

**BOARD OF GOVERNORS MEETING**

**1:00 p.m., Thursday, November 21, 2013  
Room 4155 Stevenson Hall**

1. Adoption of Agenda - Open Session
2. **Report of the President** (Amit Chakma)
3. Unanimous Consent Agenda - **Appendix I**
  - Includes **Open Session Minutes of the Meeting of September 24, 2013**
4. Business Arising from the Minutes
5. Reports of Committees:
  - Property & Finance Committee - **Appendix II** (Paul Jenkins)
  - Senior Operations Committee - **Appendix III** (Steve Coxford)
  - Fund Raising and Donor Relations Committee - **Appendix IV** (Jackie Moss)
6. Items Referred by Senate - **Appendix V** (Amit Chakma)
7. Questions from Members
8. Other Business
9. Adjournment to Confidential Session

<p><b><i>Meetings of the Board beginning at 1:00 p.m. will normally end by 4:30 p.m. unless extended by a majority vote of those present.</i></b></p>
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## **SUMMARY OF AGENDA ITEMS – November 21, 2013 - OPEN SESSION**

Adoption of Agenda	ACTION
Report of the President	INFO
Unanimous Consent Agenda – <a href="#">Appendix I</a>	ACTION
Minutes of the Meeting of September 24, 2013 – Open Session only for web	ACTION

### **Report of the Property & Finance Committee- [Appendix II](#)**

Rotman Fellowships, Faculty of Arts & Humanities	INFO
John Thompson Chair – Amendment to Terms of Reference	INFO
Daryl T. Bean Professorship in Law and Women's Studies	INFO
Quarterly Ratio Report on Non-Endowed Funds	INFO
Investment Committee Report	INFO
Housing – Capital Renewal Summary	INFO
New and Revised Scholarships and Awards	INFO

### **Senior Operations Committee – [Appendix III](#)**

Code of Student Conduct – Annual Report	INFO
Decanal Reappointment – Faculty of Engineering	INFO

### **Fundraising & Donor Relations Committee – [Appendix IV](#)**

Imagine Canada Ethical Code Program Renewal	INFO
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### **Items Referred by Senate - [Appendix V](#)**

School of Graduate and Postdoctoral Studies: Dual-Credential Master's Degree between The Norwegian School of economics (NHH) and Western (Richard Ivey School of Business)	ACTION
King's University College: Dual-Credential Degree (2+2) Agreements: a) International Business School, Chongqing Business and Technology University; b) School of International Business, Dongbei University of Finance and Economics	ACTION
Report of the Academic Colleague	INFORMATION
Academic Administrative Appointments	INFORMATION
Questions from Members	

## REPORT OF THE PRESIDENT

To: Board of Governors  
From: Amit Chakma  
Date: November 21, 2013  
Re: President's Report to the Board

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I'm pleased to provide the following update on important developments and achievements since the last meeting of the Board on September 24, 2013.

- 1. Government update:** In response to the Ministry of Training, Colleges and Universities' draft differentiation policy framework released on September 16, and following a series of round-tables organized by the Ministry in the fall to gather input on the document, the executive heads at Western, Waterloo, Queen's, Guelph, Ottawa, McMaster and Toronto co-signed a letter dated October 11 outlining our collective views on this important issue.

In our letter, Western and its sister institutions strongly supported the government's SMA-based process and focus on differentiation, and we encouraged the Ministry to view graduate enrolment and research intensity as key differentiating features. We emphasized that, when combined, our seven universities have substantial impact within Ontario's PSE sector, considering that we enroll two-thirds of the province's Master's students and 78% of its PhD students. We also highlighted that our universities attract 86% of Tri-Council Funding and 92% of the funding from industry partners — measures we strongly believe to be clear differentiators.

With the above as background, our letter provided detailed feedback on the eight components of

the metrics outlined in the government's draft framework, including commentary on metrics suggested by MTCU and our universities' own recommendations for additional measures. This feedback covered items including teaching and learning; student population; jobs, innovation and economic development; strategic enrolment; research; program offerings; institutional collaboration; and sustainability. We await a formal response to our feedback, and we look forward to further discussions with the Ministry on this matter.

2. **Leadership update:** On October 15, I was pleased to announce that Kelly Cole has been appointed Vice-President (External), effective January 2, 2014. Ms. Cole, who currently serves as Executive Director of Advancement for the Ivey Business School, has more than 20 years of development experience in progressively senior roles that include Senior Counsel with The Fundraising Network and President & CEO of West Park Healthcare Centre Foundation in Toronto. An MBA graduate of Wilfrid Laurier University, Kelly's career with and knowledge of Western dates back to the early 1990s when she served in various roles including Associate Campaign Director.

On November 12, Provost & VP Academic Janice Deakin was pleased to announce that Andrew Hrymak had been re-appointed for a second five-year term as Dean of Western's Faculty of Engineering. Meanwhile, Senate-appointed review and selection committees continue their work under the direction of the Provost with regard to filling the vacant role for the University Librarian, and reviewing the portfolio associated with the role of our Vice-Provost (Academic Programs & Students) [Registrar].

3. **Strategic Plan renewal update:** Work continues on the renewal of Western's Strategic Plan. Following a series of extensive consultations over the past 12 months — which has included multiple meetings with the Board, Senate, Faculty Councils, academic and administrative leaders,



and a wide range of written inputs submitted by individual faculty, staff, students, alumni and key university stakeholder groups — we anticipate revisions to the third and penultimate draft of the new plan being completed for final review by Senate and Board early in the New Year. Our planning process has been successful in generating a high degree of engagement and a high volume of inputs from a wide cross section of the campus community, all of which are being carefully considered to inform a new plan that will articulate bold aspirations and high-level metrics to direct the future course of our University's academic and budgetary planning.

## **ACTIVITIES OF THE PRESIDENT**

(September 17 – November 15, 2013)

September	18	Toronto	Christian Lassonde (Chief Venture Advisor, The Next 36)
			COU Meeting
	19	Toronto	COU Executive Heads Retreat
	20	London	Engineering Campaign Strategy
			Senate
	21	Toronto	VP External Search Committee
	23	London	Pat Horgan (President, IBM)
			Board of Governors Retreat & Dinner
	24	London	Board Retreat and Board Meeting
	25	Ottawa	Rideau Club Speech with U15
			Minister Greg Rickford (Minister of State, Science and Technology)
			CESO and WUSC Meeting
			STIC Board Dinner
	26	Ottawa	STIC Board Meeting and Lunch with the Governor General
		London	Alumni Association AGM & Homecoming Kickoff
	27	London	International Advisory Board Meeting
			Alumni Awards Dinner
	28-29	London	Homecoming Events
	30	London	Phone Call with Peter Boehm (Assistant DM, Foreign Affairs)
			Phone Call with Paul Young (VP Research, U of T)
October	1	London	Phone Call with Richard Wright (Globe & Mail)
			Lunch with Pat Whelan (USC President)
			Launch of Co-Curricular Record Event
	2	Toronto	Dave McCann and Heather Cartwright (Campaign Volunteers)
			Grant Reuber (Professor Emeritus)
			CSTF (Canadian Scholarship Trust Fund) Meeting
	3	London	UWOFA
			Video Shoot for “Canada’s Top 100 Employers” Recognition
			Teleconference WUSC
			Marv Devries and Hank van der Laan (Trojan Technologies)
			Phone Call with Dan Muzyka (President, Conference Board of Canada)
	4	London	Public Lecture (Naomi Oreskes, Harvard)
	7	London	School of Nursing Accreditation Review Committee
			Teleconference AUW Board
	8	London	Deans Meeting

			Paul Davenport
	9	London	London Business Leaders Breakfast (Gibbons)
	15	London	Dinner for Dr. Chack Fan Lee (Distinguished Alumni Speaker) (Gibbons)
	16	London	Opening Address Scientific Symposium
			Opening of New Public Health and Family Medicine Building
	16-22	China	Governor General's Delegation to China Trip
	22	Ottawa	Meeting with Deputy Minister Champions and U15
			AUCC Reception & Dinner
	23	Ottawa	AUCC Conference
		Toronto	CSTF Board Meeting & Dinner
	24	Toronto	CSTF Board Meeting
	25	London	Dr. Fahima Aziz (Vice Chancellor, AUW)
			Phone Call with Mr. Ivey
			Phone Call with Chancellor Rotman
	28	London	Phone Call with Dr. Satish Tripathi (President, University of Buffalo)
			Honorary Degree Committee
			Western Awards of Excellence Ceremony
			United Way Retirees Reception
	29	Toronto	Canadian Business Magazine Interview with Paul Gallant
			Bill Brock (TD Bank Group)
			Dan McGrath (CEO Cineplex), Perry Dellelce
			Ivey Business Leaders Dinner
	30	London	Phone Call with Dominic Barton (McKinsey)
	31	London	Fall 2013 Convocation Ceremonies/Lunches/Dinner
November	1	London	Fall 2013 Convocation Ceremonies/Lunches/Dinner
	2-3	Ottawa	WUSC Executive Committee and Annual Assembly
	4	London	Interview with Alisdair Jones (Shanghai Ranking Consultancy)
			Paul Genest (MTCU) Visit
			Teleconference AUW Board
	5	London	Deans Meeting
		Toronto	David Harquail (President & CEO, Franco-Nevada Corp)
			Mark McQueen (President & CEO, Wellington Financial)
			Engineering Leaders Reception
	6	Toronto	Boris Jackman (Retired VP, Suncor Energy), Andy Hrymak
	7	Toronto	Installation Events for Dr. Meric Gertler (President, University of Toronto)
			U15 Dinner with Minister Rickford

	8	Toronto	U15 Meeting
			Ivey Reception and Lecture with PM Stephen Harper
	9	Toronto	CCOU Conference
		London	Western Mustangs Playoff Game vs. Queens
	11	London	London Business Leaders Breakfast (Gibbons)
			Remembrance Day Ceremony
			Convocation “Thank You” Lunch
			UWOFA
	12	London	International Student Breakfast
			Keith Barron (President & CEO, AuroVallis Sarl)
			“World Challenge” Challenge for International Students Event
	13	London	Beattie Family Lecture Event
			Pat Whelan (USC President)
	14	London	AUCC “Open Doors Open Knowledge” Event
			Dinner with Chancellor Rotman
	15	London	Senate
			Teleconference AUW Board

## **UNANIMOUS CONSENT AGENDA**

### **FOR APPROVAL**

*Any member who wishes to ask a question, discuss, or oppose an item that is listed below may have it removed from the consent agenda by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the following motion.*

**Recommended:**           **That the following items be approved or received for information by the Board of Governors by unanimous consent:**

### **Minutes**

1.	Open Session Minutes of the Meeting of September 24, 2013	ACTION
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### **Report of the Property & Finance Committee – Appendix II**

2.	Rotman Fellowships – Faculty of Arts and Humanities	INFORMATION
3.	John Thompson Chair – Amendment to Terms of Reference	INFORMATION
4.	Daryl T. Bean Professorship in Law and Women’s Studies – Extension	INFORMATION
5.	Quarterly Ratio Report on Non-Endowed Funds	INFORMATION
6.	Investment Committee Report	INFORMATION
7.	Housing – Capital Renewal Summary	INFORMATION
8.	New & Revised Scholarships and Awards	INFORMATION

### **Report of the Senior Operations Committee – Appendix III**

9.	Code of Student Conduct – Annual Report	INFORMATION
10.	Decanal Reappointment – Faculty of Engineering	INFORMATION

### **Fund Raising and Donor Relations Committee – Appendix IV**

11.	Imagine Canada Ethical Code Program Renewal	INFORMATION
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### **Items Referred by Senate – Appendix V**

12.	School of Graduate & Postdoctoral Studies: Dual-Credential Master’s Degree between The Norwegian School of Economics (NHH) and Western (Richard Ivey School of Business)	ACTION
13.	King’s University College: Dual-Credential Degree (2+2) Agreements (a) International Business School, Chongqing Business and Technology University (b) School of International Business, Dongbei University of Finance and Economics	ACTION
14.	Report of the Academic Colleague	INFORMATION
15.	Academic Administrative Announcements	INFORMATION

### **The Unanimous Consent Agenda**

The Board's parliamentary authority -- *Sturgis Standard Code of Parliamentary Procedure* -- explains the consent agenda:

Organizations having a large number of routine matters to approve often save time by use of a *consent agenda*, also called a *consent calendar* or *unanimous consent agenda*. This is a portion of the printed agenda listing matters that are expected to be non-controversial and on which there are likely to be no questions.

Before taking the vote, the chair allows time for the members to read the list to determine if it includes any matters on which they may have a question, or which they would like to discuss or oppose. Any member has a right to remove any item from the consent agenda, in which case it is transferred to the regular agenda so that it may be considered and voted on separately. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual votes.

A number of Canadian university Boards have employed the consent agenda format to include not only routine approval items, but also information items. One reason for using this format is to allow the Board to focus on major items of business. While approval of an omnibus motion saves time at Board meetings, Board members will want to review the agenda materials carefully in order that they properly discharge their responsibilities.

#### **How it works:**

The Secretary identifies action and information items that are routine and/or likely non-controversial. In so doing, she may consult with the Chair of the Board, the relevant committee chair, and principal resource persons. In each Committee's report, these items are noted in the list of items at the beginning of the report. Action and information items on the agenda and in committee reports that are not noted on the consent agenda will be presented singly for discussion and voting (when appropriate).

When members receive their Board agendas, they should review all reports in the usual manner. **If any member wants to ask a question, discuss, or oppose an item that is marked for the consent agenda, he or she can have it be removed from the consent agenda** by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the motion to approve or receive, by unanimous consent, the items listed.

At the Board meeting, before the unanimous consent motion is presented for approval, the Chair of the Board (1) will advise the Board of items that are to be removed from the list, based on prior requests from Board members; and (2) will ask if there are any other items that should be removed from the list. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual presentation and voting. Those matters that have been struck from the consent agenda will be handled in the usual way as each Committee's report is presented.

The minutes of the Board meeting will report matters approved as part of the consent agenda as "carried by unanimous consent". Information items received as part of the consent agenda will be reported as received.



**MINUTES OF THE MEETING OF THE BOARD OF GOVERNORS**

**September 24, 2013**

The meeting was held at 1:00 p.m. in the North Meeting Room, Windermere Manor.

PRESENT: Mr. S. Coxford, Chair  
Ms. I. Birrell, Secretary

Dr. C. Beynon  
Dr. J. Capone  
Dr. A. Chakma  
Dr. J. Deakin  
Mr. M. Dietrich  
Ms. C. Down  
Mr. J. English  
Ms. L. Gainey  
Ms. S. Grindrod  
Mr. H. Hassan  
Mr. P. Jenkins

Mr. J. Knowles  
Ms. G. Kulczycki  
Mr. C. Lassonde  
Mr. D. Lemieux  
Mr. C. Shah  
Dr. S. Sims  
Mr. A. Syed  
Dr. J. White  
Mr. M. Wilson  
Mr. K. Zerebecki

By Invitation: H. Connell, L. Logan, J. O'Brien

BG.13-88

**REPORT OF THE PRESIDENT**

The President reported on the following:

- Dr. Paul Genest has been appointed by MTCU as Special Advisor: Strategic Mandate Agreements (Universities) to negotiate with the universities
- Research intensive universities in Ontario (U6) are preparing a joint response to the draft policy document from MTCU
- Homecoming 2013
- International Advisory Board second meeting

The report distributed with the agenda included the following topics: government update, leadership update, Strategic Plan renewal update and the President's activities since the June Board meeting.

BG.13-89

**UNANIMOUS CONSENT AGENDA** [Appendix I]

It was moved by L. Gainey, seconded by C. Lassonde,

That the 11 items listed in Appendix I, Unanimous Consent Agenda, be approved and/or received for information by the Board of Governors by unanimous consent.

CARRIED

BG.13-90 **Minutes of the Previous Meeting**

The open session minutes from the meeting of June 20, 2013 were approved as circulated.

**REPORT OF THE SENIOR OPERATIONS COMMITTEE** [Appendix II]

BG.13-91 **Information Items Reported by the Senior Operations Committee**

The Report of the Senior Operations Committee, detailed in Appendix II, contained the following items that were received for information by unanimous consent:

- University Discipline Appeals Committee Membership Changes
- Board Election Schedule for 2014

**REPORT OF THE AUDIT COMMITTEE** [Appendix III]

BG.13-92 **Audited Financial Statements – The University of Western Ontario**

It was moved by J. Knowles, seconded by K. Zerebecki,

That the Board of Governors approve the draft audited combined financial statements for the University for the fiscal year ended April 30, 2013 (see Appendix III, Annex 1, Tab 1).

Ms. Kulczycki provided a brief overview of the Audited Financial Statements:

- the portfolio earned over 12 percent
- changes have been made in the rules to allow recognition of unamortized costs of future benefits liabilities as well as recognizing market value of assets
- the Capital plan is on track

Responding to a member's question regarding Western's financial state, Ms. Kulczycki said that the administration takes a conservative approach given that a significant portion of Western's revenue is generated from government grants and tuition fees. It is acknowledged that Western could perform better in terms of research revenue. Dr. Chakma noted that the financial statements provide a fiscal picture at a particular point in time – at this particular point in time the fiscal situation is very strong and flexible which in turn provides room to adapt if necessary. Mr. Zerebecki noted that two issues, a decrease in government revenue and an increase in the cost of future employee benefits, should be monitored going forward.

The question was called and CARRIED.

BG.13-93 **Financial Statements: Related Companies**

The Board received for information the financial statements for the related companies and other organizations of The University of Western Ontario for the fiscal year ended April 30, 2013, detailed in Appendix III, **Annex 1**.

