INFORMATION

This report outlines the performance of the operating and endowment investment portfolio and the recent activities of the Investment Committee.

Performance

The investment performance of the portfolio for the past four years was as follows:

<u>Asset Class</u>	Annual Dec 31 <u>2013</u>	Annual Dec 31 <u>2012</u>	Annual Dec 31 <u>2011</u>	Annual Dec 31 <u>2010</u>	Annualized for Four years Ending <u>Dec 31, 2013</u>
Fixed Income	0.46%	3.55%	9.39	6.82%	5.00%
Alternative Investments:					
Hedge funds	-	-	-	-	-
Private equity	19.51	10.21	16.44	-	-
Real Estate	9.87	12.03	-	-	-
Equities:					
Canadian	23.09	10.96	(9.83)	16.95	9.55
US	39.21	16.59	(0.08)	13.72	16.54
Non North American	27.73	19.55	(9.21)	5.70	10.02
Absolute Return Strategies	<u>8.52</u>	<u>8.70</u>	=	=	=
Total Return	<u>19.84%</u>	<u>11.37%</u>	<u>(1.03)%</u>	<u>10.21%</u>	<u>9.84%</u>
Policy Return	<u>16.75%</u>	<u>10.39%</u>	<u>(0.81)%</u>	<u>10.79%</u>	<u>9.09%</u>

For additional information, please refer to the attached pages, which show the annual and annualized returns by manager over the five years ending December, 2013 and the amounts held by each investment manager at December 31, 2013,

Returns in relation to the real rate of return objective

One of the Investment Committee's objectives is to earn a 4% real rate of return over the long term (i.e., to earn 4% over the rate of inflation, as measured by the Consumer Price Index). Inflation has averaged 1.6% per year for the five year period and 1.8% per year for the ten year period.

For the four years ending December 31, 2013, the annualized real rate of return was 8.2%, consisting of a nominal return of 9.8% less inflation of 1.6%. For the five years ending December 31, 2013, the annualized real rate of return for the portfolio was 9.6%. The 5 year annualized return meets the Investment Committee's performance objective of a real rate of return of 4%.

Value added by Active Management

Another of the Investment Committee's objectives is to earn the return produced by the asset mix policy based on the returns of the market indices plus a premium to reflect the additional fees related to active management. In order to achieve this objective, investment managers with active investment mandates need to outperform their benchmark indices. For example, Canadian equity managers need to outperform the TSX Composite Index over time.

Over the five years ending December 31, 2013, the actual annualized return for the portfolio was 11.2% and the return generated by the market indices for the portfolio was 10.7% (the policy return). Over this period, our combination of managers achieved slightly better results than what could have been achieved by a passive or index style of management. It should be noted that approximately 75% of the total portfolio is actively managed and 25% is passively managed.

Book and Market Value of the Portfolio

As at December 31, 2013, the operating and endowment portfolio had a book value of \$501,754,000 and market value of \$685,134,000 as follows:

	<u>Book Value (\$000's)</u>	<u>Market Value (\$000's)</u>	<u>Actual Asset Mix</u>	<u>Policy Asset Mix</u>
Fixed Income	\$83,948	\$124,100	18.1%	15.0%
Alternative Investments	101,258	109,760	16.0	25.0
Canadian equities	99,691	137,049	20.0	20.0
US equities	86,791	163,893	23.9	20.0
Non-North American equities	<u>130,066</u>	<u>150,332</u>	<u>22.0</u>	<u>20.0</u>
Total	<u>\$501,754</u>	<u>685,134</u>	<u>100.0%</u>	<u>100.0%</u>

At December 31, 2013 the portfolio consisted of the following components:

Portion related to Endowed funds	\$ 295,683,000	43.2%
Portion related to Operating and Non-endowed funds	<u>389,451,000</u>	<u>56.8%</u>
Total market value of portfolio	<u>\$685,134,000</u>	<u>100.0%</u>

Update on Investment Committee Activities

- The Unification of Foundation Western investments was completed January 1, 2014
- In preparation for the transition, it was agreed that one member of the Investment Committee of Foundation Western will sit on Western's Investment Committee. David Stenason was appointed to that position.
- As result of the unification (the Foundation had a different investment policy than Western's) and strong equity returns experienced in 2013, significant rebalancing is required. The Investment Committee decided to retain one of the three managers coming over from Foundation Western.
 - JP Morgan (Global Equity) and TD Asset Management (Fixed Income) will be terminated and the funds reallocated among the remaining managers to realign the assets within the target asset mix.
 - The Committee voted in favour of retaining Mawer (Canadian Equity)
- Funding of the approved Infrastructure investments has begun and it is expected that those mandates will be fully funded in 2014
- As a result of the infrastructure investment in Australia, the Committee approved a motion to hedge the exposure to the Australian currency at 100%
- A decision was made to terminate Greystone Managed Investments (Canadian Equity manager) and hire Connor, Clark and Lunn. That transition was completed in January 2014.
- The Investment Committee revised its currency hedging policy to reduce from 60% to 50% the hedge ratio of investments denominated in U.S. dollars.

Performance Summary by Portfolio

Annualized Value Added by Asset Class – December 31, 2013

Group Label Account Name Benchmark Name	Market Value (M)	Annualized				
		3 Months	1 Year	3 Years	4 Years	5 Years
U of WO Endowment UWO Endowment - Total Fund	685.13	7.07	19.84	9.72	9.84	11.17
U of WO Endowment UWO End - Total Fund Policy		6.01	16.75	8.53	9.09	10.68
U of WO Endowment Canadian Stock Managers	137.05	9.56	23.09	7.19	9.55	13.18
S&P/TSX Composite Index		7.29	12.99	3.40	6.79	11.92
U of WO Endowment Beutel Goodman Endow.	78.01	10.30	26.02	10.54	12.03	14.84
S&P/TSX Composite Index		7.29	12.99	3.40	6.79	11.92
U of WO Endowment Greystone Canadian Eq Endow.	59.04	8.60	19.44	3.08	6.65	10.58
S&P/TSX Composite Index		7.29	12.99	3.40	6.79	11.92
U of WO Endowment U.S. Stock Managers	163.89	12.28	39.21	17.49	16.54	17.30
S&P 500 - Total Return Index		14.23	41.27	18.80	16.29	14.45
U of WO Endowment UWO End - US Equity Policy		11.22	35.34	16.85	16.31	17.41
U of WO Endowment SSgA Midcap Hedge Blend	31.34	8.62	34.08	15.15	17.48	20.61
Univ of WO Pension S&P 400 Canada Dollar Hedged		8.42	33.78	15.44	17.72	20.94
U of WO Endowment JP Morgan 130/30	69.93	15.35	47.69	19.71	17.05	17.03
S&P 500 - Total Return Index		14.23	41.27	18.80	16.29	14.45
U of WO Endowment SSgA S&P 500 Hedge Blend	62.63	10.84	33.18	16.15	15.50	3.72
Univ of WO Pension S&P 500 Canada Dollar Hedged		10.09	33.13	16.30	15.61	17.26
U of WO Endowment Non-North American Mgrs	150.33	7.76	27.73	11.51	10.02	11.97
MSCI EAFE Index		9.31	31.57	11.12	8.91	9.62
Univ of WO Pension MSCI EAFE Hedged to CS		6.61	27.91	10.12	8.82	11.71
U of WO Endowment Templeton Internl Equity End	75.17	10.57	35.86	12.33	9.23	10.85
MSCI EAFE Index		9.31	31.57	11.12	8.91	9.62
U of WO Endowment MFS	75.75	8.60	26.57	12.28	10.48	11.02
MSCI EAFE Index		9.31	31.57	11.12	8.91	9.62
U of WO Endowment MFS/SSgA Hedge Blend	75.16	5.03	20.19	10.56	11.09	13.56
Univ of WO Pension MSCI EAFE Hedged to CS		6.61	27.91	10.12	8.82	11.71
U of WO Endowment Fixed Income Managers	157.57	1.55	0.46	4.40	5.00	5.10
DEX Bond Universe Index		0.38	-1.19	3.93	4.63	4.78
U of WO Endowment SSGA Canadian Fixed Inc Endow.	99.01	0.40	-1.25	3.83	4.57	4.75
DEX Bond Universe Index		0.38	-1.19	3.93	4.63	4.78
U of WO Endowment Greystone Mortgage	25.09	0.70	2.91			
U of WO Endowment Dex ST 60 + MT 40 +50bp		0.74	1.30			
U of WO Endowment Adams Street - Private Eq Endo	20.91	5.65	19.51	15.32		
U of WO Endowment UWO End-Total Real Estate Mgrs	26.64	1.53	9.87			
U of WO Endowment Bentall	15.02	1.68	7.92			
U of WO Endowment Bentall - IPD All Property Ind		1.70	7.71			
U of WO Endowment GPM	11.62	1.32	12.91			
U of WO Endowment GPM - IPD Industrial Index		1.80	8.45			
U of WO Endowment Ronspen	28.74	2.07	8.52			
U of WO Endowment Dex ST 60 + MT 40 +50bp		0.74	1.30			
U of WO Endowment Hastings	33.47					

Performance Summary by Portfolio

Annual Value Added by Asset Class – December 31, 2013

Group Label Account Name Benchmark Name	Market Value (M)	Cumulative					
		1/1/2013 - 12/31/2013	1/1/2012 - 12/31/2012	1/1/2011 - 12/31/2011	1/1/2010 - 12/31/2010	1/1/2009 - 12/31/2009	1/1/2008 - 12/31/2008
U of WO Endowment UWG Endowment - Total Fund	685.13	19.84	11.37	-1.03	10.21	16.66	-18.89
U of WO Endowment UWG End - Total Fund Policy		16.75	10.39	-0.81	10.79	17.26	-19.65
U of WO Endowment Canadian Stock Managers	137.05	23.09	10.96	-9.83	16.95	28.93	-31.43
S&P/TSX Composite Index		12.99	7.19	-8.71	17.61	35.05	-33.00
U of WO Endowment Beutel Goodman Endow.	78.01	26.02	12.86	-5.03	16.64	26.76	-21.99
S&P/TSX Composite Index		12.99	7.19	-8.71	17.61	35.05	-33.00
U of WO Endowment Greystone Canadian Eq Endow.	59.04	19.44	8.17	-15.22	18.12	27.81	-36.73
S&P/TSX Composite Index		12.99	7.19	-8.71	17.61	35.05	-33.00
U of WO Endowment U.S. Stock Managers	163.89	39.21	16.59	-0.08	13.72	20.43	-36.40
S&P 500 - Total Return Index		41.27	13.43	4.64	9.06	7.39	-21.20
U of WO Endowment UWG End - US Equity Policy		35.34	15.99	1.64	14.68	21.95	-34.71
U of WO Endowment SSgA Midcap Hedge Blend	31.34	34.08	17.82	-3.35	24.78	33.98	-39.05
Univ of WO Pension S&P 400 Canada Dollar Hedged		33.78	18.13	-2.65	24.85	34.70	-39.11
U of WO Endowment JP Morgan 130/30	69.93	47.69	16.57	-0.37	9.44	16.92	
S&P 500 - Total Return Index		41.27	13.43	4.64	9.06	7.39	
U of WO Endowment SSgA S&P 500 Hedge Blend	62.63	33.18	16.02	1.42	13.57	-32.54	
Univ of WO Pension S&P 500 Canada Dollar Hedged		33.13	16.27	1.63	13.55	24.10	
U of WO Endowment Non-North American Mgrs	150.33	27.73	19.55	-9.21	5.70	20.10	-32.76
MSCI EAFE Index		31.57	15.29	-9.55	2.56	12.49	-28.78
Univ of WO Pension MSCI EAFE Hedged to CS		27.91	18.23	-11.70	5.00	24.08	-41.48
U of WO Endowment Templeton Internl Equity End	75.17	35.86	15.26	-9.48	0.45	17.56	-31.00
MSCI EAFE Index		31.57	15.29	-9.55	2.56	12.49	-28.78
U of WO Endowment MFS	75.75	26.57	20.80	-7.41	5.25	13.19	-19.28
MSCI EAFE Index		31.57	15.29	-9.55	2.56	12.49	-28.78
U of WO Endowment MFS/SSgA Hedge Blend	75.16	20.19	23.69	-9.11	12.73	23.98	-34.60
Univ of WO Pension MSCI EAFE Hedged to CS		27.91	18.23	-11.70	5.00	24.08	-41.48
U of WO Endowment Fixed Income Managers	157.57	0.46	3.55	9.39	6.82	5.49	7.01
DEX Bond Universe Index		-1.19	3.60	9.67	6.74	5.41	6.41
U of WO Endowment SSgA Canadian Fixed Inc Endow.	99.01	-1.25	3.56	9.45	6.82	5.49	7.01
DEX Bond Universe Index		-1.19	3.60	9.67	6.74	5.41	6.41
U of WO Endowment Greystone Mortgage	25.09	2.91	3.32				
U of WO Endowment Dex ST 60 + MT 40 +50bp		1.30	3.56				
U of WO Endowment Adams Street - Private Eq Endo	20.91	19.51	10.21	16.44			
MSCI All Country World Index		1.30	3.56				
U of WO Endowment UWG End-Total Real Estate Mgrs	26.64	9.87	12.03				
U of WO Endowment Bentall	15.02	7.92	13.52				
U of WO Endowment Bentall - IPD All Property Ind		7.71	13.92				
U of WO Endowment GPM	11.62	12.91	7.40				
U of WO Endowment GPM - IPD Industrial Index		8.45	11.78				
U of WO Endowment Romspen	28.74	8.52	8.70				
U of WO Endowment Dex ST 60 + MT 40 +50bp		1.30	3.56				
U of WO Endowment Hastings	33.47						

University of Western Ontario Endowment Plan

Asset Summary

As of December 31, 2013

	Total Market Value	% of Total Fund	% of Asset Class	Equity & Convertible		Fixed Income		Real Estate		Cash & Equivalents		Alternative	
Total Fund													
UWO Endowment - Total Fund	\$ 685.1	100.0%	100.0%	\$ 449.3	65.6%	\$ 127.8	18.6%	\$ 51.7	7.5%	\$ 2.0	0.3%	\$ 54.4	7.9%
Canadian Stock Managers	137.0	20.0	100.0	135.0	98.5	--	--	--	--	2.0	1.5	--	--
Beutel, Goodman Capital Mgmt.	78.0	11.4	56.9	76.0	97.4	--	--	--	--	2.0	2.6	--	--
Greystone Canada	59.0	8.6	43.1	59.0	100.0	--	--	--	--	--	--	--	--
U.S Stock Managers	163.9	23.9	100.0	163.9	100.0	--	--	--	--	--	--	--	--
SSgA Midcap Hedge Blend	31.3	4.6	19.1	31.3	100.0	--	--	--	--	--	--	--	--
J.P. Morgan 130/30	69.9	10.2	42.7	69.9	100.0	--	--	--	--	--	--	--	--
SSgA S&P 500 Hedge Blend	62.6	9.1	38.2	62.6	100.0	--	--	--	--	--	--	--	--
Non-North American Mgrs	150.3	21.9	100.0	150.3	100.0	--	--	--	--	--	--	--	--
Templeton International	75.2	11.0	50.0	75.2	100.0	--	--	--	--	--	--	--	--
MFS/SSgA Hedge Blend	75.2	11.0	50.0	75.2	100.0	--	--	--	--	--	--	--	--
Fixed Income Managers	124.1	18.1	100.0	--	--	99.0	79.8	25.1	20.2	--	--	--	--
State Street Global Advisors	99.0	14.5	79.8	--	--	99.0	100.0	--	--	--	--	--	--
Greystone Capital Management	25.1	3.7	20.2	--	--	--	--	25.1	100.0	--	--	--	--
Private Equity													
Adams Street Partners	20.9	3.1	100.0	--	--	--	--	--	--	--	--	20.9	100.0
Real Estate Managers	26.6	3.9	100.0	--	--	--	--	26.6	100.0	--	--	--	--
Bentall Investment Management	15.0	2.2	56.4	--	--	--	--	15.0	100.0	--	--	--	--
GPM	11.6	1.7	43.6	--	--	--	--	11.6	100.0	--	--	--	--

All dollars in millions, numbers may not add due to rounding

University of Western Ontario Endowment Plan

Asset Summary

As of December 31, 2013

	Total Market Value	% of Total Fund	% of Asset Class	Equity & Convertible	Fixed Income	Real Estate	Cash & Equivalents	Alternative
Infrastructure								
Hastings	\$ 33.5	4.9%	100.0%	\$ -- --%	\$ -- --%	\$ 0.0 0.0%	\$ -- --%	\$ 33.5 100.0%
Other Absolute Return Strategy								
Romspen	28.7	4.2	100.0	-- --	28.7 100.0	-- --	-- --	-- --

All dollars in millions, numbers may not add due to rounding

UWO Operating - Combined

Total Fund Real Returns – December 31, 2013

A Real Return of 8.2% over the last 4 years and well above the 4% Real Objective Over the Long Term

	1 Year	2 Years	3 Years	4 Years	5 Years	6 Years	7 Years	8 Years	9 Years	10 Years	20 Years
UWO Annualized Returns	19.8	15.5	9.7	9.8	11.2	5.5	5.2	6.1	6.6	6.9	7.7
CPI (Inflation)	1.2	1.0	1.5	1.7	1.6	1.5	1.7	1.7	1.7	1.7	1.8
Real Return	18.6	14.5	8.3	8.2	9.6	3.9	3.6	4.5	4.9	5.2	5.9
	Yr Ending 12/31/2013	Yr Ending 12/31/2012	Yr Ending 12/31/2011	Yr Ending 12/31/2010	Yr Ending 12/31/2009	Yr Ending 12/31/2008	Yr Ending 12/31/2007	Yr Ending 12/31/2006	Yr Ending 12/31/2005	Yr Ending 12/31/2004	
UWO Annual Returns	19.8	11.4	-1.0	10.2	16.7	-18.9	3.6	12.9	10.6	9.3	
CPI (Inflation)	1.2	0.8	2.3	2.4	1.3	1.2	2.4	1.7	2.1	2.1	
Real Return	18.6	10.5	-3.3	7.9	15.3	-20.1	1.3	11.2	8.5	7.2	

UWO Operating - Combined

Total Fund Value Added – December 31, 2013

Good value added from active management in all annualized periods despite poor hedge fund

	1 Year Annualized	2 Years Annualized	3 Years Annualized	4 Years Annualized	5 Years Annualized	6 Years Annualized	7 Years Annualized	8 Years Annualized	9 Years Annualized	10 Years Annualized
UWO Annualized Return (1)	19.8	15.5	9.7	9.8	11.2	5.5	5.2	6.1	6.6	6.9
Policy Return (2)	16.8	13.5	8.5	9.1	10.7	4.9	4.6	5.5	6.1	6.4
Value Added	3.1	2.0	1.2	0.8	0.5	0.6	0.6	0.6	0.5	0.5

	Yr Ending 12/31/2013	Yr Ending 12/31/2012	Yr Ending 12/31/2011	Yr Ending 12/31/2010	Yr Ending 12/31/2009	Yr Ending 12/31/2008	Yr Ending 12/31/2007	Yr Ending 12/31/2006	Yr Ending 12/31/2005	Yr Ending 12/31/2004
UWO Annual Return (1)	19.8	11.4	-1.0	10.2	16.7	-18.9	3.6	12.9	10.6	9.3
Policy Return (2)	16.8	10.4	-0.8	10.8	17.3	-19.6	2.9	12.0	11.1	9.1
Value Added	3.1	1.0	-0.2	-0.6	-0.6	0.8	0.8	0.9	-0.5	0.2

Policy Mix as of December 31

S&P/TSX Composite	20%	20%	20%	20%	20%	20%	20%	20%	20%	20%
S&P 500	5%	5%	5%	5%	5%	5%	15%	15%	10%	20%
S&P 500 Hedged Currency	11%	11%	11%	11%	11%	10%	5%	5%	10%	0%
S&P 400 Hedged Currency	4%	4%	4%	4%	4%	5%	5%	5%	5%	5%
MSCI EAFE	10%	10%	10%	10%	10%	10%	10%	10%	10%	10%
MSCI EAFE Hedged Currency	10%	10%	10%	10%	10%	10%	5%	5%	5%	5%
Total Equity	60%	60%	60%	60%	60%	60%	60%	60%	60%	60%
DEX Universe (3)	25%	25%	25%	40%	40%	40%	40%	40%	40%	40%
MSCI All Country World (ACWI)	5%	5%	5%	0%	0%	0%	0%	0%	0%	0%
90-Day LIBOR	5%	5%	5%	0%	0%	0%	0%	0%	0%	0%
Real Estate (4)	5%	5%	5%	0%	0%	0%	0%	0%	0%	0%

Notes:

(1) All returns are before deducting investment managers' fees.

(2) The UWO Policy Return is the return that would have been earned (before investment managers' fees) by investing the portfolio in index funds in proportion to the target or policy asset mix.

(3) Includes hedge fund and private equity prior to July 2010

(4) Current 5% allocation consists of 2.5% REALpac / IPD Canada - All Property Index and 2.5% REALpac / IPD Canada - All Industrials Index

RatingsDirect®

University of Western Ontario

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University of Western Ontario

Rationale

The 'AA' ratings on the University of Western Ontario (Western or the university), in London, Ont., reflect its stand-alone credit profile (SACP), which Standard & Poor's Ratings Services assesses at 'aa'. The ratings also reflect our opinion of a "moderately high" likelihood that the Canadian government would provide extraordinary support in the event of financial distress. The SACP on Western reflects Standard & Poor's view of the university's strong enrollment demand profile, good budgetary performance, and significant growth in unrestricted financial resources in the past three fiscal years. In our opinion, Western's higher debt burden puts some downward pressure on the rating, but we believe that its housing operations should generate sufficient cash to support the increased debt without weakening its core operating budget and we do not expect the university to issue any additional debt within the two-year rating horizon. The ratings also reflect our assessment of the tight operating environment in which Canadian universities and their supporting provincial governments operate.

Issuer Credit Rating

AA/Stable/--

In accordance with our criteria for government-related entities, our view of Western's "moderately high" likelihood of extraordinary government support reflects our assessment of its "important" role in the province, given that postsecondary education is one of Ontario's priorities in both expenditure and mandate (after health care and school boards), as well as the university's role as one of Ontario's largest universities by enrollment and its strong research capacity. The province's oversight, program approval rights, and tuition regulation over Western suggest a "strong" link to it. Also supporting this view is that provincial operating grants account for about 22% of the university's total revenue (excluding provincial grants for capital and student aid), and its appointment of some board members.

Despite the provincial support of Western, in our view, the university operates independently of the Ontario government. It is an autonomous legal entity with ownership of its assets. The province appoints four of the 28 board members; however, the board alone is responsible for overseeing the university's academic, business, and student affairs, including financial matters. Although the province monitors and guides tuition and student aid (through the tuition framework) and enrollment expansion (through operating grants), the university has the final decision in these matters, and its long-term strategy. Western is rated one notch higher than the Province of Ontario, reflecting our estimate that, along with Western's independence regarding ownership and operations, its financial assets demonstrate sufficient resiliency to withstand a period of financial stress at the provincial level.

Western continues to enjoy what we view as a good market position and has demonstrated strong student demand. Excluding its affiliates, the university had about 31,000 full-time equivalent (FTE) students in the fall of 2012, up about 1% from the previous year; the five-year average growth rate is about 2%. Student quality metrics, such as graduation and retention rates, have been improving and compare favorably with the average for the U15 (the 15 leading research-intensive universities in Canada) and the average entering grade was 88.9% for fall 2013; slightly below that of Queen's University. Western has also significantly invested in attracting both graduate and international students, which help improve its reputation and research profile.

In our opinion, management has demonstrated prudent budgeting practices and has kept expenditure growth under control where possible, although salary and benefit costs continue to exert the greatest pressure on expenditures, accounting for 61% of total expenses in fiscal 2013 (year ended April 30). Western generates what we view as good budgetary performance, with the fully consolidated balance averaging a surplus of 5.2% of total expenses for the past four years (7.1% in fiscal 2013). However, Western has budgeted for slight deficits in its operating budget for fiscal years 2014 and 2015 due to one-time allocations largely targeted at increasing the university's research profile and addressing infrastructure requirements. As such, we expect that budgetary performance will decline slightly over the two-year rating horizon but that overall it will remain in line with that of peers.

Western's debt increased about C\$100 million from the end of fiscal 2012 to about C\$316 million in 2013, equal to 32% of adjusted expenses in fiscal 2013 and almost C\$10,200 per FTE. Based on current projections, which do not include any additional borrowing in the next two years, we believe that these ratios will moderate slightly but could remain slightly elevated relative to those of similarly rated domestic peers. The additional debt was used to finance the construction of a new residence, and Western expects that cash flow from its residence operations will fund debt service and fully amortize the debt by its 2026 maturity.

We have used the "Principles Of Credit Ratings" in conjunction with "U.S. Public Finance: Higher Education" as our criteria foundation for our analysis of Western's creditworthiness. We feel that there is a sufficient degree of similarity between U.S. and Canadian public university systems such that we believe the U.S. higher education criteria is an appropriate methodology for evaluating Canadian universities' credit quality.

Liquidity

Western's liquidity is good in our view; at fiscal-year end 2013, its cash and equivalents totaled close to C\$1.1 billion, or 109% of adjusted expenses and 340% of debt outstanding. This is in line with that of many similarly rated Canadian peers. The value of Western's endowment was C\$432 million in April 2013, up significantly from C\$266 million in 2009. Despite this improvement, the endowment lags some peers in the 'AA' rating category, at about C\$13,900 per FTE.

The university's unrestricted financial resources (UFR), consisting of internally restricted endowments and unrestricted net assets (including undistributed investment returns but net of investments in capital assets), have risen significantly in the past several years, to C\$392 million at fiscal year-end 2013. This was sufficient to cover 124% of debt outstanding at year-end compared with only about 50% in fiscal years 2008 and 2009. We expect that the university will maintain strong liquidity to support its debt burden throughout the rating horizon.

Outlook

The stable outlook reflects our expectation that over the two-year outlook horizon, Western will continue to generate modest consolidated surpluses, its debt burden will not increase materially, adjusted debt service coverage will not erode much below 4x, and unrestricted financial resources will remain sufficient to cover at least 100% of debt outstanding. We could revise the outlook to negative or lower the ratings if government support were to decline meaningfully such that operating deficits significantly exceed expectations, or adverse market conditions led to a precipitous decline in unrestricted financial resources. We could revise the outlook to positive if the province were to

increase funding on a stable basis or alter the tuition framework to the extent that Western's revenues increased meaningfully and budgetary performance exceeded expectations.

Government Support And Government-Related Entities: Methodology Impact

In accordance with our criteria for government-related entities, our view of a "moderately high" likelihood of extraordinary government support reflects our assessment of Western's "important" role in the province, given that postsecondary education is one of Ontario's top priorities in both expenditure and mandate (after health care and school boards), and that there are no viable private alternatives. It also reflects our view of the university's strong reputation and its significant research capacity. In our opinion, the provincial oversight and directive Western receives through tuition regulation and program approval suggest a "strong" link with the province. Also supporting this view is that provincial operating grants account for about 45% of the university's operating revenues (22% of total revenues).

The issuer credit rating on Western incorporates both the university's stand-alone credit profile combined with our analysis of the moderately high likelihood of extraordinary support from Ontario. The rating also reflects our assessment of Western's legal and operating independence and our opinion that there is a measurable likelihood the university would have sufficient financial assets to withstand a temporary suspension of all provincial funding under a severe stress scenario. The stand-alone rating on Western reflects our view of the university's enterprise and financial risk profile and Ontario's ongoing financial support. It also incorporates what we believe to be Western's important public policy role and sizable share of the Ontario university system.

Ontario's 2013-2014 budget forecasts a deficit of C\$11.7 billion and a return to balance in 2017-2018. Although we believe that the province's overall support for universities will remain good, Ontario has imposed some savings targets on the universities, which for Western are likely to result in a C\$3.3 million reduction in base grants in fiscal 2014 and a further C\$3 million the following year. In March 2013, the province announced changes to the tuition framework, reducing the cap on annual increases to an average of 3% for undergraduate programs and 5% for professional and graduate programs over the next four years (from a maximum annual average of 5% institutionwide). In December 2013, it announced changes to university billing policies designed to protect students, including a continuation of the existing freeze on new program or flat fees, not billing the entire year's tuition upfront, and limiting late fee charges. The stand-alone rating on Western incorporates the ongoing support from Ontario, and we believe that the province's budgetary constraints and limiting of tuition increases could stress the stand-alone rating within the two-year outlook horizon.

Enterprise Profile

Background

Founded in 1878, Western is a research-intense, doctoral university. It is in the southwestern Ontario city of London, which has a population of about 366,000. Western has just over 31,000 FTEs on its main campus (37,000 FTEs with its three affiliated colleges), and is the 10th-largest university in Canada in terms of full-time students (fourth-largest in Ontario). It has 12 faculties and schools, including a school of medicine and dentistry, law, engineering, and business. It also has affiliations with three research institutes, three university colleges, and two teaching hospitals.

Management

Governing Western is a 28-member board, four of which the province appoints. The president and vice-chancellor, together with senior administrative officers, exert the central influence on Western policy and management, including budgeting and other financial matters, under the board's authority. In November 2012, the board appointed Amit Chakma to his second five-year term as president. The university's senior administration has been largely stable, which we believe lends stability to the credit profile. Western prepares externally audited financial statements, which have been unqualified, and budget documents and policies are robust and transparent.

Student quality continues to improve

Western has demonstrated strong student demand characteristics, similar to its rated peers, and we believe that it will continue to attract high-quality students despite increasing competition for graduate and international enrollments. Demographic projections indicate that the university-age population in the Greater Toronto Area (GTA) should remain fairly stable in the next decade, which should help Western achieve its growth targets given its proximity to the GTA (about 200 kilometers away).

In fall 2012, Western had almost 31,000 FTEs (excluding more than 6,000 FTEs at its affiliates, which the consolidated audited statements do not reflect), up 1% from the previous year. Student quality metrics show a gradual improvement against Western's peers in the U15 group of universities. The average entering grade in fall 2012 was 88.9% (second in the U15 and compared with the provinciewide average of 83.9%), the retention rate of first-year students was 92.8% (second in the U15 and compared with the provinciewide average of 89.1%), and the six-year graduation rate was 79.6% for the 2006-2007 cohort (third in the U15). Western's selectivity also continues to improve; its acceptance rate decreased to 51% for fall 2013 students from 65% in fall 2005.

Table 1

University of Western Ontario -- Peer Comparison								
	University of Western Ontario		University of Toronto		York University		McMaster University	
	AA/Stable	AA/Stable	AA/Stable	AA/Negative	AA-/Stable	AA-/Stable	AA-/Stable	AA-/Stable
	2013	2012	2013	2012	2013	2012	2013	2012
Enrollment and Demand								
Headcount	38,454	38,038	80,899	79,085	54,590	54,507	29,765	29,411
Full-time equivalent (FTE)	31,018	30,679	70,311	68,088	48,967	48,323	26,353	25,929
Acceptance rate (offers to applications; %)	51.0	54.2	N/A	52.1	83.0	76.4	N/A	63.0
Matriculation rate (registrants to offers; %)	21.9	22.1	N/A	32.5	20.1	20.4	N/A	27.6
Undergraduate FTEs as % of total FTEs (%)	81.3	81.3	79.9	79.8	78.2	78.3	N/A	84.6
Income Statement								
Adj. operating revenues (C\$000s)	1,049,744	1,030,914	2,523,400	2,395,300	983,060	956,883	938,829	880,532
Adj. operating expenses (C\$000s)	987,065	955,810	2,399,400	2,412,200	984,090	944,919	867,502	860,650

Table 1

University of Western Ontario -- Peer Comparison (cont.)								
Adj. operating balance (% of adj. operating expenses)	6.4	7.9	5.2	-0.7	-0.1	1.3	8.2	2.3
Adj. operating balance before depreciation and amortization (C\$000s)	100,953	111,814	199,600	56,100	28,693	41,264	98,865	47,041
Tuition and student fee dependence (% of adj. operating revenue)	29.4	27.9	37.4	35.4	46.1	45.3	21.5	20.9
Debt								
Outstanding debt (C\$000s)	316,185	216,319	726,000	727,700	305,881	306,511	147,526	148,120
Current debt service burden (% of adj. operating expenses)	2.4	1.5	1.7	1.5	2.0	2.1	1.1	1.1
Current adjusted debt service coverage (x)	4.9	8.7	5.8	2.5	2.4	3.0	11.7	5.7
Financial Resource Ratios								
Endowment market value (C\$000s)	431,853	371,732	1,663,700	1,518,100	372,321	331,127	480,472	445,368
Endowment per FTE (C\$)	13,923	12,117	23,662	22,296	7,604	6,852	18,232	17,176
Cash and investments (C\$000s)	781,469	694,573	2,403,600	2,095,600	633,480	535,471	740,359	673,311
Cash and investments to adj. operating expenses (%)	108.8	93.1	131.4	119.4	68.9	64.7	103.4	99.6
Cash and investments to debt (%)	339.7	411.3	434.3	395.8	221.5	199.4	608.2	578.9
Adjusted unrestricted financial resources (UFR; C\$000s)	391,981	292,715	1,002,700	836,000	133,016	145,892	241,674	216,731
Adjusted UFR to adj. operating expenses (%)	39.7	30.6	41.8	34.7	13.5	15.4	27.9	25.2
Unfunded liabilities to total liabilities (%)	24.4	25.7	42.9	43.8	25.9	29.9	91.0	75.4

Adjusted UFR = adjusted internally restricted net assets + internally restricted endowments. N/A--Not applicable.

A major priority for Western, and other universities throughout Canada, is to increase its graduate and international enrolment to not only generate revenue but to help improve the university's reputation and increase its research capabilities. However, as competition for these students is fierce among Canadian universities, Western has responded by investing a considerable amount of resources in attracting and retaining these students with some success; it surpassed its target for international enrollments in 2012 and 2013 after falling short for several years. Graduate students make up about 17% of enrollment and Western aims to keep this proportion fairly steady in the medium term. The university expects that undergraduate enrollment growth will be fairly flat at 1%-2% per year as it seeks to maintain high student quality and in light of space and facility constraints.

Financial Profile

Western budgets for slight deficits in the operating fund in fiscal years 2014 and 2015

Although Western has generated what we view as good budgetary performance in the past several years, continuing pressure on provincial budgets, a tighter tuition framework, and flattening FTE growth, coupled with planned deficits in the university's operating fund in the next two fiscal years, lead us to believe that budgetary performance will weaken over the two-year rating horizon but should remain adequate compared with that of similarly rated peers.

We believe Ontario generally provides good support to its universities; provincial operating and other government grants accounted for about 44% of Western's total revenues in fiscal 2013. However, as the province struggles to return its budget to a balanced footing, it has made some small cuts to base operating and capital grants that have forced Western to continue to squeeze out cost savings while trying to leverage more diverse revenue sources.

Western posted a robust, fully consolidated surplus equal to 7.1% of total expenses in fiscal 2013 on strong investment returns, which drove total revenues up 6.3%. Removing the impact of several noncash items related to changes in the fair value of investments and employee future benefits (but including amortization and depreciation revenues and expenses), the adjusted surplus was a still-solid 6.4% of adjusted expenses. These ratios have been superior to the peer average the past two years, although we believe that the latter ratio could moderate to less than 6% by fiscal 2015. Expenses for salaries and benefits account for about 61% of total expenses and continue to pressure the budget, increasing an average of 4.0% in the past five years.

Western has budgeted for its operating fund, which accounts for about 60% of its total revenues and expenditures, to be in a slight deficit position of 0.7% of operating expenses in fiscal 2014, increasing to a deficit of 1.5% in 2015. This is primarily due to C\$48.3 million in one-time allocations in 2014 (with C\$30 million aimed at enhancing the university's research profile), and a further C\$51.8 million in 2015. These allocations will be funded largely through its operating budget and will result in the operating reserve balance falling to C\$32 million by the end of fiscal 2015, well above the board-mandated minimum of C\$2.5 million. In our view, all Canadian universities face significant budgetary pressures and some degree of uncertainty regarding provincial support. However, as Western administers a pure defined contribution (DC) pension plan for its employees and, therefore, does not have the same special payment funding concerns for which nearly all other rated Canadian universities must budget, we believe that it is in a better position to protect its balance sheet.

Majority of capital expenditures directed toward new facilities

Western spent close to C\$162 million on capital expenditures in fiscal 2013 and its fiscal 2014 capital budget indicates planned expenditures of almost C\$113 million with 53% for new construction, 18% for maintenance and modernization of its existing infrastructure, and 29% for other items (mostly housing renovations and debt repayments). For fiscal 2015, Western has budgeted close to C\$104 million in capital projects split among modernization and maintenance (31%), new construction (29%), housing renovations (22%), and carrying costs and debt repayments (17%). A large portion of the 2014 capital plan was related to the second phase of a large 1,000-bed undergraduate residence project, which should be completed by the end of fiscal 2014. For the 2015 plan, 28% of the funding is expected to come from the operating budget, 10% from undistributed investment returns, 8% from each of government grants and external borrowing, and 32% from other sources such as ancillary operations.

Western estimates that its deferred capital maintenance, on assets with a replacement value of about C\$2.0 billion, is

C\$201 million (including C\$25 million for its residence buildings). The university receives a small amount of funding from the province for deferred capital maintenance, but its long-term strategy for tackling deferred maintenance is through annual transfers from operations toward this backlog. In fiscal 2015, this transfer will be C\$13.3 million and Western plans to increase this transfer annually by C\$750,000 until it reaches C\$15.5 million per year. We believe that this strategy will help to lower the increase in deferred maintenance but that uncertainty regarding federal and provincial capital grants will increase the university's dependence on capital revenues from competitive research sources, private fundraising, transfers from the operating budget, and debt to fund its capital plans.

New residence building financed through drawdown of credit facility

At the end of fiscal 2013, Western had drawn C\$100 million of its C\$130 million credit facility to finance the construction of its new residence building. As expected, this resulted in total debt outstanding increasing to C\$316 million at the end of the fiscal year, the bulk of which remains the C\$190 million senior unsecured bullet debenture issued in 2007 and which matures in 2047. Total debt was equal to about 32% of adjusted fiscal 2013 expenditures and almost C\$10,200 per FTE. Both of these measures are slightly higher than the average for rated Canadian universities although given there are no other expected debt issuances in the near-to-medium term, we believe that these ratios will gradually moderate as the debt is repaid.

The university entered an interest-rate swap to fix the long-term rates on the principal owing from the nonrevolving portion of the credit facility at 2.995% for the entire 15-year term (ending October 2026). C\$30 million remains available on the revolving demand facility, although we do not believe that Western will draw significant amounts from it over the two-year rating horizon. The credit facilities are unsecured and rank *pari passu* with Western's senior unsecured debenture outstanding.

Removing the impact of noncash amortization and depreciation revenues and expenses, the adjusted debt service coverage ratio in fiscal 2013 was 4.9x, in line with the average for rated Canadian universities. We believe that narrowing adjusted surpluses will result in the ratio weakening slightly over the rating horizon but that it should remain greater than 4x in the next several years. In our view, the strong student demand for additional residence spaces and the history of positive cash flows from Western's residence operations, which it can use to fund debt service such that we expect the associated debt to be fully amortizing, make for a solid business case for the project. However, the increased debt has weakened the university's credit profile somewhat relative to that of similarly rated Canadian universities.

Based on public disclosures, we do not believe that Western has any meaningful contingent liabilities that could materially affect our view of the university's credit profile.

Defined contribution pension plan remains a relative strength

Among Canadian rated universities, Western is the only one to sponsor a pure DC pension plan for all of its current employees that transfers the plan's investment risks away from itself. This is in contrast to some institutions that face the potential requirement to make special solvency payments on their pension plan deficits. Western does have a legacy defined benefit plan with a deficit position of C\$551,000 at fiscal year-end 2013, but we do not consider the liabilities associated with this plan to be significant.

The majority of Western's postemployment liabilities relate to unfunded nonpension benefits such as medical, dental,

and life insurance. At the end of fiscal 2013, these liabilities amounted to almost C\$363 million, up 6.7% from the previous year. These liabilities have been increasing an average of about 12% per year for the past five years, partly due to their sensitivity to low interest rates. The university estimates that a 1% change in the discount rate assumption used to calculate these liabilities can swing the total obligations by C\$50 million-C\$60 million. Western implemented several cost-containment measures to moderate the growth of these liabilities several years ago and it expects that increased discount rates in fiscal 2014 will result in positive changes to these liabilities in the near term.

Endowments and unrestricted financial resources continues to grow in 2013

At fiscal year-end 2013, the market value of Western's endowment was C\$432 million, up a significant 16% from the previous year on strong market returns, although we expect more moderate increases in the next several years. Western reported that at the end of September 2013, the endowment value had further increased to C\$445 million. Its payout policy for its endowed funds is an annual allocation of 4.0% of a five-year average of the endowment's market value. Its current fundraising campaign, launched in 2007, aims to raise C\$750 million by 2018 and by the end of January 2014 it had raised about 63% of that target.

Almost 92% of Western's fiscal 2013 endowment carries external restrictions, providing pragmatic strength through scholarships and faculty chairs that help attract and maintain students and faculty. The remainder of the university's endowments are internally restricted, which, along with C\$358 million in internally restricted net assets (including undistributed investment returns but net of investments in capital assets), gives it total unrestricted financial resources (UFR) available for debt service of C\$392 million. Western's UFR have risen substantially in the past several years, including an increase of C\$100 million in 2013, mainly due to growth in undistributed investment returns and departmental carry forwards, which the university would be able to claw back under a stress scenario. At the end of fiscal 2013, UFR were sufficient to cover about 40% of adjusted expenses and 124% of the total debt outstanding at fiscal year-end 2013, the latter down from 135% in 2012 due to the increase in debt. Western projected its debt to peak in 2013 and accordingly, we do not believe that this ratio will weaken within the rating horizon and that it compares well to that of many other rated universities. This ratio also highlights an important difference between Western and its peers when nonfunded postemployment liabilities are added in the denominator; for Western, the ratio is improving, compared with deterioration at most other institutions.

Related Criteria And Research

Related Criteria

- Principles Of Credit Ratings, Feb. 16, 2011
- USPF Criteria: Higher Education, June 19, 2007
- General Criteria: Rating Government-Related Entities: Methodology And Assumptions, Dec. 9, 2010

Ratings Detail (As Of March 24, 2014)

University of Western Ontario

Issuer Credit Rating	AA/Stable/--
Senior Unsecured	AA

Issuer Credit Ratings History

26-Feb-2013	AA/Stable/--
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Ratings Detail (As Of March 24, 2014) (cont.)

14-Dec-2010

AA/Negative/--

04-May-2007

AA/Stable/--

*Unless otherwise noted, all ratings in this report are global scale ratings. Standard & Poor's credit ratings on the global scale are comparable across countries. Standard & Poor's credit ratings on a national scale are relative to obligors or obligations within that specific country.

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Office of Institutional Planning & Budgeting

January 20, 2014

To: All Deans

From: Ruban Chelladurai

Copy: Amit Chakma, Janice Deakin, Alan Weedon

Subject: **Revenues, Expenditures, and Tuition Fees – by Faculty: 2012-13**
and
Budget per Weighted Teaching Unit: 2012-13

Attached are two analyses which are updates to the information that Deans have received in previous years.

1. The tables showing the 2012-13 analyses on Revenues, Expenditures, and Tuition Fees – by Faculty. The analyses are based on final year-end 2012-13 data. This set of analyses has been presented to Deans since 1995-96 – and there have been periodic refinements to the methodology in order to align the analyses with changes in the University's budget systems (e.g. revenue sharing).
2. The graph showing Budget per Weighted Teaching Unit for 2012-13 – by Faculty. This graph has been presented to Deans as another way of looking at relative resource allocations.

If you have any questions please let me know.

EXPENDITURES AND TUITION REVENUE AT WESTERN, 2012-13

		FTE Enrolments	Expenditures (\$ 000)	Expenditures / FTE	Tuition Revenue (\$ 000)	Tuition Rev / FTE	Tuition / Expenditures
		(1)	(2)	(3)	(4)	(5)	(6)
	Group 1:						
1	Arts & Humanities	3,274	49,092	14,996	21,425	6,545	43.6%
2	Engineering	1,524	46,709	30,657	17,351	11,388	37.1%
3	Health Sciences	2,861	48,302	16,883	17,732	6,198	36.7%
4	Music	660	15,122	22,919	3,838	5,817	25.4%
5	Science	5,821	95,904	16,476	41,861	7,192	43.6%
6	Social Science	7,301	94,566	12,953	50,387	6,901	53.3%
7	Sub-Total	21,440	349,695	16,311	152,595	7,117	43.6%
	Group 2:						
8	Business	2,099	73,538	35,030	51,425	24,496	69.9%
9	Education	1,649	21,378	12,963	9,442	5,725	44.2%
10	Info & Media Studies	870	15,190	17,468	6,038	6,943	39.7%
11	Law	613	12,937	21,107	8,749	14,275	67.6%
12	Medicine & Dentistry	3,740	140,974	37,697	38,496	10,294	27.3%
13	Sub-Total	8,971	264,017	29,431	114,150	12,725	43.2%
14	Total	30,410	613,712	20,181	266,744	8,772	43.5%

Notes

Col 1 = Undergraduate Full-Time Equivalent Enrolments (FTEs) are based on teaching activity.

Graduates FTEs based on students' Faculty of registration.

Col 2 = Sum of Base Budgets and Other Direct and Indirect Expenses.

Col 3 = Column 2 / Column 1

Col 4 = Based on the FTEs -- as calculated in Column 1.

Col 5 = Column 4 / Column 1

Col 6 = Column 4 / Column 2

EXPENDITURES AND REVENUES AT WESTERN -- BY FACULTY, 2012-13

		FTEs	Expenditures (\$000)	Revenues (\$000)	Exp-Rev (\$000)	Expenditures / Revenues	Expenditures / FTE	Revenues / FTE	(Exp-Rev) / FTE	Tuition / Expenditures
		(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Group 1:									
1	Arts & Humanities	3,274	49,092	47,433	1,658	1.03	14,996	14,490	507	43.6%
2	Engineering	1,524	46,709	36,181	10,528	1.29	30,657	23,747	6,910	37.1%
3	Health Sciences	2,861	48,302	56,238	-7,936	0.86	16,883	19,657	-2,774	36.7%
4	Music	660	15,122	10,446	4,676	1.45	22,919	15,832	7,087	25.4%
5	Science	5,821	95,904	93,537	2,368	1.03	16,476	16,069	407	43.6%
6	Social Science	7,301	94,566	104,808	-10,242	0.90	12,953	14,355	-1,403	53.3%
7	Sub-Total	21,440	349,695	348,642	1,053	1.00	16,311	16,262	49	43.6%
	Group 2:									
8	Business	2,099	73,538	73,531	7	1.00	35,030	35,027	3	69.9%
9	Education	1,649	21,378	21,321	57	1.00	12,963	12,928	35	44.2%
10	Info & Media Studies	870	15,190	14,161	1,029	1.07	17,468	16,285	1,183	39.7%
11	Law	613	12,937	13,241	-305	0.98	21,107	21,604	-497	67.6%
12	Medicine & Dentistry	3,740	140,974	142,815	-1,841	0.99	37,697	38,189	-492	27.3%
13	Sub-Total	8,971	264,017	265,070	-1,053	1.00	29,431	29,548	-117	43.2%
14	Total	30,410	613,712	613,712	0	1.00	20,181	20,181	0	43.5%

Notes

Col 1 = Undergraduate Full-Time Equivalent Enrolments (FTEs) are based on teaching activity.

Graduates FTEs based on students' Faculty of registration.

Col 2 = Operating Expenditures (see Table C)

Col 3 = Operating Revenues (see Table D)

Col 4 = Column 2 less Column 3

Col 5 = Expenditures / Revenues (Column 2 / Column 3)

Col 6 = Expenditures / FTE (Column 2 / Column 1)

Col 7 = Revenues / FTE (Column 3 / Column 1)

Col 8 = (Expenditures-Revenues) / FTE or ((Column 2 - Column 3) / FTE)

Col 9 = Tuition Revenue /Expenditures (same as column 6 in Table A)

TABLE C (2012-13)

OPERATING EXPENDITURES AT WESTERN, 2012-13

		Base Budget	One-Time Support	Centrally Funded Student Support	Indirect Costs	Operating Expenditures
		(1)	(2)	(3)	(4)	(5)
	Group 1:					
1	Arts & Humanities	29,080,949	3,165,933	1,277,905	15,566,858	49,091,645
2	Engineering	24,817,223	4,762,616	2,541,896	14,587,221	46,708,956
3	Health Sciences	26,907,432	3,625,389	2,618,838	15,150,070	48,301,729
4	Music	9,753,748	239,970	580,757	4,547,546	15,122,020
5	Science	50,841,789	7,780,546	4,124,478	33,157,583	95,904,397
6	Social Science	50,089,619	7,243,367	4,723,992	32,509,429	94,566,407
7	Sub-Total	191,490,760	26,817,821	15,867,866	115,518,707	349,695,154
	Group 2:					
8	Business	55,102,857	-72,474	4,399,134	14,108,890	73,538,406
9	Education	12,323,276	512,256	689,681	7,852,859	21,378,072
10	Info & Media Studies	9,449,263	1,100,599	465,944	4,174,201	15,190,007
11	Law	6,993,390	1,075,788	1,195,137	3,672,194	12,936,508
12	Medicine & Dentistry	85,173,612	9,432,535	7,664,560	38,703,411	140,974,119
13	Sub-Total	169,042,398	12,048,704	14,414,455	68,511,556	264,017,113
14	TOTAL	360,533,158	38,866,525	30,282,321	184,030,263	613,712,267

Notes

Col 1 = Base Budget at April 30.

Col 2 = Includes initial one-time allocations as originally budgeted, revenue sharing allocations, and other one-time allocations up to April 30th.

Col 3 = Includes undergraduate scholarships and bursaries which have been specifically awarded and unallocated bursaries distributed on the basis of awards to-date.

Scholarship and bursary awards are based on distribution as of April 30.

Col 4 = The amounts shown under indirect costs are the total of the budgets of all University-wide expenditures and support areas, and a portion of unallocated ADF. Distributed as in Table F.

TABLE D (2012-13)

OPERATING REVENUES AT WESTERN, 2012-13

		Base Grants	Graduate Expansion Grant	Research Related Grants	Faculty Specific- Grants	Other Grants	Tuition Revenue	Other Revenues	Total Operating Revenue
		(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
	Group 1:								
1	Arts & Humanities	21,006,945	787,346	463,408		1,090,780	21,425,466	2,659,553	47,433,498
2	Engineering	12,170,115	347,437	2,744,664		636,250	17,350,869	2,931,194	36,180,528
3	Health Sciences	25,283,447	2,941,561	905,282	4,661,835	1,320,322	17,732,312	3,393,142	56,237,902
4	Music	5,493,533	113,296	8,896		285,399	3,838,005	706,651	10,445,779
5	Science	38,107,134	1,636,672	3,970,276		1,977,288	41,861,118	5,984,225	93,536,713
6	Social Science	42,875,507	1,191,442	2,246,292		2,222,999	50,386,903	5,884,899	104,808,042
7	Sub-Total	144,936,681	7,017,754	10,338,816	4,661,835	7,533,038	152,594,673	21,559,664	348,642,461
	Group 2:								
8	Business	12,410,518	-757,937	402,927		644,301	51,424,872	9,406,601	73,531,283
9	Education	8,605,280	1,525,626	109,200		448,341	9,441,979	1,190,386	21,320,813
10	Info & Media Studies	6,426,433	494,969	100,704		336,923	6,037,933	764,338	14,161,299
11	Law	3,624,294	105,582	52,815		187,198	8,748,955	522,332	13,241,175
12	Medicine & Dentistry	43,503,265	1,431,188	9,228,073	33,669,962	2,257,307	38,496,064	14,229,375	142,815,234
13	Sub-Total	74,569,790	2,799,428	9,893,719	33,669,962	3,874,071	114,149,803	26,113,032	265,069,805
14	TOTAL	219,506,471	9,817,182	20,232,535	38,331,797	11,407,109	266,744,476	47,672,697	613,712,267

Notes

Col 1 = Base Grants as of April 30, and are distributed on the basis of adjusted BIUs -- which are calculated using teaching activity.

Includes Undergraduate Accessibility Fund, Tuition Offset Grant, Quality Assurance Fund (QAF), Quality Improvement Fund (QIF),

Access to Opportunities Program (ATOP), Teacher Education Expansion and Graduate Expansion for growth to 2007-08 -- as of April 30.

Col 2 = Graduate Expansion Fund is distributed based on enrolment growth (domestic students eligible for government funding) over 2007-08.

Col 3 = Research Related Grants are: Research Performance Grant, Research Infrastructure Grant, Federal Funding for Indirect Costs of Research, and Canada Research Chairs -- as of April 30, and are distributed on the basis of research funding share.

Col 4 = Faculty-specific Grants are: T&R and Primary Care Grants to Medicine, and grants targetted for Nursing expansion.

Col 5 = Other Grants consist of the following grants: Performance Indicator Fund, Trois-Pistoles Bursary, Accessibility for Disabled Students, Property Tax Grant, Work Study Grants, and Other MTCU Allocations. Distributed on the basis of adjusted BIUs.

Col 6 = Actual Tuition Revenue for the Year. Based on same distribution as described in the footnote for Column 1 in Table A.

Col 7 = Other Revenues consist of: Royalties & Licences, Contributions from Ancillary Units, Investment Income, Research Overhead, Transfer from Affiliated University Colleges, Application Fees, Unrestricted Fundraising, Privately-Funded Student Awards, and Other Miscellaneous Revenues. Excludes Recoverable Salaries. Royalties & Licences and Research Overhead are distributed directly to Faculties. Distribution of all other revenues is based 50% on BIUs and 50% on FTEs -- with the exception of the Ivey School's transfer for services provided by UWO (which is assigned directly to Ivey) and Privately-Funded Student Awards (which are Faculty-specific).

BIU AND FTE ENROLMENTS AT WESTERN, 2012-13

		Basic Income Units (BIUs)			Full-Time Equivalents (FTEs)			BIUs per FTE		
		Undergrad	Graduate	Total	Undergrad	Graduate	Total	Undergrad	Graduate	Total
		(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Group 1:									
1	Arts & Humanities	4,085.0	1,243.1	5,328.1	2,890.0	383.6	3,273.6	1.41	3.24	1.63
2	Engineering	1,604.0	1,503.9	3,107.9	860.0	663.6	1,523.6	1.87	2.27	2.04
3	Health Sciences	3,595.0	2,854.3	6,449.3	2,082.0	778.9	2,860.9	1.73	3.67	2.25
4	Music	1,042.0	352.1	1,394.1	547.0	112.8	659.8	1.91	3.12	2.11
5	Science	7,660.0	1,998.4	9,658.4	5,015.0	805.8	5,820.8	1.53	2.48	1.66
6	Social Science	8,920.0	1,938.6	10,858.6	6,693.2	607.6	7,300.8	1.33	3.19	1.49
7	Sub-Total	26,906.0	9,890.4	36,796.4	18,087.2	3,352.3	21,439.5	1.49	2.95	1.72
	Group 2:									
8	Business	2,433.0	714.2	3,147.2	1,698.0	401.3	2,099.3	1.43	1.78	1.50
9	Education	1,406.0	784.0	2,190.0	1,384.0	265.2	1,649.2	1.02	2.96	1.33
10	Info & Media Studies	679.0	966.8	1,645.8	514.0	355.6	869.6	1.32	2.72	1.89
11	Law	880.0	34.4	914.4	600.0	12.9	612.9	1.47	2.67	1.49
12	Medicine & Dentistry	8,740.0	2,286.2	11,026.2	3,149.2	590.5	3,739.7	2.78	3.87	2.95
13	Sub-Total	14,138.0	4,785.6	18,923.6	7,345.2	1,625.5	8,970.7	1.93	2.94	2.11
14	TOTAL	41,044.0	14,676.0	55,720.0	25,432.4	4,977.8	30,410.2	1.61	2.95	1.83

Notes

Col 1,4 = Based on teaching activity.

Col 2,6 = Based on Faculty of registration.

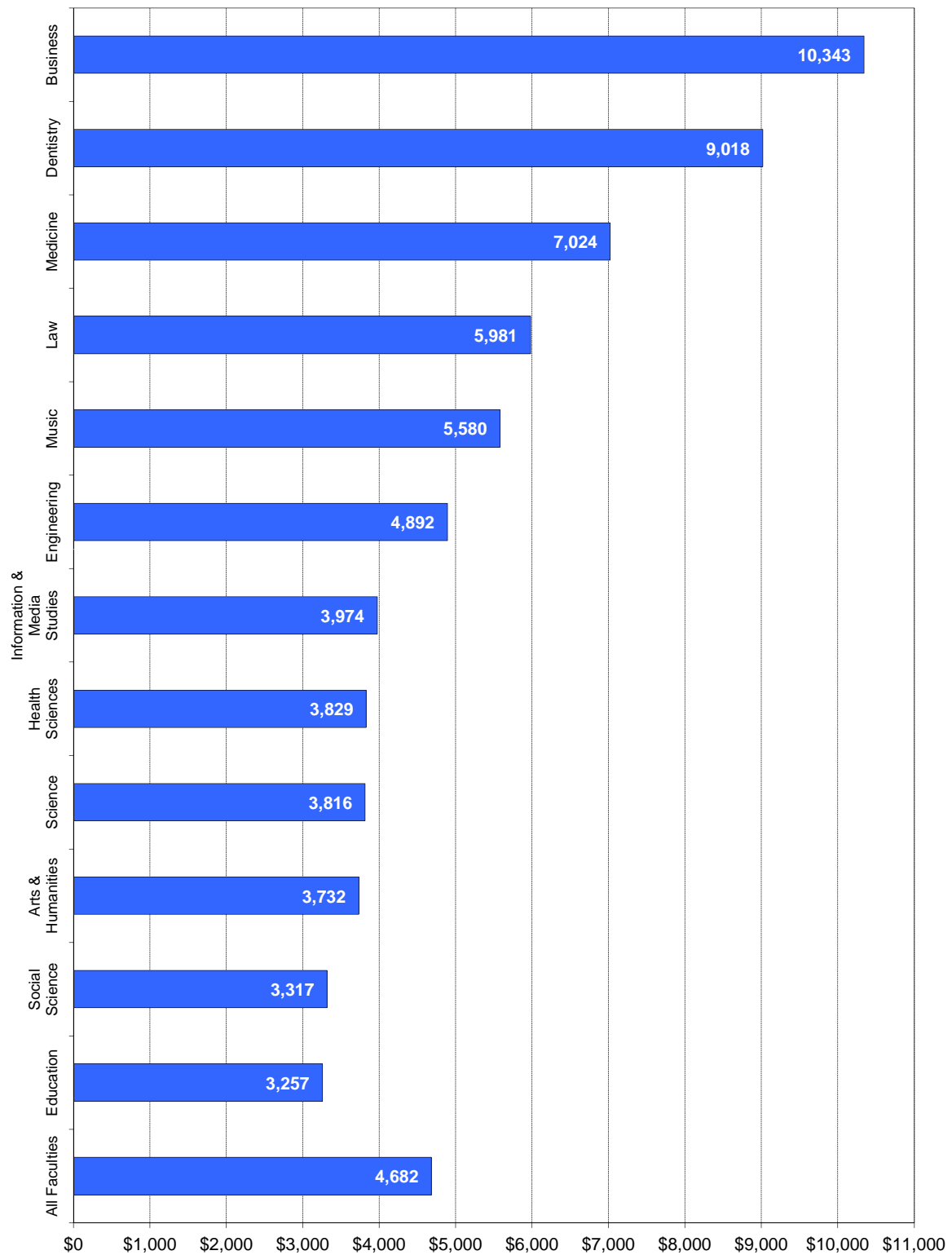
Row 12= Undergraduate enrolment includes BMedSci, DDS, MD enrolments and non-International Medical Residents.

COST DRIVERS FOR ALLOCATION OF INDIRECT EXPENDITURES

		Allocation Basis (i.e. Cost Drivers)											
		Operating Funds - Total excl. Cfwd	Total FTE Enrolment	Undergrad FTE Enrolment	Graduate FTE Enrolment	Total FT International Enrolment	FTE Faculty	FT Ten/Prob Faculty	FTE Employees	Research Funding	Total Space	Research Space	Number of Alumni
		(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
1	SGPS				X								
2	Trois Pistoles			X									
3	P.A.S.F. (Unallocated)	X											
4	Enhancing Our Research Profile							X		X			
5	A.D.F. (Unallocated)						X						
6	Teaching Support Centre						X						
7	Effective Writing Program		X										
8	Information Technology Services		X						X				
9	Libraries - Basic & Acquisitions		X						X				
10	Registrar's Office			X									
11	Vice-Provost (APPF)						X						
12	Institutional Planning & Budgeting	X											
13	Research Western						X			X			
14	Research Promotion Fund						X						
15	Western Innovation Found (Unallocated)									X			
16	Animal Care/Vet. Services									X			
17	Alumni Relations & Development												X
18	Communications		X						X				
19	McIntosh Gallery		X						X				
20	Advancement Services												X
21	Office of the President & Vice-Presidents	X											
22	University Secretariat		X						X				
23	Financial Services	X											
24	Human Resources								X				
25	University Police										X		
26	Facilities Management										X		
27	Accessibility for the Disabled										X		
28	Corporate Contingency	X											
29	Convocation & Diplomas		X										
30	Ombudsperson			X									
31	Insurance										X		
32	London Museum of Archaeology		X				X						
33	Athletic Injury Clinic		X										
34	Intercollegiate Athletics		X										
35	CRVAWC						X						
36	University Surveys & Teaching Evaluations		X										
37	MMI Transfer to Capital										X		
38	Memberships	X											
39	Physical Plant Utilities										X		
40	Professional Fees	X											
41	Internal Audit	X											
42	Property Tax		X										
43	Costs Associated with Employee Contracts								X				
44	Information Technology Infrastructure Fund		X						X		X		
45	Employee Benefit Plans/Recoveries								X				
46	Staff/Faculty Health Services								X				
47	Support Unit Priorities Fund	X											
48	Western International Education					X							
49	Provision/Unallocated	X											
50	FFICR Transfer to Capital											X	
51	CRC Transfer to Capital										X		
52	O-T Transfer to Capital - Classroom Upgrades		X										
53	Undergraduate & Graduate Program Reviews			X	X								

Western University

2012-13: Budget per WTU



REPORT ON TRADEMARK LICENSEES DOING BUSINESS WITH THE BOOK STORE AT WESTERN

March 2014

FOR INFORMATION

The BookStore at Western continues to maintain full compliance with the code of conduct for all clothing vendors.

In April 2013, the collapse of the Rana Plaza building in Bangladesh was considered to be the deadliest garment-factory accident in history, garnering world-wide attention. This led to widespread discussions on corporate social responsibility across global supply chains. It further identified the need to improve the auditing process on products and suppliers beyond the first-tier supplier level. The collaboration needed with suppliers and universities was also identified as crucial in the advancement of these issues. Reform to minimum wage laws in Bangladesh is ongoing, however safety issues remain as there have been two additional accidents in the region since April killing at least 19 people¹. Western has not been associated with any garment brands in these accidents, but companies like Loblaw and Hudson's Bay have been identified which brings this issue close to home.

The emergence of new advocacy groups like the Clean Clothes Campaign (CCC) and the International Labor Rights Forum (ILRF) have added voices to these issues. The main Canadian watchdog, the Maquila Solidarity Network (MSN), along with the Worker Rights Consortium are joining the global voice and calling for improved compensation and changes to prevent further tragedies. In late 2013, focus has shifted to issues in Cambodia where workers are protesting working conditions and malnutrition. Major brands, including Adidas, have been named in this region. Labor rights groups, including MSN and the United Students Against Sweatshops, are very concerned about this situation and calling on clothing brands to use their influence to bring a solution.²

The BookStore at Western has maintained a close relationship with its suppliers to ensure the ethical manufacturing of all products to be sold at Western. It is clear we must remain aware of global issues and collaborate with advocacy groups and other universities to keep advancing the dialogue. In addition, the BookStore at Western has posted its commitment to environmental sustainability located at http://www.bookstore.uwo.ca/promos/WRS_EnvironmentalSustainabilityPolicy.pdf

¹ CBC News, "Timeline: Deadly Factory Accidents in Bangladesh", Oct 9, 2013, <http://www.cbc.ca/news2/interactives/timeline-bangladesh/>

² Clean Clothes Campaign, "Labour rights groups condemn violence against garment workers in Cambodia", Jan 9, 2014., <http://www.cleanclothes.org/news/press-releases/2014/01/09/labour-rights-groups-condemn-violence-against-garment-workers-in-cambodia>

SCHOLARSHIPS/AWARDS/PRIZES

FOR INFORMATION

1. New Scholarships, Awards and Bursaries

On behalf of the Board of Governors, the Property and Finance Committee has approved the following terms of reference for new scholarships, awards, bursaries and prizes.

John and Nancy Murray Prize (School of Graduate and Postdoctoral Studies, Dentistry)

Awarded annually to the second year graduate students in the Orthodontics program in the Schulich School of Medicine & Dentistry. The recipients will be selected by the Graduate Scholarship Committee in Dentistry, with at least one representative holding membership in the School of Graduate and Postdoctoral Studies. This prize was established with Foundation Western, through the generous support of the UWO Orthodontic Alumni Association, to honour Dr. John Murray and his contributions as a Western faculty member in the Division of Graduate Orthodontics for over 40 years.

Value: 3 at \$400

Effective: May 2013

Dr. David C. Way Memorial Award (School of Graduate and Postdoctoral Studies, Dentistry)

Awarded annually to a full-time graduate student entering the Graduate Orthodontics program at the Schulich School of Medicine & Dentistry, who has academic achievement and community/volunteer service. A one-page statement outlining this community/volunteer service must be submitted to the Graduate Orthodontic Office by February 28th. The recipient will be selected by the Graduate Orthodontics Award Committee, and awarded each spring. At least one representative of the committee must hold membership in the School of Graduate and Postdoctoral Studies. This award was established by Mrs. Marjorie Way in memory of her late husband, Dr. David C. Way.

A graduate of the University of Toronto and University of Michigan, David was a devoted member of the dental and orthodontic community in London, Ontario, for over fifty years. His private practice on Queens Avenue was one of the first orthodontic offices in London. David was an integral member in the founding of the Graduate Orthodontic Program at The University of Western Ontario, where he served as an Associate Professor for many years. David died in 2011 at age 86.

Value: 1 at \$2,000

Effective: May 2013

Ira Abraham MBA Award (School of Graduate and Postdoctoral Studies, MBA)

Awarded annually to a full-time graduate student entering the Masters of Business Administration program at the Richard Ivey School of Business, based on academic achievement and demonstrated community leadership. Preference will be given to a student that demonstrates a passion for people through the interview process. Final selection of the recipient will be made by the MBA Scholarship Review Committee with at least one member of the selection committee holding membership in the School of Graduate and Postdoctoral Studies. Recipients will be notified at the time of acceptance into the program. This scholarship is made possible through the generosity of Judy Abraham in honour of her late husband Ira David Abraham, MBA '71.

Value: 1 at \$2,500

Effective Date: May 2014 to April 2018

Andrew and Andrea Federer Global Opportunities Award (Any Undergraduate or Graduate Program)

Awarded to full-time students (undergraduate or graduate) participating in a Western University international experience or study abroad program for which academic credit or approval from their department or faculty will be obtained. This includes academic exchange programs; approved study abroad programs; curriculum based international field courses/research, international community service learning; volunteer opportunities and internships led by Western University. To qualify for these awards, the experience must

meet at least one of the following criteria:

- Be organized by Western University staff, faculty or department
- Be eligible for academic credit
- Form a required component of the student's degree program.

Students participating in any of the above listed programs who are registered at the constituent University may be considered. Students must have completed their prescribed academic program the previous year and currently be registered in a full-time course load (minimum 3.5 full courses). Students may apply for this award in advance of being accepted into an eligible international learning program with receipt of the award contingent upon acceptance into the program. Students may only receive a Global Opportunities award once during their academic career at Western.

Online applications are available on the Global Opportunities website, Western International. Transcripts are required for students who studied elsewhere in their previous academic year. Applications are due on November 15th (for decisions in early January) and March 15th (for decisions in early May). Students will be selected based on a combination of academic achievement, as well as a statement outlining how this experience will contribute to their development as a global citizen, what they expect to learn through their program of study and how they will be an effective Ambassador for Western. This award was established by a generous gift from Andrew and Andrea Federer (BA '82, Economics).

Value: 3 at \$2,000*

Effective: 2013-2014 to 2014-2015 academic years inclusive

*Each of the Donor's \$1,000 gifts have been matched by the University through the Global Opportunities Award Program.

Henry Yip Global Opportunities Award in Engineering (Faculty of Engineering)

Awarded annually to a full-time student enrolled in the Faculty of Engineering who is participating in a Western University international experience or study abroad program for which academic credit or approval from their department or faculty will be obtained. This includes academic exchange programs; approved study abroad programs; curriculum based international field courses/research, international community service learning; volunteer opportunities and internships led by Western University. To qualify for these awards, the experience must meet at least one of the following criteria:

- Be organized by Western University staff, faculty or department
- Be eligible for academic credit
- Form a required component of the student's degree program.

Students participating in any of the above listed programs who are registered at the constituent University may be considered. Students must have completed their prescribed academic program the previous year and currently be registered in a full-time course load (minimum 3.5 full courses). Students may apply for this award in advance of being accepted into an eligible international learning program with receipt of the award contingent upon acceptance into the program. Students may only receive a Global Opportunities award once during their academic career at Western.

Online applications are available on the Global Opportunities website, Western International. Transcripts are required for students who studied elsewhere in their previous academic year. Applications are due on November 15th (for decisions in early January) and March 15th (for decisions in early May). Students will be selected based on a combination of academic achievement, as well as a statement outlining how this experience will contribute to their development as a global citizen, what they expect to learn through their program of study and how they will be an effective Ambassador for Western. This award was established by Mr. Henry Ching-Lin Yip (BESc '73).

Value: 1 at \$2,000*

Effective: 2013-2014 academic year only

*The Donor's \$1,000 gift has been matched by the University through the Global Opportunities Award Program.

Leflar Foundation Bursary OSOTF II (Any Undergraduate Program)

Awarded annually to an undergraduate student who demonstrates financial need. Preference will be given to a student whose permanent residence is in the Owen Sound area, or Grey and Bruce counties, and who comes from a family with a single parent. Online financial assistance applications are available through the Registrar's website and must be completed by October 31st. The Office of the Registrar will select the recipient. These bursaries were created to assist needy students from families with a single parent family by Mrs. Eva Leflar, principal of The Leflar Foundation. This gift is supported by the Ontario Student Opportunity Trust Fund II program and recipients must meet Ontario residency requirements.

In 1990, Eva started the Eva Leflar Foundation, with a mandate to provide support to the children of Owen Sound and Grey counties. In its 20 year history, her foundation has provided scholarships to graduating high school students and students at several Ontario universities. Eva died in 2012 at age 93.

Value: 1 at up to \$5,000 only

Effective: 2013-2014 academic year only

Value: 1 at \$1,100

Effective: 2014-2015 academic year

Leflar Foundation Bursary OTSS (Any Undergraduate Program)

Awarded annually to undergraduate students who demonstrate financial need. Preference will be given to a student whose permanent residence is in the Owen Sound area, or Grey and Bruce counties, and who comes from a family with a single parent. Online financial assistance applications are available through the Registrar's website and must be completed by October 31st. The Office of the Registrar will select the recipients. These bursaries were created to assist needy students from families with a single parent family by Mrs. Eva Leflar, principal of The Leflar Foundation. This gift is supported by the Ontario Trust for Student Support program and recipients must meet Ontario residency requirements.

In 1990, Eva started the Eva Leflar Foundation, with a mandate to provide support to the children of Owen Sound and Grey counties. In its 20 year history, her foundation has provided scholarships to graduating high school students and students at several Ontario universities. Eva died in 2012 at age 93.

Value: 2 at up to \$5,000 each

Effective: 2013-2014 academic year only

Value: 1 at \$1,100

Effective: 2014-2015 academic year

Dancap Private Equity Graduating Student Award in Accounting (Faculty of Social Science, Management and Organizational Studies)

Awarded annually to a full-time student completing the final year in an Accounting module in the DAN Management and Organizational Studies program, based on academic achievement and demonstrated professionalism, leadership and initiative in extracurricular activities throughout his or her undergraduate years. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA'85).

Value: 1 at \$1,250

Effective: 2013-2014 academic year

Dancap Private Equity Student Award in Consumer Behavior (Honors) (Faculty of Social Science, Management and Organizational Studies)

Awarded annually to a full-time student enrolled in the Consumer Behavior Honors module in the DAN Management and Organizational Studies program, based upon academic achievement and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

Value: 1 at \$1,250

Effective: 2013-2014 academic year

Dancap Private Equity Graduating Student Award in Consumer Behavior (Faculty of Social Science, Management and Organizational Studies)

Awarded annually to a full-time student completing the final year in a Consumer Behavior module in the DAN Management and Organizational Studies program, based on academic achievement and demonstrated professionalism, leadership and initiative in extracurricular activities throughout his or her undergraduate years. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

Value: 1 at \$1,250

Effective: 2013-2014 academic year

Dancap Private Equity Graduating Student Award in Finance (Faculty of Social Science, Management and Organizational Studies)

Awarded annually to a full-time student completing the final year in a Finance module in the DAN Management and Organizational Studies program, based on academic achievement and demonstrated professionalism, leadership and initiative in extracurricular activities throughout his or her undergraduate years. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

Value: 1 at \$1,250

Effective: 2013-2014 academic year

Barbara Newbegin Award in Mathematics (Faculty of Science, Mathematics)

Awarded annually to a full-time undergraduate student in Year 2 of the Honors Specialization in Mathematics, or the Honors Specialization in Mathematics in Society, who has demonstrated financial need and academic achievement. Preference will be given to a female student. Online financial assistance applications are available through the Office of the Registrar's website and must be completed by September 30th. The Office of the Registrar will assess the candidate's financial need and then the Scholarship Committee in the Department of Mathematics will select the recipient. This award was established by Miss Barbara J. Newbegin (BA '71 Honors Mathematics, MA '72 Mathematics).

Value: 1 at \$3,500

Effective: 2014-2015 to 2023-2024 academic years inclusive

Jack Newbegin Award in Music (Don Wright Faculty of Music)

Awarded annually to a full-time undergraduate Music student, in any year, who is majoring in an orchestral instrument, organ or piano, and has demonstrated financial need and academic achievement. Online financial assistance applications are available through the Office of the Registrar's website and must be completed by September 30th. The Office of the Registrar will assess the candidate's financial need and then the Scholarship Committee in the Don Wright Faculty of Music will select the recipient. This award was established by Miss Barbara J. Newbegin (BA '71 Honors Mathematics, MA '72 Mathematics) in honour of her father.

Value: 1 at \$3,500

Effective: 2014-2015 to 2023-2024 academic years inclusive

Brueya Family Global Opportunities Award (Any Undergraduate or Graduate Program)

Awarded to a full-time undergraduate or graduate student in any Faculty who is participating in a Western University international experience or study abroad program for which academic credit or approval from their department or faculty will be obtained. Preference will be given to a student planning on a travel experience to the United States. This includes academic exchange programs; approved study abroad programs; curriculum based international field courses/research, international community service learning; volunteer opportunities and internships led by Western University. To qualify for this award, the experience must meet at least one of the following criteria:

- Be organized by Western University staff, faculty or department
- Be eligible for academic credit
- Form a required component of the student's degree program.

Students participating in any of the above listed programs who are registered at the constituent University may be considered. Students must have completed their prescribed academic program the previous year and currently be registered in a full-time course load (minimum 3.5 full courses). Students may apply for this award in advance of being accepted into an eligible international learning program with receipt of the award contingent upon acceptance into the program. Students may only receive a Global Opportunities award once during their academic career at Western.

Online applications are available on the Global Opportunities website, Western International. Transcripts are required for students who studied elsewhere in their previous academic year. Applications are due on November 15th (for decisions in early January) and March 15th (for decisions in early May). Students will be selected based on a combination of academic achievement, as well as a statement outlining how this experience will contribute to their development as a global citizen, what they expect to learn through their program of study and how they will be an effective Ambassador for Western. This award was established by a generous gift from Ms Susana Gajic-Brueya.

Value: 1 at \$2,000*

Effective: 2014-2015 academic year only

**1,000 from the Donor will be matched by \$1,000 through the University's Global Opportunities Award Matching Program.*

Victor Hangan Global Opportunities Award (School of Graduate and Postdoctoral Studies, Engineering)

Awarded to a graduate student in the Faculty of Engineering who is participating in a Western University international experience or study abroad program for which academic credit or approval from their department or faculty will be obtained. This includes academic exchange programs; approved study abroad programs; curriculum based international field courses/research led by Western University. Preference will be given to a student travelling to Eastern Europe. To qualify for this award, the experience must meet at least one of the following criteria:

- Be organized by Western University staff, faculty or department
- Be eligible for academic credit
- Form a required component of the student's degree program.

Students participating in any of the above listed programs who are registered at the constituent University may be considered. Students must have completed their prescribed academic program the previous year

and currently be registered in a full-time course load. Students may apply for this award in advance of being accepted into an eligible international learning program with receipt of the award contingent upon acceptance into the program. Students may only receive a Global Opportunities award once during their academic career at Western.

Online applications are available on the Global Opportunities website, Western International. Transcripts are required for students who studied elsewhere in their previous academic year. Applications are due November 15th (for decisions in early January) and March 15th (for decisions in early May). Students will be selected based on a combination of academic achievement, as well as a statement outlining how this experience will contribute to their development as a global citizen, what they expect to learn through their program of study and how they will be an effective Ambassador for Western. This award was established by a generous gift from Professor Horia Hangan (PhD, Engineering '96), in honour of his father, Victor Hangan.