BG.13-94 **Information Items Reported by the Audit Committee**

The Report of the Audit Committee, detailed in Appendix III, contained the following items that were received for information by unanimous consent:

- Health, Safety and Wellness Annual Report – 2012-13
- Harassment and Discrimination Matters Annual Report – 2012-13



**REPORT OF THE FUND RAISING AND DONOR RELATIONS COMMITTEE** [Appendix IV]

BG.13-95

**Information Item Reported by the Fund Raising and Donor Relations Committee**

The Report of the Fund Raising and Donor Relations Committee contained the following item that was received for information by unanimous consent:

- Quarterly Report on Fundraising

**REPORT OF THE MCINTOSH GALLERY COMMITTEE** [Appendix V]

BG.13-96

**Annual Report of the McIntosh Gallery Committee – 2012-13**

The annual report of the McIntosh Gallery Committee for 2012-13, detailed in Exhibit V, was received for information by unanimous consent.

**ITEMS REFERRED BY SENATE** [Appendix VI]

BG.13-97

**Affiliation Agreement with Brescia, Huron and King's University Colleges**

It was moved by A. Chakma, seconded by J. White,

That the Board of Governors approve the Affiliation Agreement between The University of Western Ontario and Brescia, Huron and King's University Colleges, contained in Appendix VI, Annex 1, with the effective date of June 10, 2013.

CARRIED

BG.13-98

**Revision to MAPP 7.0 – Policy on Academic Integrity in Research Activities**

It was moved by J. Knowles, seconded by L. Gainey,

That the Board of Governors approve the revised MAPP 7.0 – Policy on Academic Integrity in Research Activities detailed in Appendix VI, Annex 2.

CARRIED

Members were reminded that a new version of MAPP 7.0 – Policy on Academic Integrity in Research Activities was distributed following the September 20 Senate meeting as all reference to teaching was removed from the policy.

BG.13-99

**Information Items Referred by Senate**

Appendix VI, Items Referred by Senate, contained the following items that were received for information by unanimous consent:

- Report of the Honorary Degrees Committee
- Daryl T. Bean Professorship in Law and Women's Studies – Extension
- Institutional Quality Assurance Process (IQAP) – Year-end Reports for 2011-12 and 2012-13
- Announcements

The meeting adjourned to the confidential session.

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S. Coxford  
Chair

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I. Birrell  
Secretary

**REPORT OF THE PROPERTY AND FINANCE COMMITTEE**

<b>Contents</b>	<b>Consent Agenda</b>
<b>Rotman Fellowships, Faculty of Arts &amp; Humanities</b>	Yes
<b>John Thompson Chair – Amendment to Terms of Reference</b>	Yes
<b>Daryl T. Bean Professorship in Law and Women’s Studies</b>	Yes
<b>Quarterly Ratio Report on Non-Endowed Funds</b>	Yes
<b>Investment Committee Report</b>	Yes
<b>Housing – Capital Renewal Summary</b>	Yes
<b>New &amp; Revised Scholarships and Awards</b>	Yes

**FOR INFORMATION**

1. **Rotman Fellowships, Faculty of Arts & Humanities**

Rotman Institute of Philosophy Faculty Fellowship in Physics  
Rotman Institute of Philosophy Faculty Fellowship in Cognitive Neuroscience  
Rotman Institute of Philosophy Faculty Fellowships (2)  
Rotman Institute of Philosophy Interdisciplinary Faculty Fellowship

At its meeting of October 15, 2013, the Property and Finance Committee approved that the Rotman Institute of Philosophy Faculty Fellowships (listed above) be established in the Faculty of Arts and Humanities.

**Donor and Funding:** As a result of the continuing philanthropy of our generous Chancellor, Joseph L. Rotman, we are pleased to recommend the establishment of the Faculty Fellowships named above.

Funding for these fellowships will be provided through expendable giving from the Rotman Family Foundation and matching funds provided by the University. The Rotman Family Foundation has donated \$105,000 to support the Fellowships and the University will match this gift to provide a total of \$210,000 over four years to support the Fellowships.

**Effective Date:** July 1, 2013

**Purpose:** Funds available will support the creation of the Fellowships at a minimum value of \$10,000 per Fellowship per year as per the following timeline:

Rotman Institute of Philosophy Faculty Fellowship in Physics: \$10,000 per year from 2012-2013 to 2015-2016

Rotman Institute of Philosophy Faculty Fellowship in Cognitive Neuroscience: \$20,000 for 2012-2013 and \$10,000 per year for 2013-2014 to 2015-2016

Rotman Institute of Philosophy Faculty Fellowships (2): \$20,000 per year from 2014-2015 to 2015-2016

Rotman Institute of Philosophy Interdisciplinary Faculty Fellowship: \$20,000 per year from 2012-2013 to 2015-2016

The administration of the spending of resources will be the responsibility of the Dean of the Faculty of Arts and Humanities in consultation with the Chair of the Department of Philosophy and the Director of the Rotman Institute of Philosophy.

**Criteria:** Appointments to the Fellowships will be conducted in accordance with the relevant policies and procedures of the University.

**Reporting:** The University will report annually to the Donor regarding the activities of the Fellows.

2. **John M. Thompson Chair in Engineering Leadership and Innovation – Amendment to Terms**

At its meeting of October 15, 2013, the Property and Finance Committee approved an amendment to the terms of reference for the John M. Thompson Chair in Engineering Leadership and Innovation by the addition of the word “normally” in the criteria as follows:

The holder of the John M. Thompson Chair in Engineering Leadership and Innovation will *normally* be a full-time tenured or tenure-track faculty position, jointly appointed between the Faculty of Engineering and the Richard Ivey School of Business, with the home faculty being the Richard Ivey School of Business, and with the department of appointment within Engineering determined by the Chair holder's professional background. The Chair will be committed to academic activity and program development within leadership and innovation and will reside within the Pierre L. Morrisette Institute for Entrepreneurship at the Richard Ivey School of Business.

Property and Finance approved the terms of reference for the Thompson Chair in March 2013. This amendment brings the terms of the Thompson Chair into alignment with the university's Policy on Funding of Academic Chairs, Professorships and Faculty Fellowships which provides that the academic appointment to an endowed Chair will *normally* be full-time with tenure, allowing the flexibility to hire into a non-tenure track position if that is deemed appropriate.

All other details related to the terms of reference remain the same.

3. **Daryl T. Bean Professorship in Law and Women's Studies – Extension**

The Property and Finance Committee approved the establishment of the Daryl T. Bean Professorship in Law and Women's Studies in 2007 for a five-year term. The Professorship was funded in part by the Public Service Alliance of Canada (PSAC). PSAC has now provided additional funding to extend the Professorship to 2019. The Faculty of Law and the Faculty of Arts & Humanities will continue to provide the balance of funding for the professorship as outlined in the original process. Other than the new end date of 2019, there are no changes to the terms of reference of the Professorship.

4. **Quarterly Ratio Report on Non-Endowed Funds**

See [Annex 1](#).

5. **Investment Committee Report**

See [Annex 2](#).

6. **Housing – Capital Renewal Summary**

See [Annex 3](#).

7. **New and Revised Scholarships/Awards/Prizes**

See [Annex 4](#).

**QUARTERLY RATIO REPORT ON NON ENDOWED FUNDS**

**FOR INFORMATION**

The attached report shows the non-endowed fund quarterly activity from 1996/97 to the end of the first quarter of 2013/14 (July 31, 2013). The balance in the Undistributed Investment Returns Account at July 31, 2013 was \$119.9 million. At July 31, 2013 the 12-quarter moving average of the total non-endowed investments to the obligations of the portfolio was 1.39 (column 10) compared to a target ratio of 1.08.

- ❑ Net returns / (losses) (column 1) amounted to \$10.6 million for the quarter.
- ❑ Allocations to accounts (column 2), represents income distribution to non-endowed funds. The non-endowed rate is based on the 30-day Treasury bill rate less administrative and management fees of 0.42%. The rate is adjusted quarterly and is applicable to non-endowed fund balances with the exception of Robarts. The non-endowed rate for the period July 1 – September 30, 2013 has been set at 0.54%. In the case of Robarts, in accordance with the operating agreement, the long term portion of their fund balance will earn the rate of return generated by the Operating & Endowment portfolio.
- ❑ The allocation to the operating budget (column 3) for fiscal 2014 will remain at zero as approved by the Board.
- ❑ The non-endowed investments increased by \$18.3 million (column 6) during the quarter.
- ❑ The total market value of the non-endowed fund's portion of the externally managed portfolio at July 31, 2013 amounted to \$353.8 million (column 7).
- ❑ The obligations of the portfolio amounted to \$233.9 million at July 31, 2013 (column 8).
- ❑ The ratio of investments to obligations stood at 1.51 (column 9) at July 31, 2013.

The University of Western Ontario Non-Endowed Funds: Quarterly Values											
			Allocations To				Value at the end of Quarter			Ratio of Investments to obligations Average for 12 Quarters	
Quarter	Net Returns (1)	To Accounts (2)	Operating Budget (3)	Other (4)	Change in amount Owed (5)	Net Change (6)	Total Investments (7)	Total Obligations (8)	Ratio of Investments to obligations (9)	(10)	
1996/1997	4.0	(0.4)	(1.7)	(2.8)	(4.6)	(5.4)	103.4	85.1	1.22	1.16	
1997/1998	5.2	(0.4)	(2.1)	(2.5)	4.0	4.2	97.7	74.5	1.32	1.24	
1998/1999	1.2	(0.5)	(1.5)	(0.1)	(4.3)	(5.2)	97.2	82.7	1.18	1.24	
1999/2000	2.4	(0.6)	(1.5)	(0.3)	4.0	4.0	88.0	74.3	1.18	1.23	
2000/2001	0.9	(0.8)	(2.1)	(0.2)	5.9	3.7	113.7	101.0	1.13	1.16	
2001/2002	0.8	(0.7)	(1.5)	0.0	5.9	4.6	127.0	125.5	1.01	1.10	
2002/2003	(2.6)	(0.3)	(0.3)	0.0	0.4	(2.7)	120.6	132.3	0.91	1.02	
2003/2004	6.3	(0.5)	0.0	0.0	1.3	7.1	140.7	135.5	1.04	0.99	
2004/2005	2.9	(0.4)	0.0	0.0	3.6	6.2	157.7	141.1	1.12	1.03	
2005/2006	7.0	(0.7)	0.0	0.0	2.9	9.3	199.4	160.4	1.24	1.13	
2006/2007	7.6	(1.2)	(1.3)	0.0	6.2	11.4	236.9	179.1	1.32	1.22	
2007/2008	0.4	(1.0)	(1.6)	(0.3)	2.7	0.2	258.7	197.7	1.31	1.29	
2008/2009											
	1	(9.1)	(0.9)	(4.4)	0.0	5.5	(8.9)	248.7	205.1	1.21	1.29
	2	(37.9)	(0.4)	4.4	0.0	(7.0)	(40.9)	207.8	198.1	1.05	1.28
	3	(9.9)	(0.4)	0.0	0.0	0.0	(10.3)	197.5	198.1	1.00	1.26
	4	8.2	3.0	0.0	(0.9)	(8.5)	1.8	199.3	189.6	1.05	1.24
2009/2010											
	1	17.5	0.0	0.0	0.0	0.3	17.8	217.1	189.9	1.14	1.23
	2	8.9	0.0	0.0	0.0	(5.9)	3.0	220.1	184.0	1.20	1.22
	3	5.6	0.0	0.0	0.0	(8.7)	(3.1)	217.0	175.3	1.24	1.21
	4	10.1	(2.7)	0.0	(2.3)	0.1	5.2	222.2	175.4	1.27	1.20
2010/2011											
	1	(3.6)	0.0	0.0	0.0	5.2	1.6	223.8	180.6	1.24	1.19
	2	14.8	0.0	0.0	0.0	(4.5)	10.3	234.1	176.1	1.33	1.19
	3	10.0	0.0	0.0	0.0	(6.4)	3.6	237.7	169.7	1.40	1.20
	4	6.2	(1.9)	0.0	(1.5)	1.9	4.7	242.4	171.6	1.41	1.21
2011/2012											
	1	(6.2)	0.0	0.0	0.0	6.1	(0.1)	242.3	177.7	1.36	1.22
	2	(5.1)	0.0	0.0	0.0	(5.1)		237.2	177.7	1.33	1.25
	3	9.0	(0.2)	0.0	0.0	33.4	42.2	279.4	211.1	1.32	1.27
	4	6.9	(0.4)	0.0	(1.4)	0.1	5.2	284.6	211.2	1.35	1.30
2012/2013											
	1	(1.6)	(0.1)	0.0	0.0	13.0	11.3	295.9	224.2	1.32	1.31
	2	11.6	(0.3)	0.0	0.0	(9.2)	2.1	298.0	215.0	1.39	1.33
	3	18.6	(0.2)	0.0	0.0	14.5	32.9	330.9	229.5	1.44	1.35
	4	14.2	(2.4)	0.0	(3.7)	(3.5)	4.6	335.5	226.0	1.48	1.37
2013/2014											
July 31, 2013 Q1	10.6	(0.2)	0.0	0.0	7.9	18.3	353.8	233.9	1.51	1.39	

General notes:

[A] For 1995/96 through to 2002/03 the figures are the average for the four quarters.

Columns (1) to (6) refer to changes during the quarter, column (7) to (10) refer to the end of each quarter.

All figures are millions of dollars, except columns (9) and (10). Column (9) is the ratio of column (7) to column (8).

Column (10) is the average of the ratios in column (9) over 12 quarters (i.e. over 3 year period).

**Notes:**

- (1) Net investment returns, including changes in unrealized capital gains, net of consulting and investment management fees. This total includes returns on internally managed funds and other sundry investment earnings less overdraft interest and interest paid to employee benefit accounts.
- (2) Allocations from the fund to all accounts and associated companies, except the Operating budget and Other allocations (column 4)
- (3) Allocations to the Operating budget.  
Original budget for fiscal 2009 was \$17.7 million. In October '08 The Board reduced the budget to zero and the allocations made to September 30, 2008 were reversed.
- (4) In April 2012 an allocation of \$1,438,633 was made to cover the costs related to the debenture and the new residence financing.
- (5) The change in the amount owed to other funds for the quarter is the net cash flows into the accounts less any transfers to UWO's bank account.
- (6) Quarterly net change, is the sum of columns (1) to (5) and is the change in the value of the fund in column (7), which is the change in the market value of investments during the quarter.
- (7) Total non-endowed externally managed funds. Endowed funds are not included.
- (8) Total of the non-endowed externally managed funds that are owed to University accounts and other creditors.
- (9) The ratio of column (7) to column (8).
- (10) The average of this ratio over the previous 12 quarters. The Board target for this ratio is an average of 1.08.

### **Report of the Investment Committee**

#### **For Information**

This report outlines the performance of the operating and endowment investment portfolio and the recent activities of the Investment Committee.

#### **Performance**

The investment performance of the portfolio for the past four years was as follows:

<b><u>Asset Class</u></b>	<b>Annual June 30 <u>2013</u></b>	<b>Annual June 30 <u>2012</u></b>	<b>Annual June 30 <u>2011</u></b>	<b>Annual June 30 <u>2010</u></b>	<b>Annualized for Four years Ending <u>June 30, 2013</u></b>
<b>Fixed Income</b>	0.23%	9.22%	4.65	7.12%	5.25%
<b>Alternative Investments:</b>					
Hedge funds	-	-	-	-	-
Private equity	4.90	24.55	21.19	-	-
Real Estate	11.35	8.29	-	-	-
<b>Equities:</b>					
Canadian	15.17	(7.69)	18.72	11.17	8.84
US	26.17	4.26	25.88	11.63	16.60
Non North American	24.12	(7.71)	19.64	6.46	9.88
<b>Absolute Return Strategies</b>	<u>8.51</u>	=	=	=	=
<b>Total Return</b>	<u>14.15%</u>	<u>1.01%</u>	<u>14.98%</u>	<u>8.64%</u>	<u>9.66%</u>
<b>Policy Return</b>	<u>12.54%</u>	<u>0.42%</u>	<u>15.44%</u>	<u>8.64%</u>	<u>9.11%</u>

For additional information, please refer to the attached pages, which show the amounts held by each investment manager at June 30, 2013, the annual and annualized returns by manager over the five years ending June 30, 2013.

#### **Returns in relation to the real rate of return objective**

One of the Investment Committee's objectives is to earn a 4% real rate of return over the long term (i.e., to earn 4% over the rate of inflation, as measured by the Consumer Price Index). Inflation has averaged 1.3% per year for the five year period and 1.8% per year for the ten year period.

For the four years ending June 30, 2013, the annualized real rate of return was 7.9%, consisting of a nominal return of 9.6% less inflation of 1.7%. For the five years ending June 30, 2013, the annualized real rate of return for the portfolio was 3.5%. The 5 year annualized return does not meet the Investment Committee's performance objective of a real rate of return of 4%.

#### **Value added by Active Management**

Another of the Investment Committee's objectives is to earn the return produced by the asset mix policy based on the returns of the market indices plus a premium to reflect the additional fees related to active management. In order to achieve this objective, investment managers with active investment mandates need to outperform their benchmark indices. For example, Canadian equity managers need to outperform the TSX Composite Index over time.

Over the five years ending June 30, 2013, the actual annualized return for the portfolio was 4.8% and the return generated by the market indices for the portfolio was 4.3% (the policy return). Over this period, our combination of managers achieved slightly better results than what could have been achieved by a passive

or index style of management. It should be noted that approximately 65% of the total portfolio is actively managed and 35% is passively managed.