Value: 1 at \$1,000*

Effective: May 2013 to April 2018

**500 from the Donor will be matched by \$500 through the University's Global Opportunities Award Matching Program on an annual basis.*

Tunaweza (Kiswahili for 'We can!') Global Opportunities Award (Any Undergraduate or Graduate Program)

Awarded to a full-time student (undergraduate or graduate) participating in the Western Heads East program. Students participating in this program who are registered at the constituent University may be considered. Students must have completed their prescribed academic program the previous year and currently be registered in a full-time course load (minimum 3.5 full courses). Students may apply for this award in advance of being accepted into the Western Heads East program with receipt of the award contingent upon acceptance into the program. Students may only receive a Global Opportunities award once during their academic career at Western.

Online applications are available on the Global Opportunities website, Western International. Transcripts are required for students who studied elsewhere in their previous academic year. Applications are due on March 15th (for decisions in early May). Students will be selected based on a combination of academic achievement, as well as a statement outlining how this experience will contribute to their development as a global citizen, what they expect to learn through their program of study and how they will be an effective Ambassador for Western. This award was established by a generous gift from Mr. Robert Gough (BA '83, MEd '13 Educational Policy Studies) and his wife Ms Laura Ladouceur (BSW, '84 Honors Social Work).

Inspired by a women's empowerment campaign by the same name in Tanzania, the Tunaweza Award recognizes that we can all play a part to create change in the world. The award supports student interns to work collaboratively on locally defined goals related to the Western Heads East Program and probiotic yogurt for people living with HIV/AIDS.

Value: 1 at \$2,000*

Effective: 2014-2015 to 2016-2017 academic years inclusive

**1,000 from the Donor will be matched by \$1,000 through the University's Global Opportunities Award Matching Program on an annual basis.*

Charles F. Ruigrok Ontario Graduate Scholarship in Engineering (School of Graduate and Postdoctoral Studies, Engineering)

Awarded annually to a full-time masters or doctoral student in the Faculty of Engineering who is a current holder of an Ontario Graduate Scholarship or a Queen Elizabeth II Graduate Scholarship in Science and Technology, based on academic achievement and research merit. The School of Graduate and Postdoctoral Studies, in cooperation with the Faculty of Engineering, will select the recipient. This scholarship was made possible by a generous gift from Mr. Charles F. Ruigrok (BESc '78, MEng '84).

Value: 1 at \$5,000*

Effective: May 2014 to April 2024

**Ontario Graduate Scholarship and Queen Elizabeth II Graduate Scholarship in Science and Technology funding ensures a 2:1 match through the Provincial Government, increasing the value of the scholarship to \$15,000 each.*

Christopher W. Hyatt Medical Student Bursary (Schulich School of Medicine & Dentistry, Medicine)
Awarded annually to full-time undergraduate students in any year of the Doctor of Medicine (MD) program at the Schulich School of Medicine & Dentistry, who have demonstrated financial need. Online financial assistance applications are available through the Office of the Registrar's website and must be completed by October 31st. The Office of the Registrar will select the recipients. These bursaries were established with Foundation Western by Mr. Christopher W. Hyatt (BA '75, Honors French).

Value: 2 at \$1,900
Effective: 2014-2015 academic year

Christopher Hyatt completed his Bachelor of Arts degree in Honors French at Western University in 1975 and then went on to receive his LLB at the University of Saskatchewan in 1982. He lived in Niagara-on-the-Lake and practiced Law until his death in 2010 at age 58.

Ontario Dental Association Bursary (Schulich School of Medicine & Dentistry, Dentistry)
Awarded annually to a full-time undergraduate student, who is in second year or above in the Dentistry program, based on demonstrated financial need. Online financial assistance applications are available through the Office of the Registrar's website and must be submitted by October 31st. The Office of the Registrar will select the recipient. This bursary was established by the Dentistry Canada Fund.

Value: 1 at \$1,600
Effective: 2014-2015 academic year

French as a Second Language Teacher's Bursary (Continuing Education)
Awarded to undergraduate or graduate students attending the French as a Second Language Teachers' program at Trois-Pistoles, Quebec during the summer. A committee within Western's Trois-Pistoles program will select the recipients.

Value: 5 at \$1,000
Effective: 2014-2015 to 2015-2016 academic years (with funding to be reviewed after this)

Paul Murray MBA Leaders Scholarship (School of Graduate and Postdoctoral Studies, MBA)
Awarded annually to full-time students entering the Masters of Business Administration program at the Richard Ivey School of Business, based on academic achievement and demonstrated community leadership. Candidates may submit applications for this scholarship at the time of application to the MBA Program at the Richard Ivey School of Business. Final selection of the recipients will be made by the MBA Scholarship Review Committee with at least one member of the selection committee holding membership in the School of Graduate and Postdoctoral Studies. Recipients will be notified at the time of acceptance into the program. These scholarships are made possible through the generosity of Paul Murray, HBA '54.

Value: 4 at \$25,000
Effective: May 2014 to April 2019 inclusive

John A Francis HBA Scholarship (Richard Ivey School of Business)
Awarded annually to a student entering HBA1 at the Ivey Business School who spent the first two years in any program at the University which offers a combined degree (Engineering, Arts & Humanities, Music, Law, Science and Social Science) with Ivey. Preference will be given to a student who has graduated from a high school that is publicly funded in Canada. If more than one student meets the criteria, preference will be given to a student with a background in a family business. The HBA Scholarship Committee of Ivey, including the Director of the HBA Program, will review and select the scholarship recipient. This scholarship is made possible by a donation from John A Francis, HBA '86, with Foundation Western.

Value: 1 at \$5,000

Effective: 2014-2015 academic year

Dr. Douglas Bergstrom Meds Class '93 Award (Schulich School of Medicine & Dentistry, Medicine)

Awarded annually to a full-time undergraduate student who is graduating from the Doctor of Medicine (MD) program and has made an outstanding personal contribution to bettering the lives of others through an ongoing commitment to the Creative and/or Dramatic Arts, while attending the Schulich School of Medicine & Dentistry. Students will be invited to apply and provide a one-page statement to be submitted to the Undergraduate Medical Office by March 30th regarding their involvement in creative and dramatic arts activities at the Schulich School of Medicine & Dentistry. The recipient will be selected by the Scholarship and Awards Committee of the Schulich School of Medicine & Dentistry. This award has been established by the classmates, family and friends of Dr. Douglas Bergstrom, Meds Class of '93.

Doug played a pivotal role in engaging his classmates in developing and refining their personal talents and interests in music, theatre, dance, creative writing, and camaraderie. He will be remembered for his passionate dedication to and achievements in the Creative and Dramatic Arts, and for his commitment to whole-person, balanced living. Doug was an Anesthesiologist at Saint John Regional Hospital in Saint John, NB when he died in 2012 at the age of 54.

Value: 1 at \$1,000

Effective: 2014-2015 academic year

Highstreet Asset Management Award (School of Graduate and Postdoctoral Studies, Financial Economics)

Awarded annually to a full-time graduate student in the Master of Financial Economics (MFE) program who demonstrates academic achievement and financial need. Students will be invited to apply for this award as part of the application process for the MFE program. Candidates will be asked to submit an outline describing how this award will assist them financially. Additional information about the application process can be obtained from the MFE Program Coordinator in the Department of Economics. The recipient will be selected by a committee appointed by the Director of the MFE program, with at least one representative holding membership in the School of Graduate and Postdoctoral Studies. This award was established with a generous gift from Highstreet Asset Management.

Value: 1 at \$15,000

Effective: May 2014 to April 2017 inclusive

Dr. Colin Nisbet Award for Excellence in Emergency Medicine (Schulich Medicine – Windsor Program) [(Schulich School of Medicine & Dentistry, Medicine)]

Awarded annually to a full-time undergraduate Schulich School of Medicine-Windsor Program student graduating from the Doctor of Medicine (M.D.) program who demonstrates a proficiency and passion for emergency medicine. This student will have demonstrated a commitment to providing excellent care in emergency medicine to patients and their families while being a considerate and knowledgeable part of the health care team. A call for nominations will be made by the Associate Dean, Windsor Program (due March 14th). The recipient will be selected by the Progression and Awards Committee, Schulich School of Medicine & Dentistry on recommendation of the Associate Dean, Windsor Program. This award was established by the colleagues, friends, and family of Dr. Colin Nisbet.

In his years as an emergency physician in Windsor, Dr. Nisbet served as an educator and mentor to many, displaying a work ethic and passion in the practice of emergency medicine which has been admired by his colleagues, his patients, and the entire health care team.

Value: 1 at \$1,500

Effective: 2013-2014 academic year

Dr. Gerry and Sharon Cooper Award (Schulich School of Medicine & Dentistry, Medicine Program)
Awarded annually to a full-time undergraduate student in any year of the Schulich School of Medicine Doctor of Medicine (M.D.) Windsor Program, who has demonstrated financial need and academic

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achievement. Online financial assistance applications are available through the Office of the Registrar's website and must be completed by September 30th. The Office of the Registrar will select the recipient. This award was established by Dr. Gerry and Sharon Cooper.

Value: 1 at \$1,000

Effective: 2014-2015 academic year

Delta Alpha Chapter of Beta Theta Pi Ontario Graduate Scholarship (School of Graduate and Postdoctoral Studies)

Awarded annually to a full-time masters or doctoral student in any faculty, including cross-disciplinary studies, who is a current holder of an Ontario Graduate Scholarship or a Queen Elizabeth II Graduate Scholarship, based on academic achievement and research merit. The School of Graduate and Postdoctoral Studies will select the recipient. This scholarship was established with Foundation Western and was made possible by a generous gift from the Delta Alpha Chapter of Beta Theta Pi Alumni Association.

The Beta Theta Pi international men's fraternity established its Delta Alpha chapter at Western in 1952, merging with a local student fraternity founded on the campus in 1933. The chapter was closed in 2008 and its more than 1,000 members are represented by the Delta Alpha of Beta Theta Pi Alumni Association based in London, Ontario.

Value: 1 at \$5,000*

Effective: May 2014

*Ontario Graduate Scholarship (OGS) funding ensures a 2:1 match through the Provincial Government, increasing the value of each scholarship to \$15,000.

Delta Alpha Chapter of Beta Theta Pi Leadership Award (Any Undergraduate Program)

Awarded annually to full-time undergraduate students in second, third or fourth year in any faculty or program with demonstrated academic achievement and financial need. Online financial assistance applications are available through the Office of the Registrar's website and must be submitted by September 30th. A one-page statement must also be submitted to the Office of the Registrar by September 30th. The statement must include details outlining how the student has exhibited the characteristics most desired by Delta Alpha Chapter of Beta Theta Pi: affiliation to Delta Alpha Chapter, campus or community volunteerism, leadership and participation in team sports. Preference will be given to a student who has a demonstrated connection to Delta Alpha Chapter (London, Ontario) of Beta Theta Pi. The recipient will be selected by the Office of the Registrar. This award was established with Foundation Western and was made possible by a generous gift from the Delta Alpha Chapter of Beta Theta Pi Alumni Association.

The Beta Theta Pi international men's fraternity established its Delta Alpha chapter at Western in 1952, merging with a local student fraternity founded on the campus in 1933. The chapter was closed in 2008 and its more than 1,000 members are represented by the Delta Alpha of Beta Theta Pi Alumni Association based in London.

Value: 2 at \$2,500

Effective: 2014-2015 academic year

Peter Lockyer Wrestling Award (Any Undergraduate or Graduate Program including the Affiliated University Colleges, Athletic Award [Men's or Women's Wrestling Team])

Awarded annually to a full-time undergraduate or graduate student in any year of any degree program at Western, including the Affiliated University Colleges, who is making a contribution as a member of the Men's or Women's Wrestling Team. The candidate must be in compliance with current OUA and CIS regulations. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and a non-entering student must have an in-course average of 70%. The Western Athletic Financial Awards Committee will select the recipient by basing its decision on its evaluation of academic performance/potential (20%) and the written recommendation from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This award was established with Foundation Western by Mrs. Gail Lockyer (BA '71) and her

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three daughters Ms Lisanne (Lockyer) Rogers (BA '89), Ms Kristen (Lockyer) Allan (BA '94) and Ms Brooke Lockyer in honour of their late husband and father, Peter Lockyer (LLB '67).

Peter Lockyer was a member of the Wrestling team in the mid 1960's. Peter twice won the 191 pound Ontario-Quebec Athletic Association title, as well as the silver medal at the Nationals and later competed in the World Championships in Toledo, Ohio. Together, Peter and Glynn Leyshon established the LAWAC in 1973 bringing together the wrestling community and opening up a venue for all wrestlers in London, Ontario. Peter passed away suddenly in 2005 at the age of 61.

Value: 1 at \$1,000

Effective: 2014-2015 academic year

S.B. Family Global Opportunities Award (Any Undergraduate or Graduate Program)

Awarded to a student (undergraduate or graduate) participating in a Western University international experience or study abroad program for which academic credit or approval from their department or faculty will be obtained. This includes academic exchange programs; approved study abroad programs; curriculum based international field courses/research, international community service learning; volunteer opportunities and internships led by Western University. Students in all faculties are eligible, with the exception of the Richard Ivey School of Business. Preference will be given to students travelling to Mexico or Germany. To qualify for these awards, the experience must meet at least one of the following criteria:

- Be organized by Western University staff, faculty or department
- Be eligible for academic credit
- Form a required component of the student's degree program.

Students participating in any of the above listed programs who are registered at the constituent University may be considered. Students must have completed their prescribed academic program the previous year and currently be registered in a full-time course load (minimum 3.5 full courses). Students may apply for this award in advance of being accepted into an eligible international learning program with receipt of the award contingent upon acceptance into the program. Students may only receive a Global Opportunities award once during their academic career at Western.

Online applications are available on the Global Opportunities website, Western International. Transcripts are required for students who studied elsewhere in their previous academic year. Applications are due on March 15th (for decisions in early May). Students will be selected based on a combination of academic achievement, as well as a statement outlining how this experience will contribute to their development as a global citizen, what they expect to learn through their program of study and how they will be an effective Ambassador for Western. This award was established by a generous gift from the S.B. Family.

Value: 1 at \$1,000*

Effective: 2014-2015 academic year (with value to be revised for 2015-2016 to 2016-2017)

*\$500 is being provided by the Donor and matched with \$500 through the University's Global Opportunities Awards Program.

Western Heads East Global Opportunities Award (Any Undergraduate or Graduate Program)

Awarded to a full-time student (undergraduate or graduate) participating in the Western Heads East program. Students in all faculties are eligible, with the exception of the Richard Ivey School of Business. Students participating in this program who are registered at the constituent University may be considered. Students must have completed their prescribed academic program the previous year and currently be registered in a full-time course load (minimum 3.5 full courses). Students may apply for this award in advance of being accepted into the Western Heads East program with receipt of the award contingent upon acceptance into the program. Students may only receive a Global Opportunities award once during their academic career at Western.

Online applications are available on the Global Opportunities website, Western International. Transcripts are required for students who studied elsewhere in their previous academic year. Applications are due on March 15th (for decisions in early May). Students will be selected based on a combination of academic

achievement, as well as a statement outlining how this experience will contribute to their development as a global citizen, what they expect to learn through their program of study and how they will be an effective Ambassador for Western. This award was established by a generous gift from Ms Susan Grindrod and Dr. Gregor Reid.

Value: 3 at \$2,000*

Effective: 2013-2014 academic year only

*\$1,000 is being provided by the Donor and matched with \$1,000 through the University's Global Opportunities Awards Program.

Dean's Global Opportunities Award (Any Undergraduate or Graduate Program, Engineering)

Awarded annually to undergraduate or graduate students in the Faculty of Engineering who are participating in a Western University international experience or study abroad program for which academic credit or approval from their department or faculty will be obtained. This includes academic exchange programs; approved study abroad programs; curriculum based international field courses/research led by Western University. To qualify for these awards, the experience must meet at least one of the following criteria:

- Be organized by Western University staff, faculty or department
- Be eligible for academic credit
- Form a required component of the student's degree program.

Students participating in any of the above listed programs who are registered at the constituent University may be considered. Students must have completed their prescribed academic program the previous year and currently be registered in a full-time course load. Students may apply for this award in advance of being accepted into an eligible international learning program with receipt of the award contingent upon acceptance into the program. Students may only receive a Global Opportunities award once during their academic career at Western.

Online applications are available on the Global Opportunities website, Western International. Transcripts are required for students who studied elsewhere in their previous academic year. Applications are due November 15th (for decisions in early January) and March 15th (for decisions in early May). Students will be selected based on a combination of academic achievement, as well as a statement outlining how this experience will contribute to their development as a global citizen, what they expect to learn through their program of study and how they will be an effective Ambassador for Western. This award was established by a generous gift from Dr. Andrew Hrymak, the Dean of Engineering.

Value: 1 at \$2,000*

Effective: 2014-2015 to 2018-2019 academic years inclusive

*\$1,000 is being provided by the Donor and matched with \$1,000 through the University's Global Opportunities Awards Program.

Charles and Louise Hanes Continuing Award (Any Undergraduate Program)

Awarded to students entering their first year of any first-entry undergraduate program based on academic achievement and demonstrated financial need. Preference will be given to students who attended secondary schools located in the southern half of the Niagara Region (see the following list of secondary schools*). This award will continue into Year 2, 3 and 4 provided that the recipient maintains an average of 70%, a full-time course load, and continues to demonstrate financial need each year. Online financial assistance applications can be accessed through the Office of the Registrar's website and must be submitted by September 30th. The Office of the Registrar will select the recipients. Only four students can hold this award at any one time. If a student fails to retain the award, another student from the same year, who meets the criteria, will be selected. This award was established through the generosity of an anonymous donor (BA '56).

Value: 1 at \$4,500, continuing for up to 4 years (for the 2014-2015 academic year only, a student in Year 1, Year 2, Year 3 and Year 4 will be selected)

Effective: 2014-2015 academic year

*Preference will be given to students from the following secondary schools in the southern half of the Niagara Region:

AN Meyer Secondary School
EL Crossley Secondary School
Eastdale Secondary School
Fort Erie Secondary School
Port Colborne Secondary School
Ridgeway-Crystal Beach Secondary School
Stamford Collegiate Secondary School
Thorold Secondary School
Welland Centennial Secondary School
Westlane Secondary School

Robert and Anne Aziz Award (School of Graduate and Postdoctoral Studies or Undergraduate, Faculty of Law, Athletic Award [Varsity Team])

Awarded to a full-time undergraduate or graduate student in any year of any degree program in the Faculty of Law who is making a significant contribution as a member of a Western varsity team. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and a non-entering student must have an in-course average of 70%. Candidates must be in compliance with current OUA and CIS regulations. The Western Athletic Financial Awards Committee will select the recipient. This committee will base its decision on its evaluation of academic performance/potential (20%) and the written recommendations from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This award was established by Robert (LLB '83) and Anne (BA '83) Aziz.

Value: 1 at \$2,500

Effective: 2014-2015 to 2020-2021 academic years inclusive

2. **Scholarship and Award Revisions**

On behalf of the Board of Governors, the Property and Finance Committee has approved the following revisions to the terms of reference of scholarships, awards, bursaries and prizes:

Dancap Private Equity Graduating Student Award in Organizational and Human Resources (Faculty of Social Science, Management and Organizational Studies)

Change in name and criteria from:

Awarded annually to a full-time student enrolled in a Human Resources module in the Aubrey Dan Program in Management and Organizational Studies, based on academic achievement and demonstrated professionalism, leadership and initiative in extracurricular activities throughout his or her undergraduate years. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies website or from the MOS Main Office. Applications including a résumé describing the applicant's qualifications must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA '85).

To:

Dancap Private Equity Graduating Student Award in Human Resources Management

Awarded annually to a full-time student completing the final year in a Human Resources Management module in the DAN Management and Organizational Studies program, based on academic achievement and demonstrated professionalism, leadership and initiative in extracurricular activities throughout his or her undergraduate years. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity

Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA'85).

Effective: 2013-2014 academic year

Dancap Private Equity Student Award in Management and Organizational Studies (Faculty of Social Science, Management and Organizational Studies)

Change in criteria from:

Awarded annually to a full-time student enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies, based on academic achievement and demonstrated professionalism, leadership and initiative in extracurricular activities throughout his or her undergraduate years. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies website or from the MOS main office. Applications including a résumé describing the applicant's qualifications must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA'85).

To:

Awarded annually to a full-time student completing the final year in any module in the DAN Management and Organizational Studies program, based on academic achievement and demonstrated professionalism, leadership and initiative in extracurricular activities throughout his or her undergraduate years. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA'85).

Effective: 2013-2014 academic year

Dancap Private Equity Student Essay Award in Organizational and Human Resources (Faculty of Social Science, Management and Organizational Studies)

Change in name and criteria from:

Awarded annually to a full-time student enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies, based on academic achievement for an individual essay submitted in any of the following courses: Evidence Based Management (MOS 3280F/G); Research Methods in Human Resources (MOS 3341F/G); Occupational Health and Safety Management (MOS 3344F/G); Industrial Relations and Labor Studies (MOS 3352F/G); Women in the Canadian Workplace (MOS 3355F/G); or Contemporary Perspectives on Women in the Workplace (MOS 3356F/G), and who have demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies website or from the MOS Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the MOS Office no later than April 1. Candidates must submit an unmarked copy of the essay. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA'85).

To:

Dancap Private Equity Student Award in Human Resources Management I

Awarded annually to a full-time student enrolled in a Human Resources Management module in the DAN Management and Organizational Studies program, based upon academic achievement in Occupational Health and Safety (MOS 3344A/B), and demonstrated professionalism, leadership and initiative in

extracurricular activities. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main

Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

Effective: 2013-2014 academic year

Dancap Private Equity Student Award in Advanced Accounting (Faculty of Social Science, Management and Organizational Studies)

Change in name and criteria from:

Awarded annually to a full-time student enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies, based upon academic achievement in Advanced Accounting MOS 4465A/B, and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies website or from the MOS Main Office. Applications including a résumé describing the applicant's qualifications must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

To:

Dancap Private Equity Student Award in Accounting

Awarded annually to a full-time student enrolled in an Accounting module in DAN Management and Organizational Studies program, based on academic achievement in the equivalent of 2.5 MOS accounting courses (MOS 3362A/B, 3363A/B, 4462A/B, 4463A/B and 4464A/B, 4465A/B, 4466A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

Effective: 2013-2014 academic year

Dancap Private Equity Student Award in Commercial Aviation Management (Flight Training) (Faculty of Social Science, Management and Organizational Studies)

Change in criteria from:

Awarded to a full-time student enrolled in a Commercial Aviation module of the Aubrey Dan Program in Management and Organizational Studies, based upon academic achievement and demonstrated professionalism, leadership and initiative in extracurricular activities. Candidates must submit their most recent CPL or PPL Transport Canada written exam scores. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies website or from the MOS Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

To:

Awarded to a full-time student enrolled in a Commercial Aviation module in the DAN Management and Organizational Studies program, based upon academic achievement and demonstrated professionalism, leadership and initiative in extracurricular activities. Candidates must submit their most recent CPL or PPL Transport Canada written exam scores. Applications can be accessed through the Department's website

or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other

Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA'85).

Effective: 2013-2014 academic year

Dancap Private Equity Student Award in Commercial Aviation Management (Faculty of Social Science, Management and Organizational Studies)

Change in name and criteria from:

Awarded annually to a full-time student enrolled in a Commercial Aviation module of the Aubrey Dan Program in Management and Organizational Studies, based upon academic achievement, and demonstrated professionalism, leadership and initiative in extracurricular activities throughout his or her undergraduate years. Both Flight and Ground students are encouraged to apply. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies website or from the MOS Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA'85).

To:

Dancap Private Equity Graduating Student Award in Commercial Aviation Management

Awarded annually to a full-time student completing the final year in a Commercial Aviation Management module in the DAN Management and Organizational Studies program, based on academic achievement and demonstrated professionalism, leadership and initiative in extracurricular activities throughout his or her undergraduate years. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA'85).

Effective: 2013-2014 academic year

Dancap Private Equity Student Award in Finance (Faculty of Social Science, Management and Organizational Studies)

Change in criteria and number of awards from:

Awarded annually to full-time students enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies, based upon academic achievement in Finance for Management and Organizational Studies (MOS 2310A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies website or from the MOS Main Office. Applications including a résumé describing the applicant's qualifications must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. These awards were established by a generous donation from Mr. Aubrey Dan (BA'85).

Value: 2 at \$1,250

To:

Awarded annually to a full-time student enrolled in a Finance module in the DAN Management and Organizational Studies program, based upon academic achievement in the equivalent of 1.5 courses in

Finance (MOS 3311A/B, MOS 3313A/B, MOS 4312A/B, MOS 4313A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which

will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

Value: 1 at \$1,250

Effective: 2013-2014 academic year

Dancap Private Equity Student Award in Intermediate Accounting (Faculty of Social Science, Management and Organizational Studies)

Change in criteria from:

Awarded annually to a full-time student enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies, based upon academic achievement in Intermediate Accounting I (MOS 3360A/B) and Intermediate Accounting II (MOS 3361A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies website or from the MOS Main Office. Applications including a résumé describing the applicant's qualifications must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

To:

Awarded annually to a full-time student enrolled in an Accounting module in the DAN Management and Organizational Studies program, based upon academic achievement in Intermediate Accounting I (MOS 3360A/B) and Intermediate Accounting II (MOS 3361A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

Effective: 2013-2014 academic year

Dancap Private Equity Student Award in Management Accounting (Faculty of Social Science, Management and Organizational Studies)

Change in criteria from:

To: Awarded annually to a full-time student enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies, based upon academic achievement in Management Accounting Cost (MOS 3370A/B) and Management Accounting for Decision Making (MOS 3371A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies website or from the MOS Main Office. Applications including a résumé describing the applicant's qualifications must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

To:

Awarded annually to a full-time student enrolled in an Accounting module in the DAN Management and Organizational Studies program, based upon academic achievement in Management Accounting- Cost (MOS 3370A/B), and Management Accounting for Decision Making (MOS 3371A/B) and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the

applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

Effective: 2013-2014 academic year

Dancap Private Equity Student Award in Marketing (Faculty of Social Science, Management and Organizational Studies)

Change in name, criteria and number of awards from:

Awarded annually to full-time students enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies, based upon academic achievement in Marketing for Management and Organizational Studies (MOS 2320A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies website or from the MOS Main Office. Applications including a résumé describing the applicant's qualifications must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. These awards were established by a generous donation from Mr. Aubrey Dan (BA`85).

Value: 2 at \$1,250

To:

Dancap Private Equity Student Award in Consumer Behavior

Awarded annually to a full-time student enrolled in any Consumer Behavior module in the DAN Management and Organizational Studies program, based upon academic achievement in the equivalent of 1.5 courses in Consumer Behavior (MOS 3321F/G, MOS3322F/G, MOS 3420F/G, MOS 4423F/G), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

Value: 1 at \$1,250

Effective: 2013-2014 academic year

Dancap Private Equity Student Awards in General Accounting(Faculty of Social Science, Management and Organizational Studies)

Change in name and criteria from:

Awarded annually to full-time students enrolled in a Finance or Accounting module in the Aubrey Dan Program in Management and Organizational Studies, based on academic achievement in the equivalent of 3.0 MOS accounting courses (MOS 3360A/B, 3361A/B, 3362A/B, 3363A/B, 3372, 4462A/B, 4463A/B, 4464A/B, 4465A/B, and 4466A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies website or from the MOS main office. Applications including a résumé describing the applicant's qualifications must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the

Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. These awards were established by a generous donation from Mr. Aubrey Dan (BA'85).

To:

Dancap Private Equity Student Award in Corporate Finance

Awarded annually to full-time students enrolled in a Finance module in the DAN Management and Organizational Studies program, based upon academic achievement in Finance for Management and Organizational Studies (MOS 2310A/B) and Advanced Finance for Management and Organizational

Studies (MOS 3311A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA'85).

Effective: 2013-2014 academic year

Dancap Private Equity Student Awards in Operations Management (Faculty of Social Science, Management and Organizational Studies)

Change in criteria and number of awards from:

Awarded annually to full-time students enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies, based upon academic achievement in Operations Management for Management and Organizational Studies (MOS 3330A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies website or from the MOS Main Office. Applications including a résumé describing the applicant's qualifications must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. These awards were established by a generous donation from Mr. Aubrey Dan (BA'85).

Value: 2 at \$1,250

To:

Awarded annually to a full-time student enrolled in any module in the DAN Management and Organizational Studies program, based upon academic achievement in Operations Management for Management and Organizational Studies (MOS 3330A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA'85).

Value: 1 at \$1,250

Effective: 2013-2014 academic year

Dancap Private Equity Student Awards in Strategic Management (Faculty of Social Science, Management and Organizational Studies)

Change in name, criteria and number of awards from:

Awarded annually to full-time students enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies, based upon academic achievement in Strategic Management for Management and Organizational Studies (MOS 4410A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies website or from the MOS Main Office. Applications including a résumé describing the applicant's qualifications must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation

criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. These awards were established by a generous donation from Mr. Aubrey Dan (BA`85).

Value: 2 at \$1,250

To:

Dancap Private Equity Student Award in Strategic Management

Awarded annually to a full-time student enrolled in any module in the DAN Management and Organizational Studies program, based upon academic achievement in Strategic Management for

Management and Organizational Studies (MOS 4410A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

Value: 1 at \$1,250

Effective: 2013-2014 academic year

Dancap Private Equity Student Award in Organizational and Human Resources (Faculty of Social Science, Management and Organizational Studies)

Change in name and criteria from:

Awarded annually to a full-time student enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies, based upon academic achievement in Management Accounting Cost (MOS 3370A/B) and Management Accounting for Decision Making (MOS 3371A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies website or from the MOS Main Office. Applications including a résumé describing the applicant's qualifications must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

To:

Dancap Private Equity Student Award in Human Resources Management II

Awarded annually to a full-time student enrolled in a Human Resources Management module in the DAN Management and Organizational Studies program, based upon academic achievement in Human Resource Administration for Human Resource Students (MOS 4485F/G), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed through the Department's website or Main Office. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Main Office by April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the DAN Student Awards Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

Effective: 2013-2014 academic year

Louis Ferreira Family Bursary in Engineering Science (Faculty of Engineering)

Change in Name and Value from: 1 at \$500

To: Ferreira Family Bursary in Engineering

1 at \$1,000

Effective: 2014-2015 academic year

Great Lakes Inc. Bursary in Engineering (Faculty of Engineering)
Change in Name to: Great Lakes **Copper** Inc. Bursary in Engineering

Effective: 2013-2014 academic year

Igal Holtzer and Family International Student Bursary in Science (Faculty of Science)

Change in Effective Date from: 2008-2009 to 2012-2013 academic years inclusive

To: 2013-2014 to 2014-2015 academic years inclusive

Dr. E.V. Buchanan Prize (Faculty of Engineering)

Change in value from: 1 at \$500

To: 1 at \$650

Effective: 2013-2014 academic year

Eudaemon Global Opportunities Award in Classical Studies (Faculty of Arts and Humanities, Classical Studies)

Change in effective date from: 2012-2013 academic year

To: 2013-2014 to 2017-2018 academic years inclusive

Healthpoint Canada Award (School of Graduate and Postdoctoral Studies, Physical Therapy)

Change in number from 1 to 2 at \$1,000

Effective: May 2013 to April 2014 only (will revert back to 1 effective May 2014)

Schulich Undergraduate Scholarships - OSOTF (Schulich School of Medicine & Dentistry, Medicine)

Change in Value from: \$20,000, continuing for 4 years (\$80,000 each), number available annually to be determined as funds permit (initial planned scholarships total 12 students in each year of the program).

Effective: May 1, 2004. Beginning 2004-2005 with the first awards to be given by August 31, 2004, and subsequent awards to be given by August 31 each year.

To:

\$25,000 continuing for 4 years (\$100,000 each), number available annually to be determined as funds permit

Effective: 2014-2015 academic year (*Students who are current recipients going into upper years will also receive increased value*).

Schulich Undergraduate Scholarships (Schulich School of Medicine & Dentistry, Medicine)

Change in Value from: \$20,000, continuing for 4 years (\$80,000 each), number available annually to be determined as funds permit.

Effective: May 1, 2004. Beginning 2004-2005 with the first awards to be given by August 31, 2004, and subsequent awards to be given by August 31 each year.

To:

\$25,000 continuing for 4 years (\$100,000 each), number available annually to be determined as funds permit

Effective: 2014-2015 academic year (*Students who are current recipients going into upper years will also receive increased value*).

Schulich Undergraduate Dentistry Scholarships (Schulich School of Medicine & Dentistry, Dentistry)

Change in Number from:

The number and value of scholarships will be given based on the following 4-year repeating pattern, effective May 2005. In 2005, students in Year 1 will be selected. After four years, students in Years 1 to 4 will hold the award simultaneously.

May 2005 3 at \$10,000, continuing for 4 years

May 2006 4 at \$10,000, continuing for 4 years

May 2007 4 at \$10,000, continuing for 4 years

May 2008 4 at \$10,000, continuing for 4 years

May 2009 Pattern repeats

Note: Academic achievement is included as a requirement in order to classify the awards as scholarships rather than bursaries. It is understood that Dentistry students are not assigned numerical or letter grades, and being offered admittance into the program is the only evidence of academic achievement that is required.

These scholarships qualify for the Ontario Trust for Student Support (OTSS) program, which could potentially provide a dollar for dollar match for endowed gifts directed to student bursaries or financial need based awards and scholarships. Due to the nature of this program, recipients must meet Ontario residency requirements.

To:

Number and Value: 4 students in Year 1 at \$10,000, continuing for 4 years

Effective: 2014-2015 academic year

These scholarships have been matched by the Ontario Trust for Student Support (OTSS) program and recipients must meet Ontario residency requirements.

Vaughan Peckham Volleyball Award (Any Undergraduate or Graduate program- Athletic Award [Men's Volleyball])

Change in value from: 1 at \$4,000

Change to: 1 at \$1,000

Effective: 2013-2014 academic year

Change to: 1 at \$4,000

Effective: 2014-2015 to 2016-2017 academic years inclusive (value to be reviewed thereafter)

John Findlay Varsity Volleyball Scholarship (Any Undergraduate or Graduate program- Athletic Award [Men's Volleyball])

Change in value from: 1 at \$4,000

Change to: 1 at \$1,000

Effective: 2013-2014 academic year only

Change to: 1 at \$4,000

Effective: 2014-2015 to 2017-2018 academic years inclusive (value to be reviewed thereafter)

Chemistry Alumni Award (Faculty of Science)

Change in value from: 1 at \$675

Change to: 1 at \$1,000

Effective: 2013-2014 academic year

General Motors of Canada Limited Graduate Scholarship (School of Graduate and Postdoctoral Studies, Engineering)

Change in Number from: 2 at \$5,000* each

*Ontario Graduate Scholarship (OGS) funding ensures a 2:1 match through the Provincial Government, increasing the value of the scholarship to \$15,000 each.

To: 1 at \$5,000*

*Ontario Graduate Scholarship (OGS) funding ensures a 2:1 match through the Provincial Government, increasing the value of the scholarship to \$15,000 each.

London CAC/Rogers Cable T.V. Student Research Awards in Journalism (School of Graduate and Postdoctoral Studies, Journalism)

Change in Number and Value from: 3 @ \$1,000

To: 2 at \$1,200

Effective: May 2013

Joan Pedersen Memorial Award (School of Graduate and Postdoctoral Studies, Education)

Change in value from: 1 at \$1,000

To: 1 at \$1,500

Effective: May 2014

Labour Law Achievement Award (Faculty of Law)

Change in criteria and value from:

Awarded to a full-time student in the Faculty of Law based on academic achievement (minimum 70% academic average) in Labour Law, Employment Law or an advanced course area, who has made a significant contribution to the activities of the Labour Law Society. A two page application outlining achievement and efforts in labour and employment law is required, along with a letter of interest.

Applications may be directed to the Associate Dean (Academic) in the Faculty of Law. The selection committee, comprised of two Labour Law Society members, the Faculty Advisor, the Associate Dean (Academic) and the Academic Coordinator, will select the recipient. This award was established from proceeds of the Labour and Employment Law Conference held at UWO each spring.

Value: 1 @ \$1,000

To:

Awarded to a full-time student in the Faculty of Law based on academic achievement (minimum 70% academic average) in Labour Law, Employment Law or an advanced course area, who has made a significant contribution to the activities of the Labour Law Society. A two-page application outlining achievement and efforts in labour and employment law is required, along with a letter of interest. Applications may be directed to the Associate Dean (Academic) in the Faculty of Law. The scholarship committee will select the recipient. This award was established from proceeds of the biennial Labour Law Conference held at Western University in the spring.

Value: 1 @ \$1,500

Effective 2013-2014 academic year

Insolvency Institute of Canada Bruce Leonard Prize in Insolvency Studies (Faculty of Law)

Change in Value from: 1 at \$1,000

Effective: 2011-2012 academic year

To: 1 at \$1,500

Effective: 2013-2014 academic year only (with value to be reviewed after this)

Nicolaas & Regina Veenboer Foundation Ontario Graduate Scholarship (School of Graduate and Postdoctoral Studies, Health Sciences)

Change in Effective Date from: May 2012 to April 2013

To: May 2013 to April 2018 (with value to be reviewed each year)

William E. and Ruth Lardner Graduate Award (School of Graduate and Postdoctoral Studies, Engineering)

Change in criteria from:

Awarded to a full-time graduate student entering the graduate program in the Civil & Environmental Engineering program to conduct research in geotechnical engineering (preferably in the area of geotechnical/structural interface) and has at least one year of consulting or industry experience. This award can continue for a second year if the student continues to meet the required criteria (only one student can hold this award during any year). Applicants must submit a one-page statement describing their work history, reason for pursuing graduate studies, and an outline as to how this award will assist them financially. This statement is to be submitted to the Research and Graduate Office in the Faculty of Engineering by June 30. The Executive Members of the Geotechnical Research Centre, with approval of the Graduate Affairs Committee in the Department of Civil & Environmental Engineering, will select the recipient. At least one member of the committee must hold membership in the School of Graduate and Postdoctoral Studies. This award was established by William E. and Ruth Lardner.

To:

Awarded to a full-time graduate student entering the Civil and Environmental Engineering program who is conducting research in the area of geotechnical/structural interface (*first preference*) or geoenvironmental/infrastructure interface and has at least one year of consulting or industry experience. This award can continue for a second year if a student continues to meet the required criteria (only one student can hold this award during any year). Applicants must submit a one-page statement at the time of their application to the Civil Engineering Masters Program describing their work history, reason for pursuing graduate studies and an outline as to how this award will assist them financially. This statement is to be submitted to the Research and Graduate Office in the Faculty of Engineering by April 30th. The Executive Members of the Geotechnical Research Centre, in consultation with the Graduate Affairs

Committee in the Department of Civil & Environmental Engineering, will select the recipient. At least one member of the committee must hold membership in the School of Graduate and Postdoctoral Studies. This award was established by William and Ruth Lardner.

Effective: May 2014

The Honourable Company of Freeman of the City of London, England Scholarship (School of Graduate and Postdoctoral Studies and Any Undergraduate Faculty)

Change in Name to: The Freeman of the City of London of North America Scholarship

Effective: 2013-2014 to 2016-2017 academic years inclusive

The E.G Pleva TA Award in Geography (School of Graduate and Postdoctoral Studies, Geography)

Change in Value from: 2 at \$450

To: 2 at \$250

Effective: May 2013

Rogers Family Award in Science (Faculty of Science)

Change in criteria from:

Available to full-time students registered in the third year of study in the Faculty of Science at The University of Western Ontario, who have **demonstrated the greatest increase in their annual academic average between the final year of secondary school and completion of the second year of university**. Students must apply for this award and prepare an essay describing involvement in extracurricular activities. Application forms can be obtained through the Office of the Registrar's Web site and must be returned not later than September 30. A Faculty of Science selection committee will choose academically-qualified recipients based on the application and essay submission. Established through the generosity of Ted and Loretta Rogers and Family.

To:

Available to full-time students registered in the third year of study in the Faculty of Science at The University of Western Ontario **based on academic achievement in Year 2. Preference will be given to students who have demonstrated the greatest increase in their annual academic average between the first year of university study and completion of the second year of university**. Students must apply for this award and prepare an essay describing involvement in extracurricular activities. Application forms can be obtained through the Office of the Registrar's Web site and must be returned not later than

September 30. A Faculty of Science selection committee will choose academically-qualified recipients based on the application and essay submission. Established through the generosity of Ted and Loretta Rogers and Family.
Effective: 2013-2014 academic year

Doreen M. Dinsdale Memorial Award (Faculty of Engineering)
Change in Value from: 1 at \$2,000

To: 1 at \$1,500
Effective: 2014-2015 academic year

Hari and Gudrun Sharma Graduate Scholarship in Pharmacology and Toxicology (School of Graduate and Postdoctoral Studies, Pharmacology and Toxicology)
Change in Value from: 1 at \$2,000
Effective: May 2013

To: 1 at \$1,000
Effective: May 2013

Sandra E. Brent Award in Nursing (Faculty of Health Sciences, Nursing)
Change in criteria from:

Awarded to undergraduate students in year 2 or beyond in the Nursing program who are graduates of a Canadian secondary school, based on financial need and community involvement. Applications are available through the Office of the Registrar and must be completed by September 30. Students must submit a short essay (maximum 250 words) describing their community involvement. Financial need will be determined by the Office of the Registrar, and the School of Nursing will make the final selection of the recipients. These awards were made possible by a generous gift from Thomas H. and Sandra E. Brent **through Foundation Western.**

To:
Awarded to undergraduate students in year 2 or beyond in the Nursing program who are graduates of a Canadian secondary school, based on financial need and community involvement. Applications are available through the Office of the Registrar and must be completed by September 30. Students must submit a short essay (maximum 250 words) describing their community involvement. Financial need will be determined by the Office of the Registrar, and the School of Nursing will make the final selection of the recipients. These awards were made possible by a generous gift from Thomas H. and Sandra E. Brent.

Effective: 2014-2015 to 2014 to 2018-2019 academic years inclusive

William French Prize for Cultural Journalism (School of Graduate and Postdoctoral Studies, Journalism)
Change in value from 1 at \$250
To: 1 at \$300
Effective: May 2014

Archie E. Cunningham Memorial Continuing Entrance Awards (Don Wright Faculty of Music)
Change in name and value from: 3 at \$5,000, continuing for up to 4 years
Change to: Archie E. Cunningham Memorial Continuing Entrance Award
Value: 3 at \$4,500, continuing for up to 4 years
Effective: 2014-2015 academic year

Barry & Alison Brown 125th Anniversary Alumni Award (Faculty of Arts and Humanities)
Change in value from: 1 at \$300
Change to: 1 at \$550
Effective: 2014-2015 academic year

Konrad and Ruth Plumpe Scholarship in Engineering (Faculty of Engineering)
Change in value from: 2 at \$2,000

Change to: 1 at \$2,000

Effective: 2014-2015 academic year

Francis E. Shaw Admission Scholarship (Any Undergraduate Program)

Change in value from: 1 at \$2,000 continuing for up to four years, awarded even years only

Change to: 1 at \$1,500 continuing for up to four years, to be awarded beginning Sept 2014 and every two years thereafter

Effective: 2014-2015 academic year

Margery Baldwin Memorial Entrance Bursaries (Any Undergraduate Program)

Change in number and value from: 4 at \$1,000 and 1 at \$2,000

Change to: 3 at \$1,000, 2 at \$2,000 and 1 at \$4,000

Effective: 2014-2015 academic year

Dr. Carol Hindmarsh Bursary (Schulich School of Medicine & Dentistry)

Change in criteria from:

Awarded annually to a full-time undergraduate student in any year of the Doctor of Medicine (MD) program at the Schulich School of Medicine & Dentistry, based on financial need. This bursary was established by a generous donation from the Hindmarsh Family through Foundation Western.

This bursary qualifies for matching funds from the OTSS program and recipients must meet Ontario residency requirements.

Change to:

Awarded annually to a full-time undergraduate student in any year of the Doctor of Medicine (MD) program at the Schulich School of Medicine & Dentistry, based on financial need. This bursary was established with Foundation Western by a generous donation from the Hindmarsh Family.

Effective: 2014-2015 academic year

Dr. Carol Hindmarsh Summer Studentship Award (Schulich School of Medicine & Dentistry)

Change in criteria from:

Awarded annually, based on financial need, to a full-time undergraduate student in any year of the Doctor of Medicine (MD) program at the Schulich School of Medicine & Dentistry, who is confirmed to be participating in a summer student project working with marginalized or disadvantaged patient populations in Canada or abroad, as part of an existing student group participating in outreach work, or as an independent project.

Online applications must be submitted by January 31 through the Office of the Registrar. Applications should include a statement of confirmation of the student's participation in an applicable summer student project. The Office of the Registrar will determine financial need and the Scholarship and Awards Committee of the Schulich School of Medicine & Dentistry will select the recipient. This award was established by a generous donation from the Hindmarsh Family through Foundation Western.

This award qualifies for matching funds from the OTSS program and recipients must meet Ontario residency requirements.

Change to:

Awarded annually to a full-time undergraduate student in any year of the Doctor of Medicine (MD) program at the Schulich School of Medicine & Dentistry who is confirmed to be participating in a summer student project working with under-served and vulnerable patient populations in Canada or abroad, as part of an existing student group participating in outreach work, or as an independent project.

Eligible candidates will be chosen by the Office of Global Health and the recipient will be selected by the Progression and Awards Committee at Schulich School of Medicine & Dentistry. This award was established with Foundation Western by a generous donation from the Hindmarsh Family.
Effective: 2014-2015 academic year

Department of Classical Studies Scholarship in Elementary Latin (Faculty of Arts and Humanities)

Change in number from: 2 at \$150

Change to: 1 at \$150

Effective: 2014-2015 academic year

Bounce Award (Faculty of Arts and Humanities)

Change in name and criteria from:

Awarded annually to an undergraduate student in the Faculty of Arts and Humanities, based on a minimum 70% average in a course in Restoration and Eighteenth-Century British Literature (period 1660-1780) and demonstrated financial need.

Applications may be obtained online through the Office of the Registrar's Web site and must be submitted by September 30. The Faculty of Arts and Humanities will select the recipient after the Registrar's Office has assessed financial need. This award was established by Professor Lisa Zeitz, a faculty member in the Department of English, who has dedicated her life to the understanding and teaching of a witty, complex and rewarding age in literature. The award is named in honour of Alexander Pope's large and beloved dog, "Bounce"; celebrated in portraits, verse and letters, Bounce was the subject of the last couplet the poet wrote: "Then Bounce ('tis all that Bounce can crave)/ Shall wag her Tail within the Grave."

This gift is eligible for inclusion in the Ontario Trust for Student Support (OTSS) program. Under the OTSS program, the Province of Ontario may provide a dollar for dollar match over time for endowed gifts directed to student bursaries or financial need based awards and scholarships, based upon receipt of Prof. Zeitz' gift. Fulfillment and timing of the provincial match are not guaranteed by the University and/or Foundation Western. Recipients must meet Ontario residency requirements. If the OTSS match is realized, the number and/or value of the award will be increased accordingly.

Change to: Bounce Scholarship

Awarded annually to an undergraduate student in the Faculty of Arts and Humanities with the highest academic achievement in a course in Restoration and Eighteenth-Century British Literature (period 1660-1780). Students taking an online course in Restoration and Eighteenth-Century British Literature will not be eligible for the award. The recipient will be selected by the Faculty of Arts and Humanities Scholarships and Awards Committee. In the event of a tie, the recipient will be determined by the 18th-centuryists of the department who can review the course work collectively and recommend the winner.

This award was established by Professor Lisa Zeitz, a faculty member in the Department of English, who dedicated her life to the understanding and teaching of a witty, complex and rewarding age in literature. The award is named in honour of Alexander Pope's large and beloved dog, "Bounce"; celebrated in portraits, verse and letters, Bounce was the subject of the last couplet the poet wrote: "Then Bounce ('tis all that Bounce can crave)/ Shall wag her Tail within the Grave."

Value: 1 at \$1,000

Effective: 2014-2015 academic year

Herman Smith Award in Music (Don Wright Faculty of Music)

Change in number and value from: 2 at \$2,000

Change to: 2 at \$1,500

Effective: 2014-2015 academic year

Neen Hodgins Scholarship (Faculty of Arts and Humanities)

Change in criteria from:

Awarded annually to a full-time undergraduate student entering the second year of a four-year degree program and pursuing an Honors Specialization, Specialization or Major in English within the Faculty of Arts and Humanities, based on academic performance, financial need and a demonstrated commitment to the study of English at Western. The on-line Financial Assistance Application can be accessed through the Office of the Registrar's Web site and must be submitted by September 30. As part of the application process, students must submit a one-page statement outlining their aspirations and motivations in choosing to attend Western and pursue a degree in English. Deadline for submission of application and

statement is September 30. A selection committee of the Department of English will review all statements and select the recipient once financial need has been determined by Student Financial Services. These scholarships were established through Foundation Western by a generous gift from William Hodgins (BA '54).

These scholarships are offered through the Ontario Trust for Student Support (OTSS) program, and recipients must meet Ontario residency requirements.

Change to:

Awarded annually to a full-time undergraduate student entering the second year of a four-year degree program and pursuing an Honors Specialization, Specialization or Major in English within the Faculty of Arts and Humanities, based on academic performance, financial need and a demonstrated commitment to the study of English at Western. The on-line Financial Assistance Application can be accessed through the Office of the Registrar's Web site and must be submitted by September 30th. As part of the application process, students must submit a one-page statement outlining their aspirations and motivations in choosing to attend Western and pursue a degree in English. Deadline for submission of application and statement is September 30. A selection committee of the Department of English will review all statements and select the recipient once financial need has been determined by Student Financial Services. These scholarships were established with Foundation Western by a generous gift from William Hodgins (BA '54).

Effective: 2014-2015 academic year

Neen Hodgins Entrance Scholarships (Faculty of Arts and Humanities)

Change in criteria from:

Awarded annually to full-time undergraduate students entering a degree program within the Faculty of Arts and Humanities, based on academic performance in their secondary school English course(s) and demonstrated financial need. Preference will be given to students who have enrolled in English 1022 (establishing their desire to complete an Honors Specialization, Specialization or Major in English). Online financial need applications are available through the Registrar's Web site and must be completed by September 30. The Registrar's Office will select the scholarship recipients. These scholarships were established through Foundation Western by a generous gift from William Hodgins (BA '54).

These scholarships are offered through the Ontario Trust for Student Support (OTSS) program, and recipients must meet Ontario residency requirements.

Change to:

Awarded annually to full-time undergraduate students entering a degree program within the Faculty of Arts and Humanities, based on academic performance in their secondary school English course(s) and demonstrated financial need. Preference will be given to students who have enrolled in English 1022 (establishing their desire to complete an Honors Specialization, Specialization or Major in English).

Online financial need applications are available through the Registrar's Web site and must be completed by September 30. The Registrar's Office will select the scholarship recipients. These scholarships were established with Foundation Western by a generous gift from William Hodgins (BA '54).
Effective: 2014-2015 academic year

Trois-Pistoles Homestay OSOTF Bursaries (Any Undergraduate Program)

Value: 3 at \$1,000

Change in name and number to: Trois-Pistoles Homestay OSOTF Bursary

Change to: 6 at \$1,000

Effective: 2013-2014 academic year

Trois-Pistoles Homestay OSOTF Bursary (Any Undergraduate Program)

Change in number from: 6 at \$1,000

Change to: 3 at \$1,000

Effective: 2014-2015 academic year

Dorothy Thompson Bursary (Any Undergraduate Program – Athletic Award)

Change in Name and Criteria from:

Awarded to students in any year of any program who demonstrate financial need and are also members of the Western Mustangs football team. Candidates in first year must have a minimum 80% admission average and candidates in second year or higher must have a minimum 70% average. If in any year, the bursaries cannot be awarded to football players, they will be made available to female athletes in a varsity sport who demonstrate financial need. Candidates who are Intercollegiate student athletes must be in compliance with current OUA and CIS regulations. These bursaries were established by Mr. John Thompson through Foundation Western.

Value: The number and value of the bursaries will vary, based on funds available, up to a maximum of \$4,000 each.

To: Dorothy Thompson Award

Awarded annually to undergraduate students in any year of any program, who have demonstrated financial need, and are also members of the Western Mustangs football team. Online financial assistance applications are available through the Office of the Registrar's website and must be completed by September 30th. Candidates in first year must have a minimum 80% admission average and candidates in second year or higher must have a minimum 70% average. If, in any year, the awards cannot be made to football players, they will be made available to female athletes in a varsity sport, who also have demonstrated financial need. Candidates who are Intercollegiate student athletes must be in compliance with current OUA and CIS regulations. These awards were established with Foundation Western by Mr. John Thompson.

Value: The number and value of the awards will vary, based on funds available, up to a maximum of \$4,000 each.

Effective: 2014-2015 academic year

Gordon Risk Bursary (Any Undergraduate Program – Athletic Award)

Change in Name and Criteria from:

Awarded to students in any year of any undergraduate program based on financial need, academic standing and athletic leadership, in that order. Candidates in first year must have a minimum 80% admission average and candidates in second year or higher must have a minimum 70% average. Applications may be obtained online through the Office of the Registrar's Web site and must be submitted by October 31. Candidates who are Intercollegiate student athletes must be in compliance with current OUA and CIS regulations. The Faculty of Health Sciences will select the recipient once the Office of the Registrar has determined financial need. These bursaries were established by a generous bequest from Mr. Gordon Risk.

To: Gordon Risk Award

Awarded to undergraduate students in any year of any program based on financial need, academic standing and athletic leadership, in that order. Candidates in first year must have a minimum 80% admission average and candidates in second year or higher must have a minimum 70% average. Online financial assistance applications can be accessed through the Office of the Registrar's website and must be submitted by September 30th. Candidates who are Intercollegiate student athletes must be in compliance with current OUA and CIS regulations. The Western Athletic Financial Award Committee will select the recipients once the Office of the Registrar has determined financial need. This award was established by a generous bequest from Mr. Gordon Risk.

Effective: 2014-2015 academic year

Gordon Risk (OSOTF) Bursary (Any Undergraduate Program - Athletic Award)

Change in Name and Criteria from:

Awarded to students in any year of any undergraduate program based on financial need, academic standing and athletic leadership, in that order. Candidates in first year must have a minimum 80% admission average and candidates in second year or higher must have a minimum 70% average. Applications may be obtained online through the Office of the Registrar's Web site and must be submitted by October 31. Candidates who are Intercollegiate student athletes must be in compliance with current OUA and CIS regulations. The Faculty of Health Sciences will select the recipient once the Office of the Registrar has determined financial need. These bursaries were established by a generous bequest from Mr. Gord Risk.

To: Gordon Risk (OSOTF) Award

Awarded to undergraduate students in any year of any program based on financial need, academic standing and athletic leadership, in that order. Candidates in first year must have a minimum 80% admission average and candidates in second year or higher must have a minimum 70% average. Online financial assistance applications can be accessed through the Office of the Registrar's website and must be submitted by September 30th. Candidates who are Intercollegiate student athletes must be in compliance with current OUA and CIS regulations. The Western Athletic Financial Award Committee will select the recipients once the Office of the Registrar has determined financial need. This award was established by a generous bequest from Mr. Gordon Risk. These scholarships are offered through the Ontario Student Opportunity Trust Fund program and recipients must meet Ontario residency requirements.

Effective: 2014-2015 academic year

Sigma Chi - Brother Tom Merry Award (Any Undergraduate Program)

Change in criteria and value from:

Awarded to an active member of the Epsilon Omicron Chapter of the Fraternity of Sigma Chi who is an undergraduate student at UWO enrolled in any year beyond year one. Candidates must have achieved a minimum B+ average and have contributed significantly to the fraternity during the previous year. Applicants will submit a one page essay stating the reasons why the member believes he should win the award, along with his grade point average for the previous academic year. Applications must be submitted to the Fraternity Chapter by September 30. Selection will be made by the Financial Aid Office in conjunction with a Fraternity committee. This award is to be available to students registered at the affiliate colleges as well as the constituent university.

Value: 1 at \$300

Change to:

Awarded annually to an undergraduate student in Year 2, 3 or 4, of any program (including the Affiliated University Colleges), who has achieved a minimum 75% average and is an active member of the Epsilon Omicron Chapter of the Fraternity of Sigma Chi. Candidates must have contributed significantly to the

fraternity during the previous year. Students must submit a one- page statement to the Office of the Registrar (Main Campus) by September 30th outlining their contributions to the Chapter. The Office of the Registrar will select the recipient. This award was established with Foundation Western by Sigma Chi.

Value: 1 at \$1,000

Effective: 2014-2015 to 2023-2024 academic years inclusive

Larry Oehm Alumni Entrance Scholarship (Schulich School of Medicine & Dentistry, Dentistry)

Change in effective date from: 2013-2014 academic year

Change to: 2013-2014 to 2017-2018 academic years inclusive

John C. Vander Hoeven Alumni Entrance Scholarship (Any Undergraduate Program)

Change in effective date from: 2010-2011 academic year

Change to: 2013-2014 to 2015-2016 academic years inclusive

Joan A. Francolini Alumni Scholarship (School of Graduate and Postdoctoral Studies, EMBA)

Change in effective date from: May 2010

Change to: May 2014 to April 2019 inclusive

Jessie and Tom Archibald Award (Richard Ivey School of Business)

Change in value from: 1 at \$1,250

Change to: 1 at \$900

Effective: 2014-2015 academic year

Harold Crookell Memorial Scholarship (Richard Ivey School of Business)

Change in value from: 1 at \$5,000

Change to: 1 at \$2,000

Effective: 2014-2015 academic year

Canadian Centennial '67 Class Award (Faculty of Engineering)

Change in value from: 1 at \$900

Change to: 1 at \$1,500

Effective: 2014-2015 academic year

William Fuller MBA Award (School of Graduate and Postdoctoral Studies, MBA)

Change in number from: 1 at \$20,000

Change to: 2 at \$20,000

Effective: May 2014

Jim and Heather Hunter MBA Student Award (School of Graduate and Postdoctoral Studies, MBA)

Change in value from: 1 at \$3,000

Change to: 1 at \$3,300

Effective: May 2014

Ivey Alumni Association Toronto Chapter HBA Scholarship (Richard Ivey School of Business)

Change in value from: 1 at \$7,500 continuing

Change to: 1 at \$8,400 continuing

Effective: 2014-2015 academic year

HBA 1957 Scholarship (Richard Ivey School of Business)

Change in value from: 1 at \$2,500

Change to: 1 at \$3,000

Effective: 2014-2015 academic year

HBA '91 Student Leader Award (Richard Ivey School of Business)

Change in value from: 1 at \$2,500

Change to: 1 at \$2,750

Effective: 2014-2015 academic year

HBA '83 Award (Richard Ivey School of Business)

Change in value from: 1 at \$4,000 continuing

Change to: 1 at \$4,500 continuing

Effective: 2014-2015 academic year

John T. Nicholson Award (Richard Ivey School of Business)

Change in value from: 1 at \$650 continuing

Change to: 1 at \$700 continuing

Effective: 2014-2015 academic year

Michael Rawana Bursary (School of Graduate and Postdoctoral Studies, MBA)

Change in value from: 1 at \$500

Change to: 1 at \$350

Effective: May 2014

A.R. Wood HBA Award (Richard Ivey School of Business)

Change in value from: 1 at \$1,000

Change to: 1 at \$1,100

Effective: 2014-2015 academic year

Gray Creative Arts Award in Visual Arts (Faculty of Arts and Humanities)

Change in value from: 1 at \$1,740

Change to: 1 at \$2,000

Effective: 2014-2015 academic year

William C. Heine Journalism Graduate Fellowship (School of Graduate and Postdoctoral Studies, Journalism)

Change in value from: 1 at \$3,000

Change to: 1 at \$3,500

Effective: May 2014

Hughes McKellar Rural Southwestern Ontario Medical Residency Award (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 1 at \$3,150

Change to: 1 at \$5,700

Effective: 2014-2015 academic year

Med's Class of 1967 Bursaries (Schulich School of Medicine & Dentistry, Medicine)

Change in name and value from: 2 at \$2,000

Change to: Meds Class of 1967 Bursary

Value: 2 at \$2,400

Effective: 2014-2015 academic year

Meds 1965 Award (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 1 at \$1,000

Change to: 1 at \$2,000

Effective: 2014-2015 academic year

Nursing Alumni Bursary (Faculty of Health Sciences)

Change in value from: 1 at \$500

Change to: 1 at \$650

Effective: 2014-2015 academic year

Robert & Susan Papp Bursary in Education (Faculty of Education)

Change in value from: 1 at \$1,750

Change to: 1 at \$2,000

Effective: 2014-2015 academic year

Robert and Susan Papp Bursary in Computer Science (Faculty of Science)

Change in value from: 1 at \$1,750

Change to: 1 at \$2,000

Effective: 2014-2015 academic year

Hazel Marie Phillips Memorial Scholarship in History (Faculty of Social Science)

Change in value from: 1 at \$600, continuing for 3 years

Change to: 1 at \$800, continuing for 3 years

Effective: 2014-2015 academic year

Class of Meds '49 Award for Excellence in Teaching by Residents (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 2 at \$650

Change to: 2 at \$500

Effective: 2014-2015 academic year

Harry C. Steels Bursary (Faculty of Arts and Humanities)

Change in value from: 1 at up to \$400

Change to: 1 at \$500

Effective: 2014-2015 academic year

Bill Teng Hong Kong Scholarship (Any Undergraduate Program)

Change in value from: 1 at \$2,500

Change to: 1 at \$1,750

Effective: 2014-2015 academic year

Dr. Rob Tingley Class of '95 Developing Countries Award (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 1 at \$1,750

Change to: 1 at \$2,100

Effective: 2014-2015 academic year

Western Alumni Clerkship Scholarship in Psychiatry (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 1 at \$1,000

Change to: 1 at \$725

Effective: 2014-2015 academic year only

Martin W. Westmacott Award (Faculty of Social Science)

Change in value from: 1 at \$300

Change to: 1 at \$1,000

Effective: 2014-2015 academic year

Dr. L. Dewitt Wilcox Award in Medical Research (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 1 at \$2,000

Change to: 1 at \$1,300

Effective: 2014-2015 academic year

McCormick Canada Continuing HBA Award (Richard Ivey School of Business)

Change in effective date from **discontinued**

To:

Awarded annually to a full-time student entering the first year of the HBA program. The student must have a minimum 'B' average, demonstrated community and/or extra-curricular involvement and financial need. Students may retain this award in year two provided they continue to meet the criteria. If a student fails to retain the award, other students entering year two will have an opportunity to apply for this award. This award is made possible as a result of the generosity of McCormick Canada Inc. Students must apply for this award. Application forms are available from the Admissions Office of the Richard Ivey School of Business and must be completed and returned along with a current resume to the Admissions Office by August 1. Selection will be made by the HBA Scholarship Committee of the Richard Ivey School of Business.

Value: 1 @ \$1,000 continuing

Effective: 2014-2015 academic year

Maple Leaf Capital LLP HBA Scholarship in Finance (Richard Ivey School of Business)

Change in Name To: Maple Leaf Capital HBA Scholarship in Finance

Effective: 2014-2015 academic year

SC Johnson HBA Award (Richard Ivey School of Business)

Change in value:

1 @ \$1,500

To:

1 @ \$4,000

Effective: 2014-2015 academic year

C.B. (Bud) Johnston Ontario Graduate Scholarship (School of Graduate and Postdoctoral Studies, Richard Ivey School of Business)

Change in criteria from:

Awarded to full-time graduate students pursuing a PhD in Business Administration, based on academic achievement (minimum 78% average), financial need and research excellence. Only Canadian citizens are eligible for these scholarships. The recipients will be selected by a committee of graduate faculty members in the Business School, with at least one representative of the committee being a member of the School of Graduate and Postdoctoral Studies. The Selection Committee will consult the School of Graduate and Postdoctoral Studies to determine what other sources of graduate funding may be held concurrently with these scholarships. Recipients may not hold these scholarships beyond the normal duration for funding at the graduate level. Consult the Graduate Studies calendar for details. These scholarships were established by Ralph M. Barford (LLD '87).

5 at \$5,000.

**The intent of this portion of the Donor's gift is to establish five Ontario Graduate Scholarships for PhD students conducting research in Business. If a recipient of the C.B. (Bud) Johnston Ontario Graduate Scholarship also qualifies to receive an Ontario Graduate Scholarship, Ivey will secure matching funds*

through the OGS program, increasing the value of the scholarship to \$15,000. All OGS scholarships are awarded by the Province of Ontario on the basis of academic achievement and research potential

To:

Awarded to full-time graduate students pursuing a PhD in Business Administration, based on academic achievement (minimum 78% average), financial need and research excellence. Only Canadian citizens are eligible for these scholarships. The recipients will be selected by a committee of graduate faculty members in the Business School, with at least one representative of the committee being a member of the School of Graduate and Postdoctoral Studies. The Selection Committee will consult the School of Graduate and Postdoctoral Studies to determine what other sources of graduate funding may be held concurrently with these scholarships. Consult the Graduate Studies calendar for details. These scholarships were established by Ralph M. Barford (LLD '87).

5 at \$5,000.

Effective: May 2014

**The intent of this portion of the Donor's gift is to establish five Ontario Graduate Scholarships for PhD students conducting research in Business. If a recipient of the C.B. (Bud) Johnston Ontario Graduate Scholarship also qualifies to receive an Ontario Graduate Scholarship, Ivey will secure matching funds through the OGS program, increasing the value of the scholarship to \$15,000. All OGS scholarships are awarded by the Province of Ontario on the basis of academic achievement and research potential*

G. Mark Curry Doctoral Scholarship (School of Graduate and Postdoctoral Studies, Richard Ivey School of Business)

Change in criteria from:

Awarded annually to a PhD student working with the Finance Area Group at the Richard Ivey School of Business based on academic achievement (minimum 80%) and the candidate's expressed desire to support and carry out research in the areas of investment strategy and money management. The Associate Dean of Research at the Richard Ivey School of Business will select the recipient in consultation with a committee of which at least one representative is a member of School of Graduate and Postdoctoral Studies. This scholarship is renewable annually for up to five years, dependent on the recipient's progress in research and teaching in the areas of investment strategy and money management as determined by the Associate Dean of Research at the Richard Ivey School of Business. A new recipient will be selected once the current recipient no longer qualifies. This scholarship was established by a gift from G. Mark Curry through Foundation Western.

To:

Awarded to a student entering the PhD program at the Richard Ivey School of Business based on academic achievement (minimum 80% average) and research excellence. The Associate Dean of Research at the Richard Ivey School of Business will select the recipient in consultation with a committee of which at least one representative holds membership in the School of Graduate and Postdoctoral Studies. This scholarship is renewable annually for up to five years, dependent on the recipient's progress in research as determined by the Associate Dean of Research at the Richard Ivey School of Business. A new recipient will be selected once the current recipient no longer qualifies. This scholarship was established by a gift from G. Mark Curry, MBA '69 with Foundation Western.

Effective: May 2014

Chisholm Doctoral Scholarship (School of Graduate and Postdoctoral Studies, Richard Ivey School of Business)

Change in criteria from:

Awarded to a PhD student studying and conducting research at the Richard Ivey School of Business. Selection of the PhD student will be based on his or her expressed desire to support and carry out research in one of the following areas related to the financial services industry, or such other related area as is mutually agreed upon. More detail about applicable research areas can be obtained from the Associate Dean of Research and/or the Director of the PhD program: 1) Convergence of financial service products. 2) Capital regulation across segments of the financial services industry. 3) The success/lack of success of mergers in the financial services industry. 4) Cross border provision of financial services. 5) Canada's role in financial services in an international/global context currently and in the future. 6) The

future of asset management in the context of changing patterns in pension provision internationally. 7) Sources of growth in a constantly commoditizing industry. 8) Characteristics of world class players in the financial services industry. 9) The spread of credit derivatives and the implications for the economic system. 10) The future of securities exchanges. 11) Such other topics regarding the financial services industry as are agreed upon. A list of eligible candidates selected by the Associate Dean of Research and the Director of the PhD program will be forwarded annually to Mr. Chisholm for his review and input. The

Associate Dean of Research will make the final decision for selection of the candidate in consultation with at least one representative who is a member of the School of Graduate and Postdoctoral Studies.

To:

Awarded to a student entering the PhD program at the Richard Ivey School of Business based on academic achievement (minimum 80% average) and research excellence. The Associate Dean of Research at the Richard Ivey School of Business will select the recipient in consultation with a committee of which at least one representative holds membership in the School of Graduate and Postdoctoral Studies. This scholarship is renewable annually for up to five years, dependent on the recipient's progress in research as determined by the Associate Dean of Research at the Richard Ivey School of Business. A new recipient will be selected once the current recipient no longer qualifies. This scholarship was established by a gift from Andrew Chisholm, MBA '85 with Foundation Western.
Effective: May 2014

Meds Class of 1940 Scholarship in Medical Sciences (Schulich School of Medicine & Dentistry, Medicine)
Change in criteria from:

Awarded to a student entering year three of the undergraduate Doctor of Medicine (MD) program based on the cumulative score of all science courses taken during years one and two of the Doctor of Medicine (MD) program. The Schulich School of Medicine & Dentistry Scholarships and Awards Committee will select the recipient in consultation with the Chair of Basic Science. This scholarship was established by generous donations from members of the Meds Class of 1940 to recognize undergraduate Doctor of Medicine (MD) program students through Foundation Western.

To:

Awarded to a student entering year three of the undergraduate Doctor of Medicine (MD) program based on the highest academic average in years one and two of the Doctor of Medicine (MD) program. The Progression and Awards Committee within the Schulich School of Medicine & Dentistry will select the recipient. This scholarship was established with Foundation Western by generous donations from members of the Meds Class of 1940 to recognize undergraduate Doctor of Medicine (MD) program students.

Effective: 2013-2014 academic year

Dr. D.G. Montemurro Prize (School of Graduate and Postdoctoral Studies, Anatomy and Cell Biology)
Value: 1 at \$250

Change in Effective Date from: May 2013 to April 2021 only

To: May 2013 to April 2014 only

And Change in Name, Criteria and Award Value from:

Awarded annually to the graduate student in Anatomy & Cell Biology who achieves the highest standing in Neuroscience for Rehabilitative Science. The Anatomy & Cell Biology Graduate Affairs Committee, with at least one representative from the School of Graduate and Postdoctoral Studies, will select the recipient. This Prize was established with Foundation Western by colleagues, students, friends and the Faculty of Health Sciences, to honour Dr. D.G. Montemurro.

To:

Dr. D.G. Montemurro Award (School of Graduate and Postdoctoral Studies, Communication Sciences and Disorders)

Awarded to two graduate students in the School of Communication Sciences and Disorders (one Audiology and one Speech Language Pathology) with high academic standing. A Committee within Communication Sciences and Disorders, with at least one representative from the School of Graduate

and Postdoctoral Studies, will select the recipients. This Award was established with Foundation Western by colleagues, students, friends and the Faculty of Health Sciences, to honour Dr. D.G. Montemurro.

Value: 2 at \$1,000

Effective: May 2014 to April 2015 only

Tom Whealy Football Scholarship (Any Undergraduate or Graduate Program, including Affiliated University Colleges, Athletic Award[Football])

Change in number of awards and effective date from:

Value: 5 at \$4,000

Effective: 2013-2014 academic year only

To: 6 at \$4,000

Effective: 2014-2015 academic year only

TD Scholarship in Computer Science (Faculty of Science, Computer Science)

Change in criteria from:

Awarded annually to full-time undergraduate students entering first year in the Faculty of Science who intend to register in the Computer Science program in year 2, achieve an 85% admission average and demonstrate financial need. These scholarships will continue until the recipients complete their programs in Computer Science, as long as the recipients maintain an 75% average, a full-time course load and demonstrate financial need each year. If a recipient fails to meet these requirements, another student from the same year will be selected based on the criteria stated herein. These scholarships were made possible by a generous donation from the TD Bank Financial Group.

Value:

1 new award at \$1,000 in 2001-2002 (continuing for up to 3 or 4 years)

2 new awards at \$1,000 in 2002-2003 (continuing for up to 3 or 4 years)

2 new awards at \$1,000 in 2003-2004 (continuing for up to 3 or 4 years)

Thereafter, the number offered each year will continue to repeat this three year pattern as funds permit.

Effective: 2012-2013 academic year

To:

Awarded annually to full-time undergraduate students in **Yr 2 registered in Computer Science**, who have achieved a 75% average and have demonstrated financial need. These scholarships will continue until the recipients complete their programs in Computer Science, as long as the recipients maintain an 75% average, a full-time course load and demonstrate financial need each year. If a recipient fails to meet these requirements, another student from the same year will be selected based on the criteria stated herein. These scholarships were made possible by a generous donation from the TD Bank Financial Group.

Value: 4 new awards at \$1,000 in 2013-2014 (continuing for Yr 3 and Yr 4)

Effective: 2013-2014 academic year

3. Discontinued Awards

The following awards will be discontinued effective May 2014:

The Dr. Cindy M. Hutnik Award

Goldman Sachs Scholars Fund MBA Award

REPORT OF THE AUDIT COMMITTEE

Contents	Consent Agenda
2013 Annual Report – Campus Community Police	Yes

FOR INFORMATION

1. **2013 Annual Report – Campus Community Police**

See [Annex 1](#).

FOR INFORMATION

Campus Police, Audit Committee Report



Supporting a Safe Campus

Western University is deeply committed to campus safety and security. With a staff complement of twenty one special constables, Campus Community Police Service provides twenty four hour service to the students, faculty and staff of Western main campus, Brescia, Huron and King's College, along with the three research locations.

Emergency Operations and Communications

The weather siren was activated for an actual emergency for the first time on September 11, 2013. In response to a tornado warning issued by Environment Canada, the weather siren was activated three times over a ninety minute period in the late afternoon to warn pedestrians of the impending severe weather. The activation received significant positive response from the Campus community as well as the broader London community. Fortunately, no tornado touched down at Western.

The tornado warning in September tested the notifications systems. Several lessons were learned in regards to better utilization of existing tools. Communications and Public Affairs (CPA) effectively distributed the severe weather warnings via various social networks, email, Western web site and public media. New construction has provided for broader use of the fire alarm speaker systems to be utilized as internal PA systems. Campus Police can access the fire alarm speakers with a single telephone connection in nine of the larger populated buildings on campus.

Technology

All patrol officers have recently been issued iPad tablets. This tool provides wi-fi real time access to the police records system, Registrars Office and the internet. Officers are now enabled to interview persons on site and directly enter information and query information from any point on Campus that has wi-fi access. Equipped with a camera and video, evidence can be recorded and added directly into the records management system. These tools allow officers to focus attention to high activity areas of campus without the need to return to the office for minor report writing needs.

Emergency notifications have been enhanced this past year since the Hazmat team has been provided I-Phones and the I-WesternE, emergency paging system. This I-Phone app allows Communications personnel to send a single message to the entire team with a single transmission, significantly enhancing the ability to notify individual responders.

Volunteers

Foot Patrol and Building Evacuation Team volunteers continue to significantly contribute to the Safe Campus environment. Foot Patrol provides safe escorts for many students in a high visibility capacity. They also perform valued ongoing checks of the emergency blue phone system and lighting on Campus. Each campus building has identified staff to perform the duties of Building Evacuation Team (BET). They play an essential role, by ensuring prompt evacuations during alarm situations. Their enthusiasm and involvement is also a valued communication network for emergency services.

Communications Centre

The Communications Centre provides vital 24 hour services to the Campus for 911, alarm monitoring and video monitoring. The Communications Centre dispatches officers to all alarms and incidents. In addition, the Communications Centre is the after hours point of contact for all incoming enquiries which can range from law enforcement queries to assistance to students on field trips in foreign countries on any continent.

Safe Campus Advisory Partners (SCAP)

SCAP is a committee that meets on a monthly basis to review the more significant incidents that have occurred on campus. The committee is chaired by the Director, Campus Police and is comprised of professionals from Legal Services, Housing, Registrars Office, Graduate Studies, Student Development, Health Services, and Human Resources. Incidents are reviewed to determine appropriate response and/or trends. In the event of a specific serious incident, appropriate representatives from SCAP will be assembled to determine a plan of action. SCAP will also address emerging issues or request new strategies for pro-active measures as required.

Accreditation

Campus Police continues to be the only accredited campus police service in Canada. The commitment to the accreditation standards ensures that Campus Police at Western, provides professional community policing service.