### **Book and Market Value of the Portfolio**

As at June 30, 2013, the operating and endowment portfolio had a book value of \$486,769,000 and market value of \$604,051,000 as follows:

	<b>Book Value (\$000's)</b>	<b>Market Value (\$000's)</b>	<b>Actual Asset Mix</b>	<b>Policy Asset Mix</b>
Fixed Income	\$114,818	\$155,481	25.7%	15.0%
Alternative Investments	63,832	68,470	11.3	25.0
Canadian equities	94,819	117,385	19.5	20.0
US equities	86,612	139,026	23.0	20.0
Non-North American equities	<u>126,688</u>	<u>123,689</u>	<u>20.5</u>	<u>20.0</u>
Total	<u>\$486,769</u>	<u>604,051</u>	<u>100.0%</u>	<u>100.0%</u>

At June 30, 2013 the portfolio consisted of the following components:

Portion related to Endowed funds	\$ 259,544,000	42.9%
Portion related to Operating and Non-endowed funds	<u>344,507,000</u>	<u>57.1%</u>
Total market value of portfolio	<u>\$604,051,000</u>	<u>100.0%</u>

### **Update on Investment Committee Activities**

- At the December 2012 meeting, the recommendation to hire two infrastructure managers (Hastings and First State) was approved and carried.
- Agreements have been executed and both mandates will be fully funded by mid 2014.
- At the request of the Investment Committee, Administration completed a Stress Test of the Non-Endowed portfolio. The stress test concluded that investing Non-Endowed funds in the Operating & Endowment Portfolio remains to be the right approach for Western provided that we continue to adhere to the following risk controls:
  - Maintain a sufficient pool of short term assets such that no funds would be required to be withdrawn from the Operating & Endowment Portfolio in a worst case scenario
  - Maintaining the ratio of assets of obligations established by the Board
  - Limiting contributions to the operating budget
- Administration updated Committee members on the Foundation Western Unification plan and how that will impact the Operating & Endowment portfolio
  - As part of the unification, Foundation Western hired Mercer Consulting to complete a due diligence review of Western's Investment Policy. The report concluded that the Foundation Western Board can agree to move forward with the proposed unification plan. The University and Foundation Western have strong governance processes in place and the new structure has the opportunity to benefit from taking the best of both models.
- The Committee agreed to increase the allocation to GPM and Bentall (Real Estate)
- Russell Investments, at the request of the Investment Committee, completed a Risk Management Discussion document to help guide the Committee when undertaking their review of the policy asset mix in terms of considering changes. The report is available upon request.
- The Fixed Income portfolio is currently under review along with the Canadian Equity portfolio.
- Future priorities will include reviewing the possibility of an allocation to emerging markets, discussing public versus private asset allocation, considering global equity and low volatility equity strategies.



# University of Western Ontario Endowment Plan

Asset Summary  
As of June 30, 2013

	Total Market Value	% of Total Fund	% of Asset Class	Equity & Convertible		Fixed Income		Real Estate		Cash & Equivalents		Alternative	
<b>Total Fund</b>													
UWO Endowment - Total Fund	\$ 604.1	100.0%	100.0%	\$ 378.9	62.7%	\$ 158.6	26.3%	\$ 48.3	8.0%	\$ 1.2	0.2%	\$ 17.0	2.8%
<b>Canadian Stock Managers</b>	<b>117.4</b>	<b>19.4</b>	<b>100.0</b>	<b>116.2</b>	<b>99.0</b>	--	--	--	--	<b>1.2</b>	<b>1.0</b>	--	--
Beutel, Goodman Capital Mgmt.	66.5	11.0	56.6	65.3	98.2	--	--	--	--	1.2	1.8	--	--
Greystone Canada	50.9	8.4	43.4	50.9	100.0	--	--	--	--	--	--	--	--
<b>U.S Stock Managers</b>	<b>139.0</b>	<b>23.0</b>	<b>100.0</b>	<b>139.0</b>	<b>100.0</b>	--	--	--	--	--	--	--	--
SSgA Midcap Hedge Blend	26.9	4.5	19.3	26.9	100.0	--	--	--	--	--	--	--	--
J.P. Morgan 130/30	58.4	9.7	42.0	58.4	100.0	--	--	--	--	--	--	--	--
SSgA S&P 500 Hedge Blend	53.8	8.9	38.7	53.8	100.0	--	--	--	--	--	--	--	--
<b>Non-North American Mgrs</b>	<b>123.7</b>	<b>20.5</b>	<b>100.0</b>	<b>123.7</b>	<b>100.0</b>	--	--	--	--	--	--	--	--
Templeton International	60.9	10.1	49.2	60.9	100.0	--	--	--	--	--	--	--	--
MFS/SSgA Hedge Blend	62.8	10.4	50.8	62.8	100.0	--	--	--	--	--	--	--	--
<b>Fixed Income Managers</b>	<b>155.5</b>	<b>25.7</b>	<b>100.0</b>	--	--	<b>130.9</b>	<b>84.2</b>	<b>24.6</b>	<b>15.8</b>	--	--	--	--
State Street Global Advisors	130.9	21.7	84.2	--	--	130.9	100.0	--	--	--	--	--	--
Greystone Capital Management	24.6	4.1	15.8	--	--	--	--	24.6	100.0	--	--	--	--
<b>Private Equity</b>													
Adams Street Partners	17.0	2.8	100.0	--	--	--	--	--	--	--	--	17.0	100.0
<b>Real Estate Managers</b>	<b>23.7</b>	<b>3.9</b>	<b>100.0</b>	--	--	--	--	<b>23.7</b>	<b>100.0</b>	--	--	--	--
Bentall Investment Management	13.6	2.3	57.5	--	--	--	--	13.6	100.0	--	--	--	--
. GPM	10.1	1.7	42.5	--	--	--	--	10.1	100.0	--	--	--	--
<b>Other Absolute Return Strategy</b>													
. Romspen	\$ 27.7	4.6%	100.0%	\$ --	--%	\$ 27.7	100.0%	\$ --	--%	\$ --	--%	\$ --	--%

# Performance Summary by Portfolio

## Annualized Value Added by Asset Class – June 30, 2013

Group Label Account Name Benchmark Name	Market Value (M)	3 Months	1 Year	Annualized		
				3 Years	4 Years	5 Years
U of WO Endowment UWO Endowment - Total Fund	604.05	1.18	14.15	9.86	9.55	4.77
U of WO Endowment UWO End - Total Fund Policy		0.08	12.54	9.27	9.11	4.33
U of WO Endowment Canadian Stock Managers	117.39	-0.32	15.17	8.07	8.84	1.08
S&P/TSX Composite Index		-4.08	7.90	5.39	6.99	-0.53
U of WO Endowment Beutel Goodman Endow.	66.48	-0.02	15.62	10.81	10.79	5.75
S&P/TSX Composite Index		-4.08	7.90	5.39	6.99	-0.53
U of WO Endowment Greystone Canadian Eq Endow.	50.91	-0.72	10.95	4.77	6.64	-2.83
S&P/TSX Composite Index		-4.08	7.90	5.39	6.99	-0.53
U of WO Endowment U.S. Stock Managers	139.03	4.82	26.17	18.31	16.60	5.75
S&P 500 - Total Return Index		6.86	24.80	18.16	14.65	7.85
U of WO Endowment UWO End - US Equity Policy		3.68	23.20	18.50	17.06	6.69
U of WO Endowment SSgA Midcap Hedge Blend	26.88	1.25	25.99	18.66	19.68	6.94
Univ of WO Pension S&P 400 Canada Dollar Hedged		1.24	26.01	19.02	20.02	7.16
U of WO Endowment JP Morgan 130/30	58.39	8.17	30.96	18.31	15.30	10.10
S&P 500 - Total Return Index		6.86	24.80	18.16	14.65	7.85
U of WO Endowment SSgA S&P 500 Hedge Blend	53.75	3.16	21.41	18.11	16.77	-7.33
Univ of WO Pension S&P 500 Canada Dollar Hedged		3.14	21.39	18.25	16.88	5.69
U of WO Endowment Non-North American Mgrs	123.69	2.37	24.12	11.05	9.88	2.68
Univ of WO Pension MSCI EAFE Hedged to C\$		1.47	25.87	9.71	9.55	1.24
U of WO Endowment Templeton Internl Equity End	60.90	5.42	26.23	9.55	6.92	1.02
MSCI EAFE Composite <sup>A</sup>		3.09	23.30	10.27	6.90	0.63
U of WO Endowment MFS	64.32	1.95	21.72	12.16	9.89	4.01
MSCI EAFE Composite <sup>A</sup>		3.09	23.30	10.27	6.90	0.63
U of WO Endowment MFS/SSgA Hedge Blend	62.79	-0.44	22.05	12.28	13.81	4.74
Univ of WO Pension MSCI EAFE Hedged to C\$		1.47	25.87	9.71	9.55	1.24
U of WO Endowment Fixed Income Managers	165.48	-2.11	0.23	4.64	5.25	5.57
DEX Bond Universe Index		-2.36	-0.17	4.59	5.16	5.53
U of WO Endowment SSGA Canadian Fixed Inc Endow.	130.88	-2.42	-0.15	4.52	5.17	5.50
DEX Bond Universe Index		-2.36	-0.17	4.59	5.16	5.53
U of WO Endowment Greystone Mortgage	24.60	-0.37	2.26			
U of WO Endowment Dex ST 60 + MT 40 +50bp		-1.35	1.42			
U of WO Endowment Adams Street - Private Eq Endo	17.00	3.37	4.90	16.55		
MSCI All Country World Index		3.60	21.30	12.69		
U of WO Endowment UWO End-Total Real Estate Mgrs	23.74	1.72	11.35			
U of WO Endowment Bentall	13.65	2.35	9.79			
U of WO Endowment Bentall - IPD All Property Ind		1.70	12.05			
U of WO Endowment GPM	10.09	0.87	13.59			
U of WO Endowment GPM - IPD Industrial Index		2.30	11.45			
U of WO Endowment Romspen	27.73	2.07	8.51			
U of WO Endowment Dex ST 60 + MT 40 +50bp		-1.35	1.42			

# Performance Summary by Portfolio

## Annual Value Added by Asset Class – June 30, 2013

Group Label Account Name Benchmark Name	Market Value (M)	Cumulative					
		7/1/2012 - 6/30/2013	7/1/2011 - 6/30/2012	7/1/2010 - 6/30/2011	7/1/2009 - 6/30/2010	7/1/2008 - 6/30/2009	7/1/2007 - 6/30/2008
U of WO Endowment UWOW Endowment - Total Fund	604.05	14.15	1.01	14.98	8.64	-12.37	-2.78
U of WO Endowment UWOW End - Total Fund Policy		12.54	0.42	15.44	8.64	-12.80	-2.53
U of WO Endowment Canadian Stock Managers	117.39	15.17	-7.69	18.72	11.17	-24.79	4.91
S&P/TSX Composite Index		7.90	-10.25	20.87	11.95	-25.69	6.75
U of WO Endowment Beutel Goodman Endow.	66.48	18.62	-4.75	20.40	10.76	-12.24	-0.59
S&P/TSX Composite Index		7.90	-10.25	20.87	11.95	-25.69	6.75
U of WO Endowment Greystone Canadian Eq Endow.	50.91	10.95	-14.15	20.72	12.48	-33.04	6.78
S&P/TSX Composite Index		7.90	-10.25	20.87	11.95	-25.69	6.75
U of WO Endowment U.S. Stock Managers	139.03	26.17	4.26	25.88	11.63	-28.46	-13.84
S&P 500 - Total Return Index		24.80	11.39	18.69	4.70	-15.54	-17.14
U of WO Endowment UWOW End - US Equity Policy		23.20	4.80	28.86	12.85	-26.36	-13.83
U of WO Endowment SSgA Midcap Hedge Blend	26.88	25.99	-3.85	37.93	22.79	-31.82	-10.37
Univ of WO Pension S&P 400 Canada Dollar Hedged		26.01	-3.46	38.60	23.06	-31.90	-8.39
U of WO Endowment JP Morgan 130/30	58.39	30.98	7.74	17.34	6.73	-8.45	
S&P 500 - Total Return Index		24.80	11.39	18.69	4.70	-15.54	
U of WO Endowment SSgA S&P 500 Hedge Blend	53.75	21.41	4.26	30.17	12.82	-63.25	
Univ of WO Pension S&P 500 Canada Dollar Hedged		21.39	4.71	30.08	12.87	-29.35	
U of WO Endowment Non-North American Mgrs	123.69	24.12	-7.71	19.54	6.46	-21.71	-16.11
MSCI EAFE Index		21.39	4.71	30.08	12.87	-29.35	
Univ of WO Pension MSCI EAFE Hedged to CS		25.87	-8.12	14.18	9.07	-26.17	-20.97
U of WO Endowment Templeton Internl Equity End	60.90	26.23	-11.89	18.22	-0.60	-19.50	-15.25
MSCI EAFE Index		25.87	-8.12	14.18	9.07	-26.17	-20.97
MSCI EAFE Composite <sup>A</sup>		23.30	-8.54	18.91	-2.61	-20.97	-14.30
U of WO Endowment MFS	64.32	21.72	-4.87	21.85	3.37	-16.55	
MSCI EAFE Index		23.30	-8.54	18.91	-2.61	-20.97	-14.30
MSCI EAFE Composite <sup>A</sup>		23.30	-8.54	18.91	-2.61	-20.97	
U of WO Endowment MFS/SSgA Hedge Blend	62.79	22.05	-3.72	20.46	18.51	-24.87	
Univ of WO Pension MSCI EAFE Hedged to CS		25.87	-8.12	14.18	9.07	-26.17	
U of WO Endowment Fixed Income Managers	155.48	0.23	9.22	4.65	7.12	6.84	7.88
DEX Bond Universe Index		-0.17	9.50	4.67	6.88	7.02	6.80
U of WO Endowment SSgA Canadian Fixed Inc Endow.	130.88	-0.15	9.27	4.65	7.12	6.84	7.88
DEX Bond Universe Index		-0.17	9.50	4.67	6.88	7.02	6.80
Barclays Global Aggregate Index		-0.17	9.50	4.67	6.88	7.02	6.80
U of WO Endowment Greystone Mortgage	24.60	2.26					
U of WO Endowment Dex ST 60 + MT 40 +50bp		1.42					
U of WO Endowment Adams Street - Private Eq Endo	17.00	4.90	24.55	21.19			
MSCI All Country World Index		21.30	-0.67	18.77			
U of WO Endowment UWOW End-Total Real Estate Mgrs	23.74	11.35	8.29				
U of WO Endowment Bentall	13.65	9.79					
U of WO Endowment Bentall - IPD All Property Ind		12.05					
U of WO Endowment GPM	10.09	13.59					
U of WO Endowment GPM - IPD Industrial Index		11.45					
U of WO Endowment Romsper	27.73	8.51					
U of WO Endowment Dex ST 60 + MT 40 +50bp		1.42					



# UWO Operating - Combined

## Total Fund Value Added – June 30, 2013

Generally value added from active management despite poor hedge fund performance

	1 Year Annualized	2 Years Annualized	3 Years Annualized	4 Years Annualized	5 Years Annualized	6 Years Annualized	7 Years Annualized	8 Years Annualized	9 Years Annualized	10 Years Annualized
UWO Annualized Return (1)	14.1	7.4	9.9	9.6	4.8	3.5	5.1	5.4	5.8	6.7
Policy Return (2)	12.5	6.3	9.3	9.1	4.3	3.2	4.6	5.0	5.5	6.4
Value Added	1.6	1.1	0.6	0.5	0.5	0.3	0.5	0.4	0.3	0.3

	Yr Ending 6/30/2013	Yr Ending 6/30/2012	Yr Ending 6/30/2011	Yr Ending 6/30/2010	Yr Ending 6/30/2009	Yr Ending 6/30/2008	Yr Ending 6/30/2007	Yr Ending 6/30/2006	Yr Ending 6/30/2005	Yr Ending 6/30/2004
UWO Annual Return (1)	14.1	1.0	15.0	8.6	-12.4	-2.8	15.3	7.5	9.1	15.4
Policy Return (2)	12.5	0.4	15.4	8.6	-12.8	-2.5	14.0	7.5	9.3	15.3
Value Added	1.6	0.6	-0.5	0.0	0.4	-0.2	1.2	0.0	-0.2	0.1

### Policy Mix as of June 30

S&P/TSX Composite	20%	20%	20%	20%	20%	20%	20%	20%	20%	20%
S&P 500	5%	5%	5%	5%	5%	5%	15%	15%	10%	20%
S&P 500 Hedged Currency	11%	11%	11%	11%	11%	10%	5%	5%	10%	0%
S&P 400 Hedged Currency	4%	4%	4%	4%	4%	5%	5%	5%	5%	5%
MSCI EAFE	10%	10%	10%	10%	10%	10%	10%	10%	10%	10%
MSCI EAFE Hedged Currency	10%	10%	10%	10%	10%	10%	5%	5%	5%	5%
Total Equity	60%	60%	60%	60%	60%	60%	60%	60%	60%	60%
DEX Universe (3)	25%	25%	30%	40%	40%	40%	40%	40%	40%	40%
MSCI All Country World (ACWI)	5%	5%	5%	0%	0%	0%	0%	0%	0%	0%
90-Day LIBOR	5%	5%	5%	0%	0%	0%	0%	0%	0%	0%
Real Estate (4)	5%	5%	0%	0%	0%	0%	0%	0%	0%	0%

### Notes:

(1) All returns are before deducting investment managers' fees.

(2) The UWO Policy Return is the return that would have been earned (before investment managers' fees) by investing the portfolio in index funds in proportion to the target or policy asset mix.

(3) Includes hedge fund and private equity prior to July 2010

(4) Current 5% allocation consists of 2.5% REALpac / IPD Canada - All Property Index and 2.5% REALpac / IPD Canada - All Industrials Index

# UWO Operating - Combined

## Total Fund Real Returns – June 30, 2013

A Real Return of 7.9% over the last 4 years and above the 4% Real Objective Over the Long Term

	1 Year	2 Years	3 Years	4 Years	5 Years	6 Years	7 Years	8 Years	9 Years	10 Years	20 Years
UWO Annualized Returns	14.1	7.4	9.9	9.6	4.8	3.5	5.1	5.4	5.8	6.7	7.7
CPI (Inflation)	1.2	1.3	1.9	1.7	1.3	1.6	1.7	1.8	1.8	1.8	1.8
Real Return	12.9	6.1	8.0	7.9	3.5	1.9	3.4	3.6	4.0	4.9	5.9
	Yr Ending 6/30/2013	Yr Ending 6/30/2012	Yr Ending 6/30/2011	Yr Ending 6/30/2010	Yr Ending 6/30/2009	Yr Ending 6/30/2008	Yr Ending 6/30/2007	Yr Ending 6/30/2006	Yr Ending 6/30/2005	Yr Ending 6/30/2004	
UWO Annual Returns	14.1	1.0	15.0	8.6	-12.4	-2.8	15.3	7.5	9.1	15.4	
CPI (Inflation)	1.2	1.5	3.1	1.0	-0.3	3.1	2.2	2.4	1.7	2.5	
Real Return	13.0	-0.5	11.9	7.7	-12.1	-5.9	13.1	5.1	7.4	12.8	





## Housing Services Capital Renewal Summer 2013

While the total number of individual projects to modernize and renew our residences and apartment was slightly fewer than last year, the size, scope and value of the renovations taking place this year more than made up for quantity. This year over 80 individual projects took place with a total value of approximately \$8.5 million. In addition, the first phase of Western's newest residence was completed. Ontario Hall became "home" to 600 residents.