John Carson

Director

Campus Community Police

Phone 519-661-4010

email – jcarso8@uwo.ca



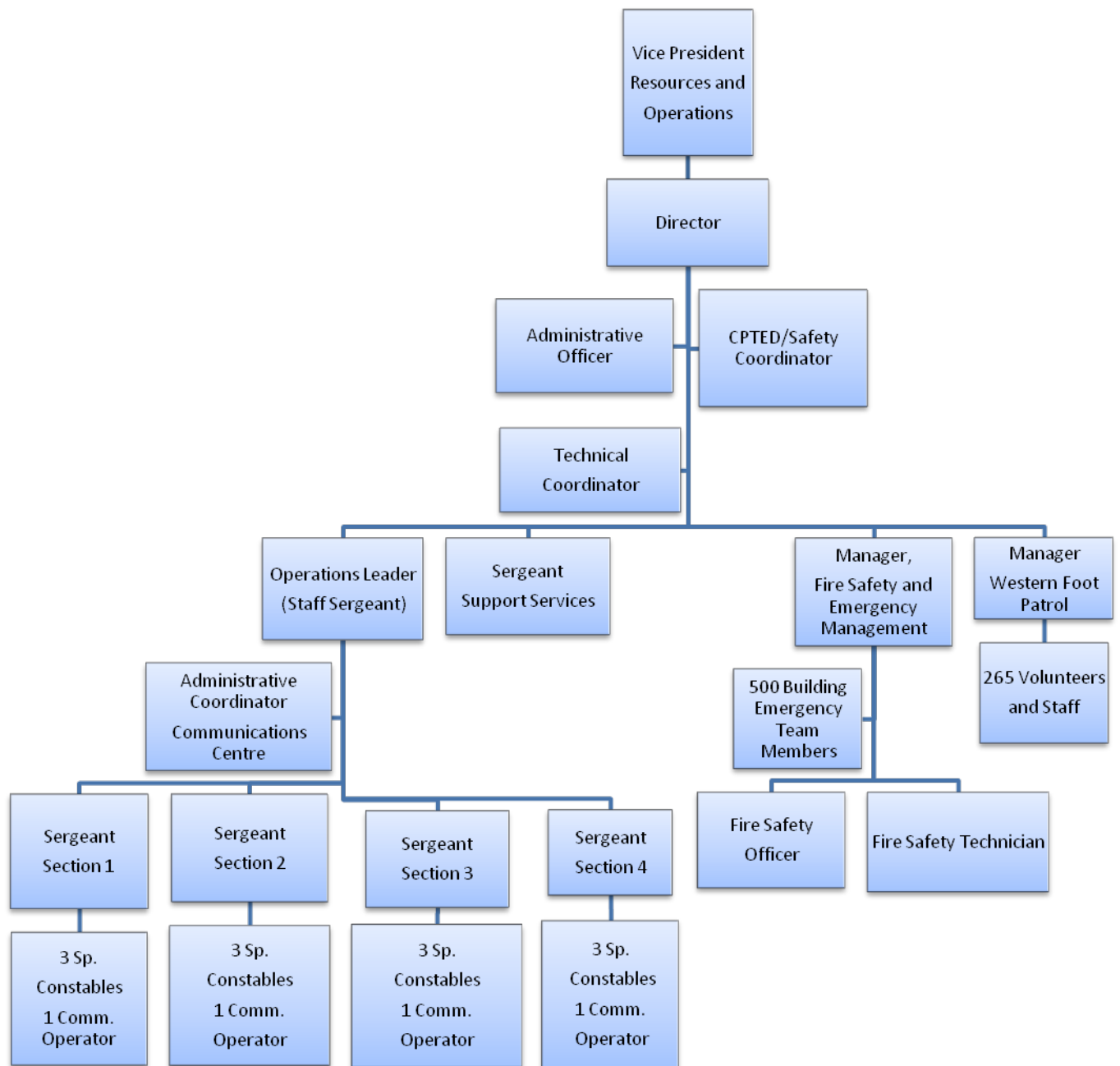
Attachments

Unit Structure

Safe Campus Initiatives

Emergency Structure

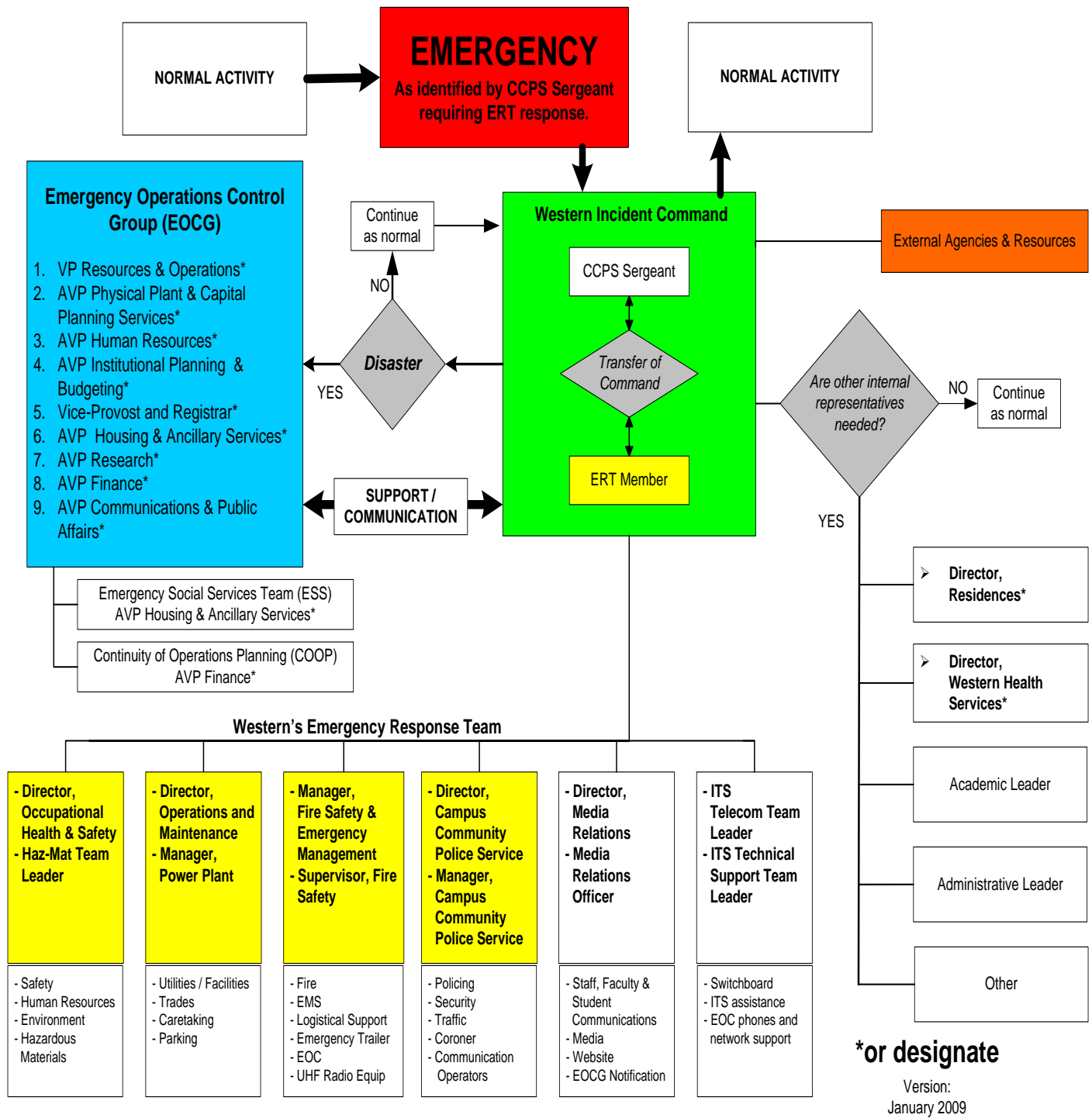
Campus Community Police – Structure 2014



Safety Initiatives on Campus

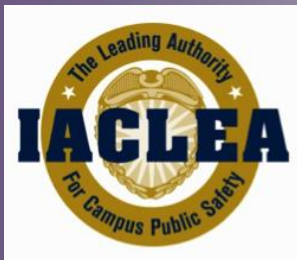
<p>1. Risk Management Program</p> <ul style="list-style-type: none"> i) Safe Campus Program ii) Business Continuity plan iii) Pandemic plan iv) Social Services plan v) Bomb Threats plan vi) Hazardous Materials vii) Bio Safety viii) Fire Safety plans ix) Emergency Response Plan <p>2. Campus Community Police Service</p> <ul style="list-style-type: none"> 3. 911 System – LPS Integration 4. Use of Force (Special Const.) training 5. ERD (Emergency Rapid Deployment) trained special constables 6. Tactical Officers training (LPS & OPP) 7. CPTED/Safety Coordinator 8. CPTED/Safety training & reviews 9. Emergency Management Coordination 10. BEM (Basic Emergency Mng.) training 11. Crowd Management (protests) training 12. Vulnerability Screening (Police Checks) 13. Traffic Management Program 14. Security Guards integration program 15. Graffiti Eradication Program 16. Emergency Blue Phones (21) 17. Laptop Engraving Program 18. Residence Liaison Program (RLO) 19. Alarms Systems (1,500) 20. Scout Security Alarm System 21. Access Control Program (Cards & Keys) 22. Code of Student Conduct 23. Controlled Goods Program 24. Video Monitoring Policy/ Program 25. Traffic Management 26. Incident Command Training 	<p>27. Emergency Response Team (ERT)</p> <ul style="list-style-type: none"> 28. Emergency Operations Control Group 29. Emergency Resp. Committee (ERC) 30. Emergency Operations Centre (EOC X 2) 31. E.M.O. training of ERT and EOCG 32. Emergency Training exercises 33. P/A integrated with Fire Systems <p>34. Fire Safety Department & Protocols</p> <ul style="list-style-type: none"> 35. Fire Watch Program 36. Fire Safety training 37. BET (building emergency teams) <p>38. Foot Patrol (Safe Walk)</p> <ul style="list-style-type: none"> 39. Working Alone Program 40. Lighting Surveys (monthly) <p>41. OHS – Radiation Program</p> <ul style="list-style-type: none"> 42. Controlled Goods Program 43. HazMat Team 44. Confined Space Rescue Team 45. OHS Committees (JOHSC) <p>46. Equity and Human Rights Services</p> <ul style="list-style-type: none"> 47. Discrimination and Harassment Policy 48. Women's Safety Committee 49. Respectful Campus Program 50. Counselling Services – Internal 51. Employee Assistance Program (EAP) 52. Ombuds Services <p>53. Student Emerg. Response Teams (SERT)</p> <p>54. ITS Security (Cyber Safety)</p> <p>55. Residences Code of Behaviour</p> <p>56. Roof Access Program</p> <p>57. Communications Team</p> <p>58. International Travel Support</p> <p>59. Border Crossing Protocol (USA)</p> <p>60. Affiliated Colleges Emerg. Integration</p>
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Western's Emergency Response Process



Campus Community Police Service

Annual Report



2013



Western
UNIVERSITY • CANADA

From the Director



From Director John Carson

Campus Community Police Service (CCPS) provides 24 hour patrols, investigations and 911 emergency services to Western and Affiliated University Colleges.

Campus Police also consists of Fire Safety, Foot Patrol, CPTED, Emergency Management, Access Control, and Alarm Monitoring with 30 member staff and over 700 volunteers.

Campus Police receive regulatory authority from the Ministry of Public Safety and Correctional Services, through the London Police Services Board. In-Service Training and investigative supports are provided by the London Police Service.

All members of the Western community have the right to study, work and conduct their activities in a safe environment. Along with the services of Fire Safety, Foot Patrol and all of the volunteers, Campus Police works very closely with legal services, human resources, the registrar's office, housing and all other areas with the goal of supporting a safe environment for students, faculty, staff, and visitors.

It is my personal privilege to assume the role of Director, Campus Police. Bringing to Western over thirty years of public policing experience, my goal is to provide professional campus police services to this diverse community. I look forward to working in cooperation and collaboration with all members of the Western community to meet Western's expectations for Safe Campus.

We trust that you will find our Annual Report of Campus Police Activities interesting as you peruse some of the highlights of this past year.

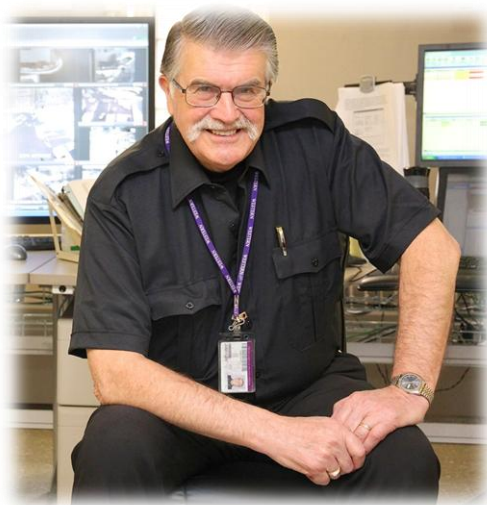


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Director Austen's Retirement

We said goodbye to Director Elgin Austen in April



Elgin Austen

April 30, 2013 marked the retirement of Elgin Austen from Campus Community Police Service (CCPS). Elgin joined Western in 2003 after an exemplary career with the London Police Service.

Elgin recognized the importance of delivering professional services to the Western community. Through Elgin's leadership, Campus Police became the first university campus police organization in Canada to be accredited by the International Association of College Law Enforcement Administrators. Accreditation is the benchmark of professional standards and processes.

Faculty, staff and students will remember Elgin for his unwavering commitment to Campus Safety. His community policing strategies engaged all stakeholders in the interest of ensuring "the best student experience". "It is all about the students", was a signature comment from Elgin.

In the interest of safety, Elgin led the initiative to install a weather siren on the roof of Alumni Hall to warn pedestrians of impending severe weather such as a tornado. On September 11, 2013, the siren was activated for the first time in response to a tornado warning from Environment Canada.

We thank Elgin for his ten years of leadership, dedication and commitment to Safe Campus at Western.

Director Carson's Appointment



John Carson pictured with London Police Services Chief Brad Duncan upon his appointment as Director of Campus Police

To assume the role of Director, Campus Community Police Service is a personal privilege and honor. Western has consistently demonstrated the importance, value and priority of Campus Safety. I look forward to working with faculty, staff and students to continue the delivery of services that make Western a Safe Campus Community.

Having served with the Ontario Provincial Police for thirty years, I believe that my broad experience can contribute to the safety and security at Western. With this experience, we will build on the successes of the numerous Campus Safety initiatives. The strong supportive partnership with the London Police Service ensures all potential safety issues are delivered with a high degree of professionalism.

The key to success requires the cooperative and collaborative efforts of the whole community. Over the past two years at Western, I have been impressed with the support from all stakeholders. From Student's Council, volunteers, to faculty, staff and support personnel, all are always ready and willing to support Campus Safety. Every effort will be made to capitalize on this spirit of community.

As a parent, I am keenly aware of the importance that is placed on student safety. Every parent needs to be assured that their son or daughter is learning in a safe environment. Campus Police will strive to deliver efficient, effective, and professional services that are worthy of such expectations.

Be assured that I do appreciate the safety and security expectations of our Campus community. I am committed to the delivery of professional campus policing services that foster and support a learning environment that is truly extraordinary.

Spotlight on Newest Supervisory Team

In addition to the appointment of John Carson as Director, 2013 has seen many new faces join the Campus Police supervisory team. Each of these officers brings a unique set of skills to the service. Their combined experience totals over 100 years which will be of huge benefit to Western University.



After serving eight years with the Timmins Police Service, S/Sgt. J.C. Aubin commenced his service with the CCPS as a Special Constable in 2009. He was promoted to the rank of Sgt. In 2012 and to the rank of S/Sgt. In 2013. J.C. is our Operations Leader and oversees all operational aspects of CCPS investigations.



Sgt. Bob McCormick comes to us with a vast array of experience acquired from 29 years of service with the RCMP. His qualifications include being an underwater recovery diver, a surveillance pilot, and police tactical paramedic. Sgt. McCormick has extensive supervisory experience achieved as commander of several traffic units and also Training Coordinator, preparing over 1,000 officers for duties at the 2010 winter Olympic Games in Vancouver, B.C. Campus Police feel very fortunate that Sgt. McCormick has chosen to continue his policing career at Western. Bob is our Administration Manager.



Sgt. Loreto Rossi joined the CCPS in May of 2005 as a Special Constable. He had earned his Public Safety background while serving as a Fire and Security Officer with St. Joseph's Health Care. Over the years, Sgt. Rossi has developed into a valued Public Safety Instructor, honing his skills as a Coach Officer instructing new cadets, a Rape Aggression Defense instructor as well as our Bicycle Patrol Officer instructor. Sgt. Rossi's skill and knowledge was recognized this past spring with his promotion to Sergeant. Loreto is in charge of Section 4.



Sgt. Christine Greco is our newest supervisor. She commenced her career as an elementary school teacher with the Algoma District School board and then a police constable with the Sault Ste Marie Police Service. She joined the CCPS in 2010. Sgt. Greco holds a B.Sc. in Exercise Science and a B.Ed. as a Special Education Specialist. This extensive educational experience will be a huge asset in her new role as our Crime Prevention through Environmental Design, (CPTED) Coordinator, helping to make Western an even safer place in which to learn and work.



Sgt. Ryan Austin joins us with 20 years police experience under his belt. He worked for 10 years with the London Police Service before transferring to Saanich, British Columbia. Sgt. Austin acquired extensive experience in the finer points of traffic enforcement. He also specialized in Collision Reconstruction and Motorcycle Operator instruction. Ryan is in charge of Section 2.



Sgt. Rob Hughes arrived at CCPS in September with a wealth of Campus Policing experience already to his credit. He was a Lead Investigator in Ontario's Special Investigation Unit, (SIU) for 12 years. Subsequently, Sgt. Hughes served at the University of Guelph Campus Police for seven years. In this capacity, Sgt. Hughes gained supervisory experience while in charge of the Bicycle Patrol and Training units. He was also responsible for training both police personnel and university staff in the Workplace Violence and Harassment Initiative. Western is the ultimate beneficiary of this vast knowledge base. Rob is in charge of Section 3.



Goals and Objectives 2013

Pursue Best Practices and Measure Success

1. *Development and maintenance of Community Partnerships to ensure positive relationships, open communications, mutual respect and public confidence*
 - a. Sustain honest, supportive and respectful community leadership
 - b. Interact in a proactive & non-judgmental manner with students, staff and faculty
 - c. Accept responsibility for personal actions and outcomes

Discover and Develop Talent and Recognize our Success

2. *Continuous development of staff to provide opportunities for individual growth and ensure best practice in service delivery*
 - a. Exceed community expectations in collaboration and service delivery
 - b. Build excellence in learning, team consistency and supervision
 - c. Complete thorough, timely and professional investigations

Align and Integrate Business Process to Better Serve Clients

3. *Development and implementation of preventative safety and security strategies to protect people, their property and university assets*
 - a. Engage in problem solving to improve safety, security & community confidence
 - b. Listen to concerns, assess risk, analyze hazards, anticipate problems, and develop solutions in consultation with stakeholders
 - c. Assist in community protection education and individual responsibilities

Strengthen Opportunities for Interaction and Shared Understanding of Roles and Goals

4. *Enforcement to ensure a safe community and compliance with legal obligations*
 - a. Involve the community in determining safety objectives
 - b. Develop strategic initiatives and actions in response to community concerns
 - c. Promote individual, public and OHS risk management strategies & outcomes

Community Service

Community Events Included an Annual BBQ for Families at Platt's Lane Estates, a display at the Strathroy Police Service Day event and Rape Aggression Defense Training.



S/Cst. Rob Barber receives a token of appreciation from children at the annual BBQ



S/Cst. Scott Hessell pours the drinks for residents at the annual BBQ



A future S/Cst. poses at the Strathroy Police Services Day

Rape Aggression Defense, (R.A.D), Training



CCPS staff instructed two R.A.D courses in 2013

Aiding in the fight against cancer



S/Cst. Greg Lawson presents a cheque for \$100 to Kiara Szabo on behalf of CCPS

In addition to appearing at several community events, Campus Community Police Service was active behind the scenes at many other worthwhile community ventures.

Not only did CCPS locally support Kiara's fight against cancer, our aid reached around the globe. In November, CCPS sent \$1000.00 from its Bicycle Fund to the Canadian Red Cross to assist survivors of the tragic hurricane in the Philippines. In December \$300.00 was given to the Salvation Army in an effort to provide a better Christmas for those less fortunate in the London area.

The Campus Community Police Service will continue to offer support to those in need in 2014.

Traffic Safety

Western University is a community whose daily population is over 40,000 members. That is greater than the populations of Strathroy and Parkhill combined. The campus is flanked by three arterial roadways in the City of London, which provide access to a major regional hospital, a critical care centre, and key research facilities. University property straddles Western Road (another major city thoroughfare) with its campus buildings, the largest recreation centre in the city, student residences, and multiple parking lots. Not only are Western affiliates, Brescia University College, Huron University College, Ivey School of Business, Elborn College, Althouse College and their associated residences situated on the west side of Western Road, but the extensive Support Services complex is also there. The main campus of Western University occupies the east side of Western Road.

As a result of its central position in the city of London, the motoring public regularly use the unique street system of Western. Approximately 30,000 extra vehicles traverse Western's streets daily. This concentration of vehicular and pedestrian traffic presents many challenges to traffic safety. Major pressures are felt at the intersections of Western Rd. and Lambton Dr., as well as Western Rd. and Philip Aziz Ave., during both morning and afternoon "rush hour". The Campus Community Police Service (CCPS) has partnered with the city of London in an effort to address these pressures. Both intersections have been extensively monitored, and CCPS officers have conducted traffic counts. The resulting statistics and recommendations have been provided to the city engineering department for their consideration.



Intersection of Western Rd and Philip Aziz Avenue

Fire Safety



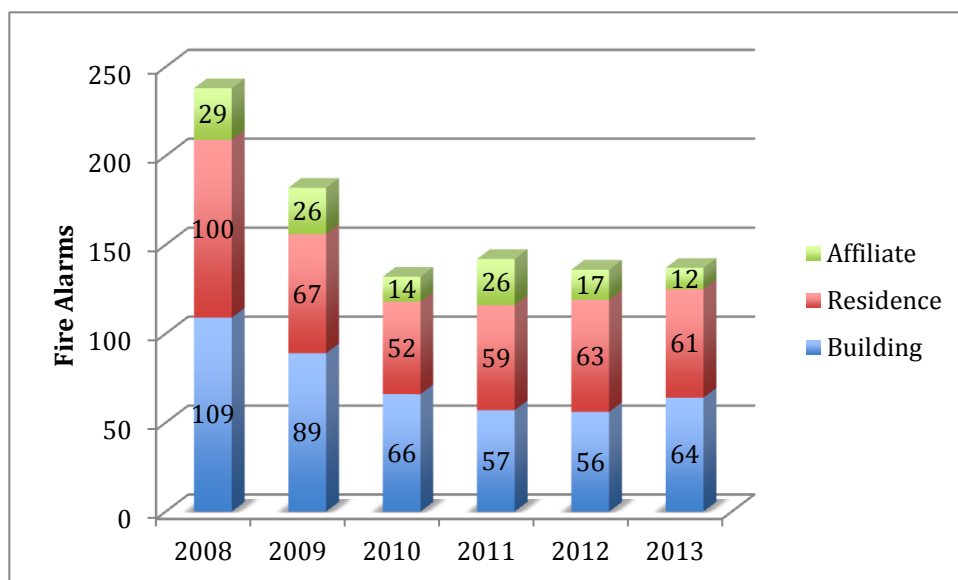
University Fire Safety staff consists of full time and part time officers as well as Co-Op team members. Staff members work closely with all departments within the University as well as with the London Fire Department and the London Building Department. Fire Safety's mission is to provide dynamic leadership and expertise in fire safety and emergency management that ensures the safety, security and quality of life of the Western community.



Gitta Kulczycki, Vice President Resources & Operations, congratulates the Fire Safety Team on receiving the Ontario Fire Marshal's Fire Safety Award. Pictured with Gitta from left are Frank Faroni, John Carson, Shannon Hou, David Li, Marian Agora and Robert Fournier. Missing are Jennifer Romyn, Dylan Little and Bill McLinchey.

Western is committed to providing a safe and secure campus through testing, inspecting and maintaining campus facilities and in mitigating unsafe physical conditions. This year, Fire Safety was the recipient of the Ontario Fire Marshal's Fire Safety Award. The award recognizes a group or individual who makes an exceptional contribution in promoting fire safety and public education in Ontario.

Western takes life safety issues very seriously and regularly consults with the Municipal and County Fire Departments. London Fire Station 8 is located within one kilometre of the main campus. This allows for quick response to any fire alarm or other emergency. In addition, and in cooperation with London Fire, fire drills are conducted at least twice annually in all buildings. Pre planning tours and mock scenarios are also conducted.



The number of general fire alarms in 2013 increased slightly from 2012 with an overall total of 137. Of these, ten were working fires – two fewer than last year.

Future Focus:

Public awareness and education will be the focus for 2014. Prevention is key in mitigating hazards and maintaining a safe campus. The new Fire Safety & Emergency Management website <http://www.fire.uwo.ca/> has tips, news and events, as well as a place to sign up for free fire extinguisher training.



Western Foot Patrol

Foot Patrol is a volunteer student-driven safety service that provides a variety of supportive safety services to Western and the affiliated University Colleges. Co-ed volunteer teams provide safe-walk and work-safe programs as well as lighting inspections and Blue Emergency phone checks. We have been very appreciative in attracting upwards of 250 student volunteers each year to the 'Foot Patrol' program.

Safe Escorts

Volunteer teams provide safe escorts at Western, Brescia, Huron and Kings University College campuses and to some off campus locations near the University. Our co-ed teams will wait with customers at a bus stop, ensure they reach their car safely in campus parking lots, and escort students to their residence or any building on campus. The Western Foot Patrol SUV compliments our walking teams and is used for longer escorts and on high-volume evenings. Each school year, Foot Patrol provides approximately 2,000 safe escorts.



Deterrence

Teams help deter crime through visible patrol. Any criminal or suspicious behaviour or event is reported to Campus Police. Examples of matters that are reported include erratic driving, heated arguments, and suspicious persons around a building.

Work-Safe

Foot Patrol operates the Work-Safe Program in conjunction with Campus Police. Staff members working alone and late at night can call and set "check in" times while they are at work so someone knows where they are. If customers fail to check in at the scheduled times and cannot be reached, Campus Police are dispatched to check on their welfare.





Lighting and Blue Phone audits

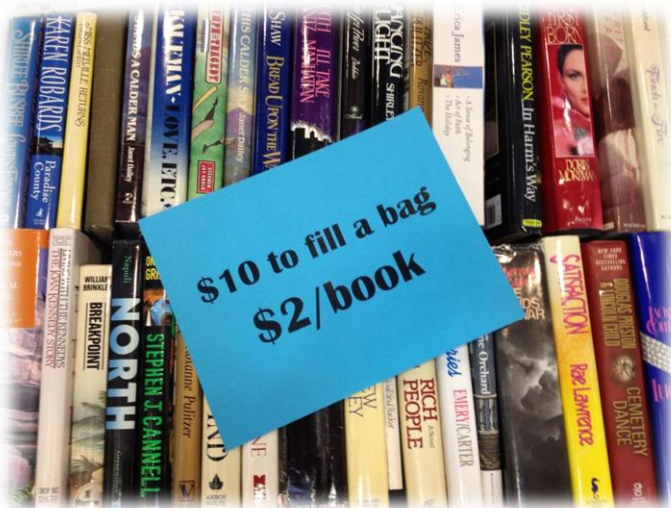
Foot Patrol conducts weekly audits of the emergency blue phones on campus. General lighting safety audits are completed monthly and include streets, pathways and parking lots. Audits are then submitted to the Facilities Management electrical shop for review and repair.



Special Events

Our Annual Used Book sale was held in March in the UCC Atrium as a fundraiser for Foot Patrol.

Books were generously donated by members of the London and Campus Communities and sold to raise approximately \$2,000 for the program. These proceeds were directed towards support of the program as well as the Annual Volunteer Banquet.





Volunteer Banquet

Foot Patrol strives to appreciate and thank our tremendous volunteers in various ways throughout the year. Weekly draws for volunteers are held along with refreshments in the office, reference letters and of course a heartfelt 'Thank You'.

Formally, appreciation is celebrated at our Annual Volunteer Banquet at the Great Hall in the spring. This past year, the theme for the banquet was "The 80's".

Crime Prevention through Environmental Design (CPTED)

The goal of CPTED is to increase each individual's awareness of their surroundings and help develop an environment that ensures personal safety and security. Offenders tend to target individuals, situations and facilities they perceive to be vulnerable and offer them the least amount of resistance and consequence. Faculty, staff and students at Western University have the right to work in an environment that is safe and secure.



CPTED reviews identify vulnerable areas that require heightened security measures

CPTED is based on four principles: natural access control, natural surveillance, territorial reinforcement, and target hardening. It is the revision and assessment of each principle that reduces the fear of crime, the incidence of crime, and makes Western University one of the safest universities in Canada.

The main steps in CPTED projects include:

1. Engaging the support of key partners;
2. Identifying crime and problematic issues;
3. Analyzing design based on existing crime problems and potential criminal opportunities;
4. Developing preventive or corrective design options and actions for implementation;
5. Monitoring and evaluating how the implemented options affect crime, surveillance, interaction, and territoriality.

Video Monitoring and Card Access:

Although there are over a dozen security devices applied to perimeter doors and other high risk areas on campus, two of the most common are the video monitoring cameras and card access.

Campus police have relied on the use of video monitoring cameras as an effective security feature and investigative tool. Western follows a very strict video monitoring policy in accordance with federal and provincial legislation. On campus, video monitoring may only be used for the purpose of personal safety and security of buildings and property. As a result, there are approximately 600 cameras at Western. Campus police are responsible for the application and administration of this policy and develop procedures that approve, install, operate and manage the equipment.

Two-thirds of Western's ninety-three buildings have been converted to electronic card access. In order to comply with standards, card access conversion occurs once a CPTED report has been completed. This feature controls the perimeter access of the building, ensuring that only authorized users gain access. In addition to improving the personal safety of authorized persons, it has also reduced the incidence of property damage and theft. Campus police monitor and record the use of these cards and have the ability to grant or remove access from any person's Western card at any time.

Since 2005, there have been 320 CPTED requests completed and this is a number that is growing annually. In 2013 alone, there have been a total of 46 CPTED requests, and already several requests have been made for the 2014 year.

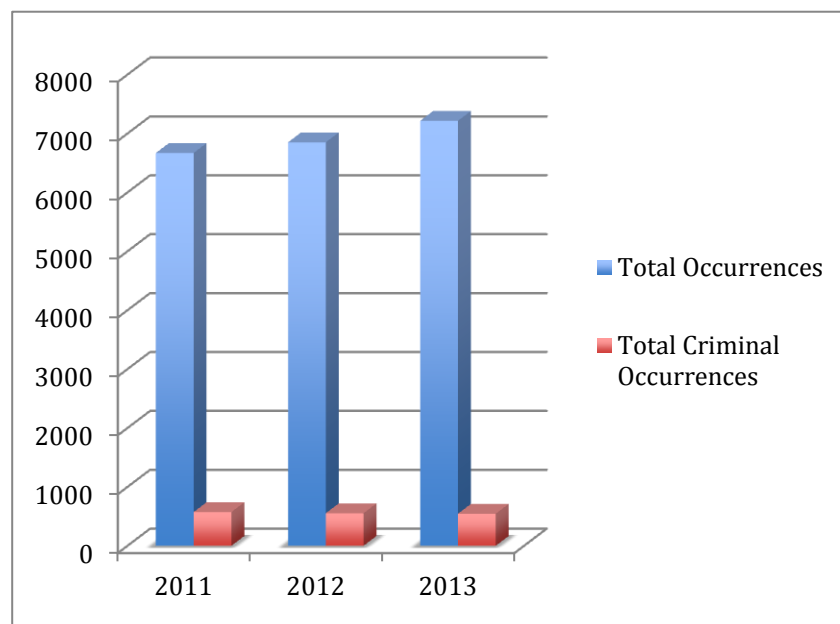


One of approximately 600 video cameras at Western monitored by CCPS

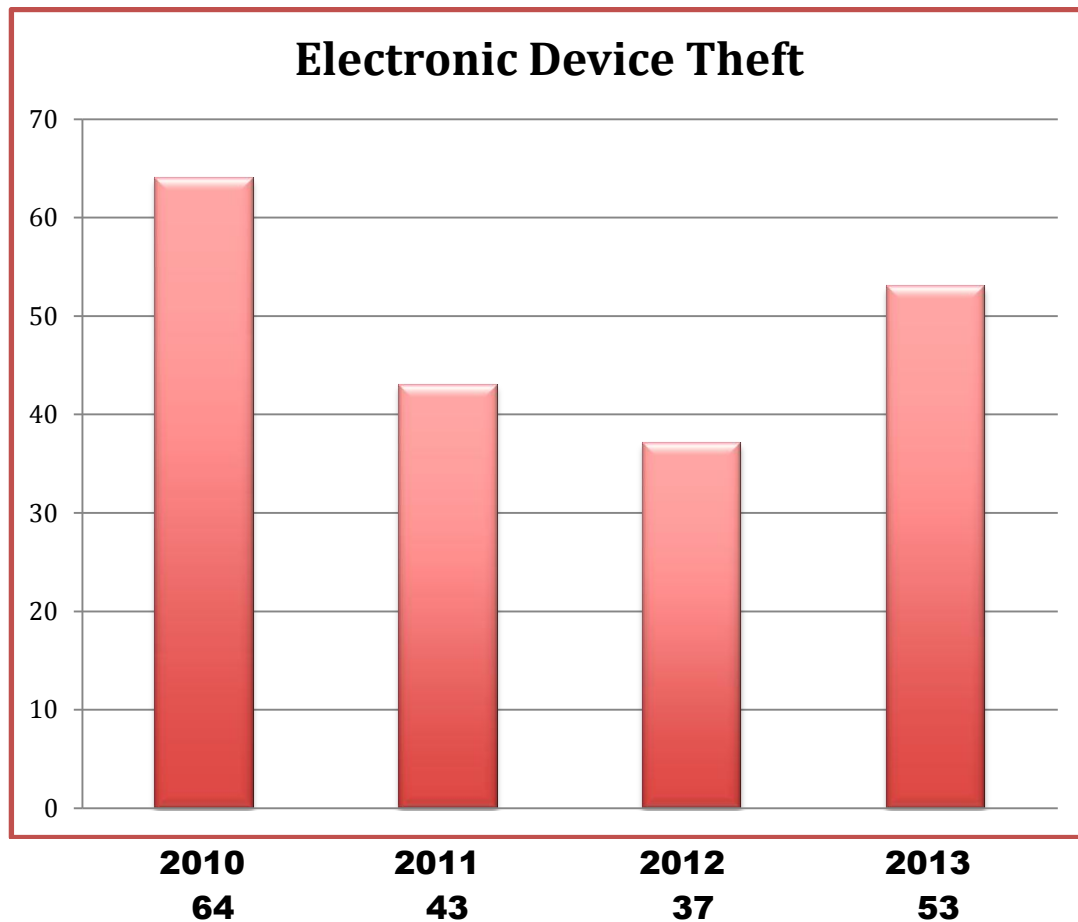
Statistics and Occurrence Summary

Statistics

Criminal	2012	2013	Non Criminal	2012	2013
Assault Level 1	12	8	Customer Trouble	7	12
Assault Level 2	2	2	Family Trouble - No Assault	3	3
Assault Level 3	1	0	Neighbour Dispute	0	0
Criminal Harassment	9	12	Trouble with Persons	86	103
Sexual Assault Level 1	4	5	Disturbance - No Charges	8	9
Sexual Assault Level 2	0	0	Suspicious Person	115	188
Weapons Offences	4	1	Suspicious Vehicle	38	33
Safety - Bomb Threat	0	0	Safe Campus Intelligence	23	12



Criminal Occurrences: 572 553 544
Total Occurrences: 6669 6850 7215



Campus police have seen an increase in the reported thefts of electronic devices (laptops, tablets, and cellular telephones) in 2013. Working closely with Western's Division of Information Technology Services, Campus Police were able to identify 14 of these devices, recover them and return them to their rightful owners. Criminal charges were laid in most of these cases. The Western community is reminded not to leave their personal belongings unattended.

Professional Development

The following summary includes all London Police Courses attended by CCPS members in 2013. Also listed are all Ontario Police College training and Ontario Police Video Training Alliance courses received.

Operational Training		Workplace and Campus Safety
Police Operational Planning - SMEAC		WHMIS Lab Safety
IMS 100 – Introduction to Incident Mgt Systems		First Aid & CPR
Basic Emergency Management Training		Bill 168 & Safe Campus Community
CCPS Investigations Seminar		A Safe Respectful Campus
Statement Analysis Online Training		Occupational Health & Safety: frontline officer
CDSA Investigation Refresher		Accessibility for Ontarians with Disabilities Act
Interrogation and Interview Training		Accessible customer service
Forensic Evidence Collection		Protection - infection prevention and control
Intro to Criminal Intelligence Analysis		
Mental Health First Aid Canada		
Violence Threat Assessment Planning & Response		Career Development
Violence Threat Assessment – Level 2		Supervisor and Second in Charge
Annual Use of Force Recertification		OPC Police Supervisors course
Advanced Communications Techniques		Performance dialogue and goal setting
Terrorism: a new dimension in front line policing		Project management
Vehicle Impound Program – London Police		Western's CIM Program
Alco-Test Training		Professional certificate in Adult Education
R.A.D.A.R.		Members are pursuing degrees
CANWARN Severe Weather Spotter		Career Development
HazMat Team Training		
PeopleSoft, Extranet, & Student Records Systems		
Fire Alarm Public Address System		Conferences
Fire Panel Reset		Annual Staff Conference (UWO)
Mobile Crisis Unit Orientation		OACP Conferences
Ontario Police Video Training Alliance OPVTA		IACLEA Conference
Canadian Police Knowledge Network CPKN		OACP Conference
Meetings at work		OACUSA Conferences and Seminars
R.A.D.A.R.		

Environment Canada Severe Weather Presentation

A stretch of southern Ontario including London is known to experience tornadoes, such as the one that devastated the town of Goderich in August 2011. In June Randy Mawson, Forensic Climatologist facilitated a presentation to Western University Emergency Responders about severe weather and its impact. In the case of weather emergencies, early warning tools accompanied by comprehensive emergency plans increase public safety.



South Western Ontario frequently endures severe weather at all times of the year



Randy Mawson, Forensic Climatologist, demonstrates severe weather and its impact

Western University's Severe Weather Siren

The severe weather siren was activated for the first time since its installation, in response to an actual incident on September 11, 2013. Early on that day, Environment Canada issued a "Severe Weather Watch" for South Western Ontario. The agency reported conditions were favourable for the development of severe thunderstorms and possibly tornadoes. Campus Police closely monitored the weather radar feed in the Communications Centre. The watch was upgraded to a "Severe Weather Tornado Warning" in the mid afternoon, meaning the arrival of dangerous weather was imminent. Police personnel could also see the approaching storm cells on the radar.

The weather siren was immediately activated notifying the campus community and nearby city neighbours to take cover. No tornadoes touched down in the city, although one did cause some damage to open areas just to the west. Western University received a drenching from several dramatic thunderstorms. The resulting flooding and toppled trees caused minimal damage and no injuries were reported.

The emergency systems and procedures put in place for just such an eventuality performed as required and stand ready for future events.



Western's Severe Weather siren

Accreditation

Western University's Campus Community Police Service remains the only university police agency in Canada to be accredited by the International Association of Campus Law Enforcement Administrators (IACLEA). In order to be compliant with the over 200 IACLEA standards required for accreditation, the monitoring process requires constant oversight and documentation of compliance examples for each standard.

When he assumed these duties in September, Sgt. Bob McCormick faced the daunting task of reviewing and updating all the standards and related CCPS directives to ensure compliance was maintained. In December, Sgt. McCormick reported to the Director that Campus Police remains compliant with IACLEA standards and that CCPS members continue to carry out their duties maintaining a safe campus community with professionalism and dedication.