Saugeen-Maitland Hall and Sydenham Hall were the focus of four major projects that commenced just hours after the students vacated in May. In Saugeen-Maitland Hall, the main entrance underwent a major renovation to make it more functional, more welcoming and far more aesthetically pleasing. Fire alarm speakers were installed in every student room to enhance resident safety, and old steam boilers were replaced with new energy-efficient hot water boilers to provide domestic heating.

**Saugeen- Maitland Entrance/Lobby - Before**







## Saugeen-Maitland Entrance - After



**Western University**  
Division of Housing and Ancillary Services  
Room 102, Elgin Hall • London, Ontario • CANADA – N6A 5B9  
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In Sydenham Hall, the dining room & kitchen underwent a major renovation that included a new Deli/Beverage area, new induction tops & exhaust hoods to allow more demonstration cooking, and a completely updated look. Tile, paint, lighting and furniture were all modernized. In addition, the old steam boilers in Medway-Sydenham Hall are being replaced as part of a two year project. New, energy efficient hot water domestic boilers are being installed.

### Sydenham Dining Room – Before







## Sydenham Hall Kitchen/Dining Room After Renovations



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New Domestic Heating Boilers – Sydenham







New Domestic Heating Boilers in Saugeen-Maitland Hall



While Housing is proud of our newer buildings, we continue to invest in our older buildings to ensure that they remain attractive and functional. Each building may have its own unique characteristics, but we provide the same high level of amenities to all residents. Other renovations and capital renewal that took place this past year include:

- New study room furniture in Delaware Hall & Medway Sydenham Halls
- Stamped concrete patio at Alumni House
- New mattresses for Saugeen-Maitland and Essex Halls (1,800 mattresses!)
- In room fire alarm speakers at Saugeen-Maitland Hall
- New recycle/compost/waste bins for residence dining rooms
- In suite compost bins for all suite style buildings
- Installation of a cell tower on Essex Hall
- New tub/wall inserts at Alumni House
- Exterior brick repairs at Saugeen-Matland Hall
- Installation of re-hydration stations and dish return racks in Saugeen-Maitland lounges
- Refinishing of the main lounge floor in Saugeen-Maitland
- Roof replacements at Platt's Lane Estates
- Painting/carpeting/furniture for Platt's Lane Estates Community Centre
- New Recycle/Waste sheds for Platt's Lane and University Apartments
- Electronic recycling during University Apartments move outs
  
- RezNet Wifi now installed in all residences
- Sydenham Hall exterior concrete stairs rebuilt
- Sydenham Hall exterior patio waterproofed and rebuilt
- New
- New washroom partitions in Medway-Sydenham Hall washrooms
- New sofas in Saugeen-Maitland Hall dining room
- Repairs/replacement of slate roof tiles at Sydenham Hall
- Exterior pedestrian lighting replacement near Saugeen/Bayfield/Platt's Lane
- Tile and carpet flooring replacement at Platt's Lane Estates and University Apartments

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Old Delaware Hall Study Room Furniture



New Delaware Hall Study Furniture





New Compost/Recycle/Waste Bins for Residence Dining Rooms



Old mattresses being removed



New in-room fire alarm speakers at Saugeen





Exterior brick repairs at SMHR



SMHR Main Lounge floor refinishing



Waste/recycle sheds at Beaver/Ausable



Electronic recycling at apartments



Old washroom partitions



Washroom partitions





Alumni House – Old tub/tile



Alumni House – New tub & surround



Platt's Lane roof replacement



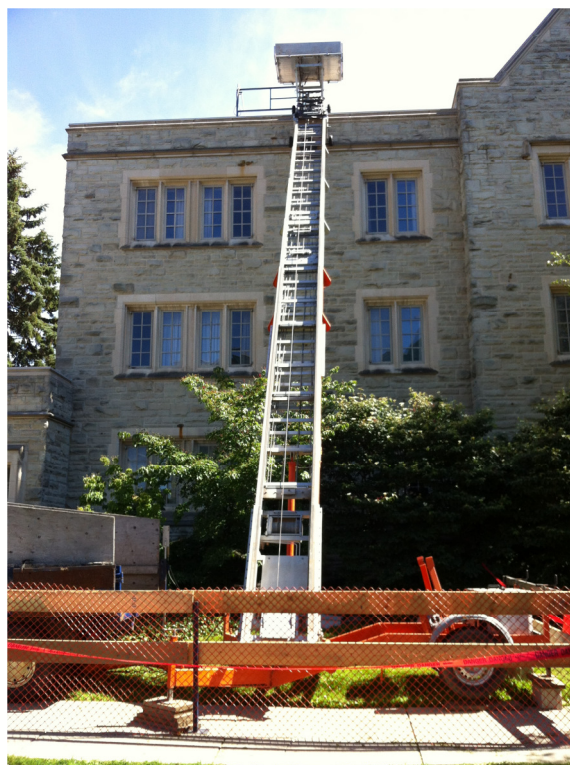
Cell phone transmitter installed on Essex Hall



Stairs rebuilt at Sydenham



Patio rebuilt and waterproofed at Sydenham



Slate Roof Repairs & Replacement at Sydenham Hall



Microwaves for Floor Lounges

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Renovated Platt's Lane Estates Community Centre



The various capital renewal projects undertaken each year are initiated after wide ranging consultations with a number of stakeholders. Student leaders, Residence Life Staff and Food Service Staff provide input on new or enhanced amenities as well as functional and aesthetic improvements. Western Facilities Management staff provides input on building systems that have begun to require extensive maintenance as they approach the end of their service life. Housing and Facilities Management also utilize an ongoing Facilities Assessment tool that provides guidance on deferred maintenance.

Each year during Housing's Annual Planning & Budget process decisions are made regarding which projects will take place in a given year. Major projects are scheduled and budgeted for up to four or more years in advance. Depending on the scope of a particular project, the design and tendering process can take several months to a year to complete. Housing Services then works with Facilities Management, Information Technology Services, Housing Computer Services, Food Services and a wide variety of independent consultants and contractors in order to maintain our facilities.

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## **SCHOLARSHIPS/AWARDS/PRIZES**

### **FOR INFORMATION**

#### **1. New Scholarships, Awards and Bursaries**

On behalf of the Board of Governors, the Property and Finance Committee has approved the following terms of reference for new scholarships, awards, bursaries and prizes.

**MBA Leaders Scholarship in Value Investing** (School of Graduate and Postdoctoral Studies, MBA)  
Awarded annually to full-time students from India entering the Master of Business Administration program at the Richard Ivey School of Business, based on academic achievement and demonstrated community leadership. The recipients will have demonstrated a strong interest in Value Investing and the intention to enroll in the MBA course in Value Investing at Ivey (BU 9403). Selection of the recipients will be made by the MBA Scholarship Committee, in consultation with the Ben Graham Chair in Value Investing. At least one member of the selection committee will hold membership in the School of Graduate and Post-Doctoral Studies. The recipients will be notified at the time of acceptance into the MBA program.

Value: 2 at up to \$35,000 each  
Effective: May 2014

**Bob Brooks MBA Scholarship** (School of Graduate and Postdoctoral Studies, MBA)  
Awarded annually to full-time graduate students entering the Master of Business Administration program at the Richard Ivey School of Business, based on academic achievement and demonstrated community leadership. Candidates must submit applications for this scholarship at the time of application to the MBA Program at Ivey. The MBA Scholarship Committee at Ivey will make the final selection of the recipients. Recipients will be notified at the time of acceptance into the program. This scholarship is made possible through the generosity of Bob Brooks, MBA '68.

Value: 2 at \$15,000  
Effective: May 2014 to April 2019 inclusive

**Robert Hathaway Ontario Graduate Scholarship** (School of Graduate and Postdoctoral Studies, Arts and Humanities/Social Science)  
Awarded to full-time graduate students at the Masters or Doctoral level in the Faculty of Arts and Humanities and the Faculty of Social Science, who are current holders of an Ontario Graduate Scholarship, based on academic achievement and research merit. The School of Graduate and Postdoctoral Studies will select the recipients in consultation with the Faculties of Arts and Humanities and Social Science. These scholarships are made possible by a generous gift from the estate of the late Robert Francis Hathaway (BA, '99 History and English).

*Robert Hathaway attended Western University late in life, receiving his Bachelor of Arts Degree in 1999, specializing in History and English. Robert died in 2010 at the age of 53 in London, Ontario.*

Value: 10 at \$5,000\* for the Faculty of Arts and Humanities and  
10 at \$5,000\* for the Faculty of Social Science  
Effective: May 2013 to April 2014 only

*\*Ontario Graduate Scholarships (OGS) ensure a 2:1 match through the Provincial Government, increasing the value of the scholarship to \$15,000 each.*

**Michael Sheffar Football Recruitment Scholarship** (Any Undergraduate or Affiliated University College Student – Athletic Award [Football])  
Awarded to a full-time undergraduate student entering first year in any degree program at Western or its Affiliated University Colleges, who will be making a contribution as a member of the Mustangs Football Team. Preference will be given to a student registered at King's University College. Candidates must be in compliance with current OUA and CIS regulations. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80%. The Western Athletic Financial Awards Committee will select the recipient based on its evaluation of academic performance/potential (20%) and the written recommendation from the Head Coach assessing athletic performance/potential and

team/campus leadership (weighted as 60% and 20% respectively). This scholarship was established by Mr. Michael Sheffar.

Value: 1 at \$2,000

Effective: 2013-2014 to 2017-2018 academic years inclusive

Christine Nelson MA Bioarchaeology Scholarship (School of Graduate and Postdoctoral Studies, Anthropology)

Awarded annually to a full-time graduate student in the Master of Bioarchaeology program, in the Department of Anthropology, based on academic achievement and research merit. Preference will be given to a female student. The Scholarship Committee in the Graduate Department of Anthropology will select the recipient. At least one representative of this committee will hold membership in the School of Graduate and Postdoctoral Studies. This scholarship was established through a bequest from Mrs. Christine Sharon Nelson.

*Christine was an archaeologist, a heritage planner, an artist, a world traveler and a great friend to many. She passed away in April 2013 after a long struggle with cancer.*

Value: 1 at \$1,000

Effective: May 2013

Robert and Susan Papp Bursary in Education (Faculty of Education)

Awarded annually to a full-time undergraduate student in the Faculty of Education, who has demonstrated financial need. Online financial assistance applications are available through the Office of the Registrar's Web site and must be completed by October 31. The Office of the Registrar will select the recipient. This bursary was established with Foundation Western by a generous gift from the Estate of Robert (MSc '78, Computer Science) and Susan Papp (BEd '75, French).

Value: 1 at \$1,750

Effective: 2013-2014 academic year

Robert and Susan Papp Bursary in Computer Science (Faculty of Science, Computer Science)

Awarded annually to a full-time undergraduate student in any year of any Computer Science program in the Faculty of Science, who has demonstrated financial need. Online financial assistance applications are available through the Office of the Registrar's Web site and must be completed by October 31. The Office of the Registrar will select the recipient. This bursary was established with Foundation Western by a generous gift from the Estate of Robert (MSc '78, Computer Science) and Susan Papp (BEd '75, French).

Value: 1 at \$1,750

Effective: 2013-2014 academic year

Adrian Rocca Football Award (Any Undergraduate or Affiliated University College Student – Athletic Award [Football])

Awarded annually to a full-time undergraduate student entering first year of any degree program at Western or its Affiliated University Colleges, who is making a significant contribution as a member of the Men's Football Team and has demonstrated financial need. Online financial need assessment forms are available from the Office of the Registrar's Web site and must be submitted by September 30. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80%. Candidates must be in compliance with current OUA and CIS regulations. The Western Athletic Financial Awards Committee will select the recipient, after the Registrar's Office has assessed financial need, based on its evaluation of academic performance/potential (20%) and the written recommendations from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This scholarship was established by Mr. Adrian Rocca (HBA '04).

Value: 1 at \$1,000

Effective: 2013-2014 to 2017-2018 academic years inclusive

Donald and Shirley McNabb Earth Sciences Bursary (Faculty of Science, Earth Sciences)

Awarded annually to full-time undergraduate students in any year of any Earth Sciences program, who have demonstrated financial need. Preference will be given to students from the County of Elgin. Online financial assistance applications are available through the Office of the Registrar's Web site and must be submitted by October 31. The Registrar's Office will select the recipients. These bursaries were established with Foundation Western by Albert Donald McNabb (BSc '59, Geology) and his wife Shirley.

Value: 4 at \$2,800

Effective: 2013-2014 academic year (number and value to be reviewed thereafter)

HRPA, London & District Chapter Graduate Scholarship (School of Graduate and Postdoctoral Studies, Psychology)

Awarded annually to a full-time graduate student in a Masters or Doctoral program in Psychology. Preference will be given to a student who has completed research which has helped to advance the evidence-based practice of human resources in the area of Industrial/Organizational Psychology. A one-page statement outlining the candidate's involvement in individual or collaborative research that has contributed to evidence-based practice must be submitted to the Graduate Office in Psychology by October 31. The scholarship committee in the Psychology graduate program will select the recipient. At least one member of the committee must hold membership in the School of Graduate and Postdoctoral Studies. The recipient will be asked to present an overview of his/her research at future HRP Chapter events. This scholarship was established by a generous gift from the Human Resources Research Institute on behalf of the Human Resources Professionals Association, London & District Chapter.

Value: 1 at \$2,500

Effective: May 2013 to April 2015 only

Glenn Hadden Recruitment Scholarship (Any Undergraduate or Affiliated University College Student – Athletic Award [Football])

Awarded to student athletes who are entering the first year of any undergraduate program at Western or its Affiliated University Colleges as full-time students, based on academic achievement (minimum 80% admission average) and success in joining the Western varsity football team. Candidates must be in compliance with current OUA and CIS regulations. The Western Athletic Financial Awards Committee will select the recipients based on its evaluation of academic performance/potential (20%) and the written recommendation from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This scholarship is held with Foundation Western and is made possible through the generosity of Mr. Glenn Hadden, BA'96 (Economics).

Value: The number to be determined each year by the Western Athletic Financial Awards Committee, up to \$4,000 each under OUA regulations. Approximately \$4,500 will be available each year.

Effective: 2013-2014 academic year

## **REINTRODUCTION OF DISCONTINUED AWARD**

The following award was previously discontinued (effective May 2012) and is being reintroduced without revisions:

Fasken, Martineau DuMoulin LLP Awards (Faculty of Law)

Awarded to students who stand 1st, 2nd and 3rd in the first year of the LLB program. Established by the generosity of Fasken Martineau Dumoulin LLP.

Value: 3 awards, 1st - \$750, 2nd - \$650, 3rd - \$550

Effective: 2012-2013 to 2017-2018 academic years inclusive

MBA Class of 2012 Award (School of Graduate and Postdoctoral Studies, MBA)

Awarded annually to a full-time graduate student entering the Master of Business Administration program at the Richard Ivey School of Business, based on academic achievement and demonstrated community leadership. Candidates must submit applications for this scholarship at the time of application to the MBA Program at Ivey. The MBA Scholarship Committee at Ivey will make the final selection of the recipient. Recipients will be notified at the time of acceptance into the program. This scholarship is made possible through the generosity of the MBA Class of 2012.

Value: 1 at \$3,700  
Effective: May 2014 to April 2017 inclusive

MBA Class of 2013 Award (School of Graduate and Postdoctoral Studies, MBA)

Awarded annually to a full-time graduate student entering the Master of Business Administration program at the Richard Ivey School of Business, based on academic achievement and demonstrated community leadership. Candidates must submit applications for this scholarship at the time of application to the MBA Program at Ivey. The MBA Scholarship Committee at Ivey will make the final selection of the recipient. Recipients will be notified at the time of acceptance into the program. This scholarship is made possible through the generosity of the MBA Class of 2013.

Value: 1 at \$2,500  
Effective: May 2014 to April 2017 inclusive

Rocky Campana HBA Award (Richard Ivey School of Business)

Awarded annually to a full-time student entering HBA 2 at Ivey in recognition of his/her commitment and support of the Lesbian Gay Bisexual Transgender (LGBT) community through demonstrated volunteerism and/or community leadership. Applications should be submitted to the HBA Program Office. The HBA Scholarship Committee will make the final selection of the award recipient. This award was established with a generous gift from Rob & Nancy Campana in memory of their son, Rocky.

*Rocky Campana graduated from the HBA Program in 2011. During his time at Ivey, Rocky volunteered in the Pride Library, wrote and edited four case-studies, which have been published and are now in circulation with Ivey Publishing. Rocky was an advocate for Human Rights especially focusing on equal rights for the LGBT community. Rocky was posthumously awarded the Bill Graham Award at Toronto Pride Fest 2013 Pride & Remembrance Run. The Bill Graham Award was established in 2008 by the Pride & Remembrance Association to recognize service to the LGBT community through demonstrated volunteerism and/or community leadership.*

Value: 1 at \$3,000  
Effective: 2013-2014 to 2015-2016 academic years inclusive

Waldemar Bebris Ontario Graduate Scholarship (School of Graduate and Postdoctoral Studies)

Awarded annually to full-time master's or doctoral students in any faculty who are current holders of an Ontario Graduate Scholarship or a Queen Elizabeth II Graduate Scholarship in Science and Technology, based on academic achievement and research merit. The School of Graduate and Postdoctoral Studies will select the recipients. These scholarships were made possible by a generous gift from Mr. Waldemar Bebris (BA '54).

*Waldemar was born in Latvia and studied at the University of Goettingen for two years. In 1948 he immigrated to Canada and got a job in Toronto selling insurance. He completed his university degree through Western's distance studies program, receiving his Bachelor of Arts degree in 1954 while working full time. Mr. Bebris has always believed in the transformational power of education.*

Value: 5 at \$5,000\*  
Effective: May 2013 to April 2016 inclusive

*\* Ontario Graduate Scholarship and Queen Elizabeth II Graduate Scholarship in Science and Technology funding ensures a 2:1 match through the Provincial Government, increasing the value of the scholarships to \$15,000 each.*

George Hannah Global Opportunities Award (School of Graduate and Postdoctoral Studies; Any Undergraduate Faculty)

Awarded to a full-time student (graduate or undergraduate) in any faculty who is participating in a Western University international experience or study-abroad program for which academic credit or approval from the student's department or faculty will be obtained. Preference will be given to a student planning on a travel experience to Scotland or the United Kingdom. This includes: academic exchange programs; approved study-abroad programs; curriculum-based international field courses/research, international community service learning; volunteer opportunities and internships led by Western University. To qualify for this award, the experience must meet at least one of the following criteria:



- Be organized by Western University staff, faculty or department
- Be eligible for academic credit
- Form a required component of the student's degree program.

Students participating in any of the above-listed programs who are registered at the constituent University may be considered. Students must have completed their prescribed academic program the previous year and currently be registered in a full-time course load (minimum 3.5 courses). Students may apply for this award in advance of being accepted into an eligible international-learning program, with receipt of the award contingent upon acceptance into the program. Students may receive a Global Opportunities award only once during their academic career at Western.

Online applications are available on the Global Opportunities Web site, Western International. Transcripts are required for students who studied elsewhere in their previous academic year. Applications are due on November 30 (for decisions in early January) and March 15 (for decisions in early May). The recipient will be selected based on a combination of academic achievement, as well as a statement outlining how this experience will contribute to the student's development as a global citizen, what the student expects to learn through his or her program of study, and how the student will be an effective Ambassador for Western. This award was established by a generous gift from Aktiv Kapital Acquisitions Inc. in honour of George Hannah.

*George was a Chartered Accountant and held the position as VP of Finance, Investment Management & Business Intelligence at Aktiv Kapital. He was a very dedicated and knowledgeable leader, and was known for his integrity, sharp sense of humour, and his distinguished Scottish accent. George passed away in 2012 at age 61.*

Value: 1 at \$2,000\*

Effective: 2013-2014 to 2015-2016 academic years inclusive

*\* The Donor's gift of \$1,000 will be matched by the University through the Global Opportunities Award Program.*

George Hannah Student Award in Accounting (Faculty of Social Science, Management and Organizational Studies)

Awarded annually to a full-time undergraduate student in third or fourth year of an Accounting module in the Aubrey Dan Program in Management and Organizational Studies (MOS), who has a minimum 75% average and demonstrated community involvement in the area of leadership. A one-page statement outlining this involvement, and a resume, must be submitted by March 31 to the MOS Office. The Scholarship and Awards Committee in the MOS Department will select the award recipient. This award was established by a generous donation from Aktiv Kapital Acquisitions Inc. in honour of George Hannah.

*George was a Chartered Accountant and held the position as VP of Finance, Investment Management & Business Intelligence at Aktiv Kapital. He was a very dedicated and knowledgeable leader; and was known for his integrity, sharp sense of humour, and his distinguished Scottish accent. George passed away in 2012 at age 61.*

Value: 1 at \$5,000

Effective: 2013-2014 to 2015-2016 academic years inclusive

DAN Chair's Leadership Award (Faculty of Social Science, Management and Organizational Studies)

Awarded annually to full-time undergraduate students completing fourth year with academic achievement in the DAN Management and Organizational Studies program, who have made an outstanding contribution to the student experience, exhibited through their commitment and involvement with events or activities that enhance the quality of student life in the DAN program. Nominations must include a brief explanation of the contribution of the nominee with sufficient detail regarding how this contribution enhanced the student's experience in the DAN program. Nominations must be submitted by March 15 to the Chair, Student Awards Committee, DAN Management and Organizational Studies. The Student Awards Committee will select the recipient. This award was established by Dr. Mitch Rothstein (PhD '83, Psychology).

Value: 2 at \$1,000

Effective: 2013-2014 to 2017-2018 academic years inclusive

Chris Halyk Health Innovation Scholarship (School of Graduate and Postdoctoral Studies, MBA)

Awarded annually to a full-time student entering the Master of Business Administration program at the Ivey Business School, based on academic achievement and demonstrated community leadership. The recipient will have previous education and/or work experience in the health sector, an intention to complete the requirements of the Health Sector Certificate, and an interest in pursuing a career in health care. The recipient will be notified at the time of acceptance into the MBA program, and the award will be disbursed once enrollment in the three core courses required for the Health Sector Certificate has been confirmed. If the student does not meet the parameters of the scholarship, a new recipient will be selected. Final selection of the recipient will be made by the MBA Scholarship Review Committee with at least one member of the selection committee holding membership in the School of Graduate and Postdoctoral Studies. This scholarship was created through the generosity of Canada's Research-Based Pharmaceutical Companies (Rx&D) and Janssen Inc. to recognize the extraordinary leadership in the field of life sciences and health research of Chris Halyk (HBA'85), President, Janssen Inc. and Rx&D Board Chair (2012–2013).

Value: 1 at \$20,000

Effective: May 2014 through April 2019 inclusive

JSN HBA Award (Richard Ivey School of Business)

Awarded annually to a full-time student entering HBA 1 at the Ivey Business School, based on academic achievement and demonstrated community leadership. The Ivey HBA Scholarship Committee will make the final selection of the recipient. This award was established with a generous gift from JSN Jewelry Inc.

Value: 1 at \$10,000

Effective: 2013-2014 to 2017-2018 academic years inclusive

James Walker Wood Award in Medicine (Schulich School of Medicine & Dentistry, Medicine)

Awarded annually to a full-time undergraduate student graduating from the fourth year of the Doctor of Medicine (MD) program with academic achievement, who intends to pursue a family medicine residency, with a preference for rural family medicine. The recipient must be a Canadian citizen. Preference will be given to a student from a rural location who is involved in extra-curricular and/or community activities (such as medical research, rural family medicine interest groups, music, drama etc.). Students must submit a one-page statement describing their rural background and involvement in extra-curricular/community activities to the Department of Family Medicine by April 1. The Chair of Family Medicine, in consultation with a committee, will review the statements and provide a short-list of finalists to the Progression & Awards Committee in the MD program in the Schulich School of Medicine & Dentistry to select a final recipient. This award was established through a generous gift from The Walker Wood Foundation.

*James Walker Wood was born in Aberdeen, Scotland in 1889. He was one of nine children. James attended Medical School at the University of Aberdeen. Following his graduation in 1914, he joined the Queens Own Cameron Highlanders as a medical officer. He was promptly dispatched to the trenches on the Western Front during World War I. He served at Ypres, the Somme and Flanders, where he quickly had to learn the skills required of an army physician. On returning to England in 1918 he became a family practitioner in a poor working class area. He opened a practice, which was attached to his family home, and provided 24 hour care for the local population. He spent the rest of his life diligently attending to his patients. In 1968, Dr. Wood was killed in a car collision in South Africa. To commemorate his life of dedication and service, his son and daughter-in-law, Neil and Susan Wood, established The Walker Wood Foundation to provide financial aid and encouragement to worthy students throughout Canada.*

Value: 1 at \$5,000

Effective: 2013-2014 to 2016-2017 academic years inclusive

Roma Fence Group of Companies Scholarship in Mining and Mineral Exploration (Faculty of Science, Earth Sciences)

Awarded annually to an undergraduate student entering third year, who is currently registered in an Earth Sciences degree program. Eligible students must have taken courses ES 2200, 2230 and 2250 in the previous year and obtained a minimum 80% average in the courses overall. A one-page statement describing why the applicant wishes to pursue a career in the mining and mineral exploration industry (e.g., mineral exploration, environmental remediation, etc.) must be submitted by September 30 to the Departmental Office of Earth Sciences. The scholarship committee in the Faculty of Science will select the recipient. This scholarship was established by Roma Fence Group.

Value: 1 at \$1,500

Effective: 2013-2014 to 2017-2018 academic years inclusive

Dr. Ingrid Jurevics Ontario Graduate Scholarship (School of Graduate and Postdoctoral Studies)

Awarded to full-time masters or doctoral students in any Faculty who are current holders of an Ontario Graduate Scholarship or a Queen Elizabeth II Graduate Scholarship in Science and Technology, based on academic achievement and research merit. The School of Graduate and Postdoctoral Studies will select the recipients. This scholarship was made possible by a generous bequest from Dr. Ingrid Jurevics (MD '56, BA '55).

*Ingrid was born in Latvia in 1927 and left her homeland as a refugee during the Second World War. She emigrated to Canada where she graduated with a bachelor's degree and a medical degree from Western. She entered private practice as an ophthalmologist in 1961 in Milwaukee. Ingrid was an active philanthropist, bringing medicine and medical training to Latvian ophthalmologists after the fall of the Soviet Union. Ingrid passed away in 2012 at the age of 85.*

Value: 4 at \$5,000\*

Effective: May 2013 to April 2014

*\* Ontario Graduate Scholarships and Queen Elizabeth II Graduate Scholarships in Science and Technology funding ensure a 2:1 match through the Provincial Government, increasing the value of the scholarship to \$15,000 each.*

Vivian Campbell Ontario Graduate Scholarship (School of Graduate and Postdoctoral Studies)

Awarded to full-time masters or doctoral students in any Faculty who are current holders of an Ontario Graduate Scholarship or a Queen Elizabeth II Graduate Scholarship in Science and Technology, based on academic achievement and research merit. The School of Graduate and Postdoctoral Studies will select the recipients. This scholarship was made possible by a generous bequest from Miss Vivian D. Campbell (BA '57).

*Vivian graduated with a Bachelor of Arts degree from Western in 1957, and had a long and rewarding high school teaching career in Aurora, Newmarket and Barrie. Vivian passed away in 2010 at age 75.*

Value: 4 at \$5,000\*

Effective: May 2013 to April 2014

*\* Ontario Graduate Scholarships and Queen Elizabeth II Graduate Scholarships in Science and Technology funding ensure a 2:1 match through the Provincial Government, increasing the value of the scholarship to \$15,000 each.*

**SCHOLARSHIPS FUNDED THROUGH THE OPERATING BUDGET**

The following new scholarship is funded through the operating budget and is presented for information only:

Co-Operative Housing Federation of Toronto (CHFT) Diversity Scholarship (Any Undergraduate Program)

Western agrees to contribute funds for one new CHFT Diversity Scholarship recipient each academic year of the term of this Agreement. In the event that more than one scholarship recipient is admitted to Western in the same academic year, the recipient with the highest final admission average will be selected to receive the additional scholarship funds from Western, and, in the event of a tie with regard to

highest final admission average, the recipient will be selected by Western in its sole discretion.

Western agrees to fund up to \$3,000 per student, to be distributed \$1,000 per year for Years 2, 3, and 4 of the student's program (the "Western Scholarship Funds"). To qualify for the Western Scholarship Funds, the student must (i) initially be selected by CHFT as a recipient of a Diversity Scholarship, (ii) receive a CHFT Diversity Scholarship in his or her first year of study at Western, and (iii) be admitted to an undergraduate program at Western's main campus for full-time studies. To retain the scholarship funds offered by Western in Years 2, 3 and 4, the student must (i) during the fall/winter academic year (September to April), complete a prescribed academic program requiring 3.5 or more courses, (ii) remain registered in an undergraduate program at Western's main campus, (iii) progress satisfactorily, as determined by Western, in his or her prescribed academic program, and (iv) continue to demonstrate financial need each academic year. If a recipient of the Western Scholarship Funds fails to retain the scholarship, another Diversity Scholarship recipient who meets the criteria and is not already receiving the scholarship funds, will be selected to receive the additional scholarship funds offered by Western. From the eligible candidates, the student with the highest overall average in the previous fall/winter academic year (September to April) will be selected as the replacement recipient and, in the event of a tie with regard to highest overall average, the recipient will be selected by Western in its sole discretion.

Value: 1 at \$1,000 per year (continuing for up to 3 years)  
Effective: 2013-14 to 2017-18 academic years inclusive

## 2. Scholarship and Award Revisions

On behalf of the Board of Governors, the Property and Finance Committee has approved the following revisions to the terms of reference of scholarships, awards, bursaries and prizes:

Serge Gouin & Denyse Chicoyne MBA Leaders Scholarship (School of Graduate and Postdoctoral Studies, MBA)

Change in name, criteria, value and effective date from:

~~Awarded to a full-time student entering the Masters of Business Administration program at the Richard Ivey School of Business whose first language is French and resides in Quebec, based on academic achievement and demonstrated community leadership. The MBA Scholarship Committee at Ivey will make the final selection of the recipient. This award was established by Serge Gouin, HBA '65, MBA '66 and Denyse Chicoyne.~~

~~Value: 1 at \$35,000  
Effective: May 2012 - May 2016~~

To: Serge Gouin & Denyse Chicoyne **HBA** Leaders Scholarship (Richard Ivey School of Business)  
Awarded to a **student entering HBA 1** at the Richard Ivey School of Business whose first language is French and **whose home residence is** in Quebec, based on academic achievement and demonstrated community leadership. **Preference will be given to a student who has graduated from a publicly-funded high school. The recipient may continue to receive the award in HBA 2, provided that an 80% average is maintained. If a recipient does not qualify to retain the scholarship, a new recipient will be selected from the same year as the current recipient.** The **HBA** Scholarship Committee **of the Richard Ivey School of Business that includes the Director of the HBA Program** will **review and select each scholarship** recipient. This award was established by Serge Gouin, HBA '65, MBA '66 and Denyse Chicoyne.

Value: 1 at **\$17,500, continuing**  
Effective 2013-2014 to 2016-17 academic years inclusive

HBA Class of 1978 Award (Richard Ivey School of Business)

Change in criteria, value and effective date from:

~~Awarded to a student entering HBA Year 1 based on academic achievement (minimum 78% admission average) and demonstrated financial need. This award will continue in HBA Year 2 provided that the candidate maintains a 70% average and demonstrates financial need. Candidates must complete a financial assistance application, which is available online through the Office of the Registrar web site by April 1. The Office of the Registrar will determine financial need and the HBA Scholarship Committee will~~

make the final selection of the recipient. This award was made possible by gifts from the HBA Class of 1978.

Value: 1 at \$4,500 per year, continuing for 2 years

Effective: 2009-2010 to 2014-2015 academic year inclusive. A new recipient will not be selected in 2014-2015.

To: Awarded to a student entering HBA Year 1 based on academic achievement and demonstrated financial need. Candidates must complete a financial assistance application, which is available online through the Office of the Registrar's Web site, by April 1. The Office of the Registrar will determine financial need and the HBA Scholarship Committee will make the final selection of the recipient. This award was made possible by gifts from the HBA Class of 1978.

Value: 1 at \$1,791

Effective: 2013-2014 academic year only

Ella Strong Chinese Alumni MBA Scholarship (School of Graduate and Postdoctoral Studies, MBA)

Change in value from: 1 at \$1,500

To: 1 at \$900

Effective: May 2013- April 2014 academic year only

The Berdie & Irvin Cohen Doctoral Business Scholarship (School of Graduate and Postdoctoral Studies, **MBA Business**)

Change in criteria and value from:

Awarded to a full-time graduate students who are in the Doctoral Graduate Program in Business Administration and are current holders of an Ontario Graduate Scholarship, based on academic achievement (minimum 80% average) and research merit. The School of Graduate and Postdoctoral Studies will select the recipient in consultation with the Richard Ivey School of Business. These scholarships are made possible by a gift from The Berdie & Irvin Cohen Fund for Doctoral Business Scholarship. In a year when there are no eligible OGS recipients, the funds will be used to support the Berdie & Irvin Cohen Doctoral Business Scholarship.

Value: 1 at \$5,000

To: Awarded to a full-time graduate student in the Doctoral Program in Business Administration who is a current holder of an Ontario Graduate Scholarship, based on academic achievement (minimum 80% average) and research merit. The School of Graduate and Postdoctoral Studies will select the recipient in consultation with the Richard Ivey School of Business. This scholarship was made possible by a gift from The Berdie & Irvin Cohen Fund for Doctoral Business Scholarship. In a year when there are no eligible OGS recipients, the funds will be used to support the Berdie & Irvin Cohen Doctoral Business Scholarship.

Value: 1 at \$2,800

Effective: May 2013

Brock Scholarships (School of Graduate and Postdoctoral Studies, Business)

Change in value from: 1 annually at \$5,000

To: 2 at \$5,000, continuing

Effective: May 2013

Hill-Ivey HBA Scholarships (Richard Ivey School of Business)

Change in effective date from: 2008-2009 to 2012-2013

To: 2013-14 to 2016-2017 academic years inclusive

3M Canada Company MBA Bursaries (School of Graduate and Postdoctoral Studies, MBA)

Change in value from: 1 at \$1,000

To: 3 at \$1,000

Effective: May 2013

Beacon Securities MBA Bursaries (School of Graduate and Postdoctoral Studies, MBA)

Change in criteria from:

Awarded to a bilingual female from Quebec entering ~~either the spring or fall cohort~~ of the MBA program based on academic standing (minimum 78% average) and financial need. Applications for award consideration are available at the time of applying for admission to the Ivey MBA program. The successful recipient will be selected by the MBA scholarship review committee, with at least one representative holding current membership in the School of Graduate and Postdoctoral Studies, following an assessment of financial need by the Ivey Financial Aid Office. This award was established by Lonsdale Holland (MBA'57) ~~through~~ Foundation Western.

**To:** Awarded to a bilingual female from Quebec entering the MBA program based on academic standing (minimum 78% average) and financial need. Applications for award consideration are available at the time of applying for admission to the Ivey MBA program. The successful recipient will be selected by the MBA scholarship review committee, with at least one representative holding current membership in the School of Graduate and Postdoctoral Studies, following an assessment of financial need by the Ivey Financial Aid Office. This award was established by Lonsdale Holland (MBA'57) **with** Foundation Western.