Sgt. Bob McCormick at the helm in the Accreditation Office



Personal Safety

1. **Walk/ Wait with** a friend, with Foot Patrol, or near a group of people. Stay in well-lit high traffic areas.
2. **Be aware of your surroundings.** Keep your head up, as this will project confidence. Walk with alertness and this will enable you to view your surroundings, identify a potential problem or possibly an assailant.
3. **Be alert.** Keep your personal belongings, such as a laptop close by and secure; log serial numbers and photograph valuables.
4. **Avoid travelling alone** including receiving a ride with a total stranger. Please ensure you have a friend when accepting rides.
5. **If you feel that your safety is threatened,** seek immediate assistance from a business or school nearby or any place that you can reach safely. To prevent a possible reoccurrence or potential risk to others, notify the police of the incident.
6. **Keep in mind that anything you carry could be used as a weapon** and may be taken away and used against you. If confronted, do not introduce any object into the scenario. Personal safety alarms are a benefit, if they are heard.
7. **Communicate your schedule with your family and roommates.** A phone call saying you will be late will prevent unnecessary worrying. People care about you – be considerate.
8. **Be extremely cautious** of divulging any personal information on 'Social Media' or to strangers.
9. **Educate yourself on how to prepare for any circumstance.** You can never prepare yourself for every eventuality, but knowledge is a tool that will contribute to safety awareness.
10. **Trust your intuition.** If a situation or person makes you feel uncomfortable for whatever reason, go with your feelings. Immediately remove yourself from the area or person. Remember not to leave any beverages unattended.

11. **Arrange** for snow shovelling & don't let newspapers pile up outside when you are away.
12. **Plan ahead** if alcohol will be part of your evening. Arrange for a ride home ahead of time. Please don't drink and drive.



SAFETY IS A SHARED RESPONSIBILITY



Western's newest "Blue Emergency Phone" installed at the Sports Practice Field in November

Conclusion

The Campus Community Police Service (CCPS) is progressive in embracing opportunities through community partnerships, effective leadership and individual responsibilities.

The CCPS supports Western's mission of 'providing the best student experience among Canada's leading research-intensive universities'. In meeting this objective CCPS will continue to work closely with Western's stakeholders and the broader community to ensure best outcomes for students, faculty, staff and visitors. The on-going support of the University Administration has been excellent and is very much appreciated by our staff.

We are committed to excellence in professional standards, personal development, community partnerships and activities contributing to safety, security and quality of life in the diverse university community at Western



REPORT OF THE FUND RAISING AND DONOR RELATIONS COMMITTEE

Contents	Consent Agenda
Western Fund Allocation	Yes
Quarterly Report on Fundraising	Yes

FOR INFORMATION

1. **Western Fund Allocation**

The Western Fund is promoted to donors as a means of supporting the highest priority needs of the University, typically through the Annual Giving program, but also through major gifts.

Each year, the available expendable Western Fund contributions are allocated to a preexisting list of priorities, including the Ontario Graduate Scholarships (OGS) program, for which a transfer at March 31, 2014 was required to help fully meet funding needs by the program deadline.

The following allocations were made for 2013-2014:

PROJECT	FUNDING	DETAILS
Capital Funding	\$350,000	Institutional Planning & Budgeting commitment for capital expenditures.
OGS	\$350,000	Annual commitment to fund the OGS/QEISST/OTS exposure
Rotman Institute of Philosophy	\$39,112	In support of the Institute as part of the donor agreement with the Rotman Family Foundation.
Lassonde Awards - Engineering	\$20,000	Annual commitment to support two awards as part of the donor agreement with the Lassonde family.
Scholar's Elective Program	\$15,000	Annual commitment to fund the program.
London Music Scholarships	\$2,500	Annual commitment to provide awards for students who do not meet the Ontario residency requirements of the LMSF OSOTF endowment.
Peacock Scholarship	\$2,500	One-time commitment – per donor agreement Western to pay first year
London Police Services Scholarship	\$1,000	Annual commitment to address a donor relations issue resulting from a withdrawal of OSOTF matching funds.
TOTAL FOR 2013/2014	\$780,112	

2. **Quarterly Report on Fundraising**

See [Annex 1](#).



Fund Raising Initiatives Quarterly Report
as at January 31, 2014
(with comparative figures for the fiscal year 2012/13 and 2011/12)

(1) **PLEDGE DATA**

May 1, 2013 to April 30, 2014 (000's)			May 1, 2012 to April 30, 2013 (000's)			May 1, 2011 to April 30, 2012 (000's)		
Target	Actual	Actual as a % of Target	Target	Actual	Actual as a % of Target	Target	Actual	Actual as a % of Target
101,618	101,618	N/A	168,106	168,106	N/A	98,090	98,107	N/A
65,050	56,188	86.38%	65,000	60,487	93.06%	60,000	130,211	217.02%
(2,962)	(1,731)	58.44%	(2,684)	(2,503)	93.26%	(2,041)	(1,691)	82.85%
62,088	54,457	87.71%	62,316	57,984	93.05%	57,959	128,520	221.74%
5,000	6,698	133.96%	9,500	10,415	109.63%	10,000	9,073	90.73%
42,420	30,781	72.56%	103,716	113,678	109.61%	38,289	49,066	128.15%
8,759	2	0.02%	422	379	89.80%	400	382	95.50%
56,179	37,481	66.72%	113,638	124,472	109.53%	48,689	58,521	120.19%
107,527	118,594	110.29%	116,784	101,618	87.01%	107,360	168,106	156.58%

Cost Per Dollar Raised

Advancement Fund Raising Units
Development
Richard Ivey School of Business
Foundation Western

Net Pledges/Gifts	Expenses	Cost per Dollar Raised	Net Pledges	Expenses	Cost per Dollar Raised	Net Pledges	Expenses	Cost per Dollar Raised
42,523	3,162	\$0.07	103,220	3,888	\$0.04	27,733	3,389	\$0.12
9,020	1,154	\$0.13	16,871	1,641	\$0.10	25,171	1,634	\$0.06
4,645	137	\$0.03	9,700	1,174	\$0.12	10,542	1,145	\$0.11
56,188	4,454	\$0.08	129,791	6,703	\$0.05	63,446	6,168	\$0.10
249,425	17,325	\$0.07						

(3) **3-Year Average Cost Per Dollar Raised**

(1) **Includes total activity of:**

Western University
The University of Western Ontario Inc.
The University of Western Ontario (UK) Foundation
The University of Western Ontario (HK) Foundation
Foundation Western
Richard Ivey School of Business (Asia) Limited

- (2) Represents all contributions including cash, gift in kind and gift in purchase discounts entered in the Contributor Relations System within reporting period and may differ from the general ledger reporting period.
(3) 3-Year Rolling Average - reflects the major gift factor and the post campaign period.

REPORT OF THE SENIOR OPERATIONS COMMITTEE

Contents	Consent Agenda
Conflict of Interest Declaration Changes	No

FOR INFORMATION

1. **Conflict of Interest Declaration Changes**

Currently, Board members are asked to complete a conflict of interest form ([Annex 1](#)) when they first join the Board and only asked to file a new form if anything changes during the course of their membership. Best practice in governance accountability has been evolving and many institutions, including most Ontario universities, are now asking their members to complete a form annually. The conflict of interest policy guidelines issued by the Association of Governing Boards recommends that members be asked at least annually to identify any potential, ongoing conflicts of interest. The Committee agreed that this practice should be adopted. It was also suggested that a question with respect to identification of potential conflicts of interest should be added to each Board agenda.

The University of Western Ontario
BOARD OF GOVERNORS

DISCLOSURE OF CONFLICTS OF INTEREST

The University of Western Ontario Act (1982, as amended in 1988) sets out the parameters of conflict of interest for members of the Board in sec. 17 (see reverse). As well, common law holds that once a person becomes a director (as in the case of a member of Western's Board of Governors), that person is, at law, "no one's nominee". That is, the director is expected to act in the interest of the company or institution, and not in one's own interest. In other words, a director owes a duty of loyalty to the organization on whose board he or she serves. This duty and personal interest must not conflict.

In order to satisfy the requirements of the UWO Act and those of the Charities Accounting Act under which the University is held accountable, the following information is required of each Board member and members of Committees of the Board.

1. Are you an employee of the University? ☐ Yes ☐ No
[Graduate students employed as Graduate Teaching Assistants are considered "employees" under the terms of sec. 17 of the UWO Act.]
2. Is your spouse an employee of the University? ☐ Yes ☐ No
3. Names of organizations, companies, vendors, contractors, etc. with which you have an interest, directly or indirectly, should the University consider a contract or transaction:
[For assistance in interpreting this request, see the attached pages.]

Organization, etc.

Position Held (if any)

It is the responsibility of the individual member to advise the Secretary of the Board of any amendments.

Date

Signature

Submit to the Secretary of the Board of Governors
4101 Stevenson Hall
The University of Western Ontario
London, Ontario N6A 5B8

Print Name

Conflict of Interest on the Board of Governors and its Committees

[Extract from the University of Western Ontario Act (1982, as amended in 1988)]

- 17.(1) A member of the Board who has an interest, directly or indirectly, in any contract, transaction, proposed contract or proposed transaction under consideration by the Board or a committee created by it shall,
- (a) declare the nature and extent of the interest as soon as possible and no later than the meeting at which the matter is to be considered;
 - (b) refrain from taking part in any discussion or vote in relation to the matter; and
 - (c) withdraw from the meeting when the matter is being discussed if requested to do so by a majority of the members present at the meeting.
- (2) A member of the Board who is an employee of the University or whose spouse is an employee of the University may take part in discussions and vote on all matters relating generally to the financial operation of the University, other than matters referred to in subsection (3) or matters in which the member's interest or the interest of the member's spouse is not the same or substantially the same as that of other employees of the University.
- (3) No member of the Board, other than the President or a Vice-President appointed under clause 9(1)(i), who is an employee or whose spouse is an employee of the University may take part in discussions or vote on matters related to the remuneration or benefits, terms of employment, rights or privileges available to employees of the University that are directly related to compensation or that are negotiated in a collective fashion for a class or group of employees of the University.
- (4) Where the Board or a committee of the Board to which the Board has delegated authority is of the opinion that a conflict of interest exists that has not been declared, the Board or committee may declare, by a resolution carried by two-thirds of the members present at the meeting, that a conflict of interest exists and a member of the Board thus found to be in conflict shall,
- (a) refrain from taking part in any discussion or vote related to the matter; and
 - (b) withdraw from the meeting when the matter is being discussed if requested to do so by a majority of the members present at the meeting.

From By-Law No. 1 of the Board of Governors

- C.5. Pursuant to Section 17 of *the University of Western Ontario Act (1982, as revised in 1988)*, no member of the Board of Governors whose salary is paid under a collective agreement or Memorandum of Agreement between the University and a union or employee association may serve as a member of any team negotiating matters related to the remuneration or benefits, terms of employment, rights or privileges available to employees in a class or group of employees of the University.

Contract/Transaction Conflict of Interest Guidelines

[Adapted from Policy 3.4 on Conflicts of Interest, in the context of Section 17 of the UWO Act]

A conflict of interest arises where a member of the Board of Governors, sitting as a member of the Board or of a committee of the Board, has or could be seen to have the opportunity to use the authority, knowledge, or influence derived from his or her position to benefit improperly the member or his or her spouse. Such situations include but are not limited to the following:

- (a) Participating as a director, officer, or in any other capacity in the management of a firm which is a supplier of materials or services to the University.
- (b) Having personal financial dealings with an individual or company whose business with the University involves the member's sphere of responsibilities.
- (c) Making an investment in any situation in anticipation of the University taking a material interest therein or which results from knowledge of facts not generally available to the public or in anticipation of actions which may be taken by the University in such a situation.

Affiliation with other Degree Granting Institutions

[Extract from the University of Western Ontario Act (1982, as amended in 1988)]

- 11.(1) Except as provided in clauses 9(1)(a), (e), (f), (g), and (i), no person who is a member of the academic staff or administrative staff, or a student of the University or an affiliated college, or who is a member of the governing body, faculty, staff or student body of any other degree-granting institution, is eligible for appointment or election as a member of the Board.

[Clauses 9(1)(a), (e), (f), (g), and (i) refer to University of Western Ontario administrators, faculty, staff, or students who are ex officio members of the Board of Governors or elected by Senate or by faculty, staff or student constituencies.]

Members of Faculty on the Board of Governors

As defined by certificate number 4482-97-R dated May 26, 1998, of the Ontario Labour Relations Board, members of the academic staff of the University who sit as full voting members of the Board of Governors are not members of the UWOFB bargaining unit.

Note, however, that members of faculty on the Board must nevertheless declare conflict of interest under terms set out in the UWO Act, Section 17, and in University policy (e.g., Policy 3.4).

ITEMS REFERRED BY THE SENATE

Contents	Consent Agenda
2014-15 University Operating and Capital Budgets	Yes
Vice-President (Research) Annual Report - 2013	No
<i>Amendments to Appointment Procedures for Senior Academic and Administrative Officers of the University</i>	Yes
Vice-Provost's Report of Faculty Recruitment and Retention	Yes
Report of the Academic Colleague	Yes
Honorary Degree Recipients – Spring 2014	Yes
Teaching Award Recipients – 2013-14	Yes
Indigenous Postsecondary Education Council (IPEC) – Terms of Reference	Yes

FOR INFORMATION

1. **2014-15 University Operating and Capital Budgets**

The Senate, at its meeting of March 21, 2014 reviewed the 2014-15 Operating and Capital Budgets and offered its advice to the Board by recommending approval of those budgets (see the Report of the Property & Finance Committee and the budget documents issued).

2. **Vice-President (Research) Annual Report – 2013**

See [Annex 1](#).

3. **Amendments to Appointment Procedures for Senior Academic and Administrative Officers of the University**

Senate, at its meeting on March 21, approved and recommended to the Board of Governors, the following amendments to *Appointment Procedures for Senior Academic and Administrative Officers of the University*:

- amendments to Section J. Vice-Provost (Academic Programs & Students)[Registrar] and creation of a new Section K, University Registrar
- renaming the position of University Librarian to Vice-Provost and Chief Librarian

The Board approved the amendments via email vote following the Senate meeting.

4. **Vice-Provost's Report on Faculty Recruitment and Retention**

The Vice-Provost's Report on Faculty Recruitment and Retention is attached as [Annex 2](#).

5. **Report of the Academic Colleague**

See [Annex 3](#).

6. **Honorary Degree Recipients – Spring 2014**

See [Annex 4](#).

7. **Teaching Award Recipients: 2013-14**

The Subcommittee on Teaching Awards (SUTA) has chosen the following members of faculty as recipients of Western's Excellence for Teaching Awards:

The Edward G. Pleva Award for Excellence in Teaching

Jerry Battista, Schulich School of Medicine & Dentistry, Department of Medical Biophysics
Cameron Tsujita, Faculty of Science, Department of Earth Sciences

The Marilyn Robinson Award for Excellence in Teaching

Brad Urquhart, Schulich School of Medicine & Dentistry, Department of Physiology and Pharmacology
Jacqueline A. Sadi, Faculty of Health Sciences, School of Physical Therapy

The Angela Armitt Award for Excellence in Teaching by Part-time Faculty

Larry Garber, Faculty of Arts and Humanities, Department of English and Writing Studies
Daria Kosciński, Faculty of Science, Department of Biology

8. **Indigenous Postsecondary Education Council (IPEC) – Terms of Reference**

The Senate received for information the new terms of reference for the Indigenous Postsecondary Education Council (IPEC), detailed in [Annex 5](#).

IPEC has evolved out of the Aboriginal Education and Employment Council (AEEC) established in 1995, which itself evolved out of a provincial government initiative, in partnership with universities, to improve higher education services and programs for Indigenous students.

Over the past year or so, discussions have been held among the Vice-Provost (Academic Programs & Students) the Indigenous Services office, various other units engaged in university initiatives related to Indigenous communities, and representatives of Indigenous communities and groups to review and update the terms of reference of the AEEC and reshape the Council into the IPEC. It is believed that IPEC will provide significant support to Western in reaching its strategic goal to improve accessibility and success in higher education for Indigenous peoples.



Western's New Strategic Plan

Achieving Excellence on the World Stage

- **Raising our Expectations:** Create a world-class research and scholarship culture
- **Leadership in Learning:** Provide Canada's best education for tomorrow's global leaders
- **Reaching Beyond Campus:** Engage alumni, community, institutional and international partners
- **Taking Charge of our Destiny:** Generate and invest new resources in support of excellence

Western's New Strategic Plan

Achieving Excellence on the World Stage

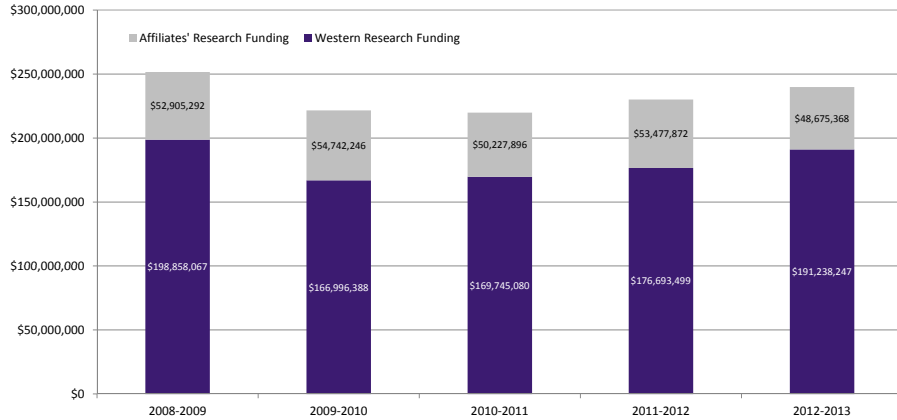
- Invest selectively in interdisciplinary areas of strength
- Increase focus on research inputs
- Increase focus on research outcomes
- Increase national share of Federal Tri-Council funding
- Increase number of faculty members who have won national/international awards
- Recruit and retain senior faculty
- Address societal needs for Highly Qualified Personnel
- Partner with other institutions and communities
- Celebrate our colleagues' successes
- Bring the world to Western

Western  Research



Total Research Funding

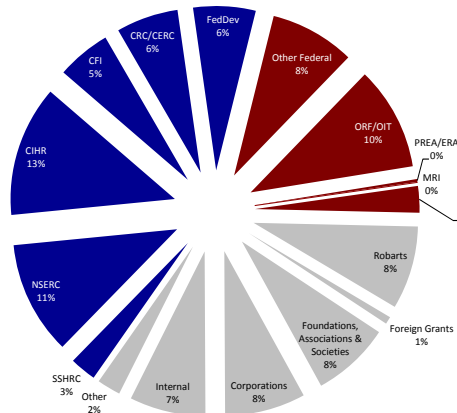
- 2012-13: **\$239,913,615**, up 4.2% over last year
 - Western: **\$191,238,247** (up 9%); Affiliates: **\$48,675,368**



Western Research

Western Funding Sources

- **Distribution:** 45% Federal; 12% Provincial; 43% Other



Western Research

National Funding Rank

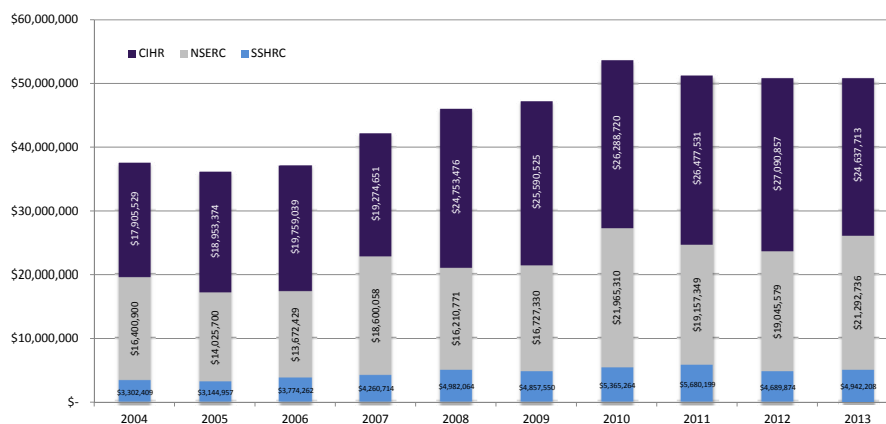
- 10th, four of the past five years
 - **Goal:** 2nd provincially (6th nationally); requires 35% increase

	2008	2009	2010	2011	2012
Toronto	1	1	1	1	1 (\$1,038,390,000)
UBC	3	2	2	2	2 (\$585,154,000)
Montreal	4	4	3	4	3 (\$526,213,000)
McGill	5	5	5	5	4 (\$483,527,000)
Alberta	2	3	4	3	5 (\$452,156,000)
McMaster	6	6	6	6	6 (\$325,156,000)
Laval	7	7	7	7	7 (\$302,783,000)
Ottawa	8	10	9	9	8 (\$302,341,000)
Calgary	9	8	8	8	9 (\$282,771,000)
Western	10	9	10	10	10 (\$241,095,000)
Queen's	12	11	11	13	12 (\$168,025,000)
Saskatchewan	11	13	12	11	13 (\$166,677,000)
Manitoba	13	12	13	12	15 (\$159,763,000)
Dalhousie	16	16	16	17	16 (\$140,099,000)
Waterloo	15	14	15	15	17 (\$137,006,000)

Western Research

Tri-Council Funding

- Total Tri-Council Funding, 2012-13: **\$50,872,657**
 - Up 0.9% over 2011-12, 35.3% over 10 years



Western Research

Tri-Council Funding & %Share

- Goal: **4.5%** of national share
 - **0.5% increase** in national share = **10 additional CRCs** and **\$1.5M** in FFICR funding

	2011	2012	2013
CIHR	26.5 (3.7)	27 (3.9)	24.7 (3.6)
NSERC	19.2 (2.8)	19.1 (2.7)	21.3 (2.8)
SSHRC	5.7 (3.5)	4.7 (3.3)	4.9 (3.4)
Total	51.4 (3.35)	50.8 (3.3)	50.9 (3.25)
FFICR	9.3M	9.1M	9.0M
CRC	66	66	66

Western Research



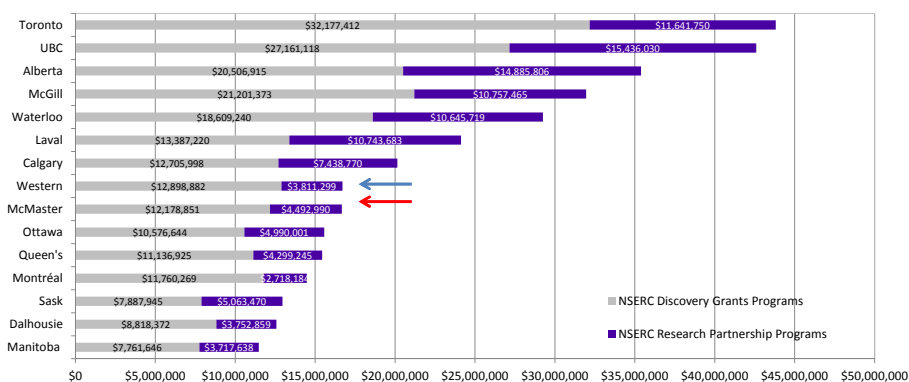
Western Research

Natural Sciences & Engineering Research Council

- NSERC funding (expenditures), 2012-13: **\$21,292,736**
- Up 11.8% over 2011-12, 29.8% over 10 years
- \$76,318 per eligible researcher
- 2013-13 Application rates 168/297 (57%)
- Almost equal to CIHR totals (\$2.4 million difference)

U15 NSERC Awards

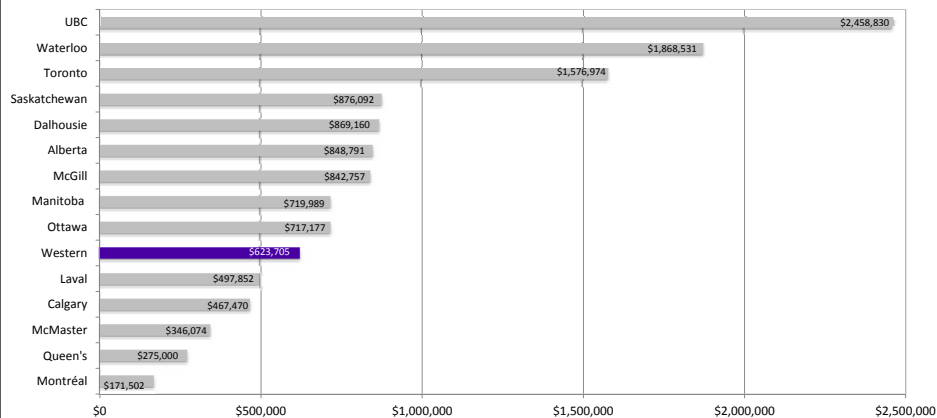
- 2012-13 research-based NSERC awards: **\$16,710,181**
 - **Discovery:** \$12,898,882; **Partnership:** \$3,811,299
 - **Goal:** 3rd in Ontario, 9th nationally



Western Research

U15 NSERC Engage

- 2012-13 NSERC Engage awards: **\$623,705**
 - 10th nationally, 4th in Ontario



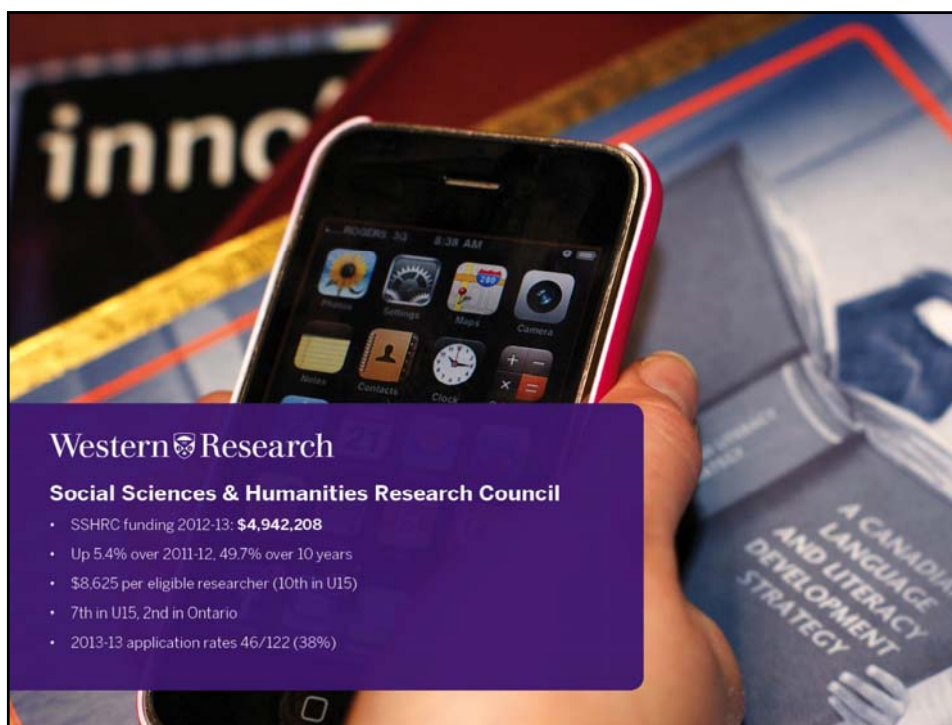
Western Research


ON-U6 NSERC Funding, '09-13

	Total Funding	Average Award (per year)
Toronto	329,918,626	42,002
Waterloo	208,413,439	41,525
Queen's	140,790,542	54,401
McMaster	132,251,875	45,541
Ottawa	114,972,976	42,269
Western	107,498,562	34,192

U5 average award = 45K; this is ~30% higher than Western's average award.

Western  Research



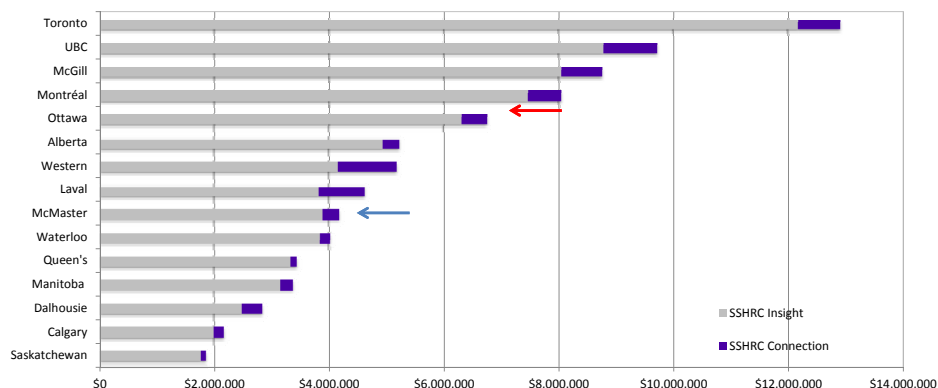
Western  Research

Social Sciences & Humanities Research Council

- SSHRC funding 2012-13: **\$4,942,208**
- Up 5.4% over 2011-12, 49.7% over 10 years
- \$8,625 per eligible researcher (10th in U15)
- 7th in U15, 2nd in Ontario
- 2013-13 application rates 46/122 (38%)

SSHRC Awards

- Research-based SSHRC awards 2012-13: \$4,942,208
 - > **Insight:** \$4,153,382 – 7th in U15
 - > **Connection:** \$1,021,178 – 1st in U15
 - > Goal: 2nd in Ontario/ 5th nationally: 35% increase in funding

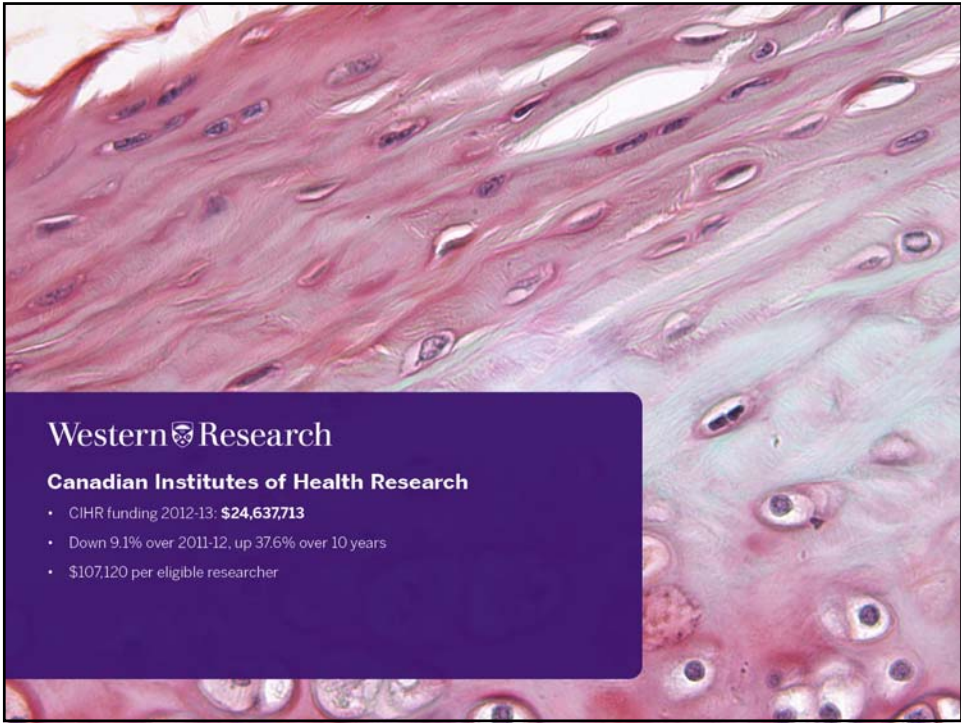


Western Research

ON-U6 SSHRC Insight 2012

	Total Awarded	Success Rate	Total Applications	Average Award (total)
McMaster	943,000	7.8	51	235,000
Ottawa	3,400,000	23.7	76	189,000
Queen's	2,200,000	24.6	61	147,000
Toronto	9,900,000	29.4	214	157,000
Waterloo	1,900,000	14.8	61	211,000
Western	1,900,000	20.3	73	146,000
		20.1		180,000
York	4,800,000	27.7	94	184,000
Ryerson	1,900,000	25	32	237,000
		21.6		188,000

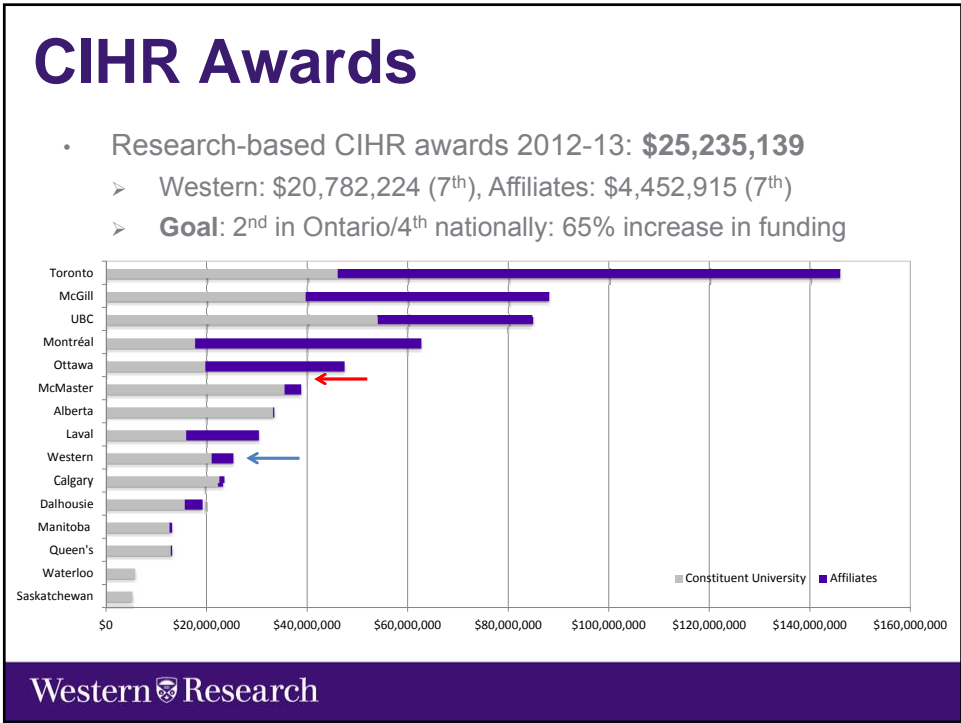
Western Research



Western Research

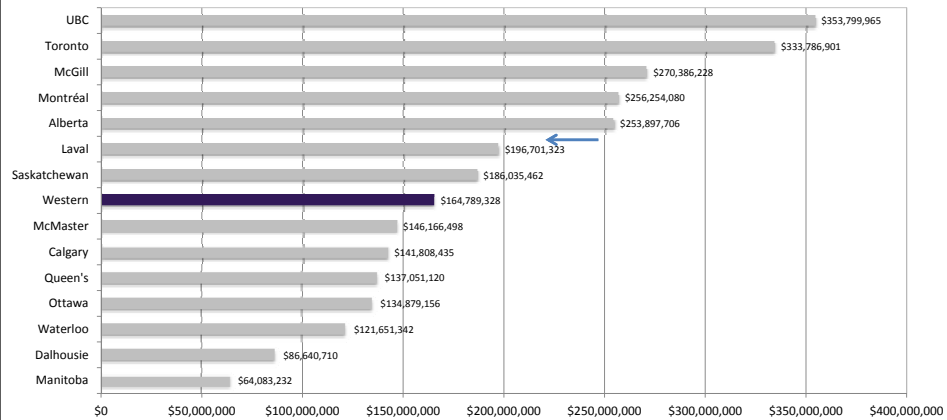
Canadian Institutes of Health Research

- CIHR funding 2012-13: **\$24,637,713**
- Down 9.1% over 2011-12, up 37.6% over 10 years
- \$107,120 per eligible researcher



U15 CFI Funding

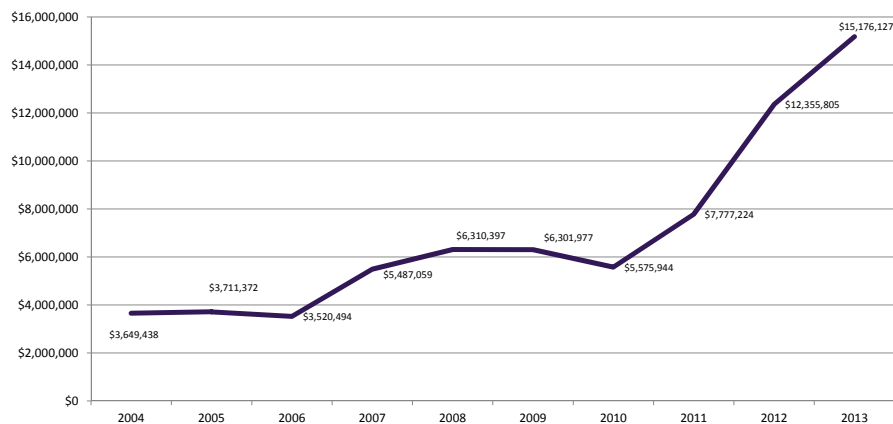
- CFI funding since inception: **\$164,789,328**
 - 2nd in Ontario, 8th nationally; **Goal: 6th nationally** (unlikely to change significantly)



Western Research

Funding from Corporations

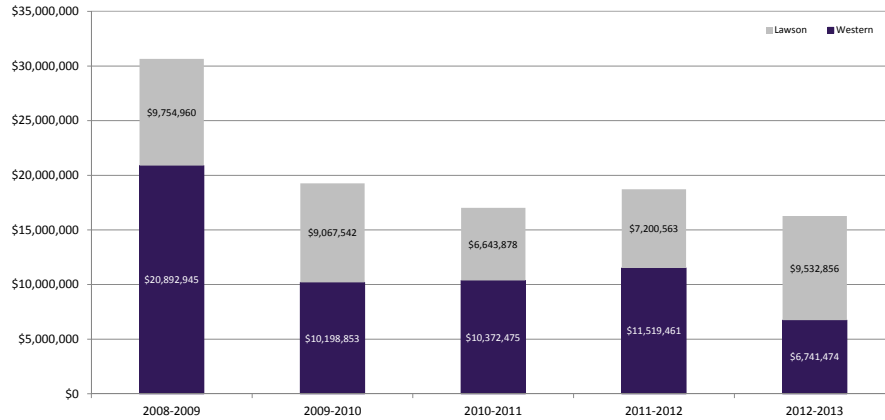
- Funding from corporations, 2012-13: **\$15,176,127**
 - Up 22.8% from 2011-12, 315.8% over 10 years