Effective: May 2013

Dr. Samuel and Vera Kanovsky MBA Leaders Scholarship (School of Graduate and Postdoctoral Studies, MBA)

Change in criteria from:

Awarded to an MBA student ~~in either cohort~~ based on demonstrated community leadership and scholastic achievement (minimum 78% average). Preference will be given to a student residing in Western Canada. Candidates must apply for scholarship consideration at the time of applying for admission to the MBA Program at the Richard Ivey School of Business. Final selection of the recipient will be made by the MBA Scholarship Review Committee, with at least one member of the selection committee holding membership in the School of Graduate and Postdoctoral Studies. This award was generously established by Michael Kanovsky (MBA'73) and Donna Seaman Kanovsky, ~~through~~ Foundation Western. It is named in honour of Michael's parents, Dr. Samuel and Mrs. Vera Kanovsky.

**To:** Awarded to an MBA student based on demonstrated community leadership and scholastic achievement (minimum 78% average). Preference will be given to a student residing in Western Canada. Candidates must apply for scholarship consideration at the time of applying for admission to the MBA Program at the Richard Ivey School of Business. Final selection of the recipient will be made by the MBA Scholarship Review Committee, with at least one member of the selection committee holding membership in the School of Graduate and Postdoctoral Studies. This award was generously established by Michael Kanovsky (MBA'73) and Donna Seaman Kanovsky, **with** Foundation Western. It is named in honour of Michael's parents, Dr. Samuel and Mrs. Vera Kanovsky.

Effective: May 2013

Lawson-Mardon MBA Scholarship (School of Graduate and Postdoctoral Studies, MBA)

Change in criteria from:

Awarded to an MBA student at the Richard Ivey School of Business based on academic achievement and community leadership. Applications for award consideration are available at the time of applying for admission to the Ivey MBA program. The successful recipient will be selected by the MBA Scholarship Review Committee, with at least one representative holding current membership in the School of Graduate and Postdoctoral Studies. This award was established by ~~Lonsdale Holland, MBA '57.~~

**To:** Awarded to an MBA student at the Richard Ivey School of Business based on academic achievement and community leadership. Applications for award consideration are available at the time of applying for admission to the Ivey MBA program. The successful recipient will be selected by the MBA Scholarship Review Committee, with at least one representative holding current membership in the School of Graduate and Postdoctoral Studies. This award was established by **Lawson Mardon Packaging Inc.**

Effective: May 2013

MBA '87 Awards (School of Graduate and Postdoctoral Studies, MBA)

Change in name, criteria and value from:

Awarded to MBA students at the Richard Ivey School of Business in either cohort based on academic achievement (minimum 78% average) and demonstrated community leadership. Preference will be given to students who have demonstrated financial need. Applications for these awards must be submitted to the MBA Programs Office by October 31 (including a one-page statement on community leadership and financial need). Candidates will be invited to submit their applications upon admittance into the MBA program at the Richard Ivey School of Business. Final selection of the recipients will be made by the MBA Scholarship Review Committee, with at least one member of the selection committee holding membership in the School of Graduate and Postdoctoral Studies. These awards were established by generous donations from the MBA Class of 1987.

Value: 2 at \$10,000

To: MBA '87 Award (School of Graduate and Postdoctoral Studies, MBA)

Awarded to **an** MBA student at the Richard Ivey School of Business based on academic achievement (minimum 78% average) and demonstrated community leadership. Preference will be given to **a** student who has demonstrated financial need. Applications for **this** award must be submitted to the MBA Programs Office (including a one-page statement on community leadership and financial need). Candidates will be invited to submit their applications upon admittance into the MBA program at the Richard Ivey School of Business. Final selection of the recipient will be made by the MBA Scholarship Review Committee, with at least one member of the selection committee holding membership in the School of Graduate and Postdoctoral Studies. These awards were established by generous donations from the MBA Class of 1987.

Value: **1** at \$10,000

Effective: May 2013

Robert J. Gorman MBA Scholarship (School of Graduate and Postdoctoral Studies, MBA)

Change in criteria from:

Awarded to a full-time student entering the MBA program at the Richard Ivey School of Business, based on strong academic standing. Preference will be given to a Canadian citizen with a minimum 80% academic average on admission. Final selection of the recipient will be made by the MBA Scholarship Review Committee of which at least one member must hold membership in the School of Graduate and Postdoctoral Studies. This scholarship is made possible by a generous gift from Mr. Robert J. Gorman through Foundation Western.

To: Awarded to a full-time student entering the MBA program at the Richard Ivey School of Business, based on strong academic standing. Preference will be given to a Canadian citizen with a minimum 80% academic average on admission. Final selection of the recipient will be made by the MBA Scholarship Review Committee of which at least one member must hold membership in the School of Graduate and Postdoctoral Studies. This scholarship is made possible by a generous gift from Mr. Robert J. Gorman **(MBA '77) with** Foundation Western.

Effective: May 2013

Robert W. Johnson C. A. Gold Medal (School of Graduate and Postdoctoral Studies, MBA)

Change in criteria and value from:

Students who are awarded the Robert W. Johnson C.A. Gold Medal in the graduating year of the MBA Program must graduate with Distinction. A Gold Medal will be awarded to the graduating student ~~from each of the May and September cohorts~~ with the highest cumulative GPA, as calculated exclusively from MBA-program courses. Ivey outgoing Exchange students are eligible for the award. Joint degree students (e.g., MBA-LLB) are ineligible. In the event of a tie or ties, more than one medal may be awarded ~~per cohort~~.

Value: 1 Gold medal ~~for each cohort (Spring and Fall)~~. Value estimated at \$100 each.

To: Students who are awarded the Robert W. Johnson C.A. Gold Medal in the graduating year of the MBA Program must graduate with Distinction. A Gold Medal will be awarded to the graduating student with the highest cumulative GPA, as calculated exclusively from MBA-program courses. Ivey outgoing Exchange students are eligible for the award. Joint degree students (e.g., MBA-LLB) are ineligible. In the event of a tie or ties, more than one medal may be awarded.



Value: 1 Gold medal. Value estimated at \$100.  
Effective: May 2013

Thomas P. Howard MBA Award (School of Graduate and Postdoctoral Studies, MBA)

Change in criteria from:

Awarded to a MBA student ~~from each cohort~~ for whom the Richard Ivey School of Business has helped bring about tremendous personal transformation. This student has demonstrated to Ivey's faculty, staff and students, personal growth and benefit from his or her Ivey education, School life and associated activities; is admired by his or her peers and faculty; and, is considered one of the class' most outstanding students (not necessarily defined as having achieved the highest academic standing). A committee composed of the first-year section heads of the MBA Program will be responsible for inviting nominations from fellow MBA students, staff and faculty, reviewing the nominations, and selecting the award winner. Nominations will be secured at least four weeks before convocation. A recipient will be announced at each MBA formal. Each award recipient will ~~be recognized with his or her name on a plaque located in Ivey's Corridor of Excellence, and will~~ receive a personal trophy commemorating the award.

To: Awarded to an MBA student for whom the Richard Ivey School of Business has helped bring about tremendous personal transformation. This student has demonstrated to Ivey's faculty, staff and students, personal growth and benefit from his or her Ivey education, School life and associated activities; is admired by his or her peers and faculty; and, is considered one of the class' most outstanding students (not necessarily defined as having achieved the highest academic standing). A committee composed of the first-year section heads of the MBA Program will be responsible for inviting nominations from fellow MBA students, staff and faculty, reviewing the nominations, and selecting the award winner. Nominations will be secured at least four weeks before convocation. A recipient will be announced at each MBA formal. Each award recipient will receive a personal trophy commemorating the award.

Effective: May 2013

David Clark MBA Award (School of Graduate and Postdoctoral Studies, MBA)

Change in criteria from:

Awarded to a student entering the MBA program based on financial need, demonstrated success both academically and professionally. Applications are available in the Admissions office of the Richard Ivey School of Business. This award is made possible by a generous donation made by David ~~Clarke~~ (MBA '66) through Foundation Western. Successful candidate must meet OSOTF eligibility requirements.

To: Awarded to a student entering the MBA program based on financial need, demonstrated success both academically and professionally. Applications are available in the Admissions office of the Richard Ivey School of Business. This award is made possible by a generous donation made by David **Clark** (MBA '66) through Foundation Western. Successful candidate must meet OSOTF eligibility requirements.

Effective: May 2013

Gilles Lamoureux MBA Award (School of Graduate and Postdoctoral Studies, MBA)

Change in criteria from:

Awarded to a student entering the MBA program based on financial need and who demonstrates success professionally and academically. Recipient must meet OSOTF eligibility criteria. Applications are available from the Admission Office of the Richard Ivey School of Business. These awards are made possible by the generous donations made by Gilles Lamoureux.

To: Awarded to a student entering the MBA program based on financial need and who demonstrates success professionally and academically. Recipient must meet OSOTF eligibility criteria. Applications are available from the Admission Office of the Richard Ivey School of Business. These awards are made possible by the generous donations made by Gilles Lamoureux (MBA '66) **with Foundation Western**.

Effective: May 2013

Michael Needham MBA Award (School of Graduate and Postdoctoral Studies, MBA)

Change in criteria from:

Awarded to a student entering the MBA program ~~and based on financial need, and~~ demonstrates success professionally and academically. Recipients must meet OSOTF eligibility requirements. The MBA Scholarship Committee of the Richard Ivey School of Business will review and select each award recipient. Application forms are available from the Admissions Office of the Richard Ivey School of Business. ~~These awards Office of the Richard Ivey School of Business. These awards are made~~



possible in recognition of a generous donation made by Michael Needham (MBA '68) through Foundation Western.

To: Awarded to a student entering the MBA program **who** demonstrates success professionally and academically, **based on financial need**. Recipients must meet OSOTF eligibility requirements. The MBA Scholarship Committee of the Richard Ivey School of Business will review and select each award recipient. Application forms are available from the Admissions Office of the Richard Ivey School of Business. These awards are made possible in recognition of a generous donation made by Michael Needham (MBA '68) through Foundation Western.  
Effective: May 2013

The Erwin Oosterman Memorial Bursary (School of Graduate and Postdoctoral Studies, MBA)

Change in value from: \$800-00 annually

To: 1 at \$800

Effective: May 2013

Robert and Lila Bristow Bursary (Any Undergraduate Program)

Change in name and criteria from:

~~Awarded in odd-numbered years to a student in any year of the Doctor of Medicine (MD) program with demonstrated financial need, who is a graduate of an Elgin County High School; and in even-numbered years to a student in any other UWO program with demonstrated financial need who is a graduate of an Elgin County High School. If no student in the Doctor of Medicine (MD) program meets the criteria in an odd-numbered year, the bursary may be awarded annually to a full-time undergraduate student in any other UWO program who is a graduate of an Elgin County High School. Established through Foundation Western by the family of Robert (Meds'29) and Lila Bristow with the support of the Elgin County Branch of the UWO Alumni Association.~~

To: **Elgin County Western Alumni** Bursary

Awarded annually to a full-time undergraduate student in any program who is a graduate of an Elgin County high school, **with demonstrated financial need. Online financial assistance applications are available through the Office of the Registrar's Web site and must be submitted by October 31. The Registrar's Office will select the recipients. This bursary was** established with Foundation Western by **generous** support **from members** of the Elgin County Branch of the Alumni Association.

*The Elgin County Branch of Western's Alumni Association hosts one of Western's longest-running alumni events – The Elgin County Dinner Picnic. The Picnic is a casual event designed to bring together alumni who live in Elgin County to celebrate their affiliation to Western. Anecdotal evidence suggests that the tradition of the annual picnic began in 1927. Since 2007, funds raised at the Picnic go directly to support the Elgin County Western Alumni Bursary. The Elgin Branch received the 2010 Spirit of Western Award.*

Effective: 2013-2014 academic year

Frances Weir Scholarship (Any Undergraduate Program)

Change in value from: 1 at \$500

To: Up to 3 at \$500 each

Effective: 2012-2013 to 2013-2014 academic year (with number of scholarships to be reviewed thereafter)

Fasken Martineau DuMoulin LLP Award in Administrative Law (Faculty of Law)

Change in value from: a maximum of 2 awards, up to a combined total of \$500

To: 1 award at \$500

Effective: 2012-13 to 2017-2018 academic years inclusive

The Aird and Berlis Award in Contracts (Faculty of Law)

Change in value from: 1 at \$500

To: 1 at \$1,500

Effective: 2013-2014 to 2017-2018 academic years inclusive

Evanov Radio Group Music Entrance Scholarship (Don Wright Faculty of Music)

Change in value from: 1 at \$1,826

To: 1 at \$1,800

Effective 2013-2014 academic year (will revert back to 1 at \$1,826 for 2014-2015 to 2016-2017 academic years inclusive)

Western University/FIRST Robotics Engineering Scholarship (Faculty of Engineering)

Change in effective date from: 2013-2014 to 2017-2018 academic years inclusive

To: 2014-2015 to 2018-2019 academic years inclusive

Dr. Brian Thicke Award in Family Medicine (Schulich School of Medicine & Dentistry, Family Medicine)

Change in criteria from:

Awarded annually to a student in Year 3 of the Doctor of Medicine (MD) program who is interested in practicing Family Medicine in a rural or non-academic teaching environment. Applicants are asked to submit a one-page statement to the Department of Family Medicine by September 4. The statement will outline the applicant's interest in Family Medicine and potential to practice the core values of the traditional family physician (caring, compassionate and patient-centered), as well as how the applicant views her/his role as a leader in prevention and early detection as part of a wider health-care team. The Chair of Family Medicine, in consultation with a committee, will review the one-page statements and provide a short-list of finalists from which the Progression, Awards & Appeals Committee will select the recipient. This award was established by Mr. Alan Thicke (BA'67), Dr. Joanne Thicke (BA'77) and Mr. Todd Thicke (BA'78), in honour of their father, Dr. Brian Thicke (MD'56).

To: Awarded annually **at the end of the Year 3 clinical clerkship to an undergraduate** Doctor of Medicine (MD) **student** who is interested in practicing Family Medicine in a rural or non-academic teaching environment. Applicants are asked to submit a one-page statement to the Department of Family Medicine by **August 25**. The statement will outline the applicant's interest in Family Medicine and potential to practice the core values of the traditional family physician (caring, compassionate and patient-centered), as well as how the applicant views her/his role as a leader in prevention and early detection as part of a wider health-care team. The Chair of Family Medicine, in consultation with a committee, will review the one-page statements and provide a short-list of finalists from which the Progression, Awards & Appeals Committee will select the recipient. This award was established by Mr. Alan Thicke (BA'67), Dr. Joanne Thicke (BA'77) and Mr. Todd Thicke (BA'78), in honour of their father, Dr. Brian Thicke (MD'56).

Effective: 2013-2014 to 2022-2023 academic years inclusive

Cobban Student Award in Heart and Stroke Research (School of Graduate and Postdoctoral Studies, Medicine)

Change in criteria and value from:

Awarded to a full-time doctoral student who is working with a Robarts Research Institute scientist and conducting research in the area of heart and stroke disease, and who is registered in one of the following graduate programs in the Schulich School of Medicine & Dentistry: Anatomy & Cell Biology, Biochemistry, Epidemiology & Biostatistics, Medical Biophysics, Microbiology & Immunology, Pathology, Physiology & Pharmacology, and Neuroscience. Selection will be based on excellence in research. Academic achievement will also be considered, but a candidate's record of research is of primary importance. Candidates must have at least one year remaining for the completion of their degree to be eligible to apply. (Doctoral candidates are eligible for funding up to a maximum of twelve terms, unless they are a transfer student or direct-entry student who can receive funding up to fifteen consecutive fulltime terms).

Candidates must apply with a CV giving a full description of their record in research, as indicated by published papers, posters and presentations at meetings, conference awards, etc. Students must also make arrangements for a graduate transcript, as well as a confidential letter of support from their supervisor, to be sent to their Graduate Program Chair. The Graduate Chair of each relevant graduate program will nominate one candidate for their program and submit the nomination to the Schulich Research Office by the first Monday in May. A committee consisting of the Chairs of the above-mentioned graduate programs and chaired by the Associate Dean, Research (Graduate and Postdoctoral Studies), will consider the nominees and select the recipient.

This award was established by a generous donation from Audrey and Delmar Cobban with matching funds from the Robarts Research Institute.

Value: 1 at \$5,000

To: Awarded to a full-time doctoral student who is working with a Robarts Research Institute scientist and conducting research in the area of heart and stroke disease, and who is registered in one of the following graduate programs in the Schulich School of Medicine & Dentistry: Anatomy & Cell Biology, Biochemistry, Epidemiology & Biostatistics, Medical Biophysics, Microbiology & Immunology, Pathology, Physiology & Pharmacology, and Neuroscience. Selection will be based on excellence in research. Academic achievement will also be considered, but a candidate's record of research is of primary importance. Candidates must have at least one year remaining for the completion of their degree to be eligible to apply. (Doctoral candidates are eligible for funding up to a maximum of twelve terms, unless they are a transfer student or direct-entry student who can receive funding up to fifteen consecutive fulltime terms).

Candidates must apply with a CV giving a full description of **the student's** record in research, as indicated by published papers, posters and presentations at meetings, conference awards, etc. Students must also make arrangements for a graduate transcript, as well as a confidential letter of support from their supervisor, to be sent to their Graduate Program Chair. The Graduate Chair of each relevant graduate program will nominate one candidate for their program and submit the nomination to the Schulich Research Office by the first Monday in May. A committee consisting of the chairs of the above-mentioned graduate programs and chaired by the Associate Dean, Research (Graduate and Postdoctoral Studies) will consider the nominees and select the recipient.

This award was established by a generous donation from Audrey and Delmar Cobban with matching funds from the Robarts Research Institute.