Western Research

Contract Research

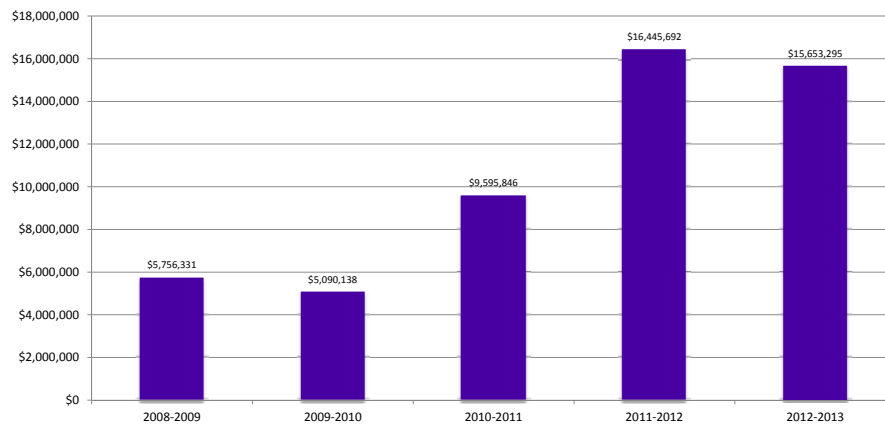
- Total 2012-13 Contract Research: **\$16,274,330**
- Western: \$6,741,474; Lawson: \$9,532,856



Western Research

Clinical Trials

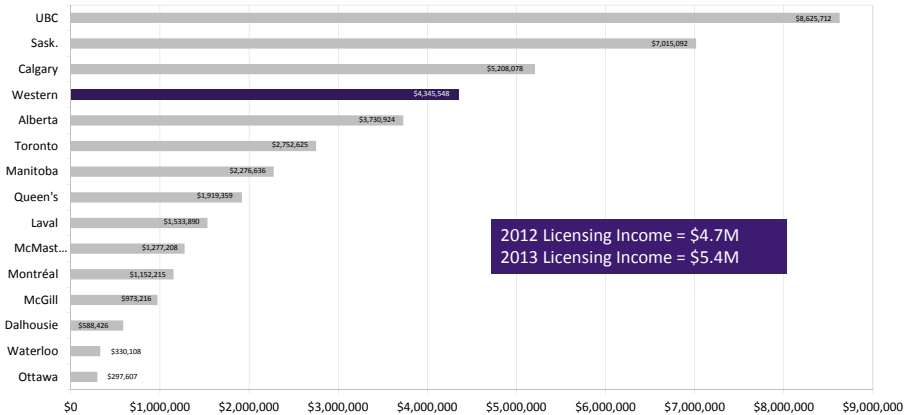
- Total 2012-13: **\$15,653,295** (Western Only)
- Up 172% over five years as economy has recovered



Western Research

U15 Technology Transfer

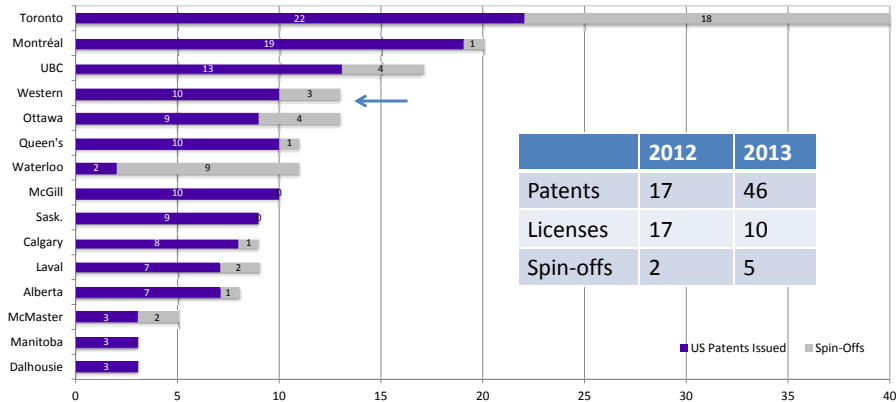
- 2011 licensing income: **\$4,345,548** (4th in U15)



Western Research

U15 Technology Transfer

- 2011 US Patents Issued: **10** (4th in U15)
- 2011 Spin-off companies: **3** (5th in U15)



Western Research

2013 QS World Rankings

- **Overall World Ranking: 199** (9th in Canada)
 - Drop of 40 spots since 2008
 - Arts & Humanities: 197 (10th in Canada)
 - Engineering & Technology: 295 (11th in Canada)
 - Life Sciences & Medicine: 170 (9th in Canada)
 - Natural Sciences: 269 (12th in Canada)
 - Social Sciences & Management: 135 (6th in Canada)

Western  Research

2013 QS Department Rankings

- **2013 Top 100** (+ years at least this level)
 - **3 consecutive years:** Accounting, Economics, Philosophy, Psychology (*Top 50, 2012*)
- **2013 Top 150** (+ years at least this level)
 - **3 consecutive years:** English & Literature, History & Archaeology (*Top 100, 2011-12*), Sociology
 - **2 consecutive years:** Communication & Media Sciences, Politics, Medicine, Pharmacy (*Top 100, 2012*)
 - **1 year:** Earth & Marine Sciences, Chemical Engineering

Western  Research

Grant Holders per Faculty*

- Institutional target: **75%**
 - Requires external funding by an additional 92 faculty members

Faculty	Total # of Faculty	# who hold external funding	# to 50% target	# to 65% target
A&H	133	46 (34.6%)	21	41
Ivey	77	35 (45.5%)	4	15
Education	36	17 (47.2%)	1	6
Engineering	88	88(100%)	0	0
Health Sciences	97	73(75.3%)	0	0
FIMS	36	14(38.8%)	4	9
Law	29	11(37.8%)	5	8
Schulich	168	146(86.9%)	0	0
Music	36	2(5.6%)	16	21
Science	174	166(95.4%)	0	0
Social Sciences	200	115(57.5%)	0	15
TOTAL	1074	713 (64%)		

*Tenure, tenure-track; any external funding

Western Research

2013 Scimago Rankings

- Measure of research output and quality (number of papers and citations)
- Rank 7th overall in output (180th, globally)
 - Normalized impact (% citations over mean) ranks 11th in U15; High Quality % ranks 10th

University	Country Rank	World Rank	Norm Impact	Rank
Toronto	1	9	1.82	2
UBC	2	35	1.77	3
McGill	3	67	1.64	4
Alberta	4	69	1.45	8
Calgary	5	138	1.55	6
McMaster	6	145	1.98	1
Western	7	180	1.36	11
Waterloo	8	186	1.41	10
Montréal	9	209	1.56	5
Ottawa	10	217	1.54	7
Laval	12	305	1.44	9
Queen's	13	310	1.45	8
Manitoba	14	338	1.34	12
Dalhousie	15	351	1.41	10
Saskatchewan	16	384	1.13	13

Western Research

Publications & Impact, 2008-11

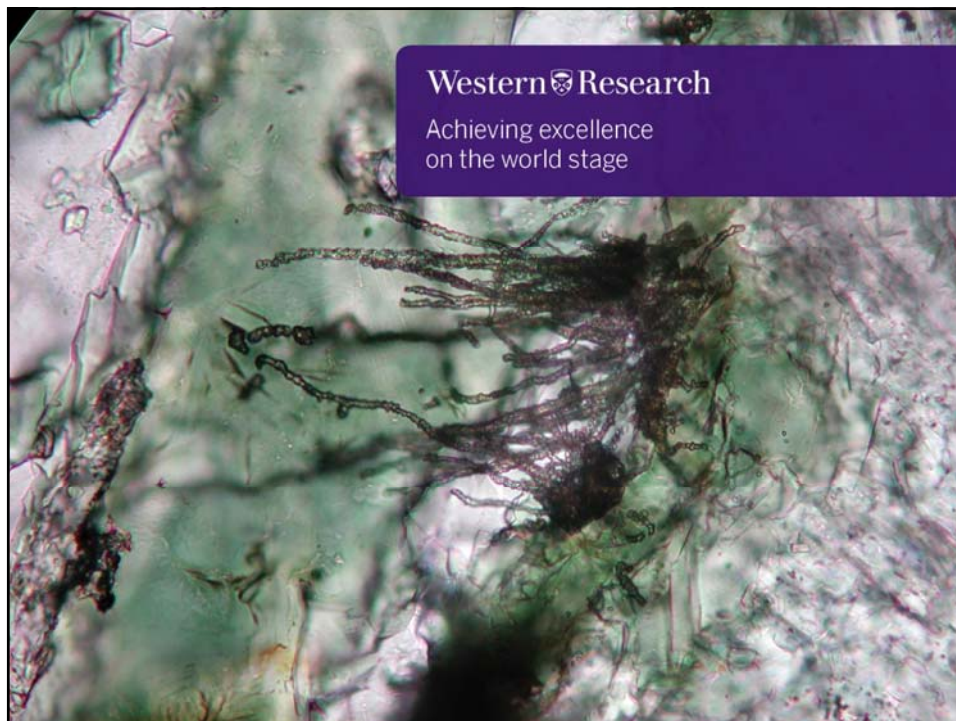
- Based on Leiden Rankings
- U15 Ranking (All sciences, including social sciences & humanities)

	Western	McMaster	Queens	Toronto
Total Publications	6 (108)*	7 (121)	12 (235)	1 (2)
Mean Citation Score	12 (316)	4 (143)	10 (285)	1(74)
Proportion top 10%	14 (329)**	5 (210)	11 (293)	1(88)
Collaboration (inter-institutional)	15	2	14	
Collaboration with Industry	14	3	10	
International Collaboration	14	4	11	

*Numbers in brackets represent world rankings (of 500 universities)

**The proportion of the publications of a university that, compared with other publications in the same field and in the same year, belong to the top 10% most-frequently cited

Western  Research



Moving Forward

- Want to be counted among the best, and peers of McGill, Toronto, UBC
- Develop global prominence in areas of strength
- Take risks and increase accountability
- Increase synergies between academics, communities, governments, and industry partners
- Direct resources in a strategic manner to achieve mission and goals
- Link resources to key performance measures and expectations

Western  Research

Strategic Goals

Invest selectively in interdisciplinary areas of strength

- Major new initiatives:
 - **Western Clusters of Research Excellence**
 - **Western Research Chairs**
- Multi-million-dollar investment to further develop strategic areas of research excellence to achieve and sustain global prominence and impact, and to attract established, leading researchers
- The first Cluster of Excellence, *Cognitive Neuroscience*, has been established, and the first WRC recruited
- A call for proposals for additional Clusters has been issued, and new Clusters will be identified over the next few months

Western  Research

Strategic Goals

Increase national share from each Federal Tri-Council Agency

- Re-purposed internal funding programs for better alignment, flexibility and focus with institutional strategic priorities and outcome objectives
- Eligibility directly tied to success in acquiring Tri-Council funding (and/or from other major, peer-reviewed agencies)
- Overall objective of increasing research funding, intensity, relative success rates and total number of eligible faculty members holding peer-reviewed funds and multiple grants

Western  Research

Recent CIHR Results

- **Highlights:**
 - Highest number of applications of recent competitions
 - Received one of only six national 'large category' grants (\$4M)
 - Successful CIHR grant in Arts & Humanities

Competition	Mar-12	Sep-12	Mar-13	Sep-13
Total Funding	\$8.6M	\$7.2M	\$12.3M	\$14.5M
Success Rate	16.80%	12.20%	24%	23%
Nat. Success Rate	17.50%	17.50%	17.80%	15.70%
National Share	3.40%	2.90%	5.00%	5.80%
National Rank	9 th	10 th	6 th	6 th

Western  Research

SSHRC Initiatives

- **Faculty Research Development Fund (FRDF):**
 - New fund established to assist some faculties in promoting SSHRC-related research success and build research capacity
- **SSHRC Partnership Program:**
 - To increase submission and success rates of SSHRC Partnership grants, \$35K/year is provided to each successful Western-led SSHRC partnership grant
 - New Partnership grant funded this year
 - 1 new partnership grant pending; 4 LOIs submitted

Western  Research

NSERC Initiatives

- **Goal:** Increase success on CRD grants and IRCs, and increase value of Discovery grants
 - 2 IRCs awarded this year, 3 applications pending
 - Goal: #1 in Ontario for IRCs, #3 for CRDs (*most recent)

Institution	CRD	IRC
Waterloo	70	10
Toronto	53	7
McMaster	27	6
Ottawa	26	2
Western	18(26)*	5(7)*

Western  Research

Strategic Goals

Recruit/retain senior faculty in support of research excellence

- **WRC Program:** External senior recruits through WCRE
 - First WRC recruited: full professor, CIHR-funded (\$2M)
- **CRC Tier 1 Program:** Recruit senior investigators as available
- **Strategic Senior Hires:** Help faculties attract senior investigators
 - 2 new full professors in Schulich, 1 in Engineering, with multi-million \$ in funding
- **Research Chairs:** Help faculties identify and secure IRCs and endowed chairs

Strategic Goals

Address societal needs for HQP

- New incentive programs to attract the best graduate students/postdoctoral fellows
 - \$20K research grant to attract Banting Postdoctoral Fellows
 - \$10K research grant to attract Vanier/Trillium/Trudeau graduate students
- | | 2011 | 2012 | 2013 |
|--------------|------|------|------|
| Applications | 39 | 82 | 61 |
| Awards | 12 | 13 | 15 |
- \$10K/year for successful, Western-led CREATE grants (currently, 6 programs based at Western; several applications pending)

Strategic Goals

Address societal needs for HQP (cont.)

- MITACS funding:
 - Anticipated funding (2012-13): \$2,736,367 (2nd in Canada)
 - 113 Accelerate segments (\$1,631,667)
 - 15 Elevate Fellowships: \$892,500
 - 7 Globalink international interns: \$105,000
 - 268 Step grants: \$107,200
- New campus-linked student accelerator program (proposal pending)

Strategic Goals

Increase number of national/international faculty awards

- 15 Western Fellows of the Royal Society; Ontario university average is 56
 - **Action:** hired two new staff members to increase applications and success rates for prestigious honours and awards
- Completed the following nominations (partial list) this year:
 - 19 RSC fellow nominations (compared to 3 last year)
 - Nominations for Brockhouse and Herzberg awards
 - Five nominations to the Order of Ontario
 - Two Killam Prize nominations

Strategic Goals

Partner with other institutions and communities

- London Medical Innovation and Commercialization Network
 - City-wide partnership to develop an integrated platform for medical research, innovation, and commercialization
 - \$45M proposal to FedDev Ontario for Phase 1 submitted
- New partnerships with Fraunhofer institutes around medical imaging, and wind research
- Designation of WindEEE Dome as an international research facility eligible for Horizon 2020 funding
- Campus Accelerator for student entrepreneurship with Fanshawe College

Strategic Goals

Partner with other institutions and communities (cont.)

- Expansion of WORLDdiscoveries Asia to serve as gateway for multiple Ontario institutions into China
- FedDev proposal with University of Toronto, McMaster and Waterloo for student entrepreneurship
- IBM-SOSCIP renewal of FedDev funding
- Institutional partner in two pending CERC programs
- Major industrial partnerships developing around big data, harsh environments, advanced manufacturing, smart campus

Emerging Opportunities

- 2014 CFI competition: Western's cap: \$29M
- ORF-RI and RE
- FedDev Ontario
- NCE application short-listed (\$25M)
- Development and expansion of Western Discovery Park and Advanced Manufacturing Park
- Further Development of the Medical Convergence and Commercialization Network
- New federal and provincial infrastructure programs

Western  Research





Report to SCUP on Faculty Recruitment and Retention

**Office of the Vice Provost (Academic
Planning, Policy and Faculty)**

January 2014

Contents:

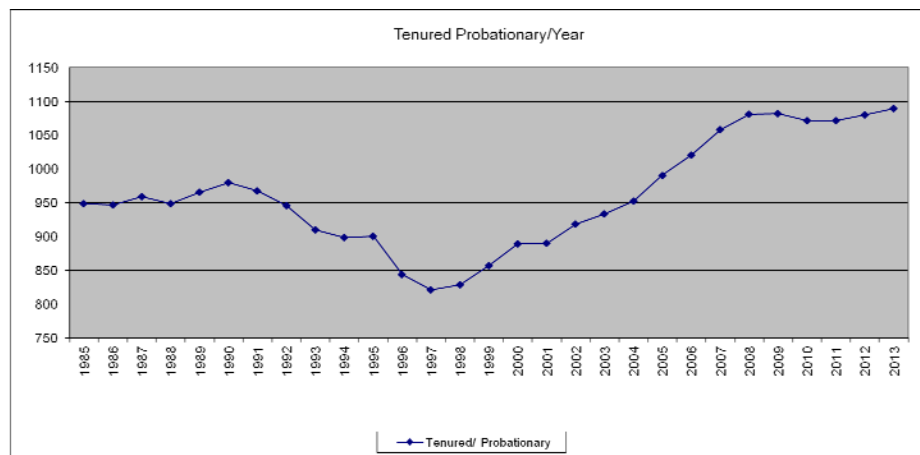
- Probationary and Tenured Faculty
 - Appointments
 - Resignations
 - Retirements
- Part-Time Faculty
- Limited-Term Faculty
- Full-Time Clinical Faculty



Faculty Recruitment and Retention

January 2014

Probationary and Tenured Faculty at Western 1985 - 2013



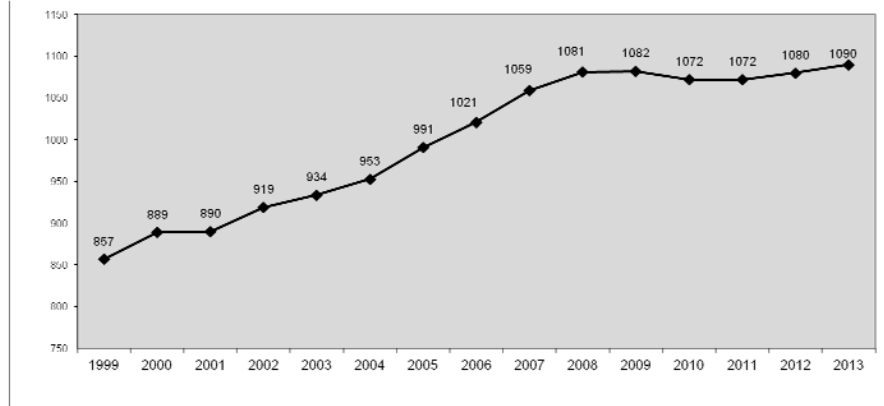
Source: Western Corporate Information 1985 – 1999
UCASS Data 1999 – 2010
Western Human Resources Information Systems 2011 – 2013



Faculty Recruitment and Retention

January 2014

Probationary and Tenured Faculty at Western, 1999 to 2013



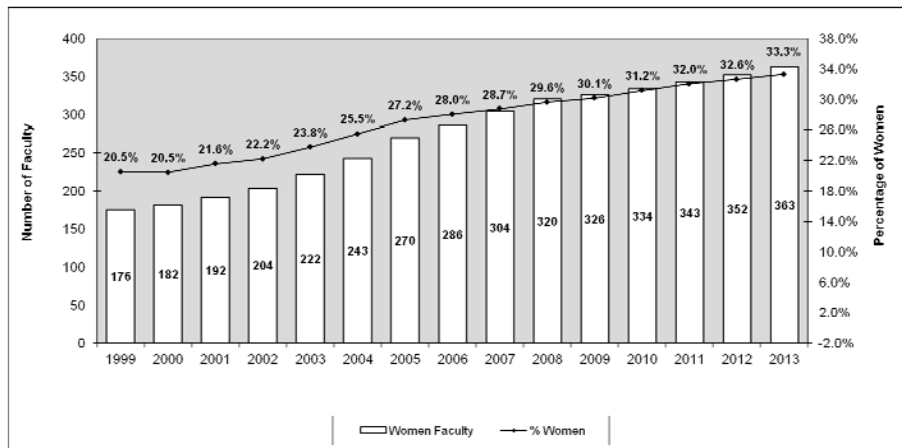
Source: UCASS Data for 1999 – 2010;
Western Human Resources Information Systems for 2011 – 2013 (October)
The 2007 data includes Roberts Scientists who became Probationary and Tenured on July 1, 2007.



Faculty Recruitment and Retention

January 2014

Probationary and Tenured Women Faculty at Western, 1999 to 2013



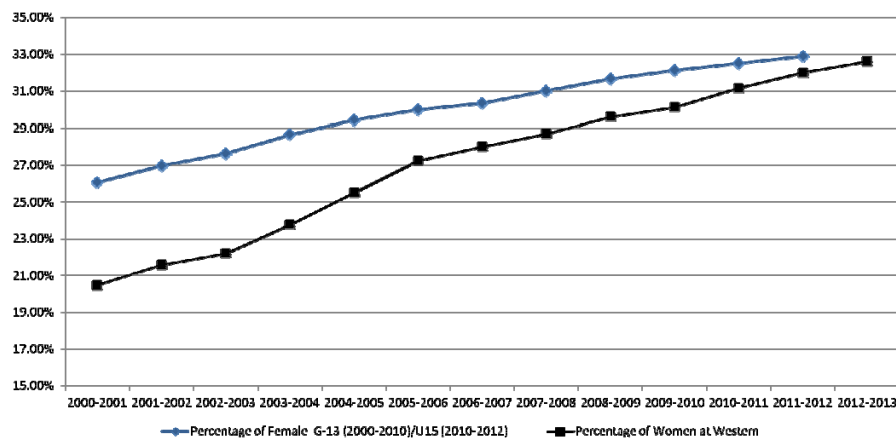
Source: UCASS Data for 1999 – 2010;
Western Human Resources Information Systems 2011 – 2013 (October)



Faculty Recruitment and Retention

January 2014

Women as a Percentage of Tenured/Probationary Faculty: G-13 (2000-2011)/U15 (2011-2013) excluding Western vs. Western



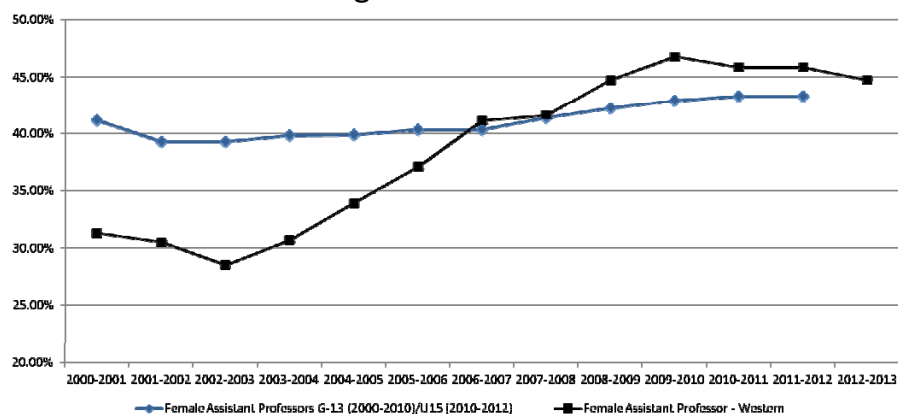
Source: UCASS Data 2000/2001-2009/2010, U15 Data 2010/2011-2011/2012
Western Human Resources Information System 2012/2013



Faculty Recruitment and Retention

January 2014

Women as a Percentage of Tenured/Probationary Faculty, Assistant Professor Rank: G-13 (2000-2011)/U15 (2011-2013) excluding Western vs. Western



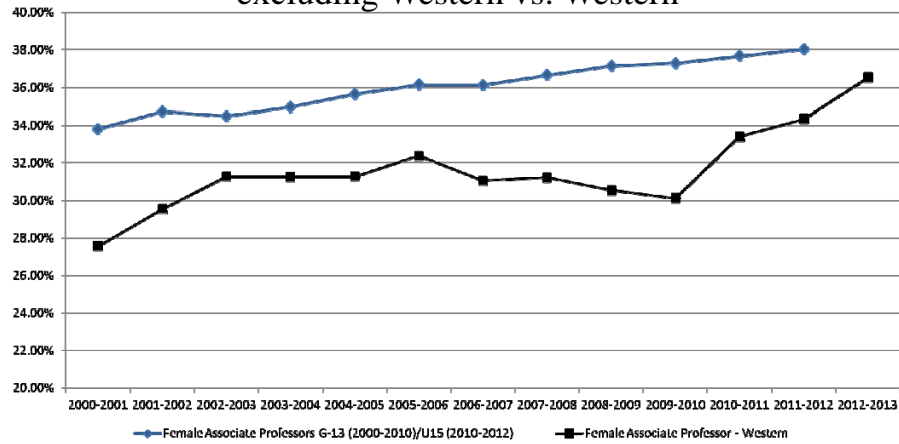
Source: UCASS Data 2000/2001-2009/2010, U15 Data 2010/2011-2011/2012
Western Human Resources Information System 2012/2013



Faculty Recruitment and Retention

January 2014

Women as a Percentage of Tenured/Probationary Faculty, Associate Professor Rank: G-13 (2000-2011)/U15 (2011-2013) excluding Western vs. Western



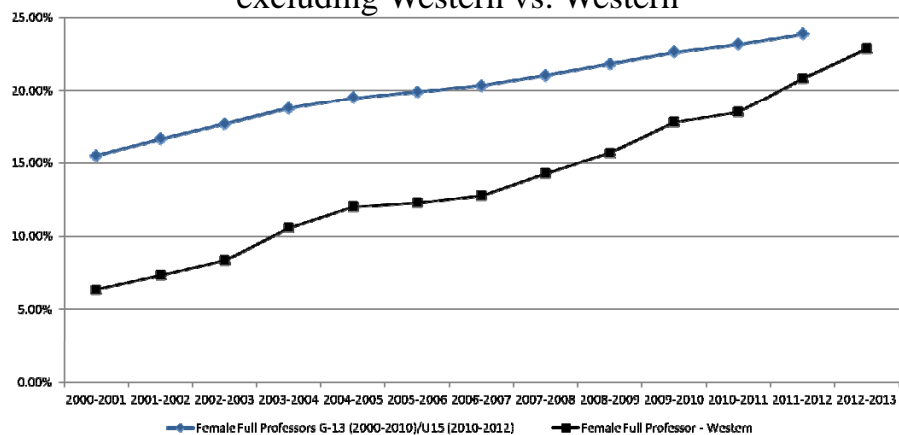
Source: UCASS Data 2000/2001-2009/2010, U15 Data 2010/2011-2011/2012
Western Human Resources Information System 2012/2013



Faculty Recruitment and Retention

January 2014

Women as a Percentage of Tenured/Probationary Faculty, Full Professor Rank: G-13 (2000-2011)/U15 (2011-2013) excluding Western vs. Western



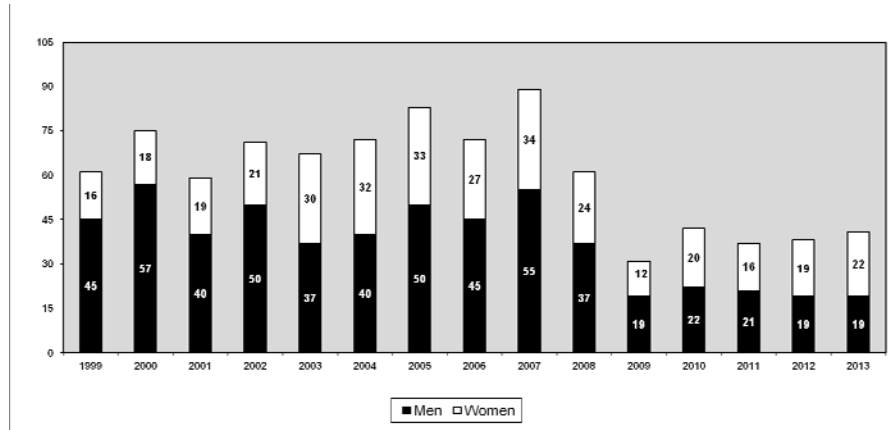
Source: UCASS Data 2000/2001-2009/2010, U15 Data 2010/2011-2011/2012
Western Human Resources Information System 2012/2013



Faculty Recruitment and Retention

January 2014

New Tenured & Probationary Faculty at Western by Gender (including those at Western previously in a Limited Term position): 1999 – 2013 Cohorts



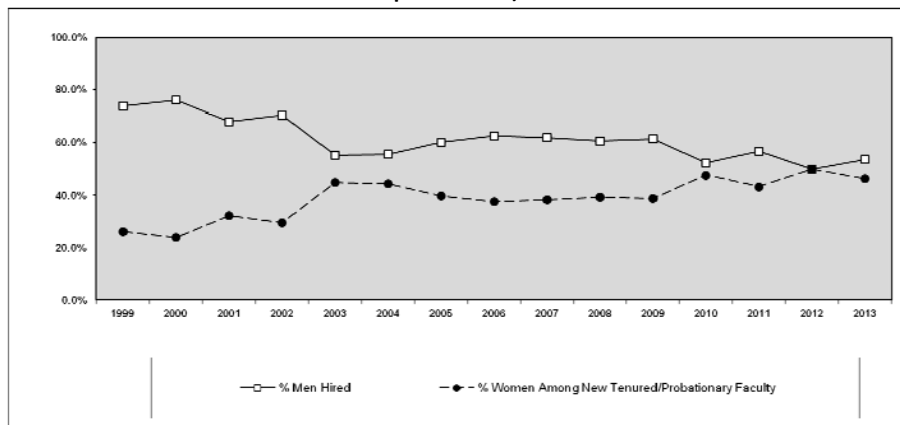
Source: UCASS Data for 1999 – 2010;
Western Human Resources Information Systems 2011 - 2013 (October)
Data excludes faculty joining from Roberts.



Faculty Recruitment and Retention

January 2014

Percentage of New Tenured and Probationary Faculty at Western by Gender (including those at Western previously in a Limited Term position): 1999 – 2013



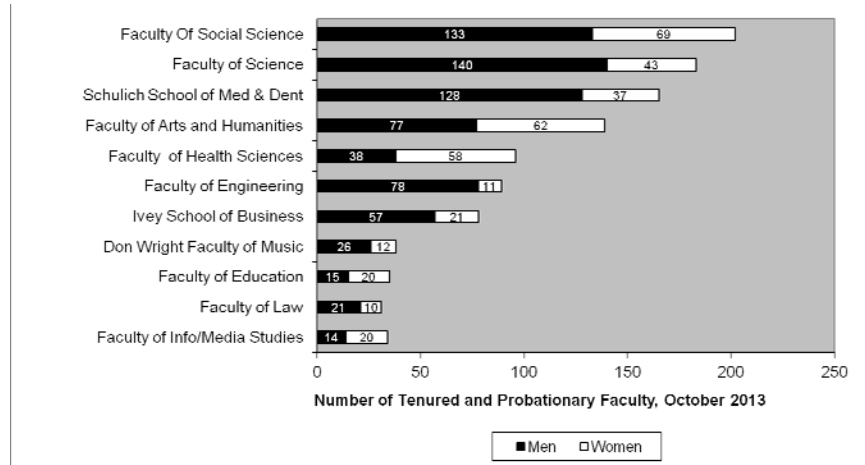
Source: UCASS Data for 1999 – 2010
Western Human Resources Information Systems 2011 - 2013 (October)
Data excludes faculty joining from Roberts



Faculty Recruitment and Retention
2014

January

Probationary and Tenured Faculty, by Faculty and Gender, October, 2013



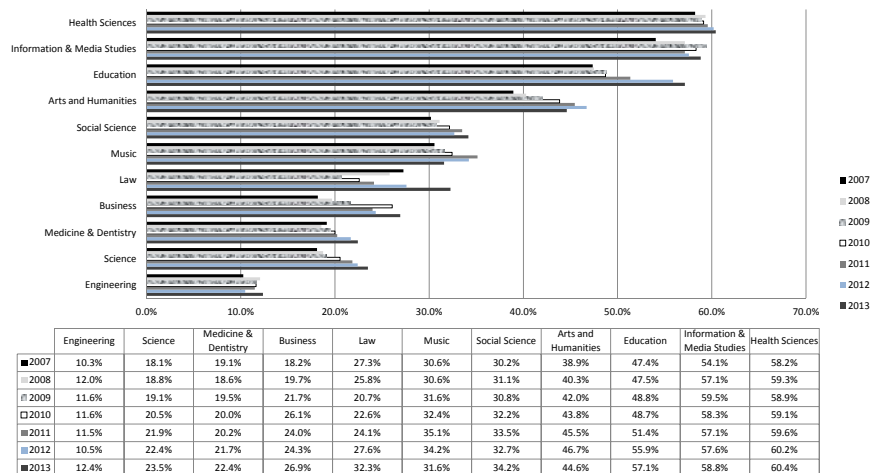
Source: Western Human Resources Information Systems for 2013 (October)



Faculty Recruitment and Retention

January 2014

Percentage of Tenured/Probationary Women Faculty, by Faculty, 2007 to 2013



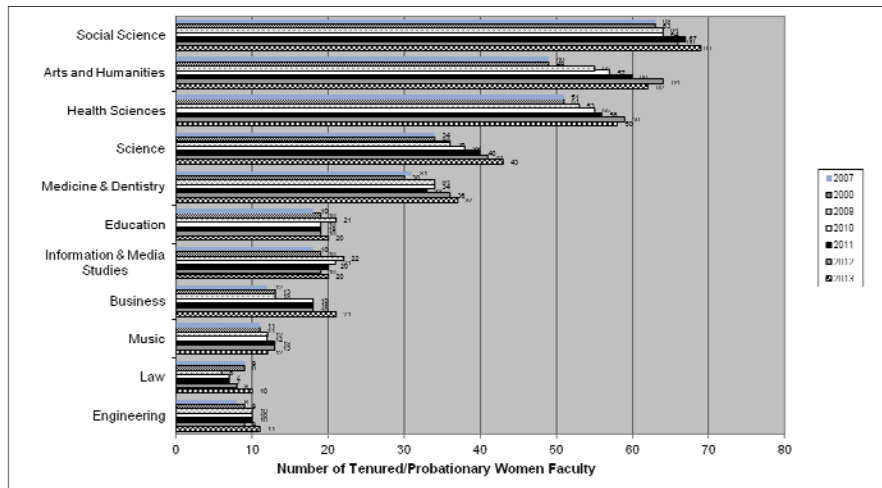
Source: UCASS data for 2007;
Western Human Resources Information System 2008 - 2013 (October).



Faculty Recruitment and Retention

January 2014

Number of Tenured/Probationary Women Faculty, by Faculty, 2007-2013



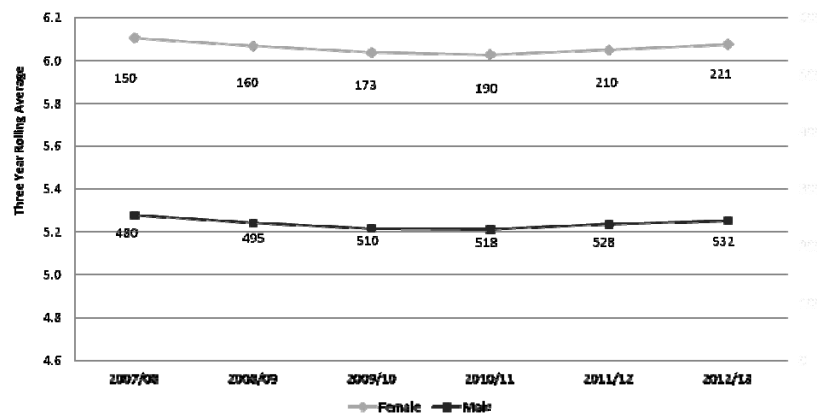
Source: UCASS data for 2007;
Western Human Resources Information System 2008 - 2013 (October).



Faculty Recruitment and Retention

January 2014

Average Time to Tenure from Full Time Start at Western



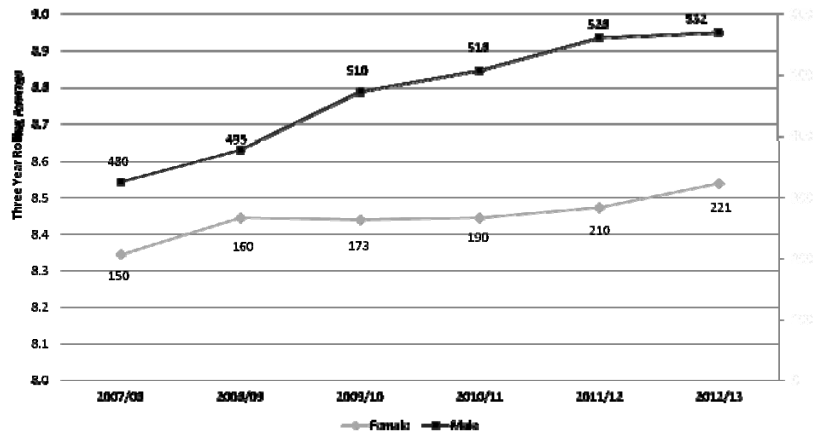
Source: Western Human Resources Information System 2006 - 2013
Excludes those hired into a tenured position



Faculty Recruitment and Retention

January 2014

Average Time to Tenure from Highest Degree



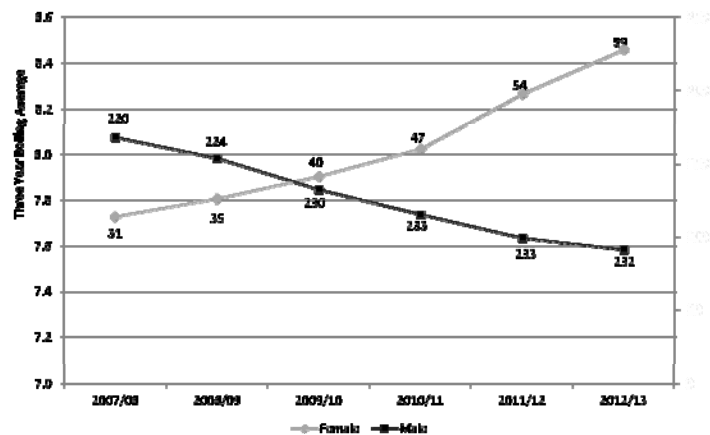
Source: Western Human Resources Information System 2006 – 2013
Excludes those hired into a tenured position



Faculty Recruitment and Retention

January 2014

Average Time to Full Professor from Tenure



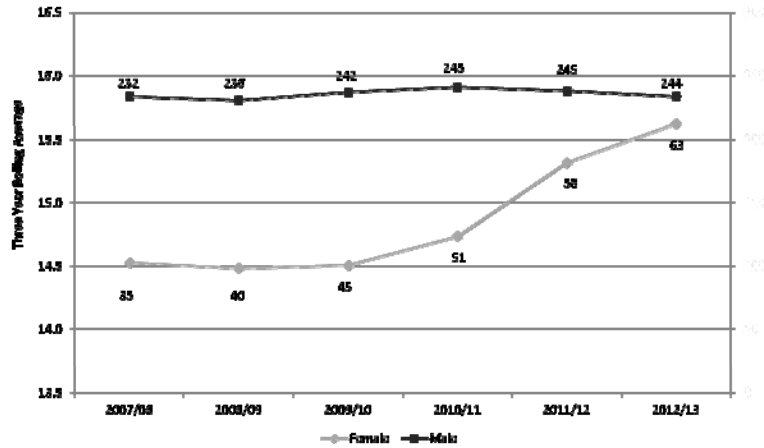
Source: Western Human Resources Information System 2006 – 2013
Excludes those already Full Professor at time of Tenure



Faculty Recruitment and Retention

January 2014

Average of Time to Full Professor from Highest Degree



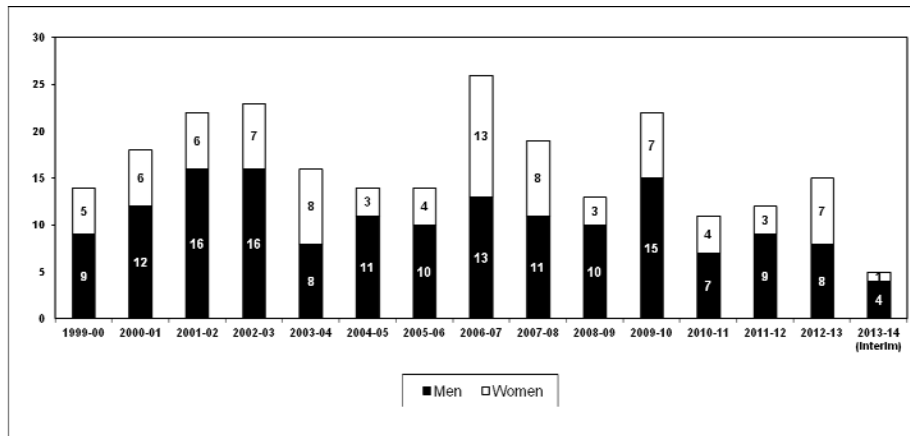
Source: Western Human Resources Information System 2006 – 2013
Excludes those already Full Professor at time of Tenure



Faculty Recruitment and Retention

January 2014

Probationary & Tenured Faculty Resignations by Gender: 1999-2000 to 2013-14 (interim)



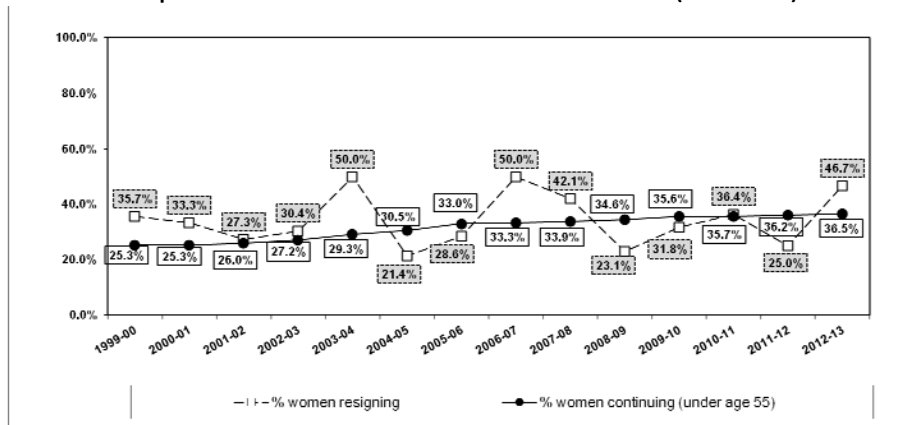
Source: Western Information Systems as of December 2013
Includes only faculty under age 55 at the time of resignation.