Value: 1 at **\$1,500**

Effective: May 2013

Michael Bean Society of Actuaries' Undergraduate Scholarship (Faculty of Science, Statistical and Actuarial Science)

Change in value and effective date from: 1 at \$1,000

Effective: 2008-2009 to 2012-2013 academic years inclusive. This five-year term will be extended based on funds available as of May 2013.

To: 1 at \$1,849

Effective: 2013-2014 to 2015-2016 academic years inclusive

Michael Bean Society of Actuaries' Graduate Scholarship (School of Graduate and Postdoctoral Studies, Statistical and Actuarial Science)

Change in value and effective date from: 1 at \$1,000

Effective: 2008-2009 to 2012-2013 academic years inclusive.

To: 1 at \$2,183

Effective: May 2013 to April 2016 inclusive

Verna J. Pierce Bursary (Any Undergraduate Program)

Change in value and effective date from : 1 at \$400

Effective: 2012-2013 academic year only

To: 1 at \$563

Effective: 2013-2014 academic year only (to be reviewed again for 2014-2015)

Jake Greydanus Applied Mathematics Prize (Faculty of Science, Applied Mathematics)

Change in criteria from:

Awarded to the student with the highest combined average in Calculus ~~250a~~ and ~~254b~~. Established by family, friends and colleagues in memory of Jake Greydanus.

To: Awarded to the student with the highest combined average in Calculus **2502a/b** and **2503a/b**. Established by family, friends and colleagues in memory of Jake Greydanus.

Effective: 2013-2014 academic year

Randal Cole Scholarship In Mathematics (Faculty of Science, Mathematics)

Change in criteria from:

Awarded annually to a student entering second year of an Honors Specialization in Mathematics (preferred) or a double Major in an Honors degree that includes Mathematics who, in the opinion of the Mathematics Department, has the best academic performance. The student must obtain an 80% in Calculus 050a/b and 051a/b.

To: Awarded annually to a student entering second year of an Honors Specialization in Mathematics (preferred) or a double Major in an Honors degree that includes Mathematics who, in the opinion of the Mathematics Department, has the best academic performance. The student must obtain an 80% in Calculus **1000A/B or 1500A/B and Calculus 1501A/B.**

Effective: 2013-2014 academic year

Faculty of Education Student Council Award (Faculty of Education)

Change in effective date from: 2013-2014 academic year

To: 2012-2013 academic year

Granger Award in Family Law (Faculty of Law)

Change in value from: a maximum of 4 awards, up to a combined total of \$500.

To: 1 at \$500

Effective: 2013-2014 academic year

The Dr. Sherwood Fox Organ Fellowship (School of Graduate and Postdoctoral Studies, Music)

Change in name and criteria from:

Awarded to a graduate music student who is applying to the U.W.O. Faculty of Music to study organ, based on the best performance of all who apply.

To: Dr. Sherwood Fox **Music** Fellowship

Awarded to a graduate music student who is applying to the **Don Wright** Faculty of Music to study **keyboard**, based on the best performance of all who apply. **The Graduate Scholarship Committee in the Faculty of Music will select the recipient. At least one member of the committee must hold membership in the School of Graduate and Postdoctoral Studies.**

Effective: May 2013

David L. Johnston Prize in Securities Regulation (Faculty of Law)

Change in value from: a maximum of 2 awards, up to a combined total of \$175

To: 1 at \$175

Effective: 2013-2014 academic year

Paul Bradley Award in Real Estate Law (Faculty of Law)

Change in value from: a maximum of 4 awards, up to a combined total of \$350

To: 1 at \$350

Effective: 2013-2014 academic year

Gretta J. Grant Prize in Community Legal Service (Faculty of Law)

Change in value from: a maximum of 3 awards, up to a combined total of \$175

To: 1 at \$175

Effective: 2013-2014 academic year

Brad Hodgson Civil Litigation Award (Faculty of Law)

Change in value from: A maximum of 3 awards up to a combined total of \$500

To: 1 at \$500

Effective: 2013-2014 academic year

Thorsteinssons LLP Award in International Tax (Faculty of Law)

Change in criteria from:

Awarded annually to the student(s) who achieve the highest standing in International Tax. The prize is sponsored by the Vancouver/Toronto law firm Thorsteinssons LLP.

To: Awarded annually to the student who achieves **s** the highest standing in **the course "International Tax"**. **If "International Tax" is not offered, the award will go to a student who achieves the highest standing in "Taxation of Corporations & Shareholders". The scholarship and awards committee in the Faculty of Law will select the recipient. This award** is sponsored by the Vancouver/Toronto law firm Thorsteinssons LLP.

Effective: 2013-2014 to 2017-2018 academic years inclusive

Brown & Korte Barristers Scholarship in Insurance (Faculty of Law)

Change in name and criteria from:

Awarded annually to the undergraduate student in the Faculty of Law who achieves the highest mark in courses related to Insurance Law. The recipient will be identified by the Scholarship and Awards Committee in the Faculty of Law at the end of the school year each Spring. This award was established by Brown & Korte Barristers.

To: Brown & **Partners LLP** Scholarship in Insurance

Awarded annually to the undergraduate student in the Faculty of Law who achieves the highest mark in courses related to Insurance Law. The recipient will be identified by the Scholarship and Awards Committee in the Faculty of Law at the end of the school year each Spring. This award was established by Brown & **Partners LLP**.

Effective: 2013-2014 to 2014-2015 academic years inclusive

Criminal Lawyers' Association Prize in Criminal Law (Faculty of Law)

Change in value from: 1 at \$500

To: 2 at \$250

Effective: 2012-2013 academic year (will revert back to 1 at \$500 for 2013-2014 academic year)

Dr. D.G. Montemurro Prize (School of Graduate and Postdoctoral Studies, Anatomy & Cell Biology)

Change in criteria from:

Awarded annually to the ~~full-time~~ student in the ~~Department of Anatomy & Cell Biology (Schulich School of Medicine & Dentistry)~~ who achieves the highest standing in ~~Neuroanatomy (minimum mark 80%)~~. Established by colleagues, students, friends and the Faculty of Health Sciences.

Effective: 2008-2009 to 2016-2017 academic years only

To: Awarded annually to the **graduate** student in Anatomy & Cell Biology who achieves the highest standing in **Neuroscience for Rehabilitative Science**. **The Anatomy & Cell Biology Graduate Affairs Committee, with at least one representative from the School of Graduate and Postdoctoral Studies, will select the recipient. This Prize was established with Foundation Western** by colleagues, students, friends and the Faculty of Health Sciences, **to honour Dr. D.G. Montemurro**.

Value: 1 at \$250

Effective: May 2013 to April 2021 only

RTO District 8 Student Awards for Excellence in Practicum Teaching (Faculty of Education)

Change in effective date from: 2011-2012 academic year only (value to be revisited for 2012-2013)

To: 2012-2013 to 2014-2015 academic years inclusive

Ogilvy Renault LLP Award (Faculty of Law) [This award was previously discontinued]

Change in name and criteria from:

Awarded to a student entering second year of study in the Faculty of Law who has achieved academic excellence in the first year of law school. Only students on the Dean's Honor List will be eligible to apply. The student also must have contributed to the academic life of the school and demonstrated an ability to work well with others. Application forms are available from the Office of the Associate Dean (Academic) in the Faculty of Law and must be accompanied by a short statement (~~maximum 500 words~~), both of which are due by April 4. Nominations from faculty and students will be solicited also. Final selection will be made by the Scholarship Committee in the Faculty of Law. This award ~~has been created by Ogilvy Renault LLP~~.

Value: 1 at \$5,000  
Effective: 2006-2007 to 2010-2011

To: **Norton Rose Fulbright Canada** LLP Award

Awarded to a student entering second year of study in the Faculty of Law who has achieved academic excellence in the first year of law school. Only students on the Dean's Honor List will be eligible to apply. The student also must have contributed to the academic life of the school and demonstrated an ability to work well with others. Application forms are available from the Office of the Associate Dean (Academic) in the Faculty of Law and must be accompanied by a one-page statement, both of which are due by **June 30**. Nominations from faculty and students will also be solicited. Final selection will be made by the Scholarship Committee in the Faculty of Law. This award **was made possible** by **Norton Rose Fulbright Canada** LLP.

Value: 1 at \$5,000  
Effective: 2013-2014 to 2017-2018 academic years inclusive

Donald P. Morris Engineering Award (Faculty of Engineering)

Change in effective date from: 2010-11 to 2011-2012 academic years inclusive

To: 2012-2013 to 2016-2017 academic years inclusive

The Earle F. Zeigler Scholarship in the Faculty of Kinesiology (School of Graduate and Postdoctoral Studies, Kinesiology)

Change in name to: Earle F. Zeigler Scholarship in Kinesiology

Effective: May 2013

Gray Creative Arts Award in Visual Arts (Faculty of Arts and Humanities)

Change in value from: 1 at \$2,000

Change to: 1 at \$1,740

Effective: 2013-2014 academic year only (value to be reviewed thereafter)

Endla Gilmour 125th Anniversary Alumni Award (Faculty of Health Sciences, Nursing)

Change in value from: 2 at \$1,150, continuing for 3 years

To: 2 at \$1,500, continuing for 3 years

Effective: 2013-2014 academic year

John Findlay Varsity Volleyball Scholarship (Any Undergraduate Program, including or Affiliated University Colleges **Student** – Athletic Award[Volleyball])

Change in effective date from: 1 at \$3,500 from 2008-2009 to 2012-2013 and then 1 at \$1,125 each year thereafter

To: 1 at \$3,500 from 2008-2009 to 2015-2016 and then 1 at \$1,125 each year thereafter

Effective: 2013-2014 academic year

Sydenham Hall - Jean Dunlop Residence Bursaries (Any Undergraduate Program)

Change in value from: 7 at \$1,000

To: 8 at \$1,000

Effective: 2013-2014 academic year

Dr. William R. Code Scholarship in the Financial Feasibility of Urban Development (Faculty of Social Science, Geography)

Change in value from: 1 at \$1,000

To: 1 at \$1,100

Effective: 2013-2014 academic year

Allen K. Philbrick PhD Scholarship in Cultural Geography (School of Graduate and Postdoctoral Studies, Geography)

Change in value from: 1 at \$1,100

To: 1 at \$1,200

Effective: May 2013

Jane Plas International Student Award (Any Undergraduate Program)

Change in value from: 1 at \$2,500



To: 1 at \$5,000  
Effective: 2013-2014 academic year

Sylvia Cann Memorial Awards (Faculty of Education)

Change in name and value from: 2 at \$550

To: Sylvia Cann Memorial Award

Value: 2 at \$700

Effective: 2013-2014 academic year

Faculty Dependents' Tuition Scholarship Plan for Non-UWO Students

Change in criteria and value from:

Spouses and dependent children of Faculty members who hold a regular full-time continuing appointment are eligible to receive the tuition scholarship for university courses taken for credit towards a degree (undergraduate, professional or graduate) at a recognized university, national or international. Non-Canadian universities must be recognized as having university standing by Western Admissions. Tuition scholarships are available for courses in a formal program of study leading to a degree or diploma at a community college that is a member of the Association of Canadian Community Colleges. Qualified students may receive the scholarship for a maximum of four years ~~20.0 courses or equivalent~~.

Upgrading courses at the Faculty of Education are not eligible. Applications can be downloaded from the Office of the Registrar's Web site and submitted to Student Financial Services by June 30.

Value: up to ~~\$3,600~~

To: Spouses and dependent children of Faculty members who hold a regular full-time continuing appointment are eligible to receive the tuition scholarship for university courses taken for credit towards a degree (undergraduate, professional or graduate) at a recognized university, national or international. Non-Canadian universities must be recognized as having university standing by Western Admissions. Tuition scholarships are available for courses in a formal program of study leading to a degree or diploma at a community college that is a member of the Association of Canadian Community Colleges. Qualified students may receive the scholarship for a maximum of four years **full-time or equivalent (20.0 courses)**. Upgrading courses at the Faculty of Education are not eligible. **Please refer to the application for full terms and eligibility criteria.** Applications can be downloaded from the Office of the Registrar's Web site and **must be** submitted to Student Financial Services by June 30.

Value: up to **\$4,000**

Faculty Dependents' Tuition Scholarship Plan for UWO Students

Change in criteria and value from:

Spouses and dependent children of Faculty members who hold a regular full-time continuing appointment are eligible to receive the tuition scholarship for university courses taken for credit towards a degree (undergraduate, professional or graduate) at a recognized university, national or international. Non-Canadian universities must be recognized as having university standing by Western Admissions. Tuition scholarships are available for courses in a formal program of study leading to a degree or diploma at a community college that is a member of the Association of Canadian Community Colleges. Qualified students may receive the scholarship for a maximum of four years ~~20.0 courses or equivalent~~. Upgrading courses at the Faculty of Education are not eligible. Applications can be downloaded from the Office of the Registrar's Web site and submitted to Student Financial Services by June 30.

Value: up to \$3,600

To: Spouses and dependent children of Faculty members who hold a regular full-time continuing appointment are eligible to receive the tuition scholarship for university courses taken for credit towards a degree (undergraduate, professional or graduate) at a recognized university, national or international. Non-Canadian universities must be recognized as having university standing by Western Admissions. Tuition scholarships are available for courses in a formal program of study leading to a degree or diploma at a community college that is a member of the Association of Canadian Community Colleges. Qualified students may receive the scholarship for a maximum of four years **full-time or equivalent (20.0 courses)**. Upgrading courses at the Faculty of Education are not eligible. **Please refer to the application for full terms and eligibility criteria.** Applications can be downloaded from the Office of the Registrar's Web site and must be submitted to Student Financial Services by June 30.

Value: up to \$4,000

Librarian/Archivists Dependents' Tuition Scholarship Plan

Change in criteria and value from:

Spouses and dependent children of Librarians and Archivists who hold probationary or continuing appointments are eligible to receive the tuition scholarship for university courses taken for credit towards a degree (undergraduate, professional or graduate) at a recognized university, national or international. Non-Canadian universities must be recognized as having university standing by Western Admissions. Tuition scholarships are available for courses in a formal program of study leading to a degree or diploma at a community college that is a member of the Association of Canadian Community Colleges. Qualified students may receive the scholarship for a maximum of four years full-time or equivalent (20 ~~full-time~~ courses). Upgrading courses at the Faculty of Education are not eligible. Applications can be downloaded from the Office of the Registrar web site and submitted to Student Financial Services by June 30~~th~~.  
Value: up to \$3,600

To: Spouses and dependent children of Librarians and Archivists who hold probationary or continuing appointments are eligible to receive the tuition scholarship for university courses taken for credit towards a degree (undergraduate, professional or graduate) at a recognized university, national or international. Non-Canadian universities must be recognized as having university standing by Western Admissions. Tuition scholarships are available for courses in a formal program of study leading to a degree or diploma at a community college that is a member of the Association of Canadian Community Colleges. Qualified students may receive the scholarship for a maximum of four years full-time or equivalent (20 courses). Upgrading courses at the Faculty of Education are not eligible. **Please refer to the application for full terms and eligibility criteria.** Applications can be downloaded from the Office of the Registrar's Web site and **must be** submitted to Student Financial Services by June 30.

Value: up to **\$4,000**

Leonard J. Carter Bursary in Law (Faculty of Law)

Change in value from: \$400

To: \$450

Effective: 2013-2014 academic year

**The following scholarship is being reinstated. It is FUNDED by the OPERATING budget and is for information only:**

Shad Valley Ivey HBA Scholarship (Richard Ivey School of Business)

Awarded to full-time students entering the first year of HBA at the Richard Ivey School of Business who are graduates of the Shad Valley program. The recipients will have demonstrated outstanding academic quality (minimum 80% average in first two years of university), and have exceptional involvement in extra-curricular activities and leadership experience as determined by the HBA Scholarship Review Committee based on the students' application to the HBA Program. The award will continue in Year Two provided the candidates maintain an average of 75% or higher and continue to be involved in extra-curricular activities.

Value: 2 at \$2,500 per year, continuing

Effective: 2013-2014 academic years

Garnet Alexander Woonton Scholarship (Faculty of Science)

Change in criteria and value from:

Awarded annually to a full-time undergraduate student registered in the Faculty of Science in any year beyond year one, who is enrolled in an Honors Specialization, Major, or Specialization in Applied Mathematics, Mathematics, Physics and Astronomy or Chemistry. Candidates must have a minimum 85% average and demonstrate financial need. Applications can be obtained from the Office of the Registrar and must be submitted by September 30. Selection of the recipient will be made by the Office of the Registrar. This scholarship was established through Foundation Western by J. David Jackson (BSC '46, DSC '89) in memory of Professor Gar Woonton who served the UWO Physics Department from 1931 to 1948 before going to McGill and later Laval. Gar Woonton returned to Western in 1974, and was an Honorary Professor until his death in 1980.

Value: 1 @ \$1,500

To: Awarded annually to a full-time undergraduate student registered in the Faculty of Science in any year beyond year one, who is enrolled in an Honors Specialization, Major, or Specialization in Applied

Mathematics, Mathematics, Physics and Astronomy or Chemistry. Candidates must have a minimum 85% average and demonstrate financial need. Online financial assistance applications can be obtained through the Office of the Registrar's website and must be submitted by September 30. Selection of the recipient will be made by the Office of the Registrar. This scholarship was established with Foundation Western by Prof. John David Jackson (BSC '46, DSC '89) in memory of Prof. Gar Woonton who served in the UWO Physics Department from 1931 to 1948 before going to McGill and later Laval. Gar Woonton returned to Western in 1974, and was an Honorary Prof. until his death in 1980.

Value: 1 at \$2,000

Effective: 2013-2014 academic year

Ray Leroi Allen Scholarship (Faculty of Science)

Change in criteria and value from:

Awarded annually to a full-time undergraduate student registered in the Faculty of Science in any year beyond year one, who is enrolled in an Honors Specialization, Major, or Specialization in Applied Mathematics, Mathematics, Physics and Astronomy or Chemistry. Candidates must have a minimum 85% average and demonstrate financial need. Applications can be obtained from the Office of the Registrar and must be submitted by September 30. Selection of the recipient will be made by the Registrar's Office. This scholarship was established through Foundation Western by J. David Jackson (BSC '46, DSC '89) to honor Professor Ray Leroi Allen who served for 53 years in the UWO Physics Department.