Faculty Recruitment and Retention

January 2014

Women as a Percentage of Probationary & Tenured Faculty Resignations and Women as a Percentage of Continuing Population: 1999-2000 to 2012-2013 (interim)



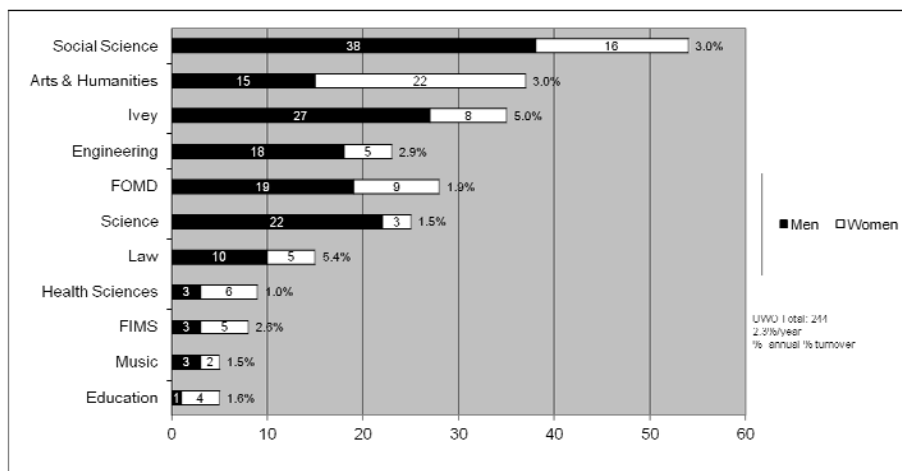
Source: Western Information Systems (December 2013) for resignation data and UCASS Data for 1999-2007, and Western's Human Resources Information Systems for 2007-13 for continuing population data.



Faculty Recruitment and Retention

January 2014

Total Probationary & Tenured Resignations by Faculty: 1999-00 to 2012-13



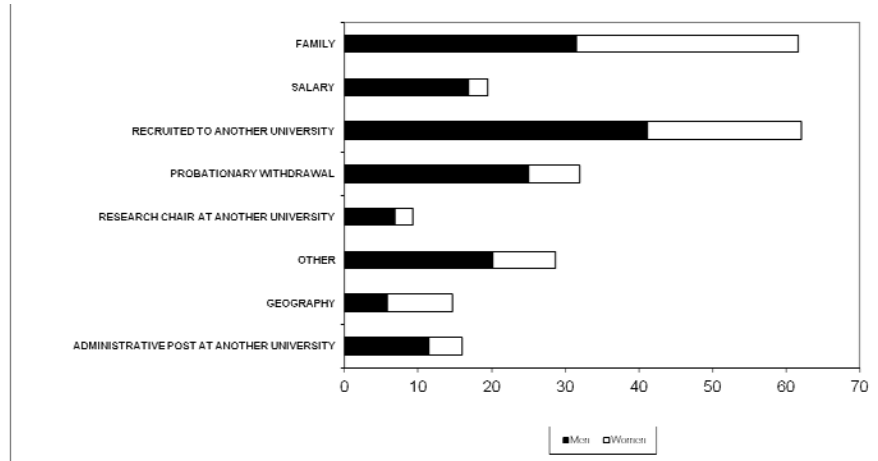
Source: Western's Human Resources Information Systems. Percentages based on Tenured/Probationary faculty as of December 2013.



Faculty Recruitment and Retention

January 2014

Probationary and Tenured Faculty Reasons for Leaving: 1999-00 to 2012-13



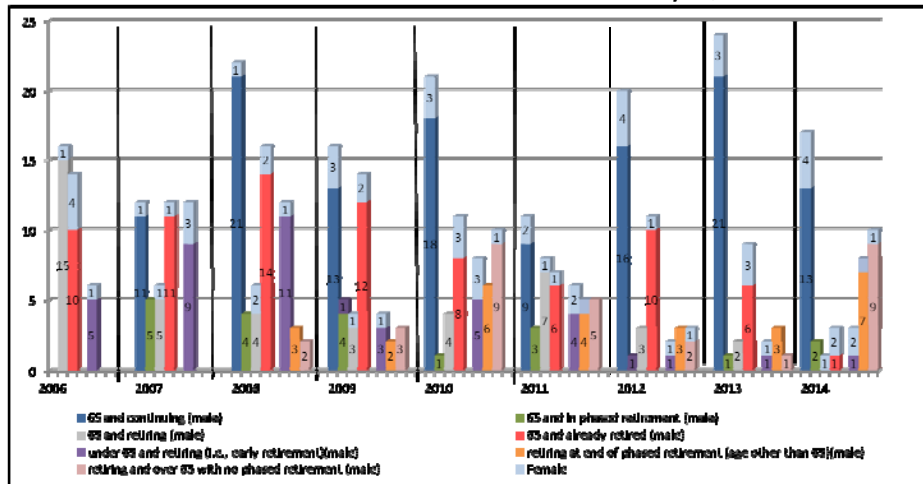
Source: Exit interviews conducted with the Faculty Member or Chair/Dean of the Department/Faculty and letters received from Faculty Member



Faculty Recruitment and Retention

January 2014

Probationary and Tenured Faculty at Western: Cohorts with Normal Retirement Dates of July 1, 2006 – 2013



Source: Western Human Resources Information Systems



Faculty Recruitment and Retention

January 2014

Probationary and Tenured Faculty at Western: Cohorts Aged 60 or Greater

Age	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
60	30	35	22	39	22	26	26	39	29	24	18
61	34	28	33	21	34	22	25	27	39	29	22
62	34	31	28	34	20	34	21	24	25	39	27
63	21	32	30	23	31	18	33	20	21	24	38
64	22	20	31	30	22	30	18	33	22	21	24
65	6	8	10	28	25	22	23	14	27	21	19
66	0	0	0	7	24	20	19	18	11	27	19
67	0	0	0	0	4	21	16	14	16	9	24
68	0	0	0	0	0	3	11	13	12	15	7
69	0	0	0	0	0	0	3	9	11	10	9
70	0	0	0	0	0	0	0	3	8	9	7
71	0	0	0	0	0	0	0	0	3	6	7
72	0	0	0	0	0	0	0	0	0	2	5
73	0	0	0	0	0	0	0	0	0	0	2
Total	147	154	154	182	182	196	195	214	224	236	228

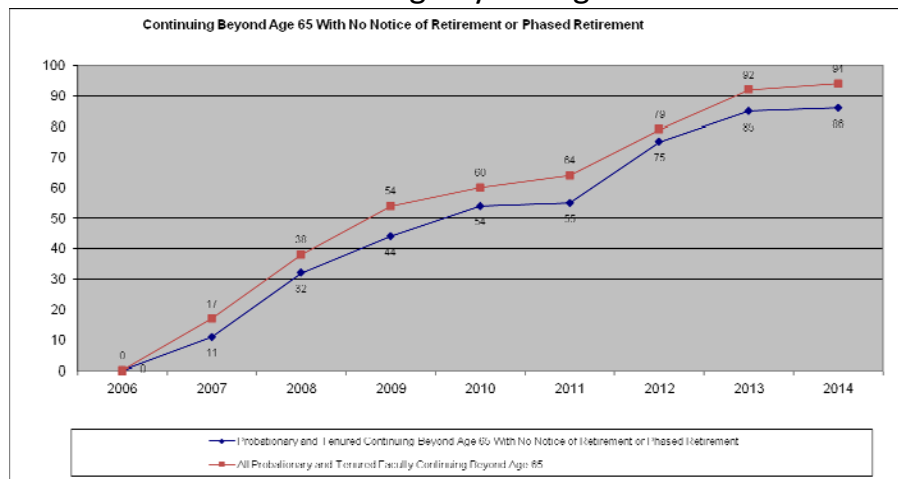
Source: UCASS 2004 – 2010
UWO Data 2011 – 2014



Faculty Recruitment and Retention

January 2014

Probationary and Tenured Faculty at Western: Continuing Beyond Age 65



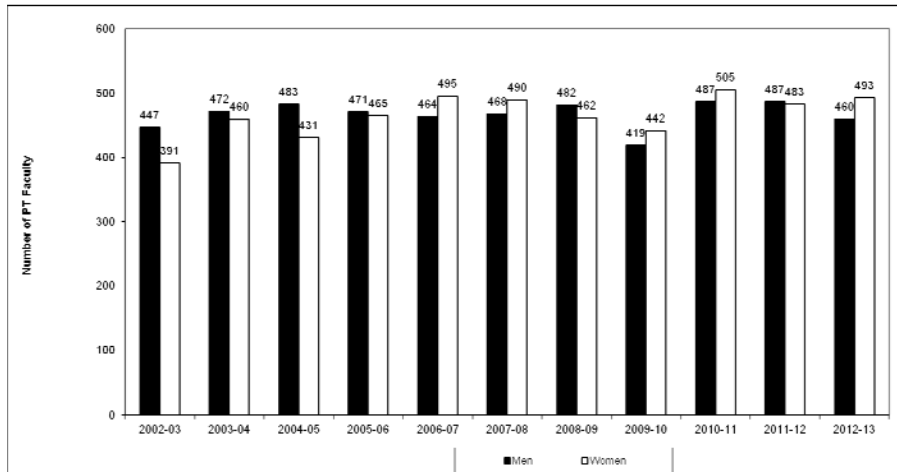
Source: Western Human Resources Information System



Faculty Recruitment and Retention

January 2014

Number of Individuals with Part-Time Faculty Appointments: 2002-03 to 2012-13 (by Fiscal Year)



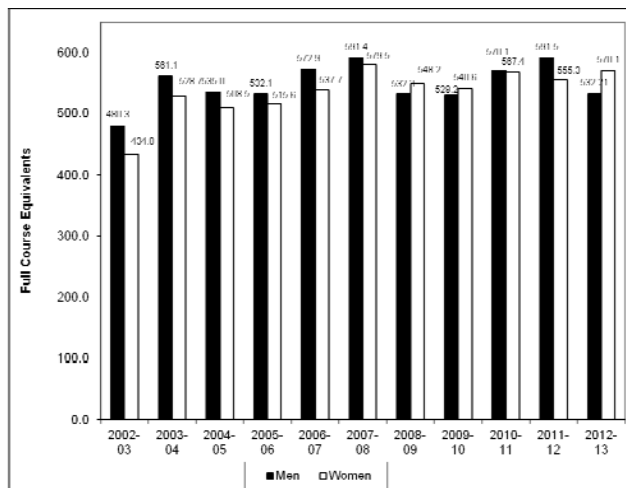
Source: Western Human Resources Information Systems



Faculty Recruitment and Retention

January 2014

Degree Credit Course Teaching in FCEs by Part-Time Faculty: 2002-03 to 2012-13 (by Fiscal Year and Gender)



Source: Western Information Systems
(appointment status and course data).

Note: Instructor data is unavailable for
3.7% of courses in 2002-03; 1.1% in
2003-04; 1.2% in 2004-05;
0.8% in 2005-06, 0% in 2006-07,
through 2012-13.

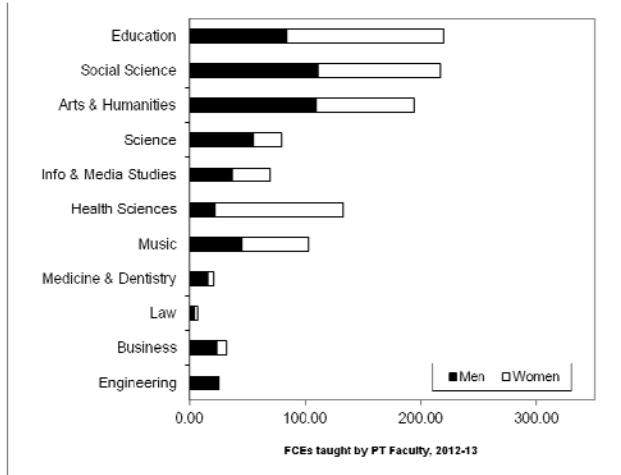
Course data for undergraduate, graduate and
professional degree credit course teaching
are included, with the exception of
independent studies, directed research,
exams, theses, clinical courses, etc.



Faculty Recruitment and Retention

January 2014

Degree Credit Courses taught by Part-Time Faculty in FCEs, by Faculty and Gender: 2012-13 (Fiscal Year)



Source: Western Information Systems
(appointment status and course data).

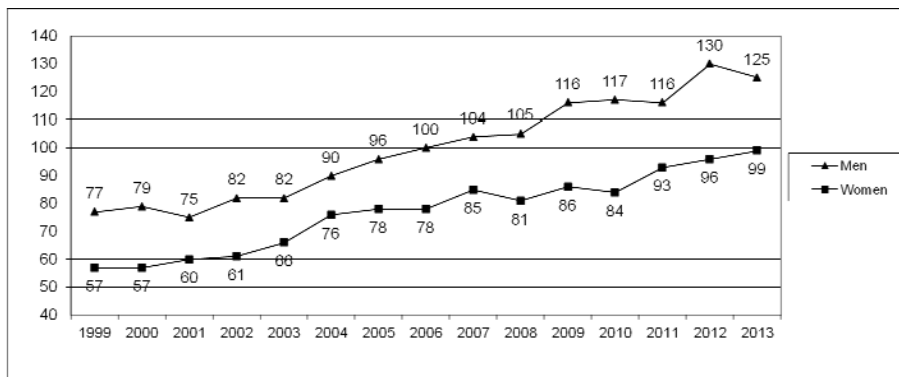
Course data for undergraduate, graduate and professional degree credit course teaching are included, with the exception of independent studies, directed research, exams, theses, clinical courses, etc. Course data for the Faculty of Education includes the Additional Qualification Courses.



Faculty Recruitment and Retention

January 2014

Limited Term Appointments: 1999 to 2013



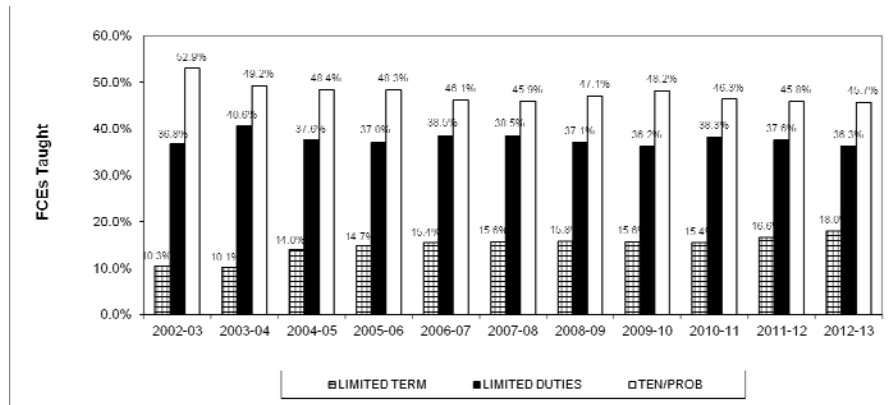
Source: UCASS Data 1999- 2007 (October).
Please note: a) Statistics Canada data includes faculty and appointment types that are outside of the UWOPA Collective Agreement; and b) the definition of limited term for Statistics Canada purposes has changed over time. Data may therefore not accurately reflect trends.
Source: Western's Human Resources Information Systems 2008 - 2013 (October)



Faculty Recruitment and Retention

January 2014

Degree Credit Courses Taught in FCEs, by Instructor Contract Type (by Fiscal Year)



Course data for undergraduate, graduate and professional credit course teaching are included, with the exception of independent studies, directed research, exams, theses, clinical courses, etc.

The data does not include graduate student supervisions.

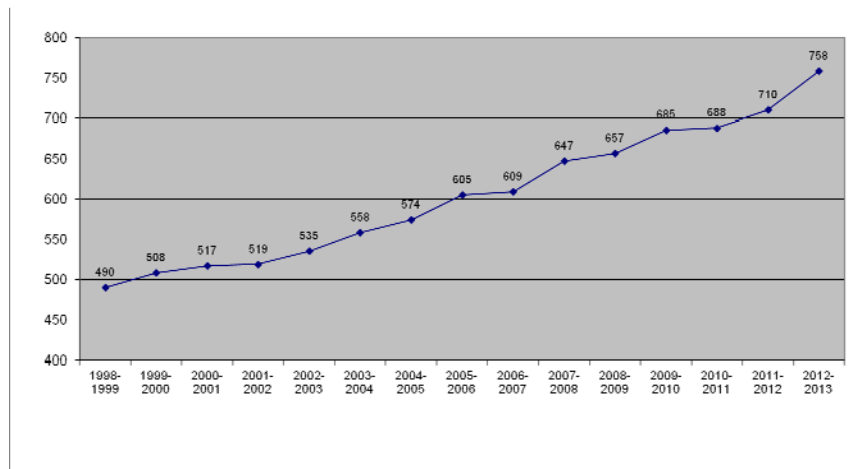
Note: Instructor data is unavailable for 3.7% of courses in 2002-03; 1.1% in 2003-04; 1.2% in 2004-05; 0.8% in 2005-06, 0% in 2006-07 through 2012-13.



Faculty Recruitment and Retention

January 2014

Clinical Full Time Faculty (Physicians in Schulich) at Western, 1999 – 2013



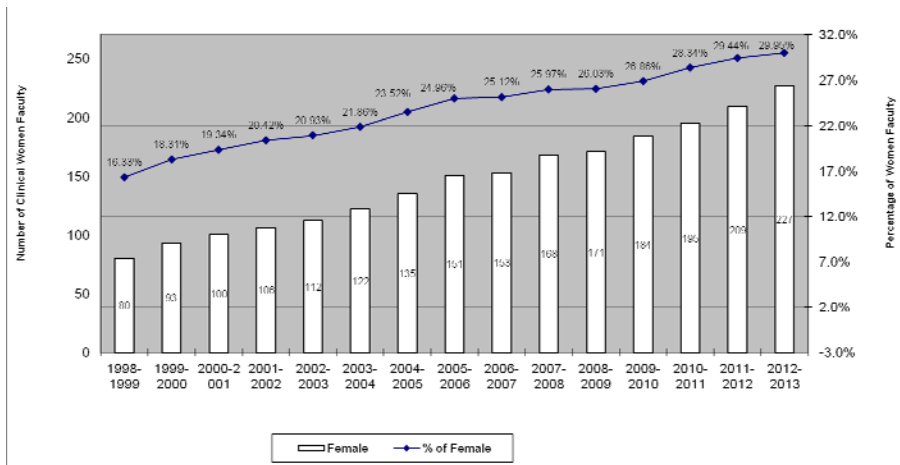
Source: Western Human Resources Information Systems



Faculty Recruitment and Retention

January 2014

Clinical Full Time Women Faculty (Physicians in Schulich) at Western, 1999 – 2013



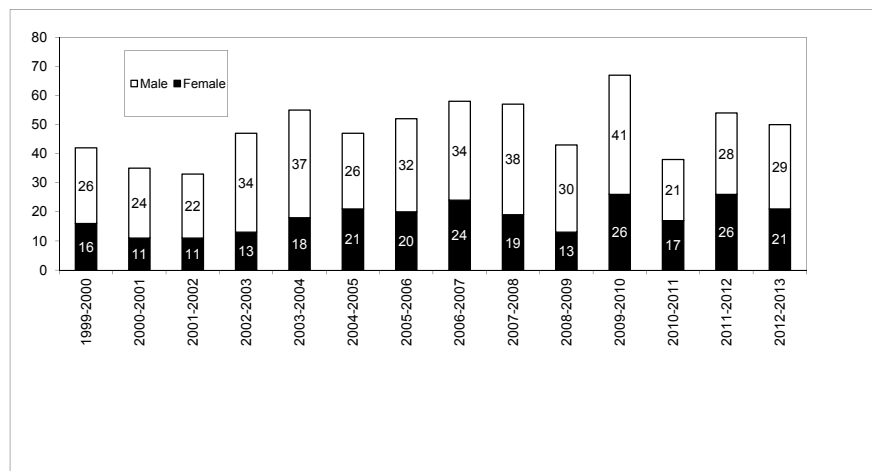
Source: Western Human Resources Information Systems



Faculty Recruitment and Retention

January 2014

New Clinical Full Time Faculty (Physicians in Schulich) at Western by Gender: 2000 – 2013



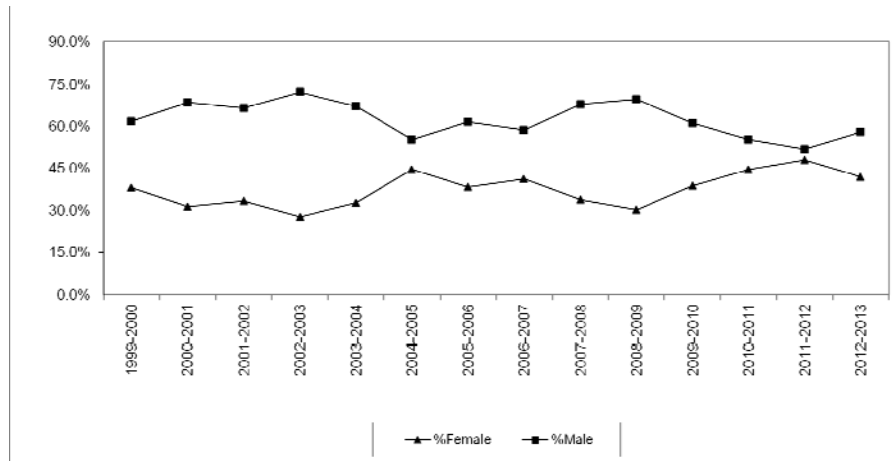
Source: Western Human Resources Information Systems



Faculty Recruitment and Retention

January 2014

Percentage of New Clinical Full Time Faculty (Physicians in Schulich) at Western by Gender: 1999/00 – 2012/13



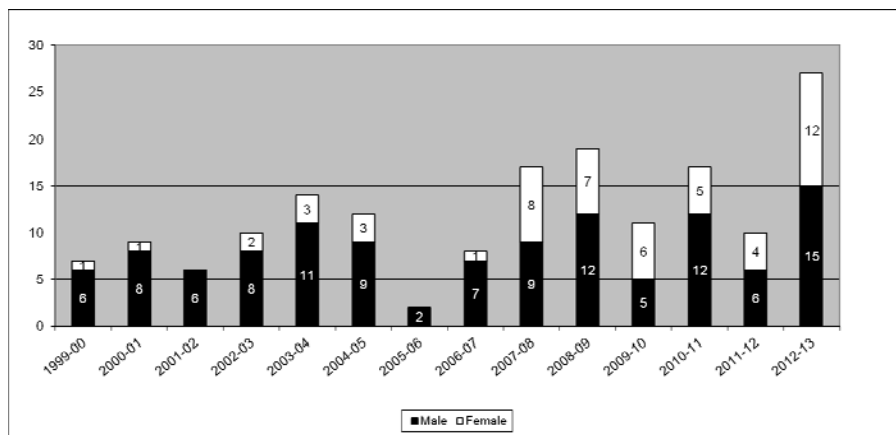
Source: Western Human Resources Information Systems



Faculty Recruitment and Retention

January 2014

Clinical Full Time (Physicians in Schulich) Resignations by Gender, 1999/2000 – 2012/2013 (interim)



Source: Western Human Resources Information System



Faculty Recruitment and Retention

January 2014

**Council of Ontario Universities
Report to Senate of the Academic Colleague
Kathleen Okruhlik, March 2014**

The COU Academic Colleagues met in Toronto February 6-7, 2014; there was no meeting of the full Council.

International Students: This was the topic of an informal and wide-ranging discussion among the Academic Colleagues. Questions were raised about the \$200 levy on international students and why that levy was introduced. It seems to have been a purely fiscal initiative without an underlying policy motivation. It also appears that we have no reliable data about whether bringing in international students is an added cost; in any event, the costs are likely to vary a great deal from discipline to discipline. COU's recent advice to the government has been: If you must do this, then place a cap on the levy. Concern was expressed among the Academic Colleagues that Ontario not replicate the behavior of some British and Australian institutions – behavior that was described as “reprehensible” insofar as recruitment of international students seemed to be aimed only at meeting financial needs. Other points that were raised include: social class issues at the undergraduate level, variation in challenges and opportunities from discipline to discipline, difference in degree of professorial control over quality of graduate vs. undergraduate admissions, differences in the extent to which various Faculties and universities have put into place special programs to assist international students. There is also ongoing concern about whether Ontario taxpayers will blame increased international student registrations when their own children do not gain admission to desirable institutions.

Differentiation and the Strategic Mandate Agreements: There was not a lot of hard news to report on this front; the Ministry's expectations and the process itself “continue to evolve.” It still seems that the only hard-edged outcomes will be graduate allocations. Other outcomes are less clear. It appears there will be more back-and-forth between MTCU and individual universities than was originally anticipated. The SMAs will likely become “framing documents” for future discussions with the Ministry. Metrics will play a big role in the process, and concerns have been expressed in many quarters that these metrics will function not just as measures but also as policy drivers.

Colleges: Degree-granting status remains a top priority in this sector. Colleges are making a careful argument that focuses on building from three-year diplomas. Sheridan is now openly advertising itself as “Ontario's next university.”

Demographics: Primary school enrolments are starting to grow again, and that bulge will eventually make its way to the university level. In the meantime, though, there is concern about the number of “101” applications (those from Ontario high school students). Getting more boys and young men to apply for university would be useful, especially in the near future.

Ontario Online: As previously reported, the provincial government is providing \$42 million over three years to develop a new Centre of Excellence in online education. It is allocating \$4.6 million to universities and \$4.6 million to colleges for course development. Many questions regarding mandate, governance model, and other issues will have to be answered before incorporation is possible. There was a fairly detailed discussion among Academic Colleagues about the complexity of credit transfer, course equivalencies, professional accreditation issues, quality control, and the need for us (i.e., academics) to shape this project.

Teaching and Learning Metrics: COU's Teaching and Learning Task Force has formed three working groups focused on (1) high impact practices; (2) governance, infrastructure, and policy; and (3) teaching evaluations. The Colleagues' discussion focused on metrics and procedures that might improve evaluation processes with respect to teachers, courses, and programs.

I will be happy to answer questions about these and other issues on the floor of Senate.

REPORT OF THE HONORARY DEGREES COMMITTEE

Spring Convocation 2014 – Honorary Degree Recipients

The Honorary Degrees Committee announces that the following individuals will receive honorary degrees at Spring Convocation 2014:

2014	10:00 am Ceremony	3:00 pm Ceremony
Monday, June 9	No ceremony	No ceremony
Tuesday, June 10	School of Graduate & Postdoctoral Studies * Faculty of Social Science (BA Honors, BSc Honors programs, Diplomas and Certificates) * CHIEF SHAWN A-in-CHUT ATLEO - LLD	School of Graduate & Postdoctoral Studies * King's University College* SHEILA FRASER - LLD
Wednesday, June 11	Faculty of Social Science (BMOS, Diploma in Accounting) SYLVIA CHROMINSKA - LLD	Faculty of Social Science (3yr and 4 yr, excluding Honors and BMOS) Faculty of Science (3yr and 4yr, excluding Honors) FRANCIS ZWIERS – DSc
Thursday, June 12	School of Graduate & Postdoctoral Studies * Schulich School of Medicine & Dentistry and Faculty of Science (BMSc Honors and 4yr) * ROBERT LANGER – DSc	School of Graduate & Postdoctoral Studies * Faculty of Science (Honors)* SIR DAVID KING - DSc
Friday, June 13	School of Graduate & Postdoctoral Studies * Faculty of Education* RICK MERCER - LLD	School of Graduate & Postdoctoral Studies * Faculty of Engineering* Schulich School of Medicine & Dentistry (Dentistry)* LORD JULIAN HUNT - DSc
Monday, June 16	School of Graduate & Postdoctoral Studies * Faculty of Arts and Humanities * Don Wright Faculty of Music* MADAM JUSTICE ELAINE ADAIR - DCL	School of Graduate & Postdoctoral Studies * Brescia University College Faculty of Health Sciences (Honors, 3/4yr, Dips. & Certs.)* STACEY ALLASTER - LLD

Tuesday, June 17	School of Graduate & Postdoctoral Studies * Richard Ivey School of Business* GLENN STEVENS – LLD	School of Graduate & Postdoctoral Studies * Huron University College* Faculty of Health Sciences – Kinesiology* ROBERT K. BARNEY – LLD
Wednesday, June 18	School of Graduate & Postdoctoral Studies * FIMS* CATHERINE ZAHN – LLD	School of Graduate & Postdoctoral Studies * Faculty of Health Sciences (Nursing) * Faculty of Law* GLEN PEARSON – LLD

*students in graduate programs hosted by the Faculties on the particular day

JACOB VAN DYK - DSc	Schulich School of Medicine & Dentistry, MD Convocation	May 16
CECILIA YAU - LLD	Western's Hong Kong Convocation	May 25
DOMINIC BARTON - LLD	Ivey MBA	June 6



Western University

Indigenous Postsecondary Education Council (IPEC)

Terms of Reference

1. Background

In recognition of Indigenous¹ peoples' strengths, Indigenous Knowledge(s), and self-determining rights as distinct peoples of Canada, Western University will consult and engage with the *Indigenous² Postsecondary Education Council* (IPEC) (formerly known as the Aboriginal Education and Employment Council, AEEC), which was first established in 1995 as a formal advisory Council to the University representing the common interests and concerns of local Indigenous communities relating to postsecondary educational matters at Western.

IPEC serves to support Western in fulfilling its strategic goals of “improving accessibility and success in higher education for Indigenous peoples” (Achieving Excellence on the World Stage, January 2014) and implementing the Ontario government’s *Aboriginal Postsecondary Education and Training Policy Framework* (MTCU, 2011) through approaches founded on 4 guiding principles:

- 1) Excellence and Accountability;
- 2) Equity, Inclusion and Respect for Diversity;
- 3) Cooperation on and Shared Responsibility for postsecondary education;
- 4) Respect for Constitutional and Treaty Rights, and respect for Indigenous Knowledge, Languages, and Cultures.

2. Vision

Indigenous peoples are fully engaging at every level of study, work, and research, ensuring positive educational experiences for Indigenous students, staff, and faculty and inspiring Indigenous success across Western University.

3. Mission

The *Indigenous Postsecondary Education Council* will have an opportunity to provide input into various aspects of Western University’s governance and long-term planning, student services, employment relations, academic programming, and research initiatives as it relates to Indigenous peoples. It will also fulfill the University’s strategic

¹ The term *Indigenous* encompasses all Aboriginal peoples' of Canada including; First Nations, Metis, and Inuit which is recognized in the *Canadian Constitution Act of 1982*.

² Indigenous is a term used to encompass a variety of Aboriginal groups from an international and global context and is sanctioned by the *United Nations Declaration on the Rights of Indigenous Peoples*.

goals in higher education for Indigenous peoples (Going Global: Achieving Excellence on the World Stage, Western University Strategic Plan, 2013, p.14).

4. Goals

Western's broad level goals in the area of Indigenous relations are to:

1. Increase Indigenous presence and inclusion across Western University;
2. Increase Indigenous access and outreach to potential students, staff, and faculty;
3. Increase Indigenous student representation, transition, retention, completion and advancement;
4. Increase Indigenous staff and faculty hiring and advancement;
5. Improve the information pertaining to Indigenous peoples in our current academic programming and expand the current offerings to better portray Indigenous histories, cultures and lives in general;
6. Support and expand research on Indigenous issues and ensure that the research is done in a respectful and responsible manner.

5. IPEC Reporting

- IPEC will submit regular meeting minutes to the Office of the President, the Office of the Provost, and the Office of the Vice Provost;
- Each year, senior administration (Provost and/or President) will be invited to attend 1-2 IPEC meetings;
- The Council will play a central role in advising on the critical issues and agenda items for this meeting;
- IPEC will post Terms of Reference, membership, and meeting minutes on the Indigenous Services website for public viewing.

6. Membership

IPEC membership will reflect a broad representation of committed participants with diverse backgrounds. Members will be from both Western University and local Indigenous communities including; Indigenous students, staff, and faculty, local First Nations community representatives, urban organizational leaders, and other Indigenous stakeholders vested in postsecondary education matters.

7. IPEC Members Designated Seats

University Community Members (up to 13 seats)

- Office of the President designate.
- A University Faculty Member appointed by the President.
- Indigenous Health and Wellbeing Initiative (IHWI), representative.
- Indigenous Services, Student Development Centre, Coordinator.
- First Nations Studies, Faculty of Social Science, Director.
- Aboriginal Education, Faculty of Education, Director.
- Affiliate College designate.

- Indigenous faculty and staff members representing a broad cross of faculties including a representative from the Indigenous Staff and Faculty Caucus (1-3 representatives);
- Indigenous students at the undergraduate and graduate levels (1 representative from First Nations Student Association (FNSA) and 1 Supporting Aboriginal Graduate Enhancement (SAGE).
- Indigenous Services Visiting Elder.

Indigenous Community Members (up to 15 seats)

There will be seats dedicated to the following First Nations communities.

- Oneida Nation of the Thames.
- Chippewas of the Thames First Nation.
- Munsee Delaware Nation.
- Chippewas of Kettle and Stony Point First Nation.
- Six Nations of the Grand River.
- Mississaugas of the New Credit First Nation.
- Delaware Nation Moravian of the Thames Band.
- Caldwell First Nation.
- Walpole Island First Nation.
- Aamjiwnaang First Nation.

There will be seats dedicated to the following Indigenous organizations in London area.

- Southern First Nation Secretariat postsecondary program.
- N'Amerind Friendship Centre.
- Fanshawe College, First Nations Centre.
- Metis Nation of Ontario (MNO).
- Noke Kwe Occupational Skills Development Inc.

8. Roles and Responsibilities

Co-Chairs are responsible for:

There will be 2 rotating Co-Chairs chosen by nomination and consensus including 1 Western University representative and 1 Indigenous community representative;

- Championing the vision and goals of the Council;
- Actively seeking out new members as seats become available;
- Ensuring meetings are held regularly, seeking agenda items from members, and following up on action items at meetings;
- Chairing meetings and facilitating meaningful discussion toward action;
- Acting as a signing authority for the Council;
- Acting as a lead member on the Indigenous Strategic Initiatives Committee.

Secretary is responsible for:

- Taking minutes, preparing agendas, distributing lists, drafting correspondence, preparing and updating materials, coordinating meetings as directed by Co-Chairs;
- Maintaining membership lists, managing current and archival records, ensuring online presence, and circulating minutes to the Office of the President, Provost and Vice Provost.

Members are responsible for:

- Championing the vision and goals of the Council;
- Representing the perspectives of Western and Indigenous community stakeholders as it relates to Indigenous peoples unique needs in postsecondary education at Western;
- Recognizing the complex nature of academic institutions with respect to academic freedom and freedom of inquiry in teaching as well as the units administrative roles and responsibilities;
- Understanding that IPEC plays a supportive role in advocating for Indigenous peoples' needs, and informing and influencing the institution through recommendations that increase educational successes for Indigenous peoples;
- Attending and equally participating in meetings on a regular basis;
- Reading and approving the meeting minutes;
- Making recommendations and engaging in meaningful dialogue around issues brought forward that facilitate decision-making and action;
- Completing Council action item and business by deadlines;
- Participating in sub-committee work as needed.

8. Term

- 3 consecutive years with a possibility of renewal where feasible with exception of the student representatives;
- As membership terms end and vacancies arise, Indigenous communities/organizations will be invited by IPEC to appoint new designates to the Council;
- Letters of appointment are required for all members.

9. Meetings

- Each meeting will begin with an Indigenous Caucus preliminary section chaired by the Indigenous Co-Chair representative where Indigenous representatives will meet to highlight key issues of priority prior to the regularly scheduled meeting;
- There will be at least 4 meetings per academic year;
- Sub-committee work as needed;
- 1 half-day professional development/celebration retreat per year held in the summer months at a local Indigenous community;
- Rotate meeting locations to be held occasionally in Indigenous communities;

10. Decision Making

- Ideally, decisions are made by consensus;
- Decisions about issues where there is not complete agreement will be deferred until a future meeting;
- If consensus cannot be achieved after the process, the issue will be resolved by a vote called at the discretion of one of the Co-Chairs;
- A decision will require 50% + 1 quorum from both Indigenous and University membership. A secret ballot to determine consensus may be taken and must be approved by either Co-Chairs.

11. Quorum

- At least 6 voting members are in attendance with a minimum of 3 external Indigenous community members.