1 at \$1,500

To: Awarded annually to a full-time undergraduate student registered in the Faculty of Science in any year beyond Year 1, who is enrolled in an Honors Specialization, Major, or Specialization in Applied Mathematics, Mathematics, Physics and Astronomy or Chemistry. Candidates must have a minimum 85% average and demonstrate financial need. Online financial assistance applications can be obtained from the Office of the Registrar's website and must be submitted by September 30. Selection of the recipient will be made by the Office of the Registrar. This scholarship was established with Foundation Western by Prof. John David Jackson (BSC '46, DSC '89) to honor Prof. Ray Leroi Allen who served for 53 years in the Physics Department at Western.

Value: 1 at \$2,000

Effective: 2013-2014 academic year

Lillian Margaret & Walter David Jackson Scholarship in Physics (School of Graduate and Postdoctoral Studies, Science)

Change in criteria and value from:

The Scholarship was established by Dr. John David Jackson in memory of his parents Lillian Margaret and Walter David Jackson to reward the academic excellence of students entering the graduate program in the Department of Physics. Awarded annually to a meritorious graduate student in the Department of Physics. Preference will be given to incoming graduate students. The selection will be made by the Department of Physics. Consult the Graduate Program Office, Department of Physics and Astronomy for application procedure.

Value: 1 at \$1,500

To: Awarded annually to a meritorious graduate student in the Department of Physics with preference given to incoming graduate students. Candidates must consult the Graduate Program Office, Department of Physics and Astronomy for application procedure. The selection will be made by the Department of Physics. At least one member of the Selection Committee must be a member of the School of Graduate and Postdoctoral Studies. This scholarship was established with Foundation Western by Prof. John David Jackson (BSC '46, DSC '89) in memory of his parents, Lillian Margaret and Walter David Jackson, to reward the academic excellence of students entering the graduate program in the Department of Physics.

Value: 1 at \$3,000

Effective: May 2013

Honourable Mr. Justice R. Dan Cornell Prize in Aviation Law (Faculty of Social Science, Management and Organizational Studies)

Change in effective date from: 2012-2013 academic year

To: 2013-2014 to 2015-2016 academic years inclusive

Walter Teteruck Bursary (Schulich School of Medicine & Dentistry, Dentistry)

Change in criteria from:

Awarded to a student in the undergraduate Dentistry program who demonstrates financial need. This bursary was established through Foundation Western by the Class of Dentistry '73 and the Class of Dentistry '77, staff, friends and family of Dr. Walter Teteruck on the occasion of his retirement from the School of Dentistry in June 1999.

~~This bursary was previously approved and contains matching funds through the OSOTF program.~~

To: Awarded to a student in the undergraduate Dentistry program who demonstrates financial need. This bursary was established with Foundation Western by the Class of Dentistry '73 and the Class of Dentistry '77, staff, friends and family of Dr. Walter Teteruck on the occasion of his retirement from the School of Dentistry in June 1999.

***This bursary has benefited from proceeds from the Ontario Student Opportunity Trust Fund (OSOTF) and recipients must meet Ontario residency requirements.***

Effective: 2013-2014 academic year

Leslie Petter Women's Basketball Memorial Award (Any Undergraduate, Graduate or Affiliated University College Student - Athletic Award [Women's Basketball])

Change in value from: 1 at \$1,000

To: 1 at \$4,000

Effective: 2013-2014 to 2017-2018 academic year inclusive (with value to be reviewed thereafter)

Doug Needham Memorial OSOTF Bursaries (Any undergraduate faculty)

Change in name and value from: 3 at \$500

To: Doug Needham Memorial OSOTF Bursary

Value: 2 at \$600

Effective 2013-2014 academic year

Doug Needham Memorial Bursary (Any Undergraduate Faculty)

Change in value from: 3 at \$700

To: 3 at \$600

Effective 2013-2014 academic year

Audrey Amo Global Opportunities Award in Classical Studies (Faculty of Arts and Humanities, Classical Studies)

Change in criteria and effective date from:

Awarded to a full-time student enrolled in Classical Studies in the Faculty of Arts and Humanities with preference to a student who is participating in an international exchange or study-abroad program (including the Vindolanda Field School). This includes exchange programs; approved study-abroad programs; curriculum-based international field courses, international study, or international community service; internships; and other University led international credit or non-credit learning experiences. Students must submit a one-page statement outlining what they expect to learn through their program of study and how they will be an effective Ambassador for Western. Only students who have been approved for any of the above-listed programs and who are registered at the constituent University will be considered. Students must have completed their prescribed academic program the previous year and currently be registered in a full-time course load (minimum 3.5 full courses). Applications are available from the International Exchange Student Centre and must be submitted along with the statement described above. Transcripts are required for students who studied elsewhere in their previous academic year. Applications are due on either November 1 or March 1, whichever is most applicable to the desired program. Receipt of the award will be based on the candidate's acceptance into the specified study-abroad program, although students may apply in advance of acceptance. This award was established by a generous gift from Audrey Amo (BA'69, MA'70, Classical Studies).

Effective: 2011-2012 academic year only

To: Awarded to a full-time student enrolled in **any** Classical Studies **program (Honors, Major or Minor)** in the Faculty of Arts and Humanities **or the Faculty of Social Science**, who is participating in a **Western University** international exchange or study-abroad program **with preference given to a student who is attending** the Vindolanda Field School. This includes **academic** exchange programs; approved study-abroad programs; curriculum-based international field courses/**research, international community service learning; volunteer opportunities and internships led by Western University.**

**Students participating in any of the above listed programs who are registered at the constituent University may be considered.** Students must have completed their prescribed academic program the previous year and currently be registered in a full-time course load (minimum 3.5 full courses). **Students may apply for this award in advance of being accepted into an eligible international learning program with receipt of the award contingent upon acceptance into the program. Students may only receive a Global Opportunities award once during their academic career at Western.**

**Online applications are available on the Global Opportunities website, Western International. Transcripts are required for students who studied elsewhere in their previous academic year. Applications are due on March 15 (for decisions in early May). Students will be selected based on a combination of academic achievement, as well as a statement outlining how this experience will contribute to their development as a global citizen, what they expect to learn through their program of study and how they will be an effective Ambassador for Western.** This award was established by a generous gift from **Ms** Audrey Amo (BA'69, MA'70, Classical Studies).

Effective: 2013-2014 to 2017-2018 academic years inclusive

Drs. Richard Rankin and **Jainarayan** Singh Diagnostic Radiology Resident Research Award (Schulich School of Medicine & Dentistry, Diagnostic Radiology and Nuclear Medicine)

Change in name and criteria from:

Awarded to a resident in the Department of Diagnostic Radiology and Nuclear Medicine at Western who, in the opinion of the selection committee, has completed, or is near completion of, a research project of exceptional quality. Evidence of quality: the work should have been presented at a previous resident research day, and/or elsewhere. Preference will be given to individuals whose research has been awarded "special mention".

Potential recipients will complete a written application by March 31<sup>st</sup> each year and submit it to the Department Chair. Applications must include: 1) a copy of a paper submitted for publication, or abstract submitted for presentation, and, 2) a statement of career objectives, particularly as they relate to research.

The final decision as to the recipient of the award will be made by the "Rankin-Singh Resident Award Committee" consisting of: the Resident Research Director and a scientist and radiologist chosen by the members of the Resident Committee.

The award will be granted each year at the Residents' Annual Research Day. This award was established by ~~Drs. Rankin and Singh~~ through Foundation Western.

To: Drs. Richard Rankin and **Jainarayan** Singh Diagnostic Radiology Resident Research Award

Awarded to a resident in the Department of Diagnostic Radiology and Nuclear Medicine at Western who, in the opinion of the selection committee, has completed, or is near completion of, a research project of exceptional quality. Evidence of quality: the work should have been presented at a previous resident research day, and/or elsewhere. Preference will be given to individuals whose research has been awarded "special mention."

Potential recipients will complete a written application by March 31 each year and submit it to the Department Chair. Applications must include: 1) a copy of a paper submitted for publication, or abstract submitted for presentation, and, 2) a statement of career objectives, particularly as they relate to research.

The final decision as to the recipient of the award will be made by the "Rankin-Singh Resident Award Committee" consisting of: the Resident Research Director and a scientist and radiologist chosen by the members of the Resident Committee.



The award will be granted each year at the Residents' Annual Research Day. This award was established through Foundation Western **by Dr. Singh in honor of Dr. Richard Rankin, Chair of the Department of Diagnostic Radiology and Nuclear Medicine.**

The Honourable Company of Freeman of the City of London, England Scholarship (School of Graduate and Postdoctoral Studies; Any Undergraduate Faculty)

Change in effective date from: 2007-2008 to 2012-2013

To: 2013-2014 to 2016-2017 academic years inclusive

Stephen Kaganov Award (Faculty of Social Science, History)

Change in criteria from:

Awarded annually to a full-time undergraduate student in any year of the Jewish Studies program (constituent University only) who has demonstrated financial need. Preference will be given to a student with a Jewish Studies' Major or Minor, or who is taking any course within the program. The Online Financial Assistance Application can be accessed through the Office of the Registrar's Web site and must be submitted by September 30. The recipient will be selected by the Scholarship and Awards Committee in the Department of History after the Registrar's Office assesses financial need. This award was established through the generosity of Mr. Stephen B. Kaganov, BSc '75, through Foundation Western.

~~Mr. Kaganov's gift is eligible for inclusion in the Ontario Trust for Student Support (OTSS) program. Under the OTSS program, the Province of Ontario may provide a dollar for dollar match over time for endowed gifts directed to student bursaries or financial need-based awards and scholarships. Fulfillment and timing of the provincial match are not guaranteed by the University and/or Foundation Western. Recipients must meet Ontario residency requirements. If the OTSS match is realized, the number and/or value of the award will be increased accordingly.~~

To: Awarded annually to a full-time undergraduate student in any year of the Jewish Studies program (constituent University only) who has demonstrated financial need. Preference will be given to a student with a Jewish Studies' Major or Minor, or who is taking any course within the program. The Online Financial Assistance Application can be accessed through the Office of the Registrar's Web site and must be submitted by September 30. The recipient will be selected by the Scholarship and Awards Committee in the Department of History after the Registrar's Office assesses financial need. This award was established through the generosity of Mr. Stephen B. Kaganov, BSc '75, with Foundation Western.

Effective: 2013-2014 academic year

Wilbert (Bill) H. Hopper MBA '59 Scholarship (School of Graduate and Postdoctoral Studies, MBA)

Change in value from: 1 @ 17,000

To: 1 at \$17,860

Effective: May 2013 – April 2014 only

Advancing Canadian Entrepreneurship – ACE (Richard Ivey School of Business)

Change in name and criteria from:

Awarded to students entering HBA 1 at the Richard Ivey School of Business with a minimum 70% average and financial need. The Office of the Registrar will determine financial need and the HBA Scholarship Committee will make the final selection of the recipients. Preference will be given to students who have been members of ACE Canada. The award will be renewed for Year 2 ~~students who successfully complete Year 1,~~ continue to demonstrate financial need, and enroll in one of the Entrepreneurial courses offered in HBA 2. Applications for this award can be obtained from the HBA Program Services Office at the Richard Ivey School of Business. Applications must be received by January 31. This award was established with a generous gift from Mr. Ian Aitken, HBA '87.

To: Enactus Canada Entrepreneurship HBA Award

Awarded to ~~a~~ student entering HBA1 at the Richard Ivey School of Business with a minimum 70% average and **demonstrated** financial need. The Office of the Registrar will determine financial need and the HBA Scholarship Committee will make the final selection of the recipient. Preference will be given to ~~a~~ student who **is or has** been ~~a~~ member of **Enactus** Canada (**formerly Advancing Canadian Entrepreneurship – ACE**). The award will be renewed for Year 2 **if the recipient** continues to demonstrate financial need, and enrolls in one of the Entrepreneurial courses offered in HBA 2. Applications for this award can be obtained from the HBA Program Office at the Richard Ivey School of



Business. Applications must be received by January 31. This award was established with a generous gift from Mr. Ian Aitken, HBA '87.

Effective: 2013-2014 to 2022-2023 academic years inclusive

A.M.F.G. Nursing Ontario Graduate Scholarships (School of Graduate and Postdoctoral Studies, Nursing)

Change in effective date from: May 2012 to April 2013

To: May 2013

A.M.F.G. Award in Nursing (Faculty of Health Sciences, Nursing)

Change in value from: 1 at \$3,000, continuing for 4 years

To: 1 at \$3,500, continuing for 4 years

Effective: 2013-2014 academic year

I.A.M. Award in the School of Nursing (Faculty of Health Sciences, Nursing)

Change in value from: 1 at \$3,000, continuing for 4 years

To: 1 at \$3,500, continuing for 4 years

Effective: 2013-2014 academic year

Audrey Metzler Memorial Award in Nursing (Faculty of Health Sciences, Nursing)

Change in value from: 1 at \$3,000, continuing for 4 years

To: 1 at \$3,500, continuing for 4 years

Effective: 2013-2014 academic year

Mary Scott Kenny Beynon Continuing In-Course Award (Any Undergraduate Program)

Change in criteria from:

Awarded annually to a full-time student from Sarnia or Lambton County who is entering Year 2 of a four-year degree program with a minimum 70% average and demonstrated financial need. This award will continue up to ~~four years~~ provided that the recipient maintains a 70% average with a full course load and continues to demonstrate financial need each year. Applications can be accessed through the Office of the Registrar's Web site and must be submitted by September 30. If a recipient fails to retain the award, another student from the same year will be selected. This award was established by the Beynons through Foundation Western to honour Mrs. Mary Scott (nee Kenny) Beynon (BA'37).

*This award has benefitted from proceeds from the Ontario Student Opportunity Trust Fund (OSOTF) and the Ontario Trust for Student Support (OTSS) programs and recipients must meet Ontario residency requirements.*

To: Awarded annually to a full-time student from Sarnia or Lambton County who is entering Year 2 of a four-year degree program with a minimum 70% average and demonstrated financial need. This award will continue up to **Year 4** provided that the recipient maintains a 70% average with a full course load and continues to demonstrate financial need each year. Applications....

*No further changes*

Effective: 2013-2014 academic year

Dr. Betty Bandeen Undergraduate Award in English (Faculty of Arts and Humanities, English)

Change in criteria from:

Awarded in even years to a full-time undergraduate student in a four-year degree program pursuing an Honors Specialization, Specialization or Major in English Language and Literature, based on financial need and academic performance. Applications can be accessed through the ~~Registrar's Services~~ Web site and must be submitted by September 30. The Faculty of Arts and Humanities will select the recipient once financial need is determined by ~~Registrar's Services~~. This award was established through Foundation Western by Dr. Robert Bandeen in memory of his sister, Betty Bandeen, who was a faculty member with the Faculty of Arts and Humanities.

~~*This award is offered through the Ontario Trust for Student Support program, and recipients must meet Ontario residency requirements.*~~

To: Awarded in even years to a full-time undergraduate student in a four-year degree program pursuing an Honors Specialization, Specialization or Major in English Language and Literature, based on financial need and academic performance. **Preference will be given to students who are Ontario residents.** Applications can be accessed through the **Office of the Registrar's** Web site and must be submitted by September 30. The Faculty of Arts and Humanities will select the recipient once financial need **has been**

determined by **the Office of the Registrar**. This award was established **with** Foundation Western by Dr. Robert Bandeen in memory of his sister, Betty Bandeen, who was a faculty member with the Faculty of Arts and Humanities.

Effective: 2013-2014 academic year

Forest City Track and Field Athletic Scholarship (Any Undergraduate, Graduate or Affiliated University College Student - Athletic Award [Track and Field])

Change in value from: 1 @ \$1,000

To: 1 at \$4,000

Effective: 2013-2014 to 2017-2018 academic years inclusive (value to be reviewed thereafter)

John Findlay Varsity Volleyball Scholarship (Any Undergraduate, Graduate or Affiliated University College Student - Athletic Award [Volleyball])

Change in value from: 1 at \$3,500 from 2008-2009 to 2015-2016 and then 1 at \$1,125 each year thereafter

To: 1 at \$4,000

Effective: 2013-2014 to 2016-2017 academic years inclusive (value to be reviewed thereafter)

Vaughn Peckham Volleyball Award (Any Undergraduate, Graduate or Affiliated University College Student - Athletic Award [Men's Volleyball])

Change in value from: 1 at \$1,000

To: 1 at \$4,000

Effective: 2013-2014 to 2016-2017 academic years inclusive (value to be reviewed thereafter)

Margery Baldwin Memorial Entrance Bursaries (Any Undergraduate Program)

Change in number and value from: 4 at \$1,000

To: 4 at \$1,000 and 1 at \$2,000

Effective: 2013-2014 academic year

Leonard J. Carter Bursary (Faculty of Law)

Change in value from: 1 at \$450

To: 1 at \$600

Effective: 2013-2014 academic year

Dancap Private Equity Student Award in Advanced Accounting (Faculty of Social Science, Management and Organizational Studies)

Change in criteria from:

Awarded annually to a full-time student enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies (MOS), based upon academic achievement in Advanced Accounting I (MOS 4460A/B) and Advanced Accounting II (4461A/B), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies Web site or from the MOS Main Office. Applications including a resume describing the applicant's qualifications must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA'85).

To: Awarded annually to a full-time student enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies (MOS), based upon academic achievement in Advanced Accounting **MOS 4465A/B**, and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications....

*No further changes*

Effective: 2013-2014 academic year

Dancap Private Equity Student Award in Management Accounting (Faculty of Social Science, Management and Organizational Studies)

Change in criteria from:

Awarded annually to a full-time student enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies (MOS), based upon academic achievement in Management Accounting (~~MOS 3372~~), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies Web site or from the MOS Main Office. Applications including a resume describing the applicant's qualifications must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

To: Awarded annually to a full-time student enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies (MOS), based upon academic achievement in Management Accounting **Cost (MOS 3370A/B) and Management Accounting for Decision Making (MOS 3371A/B)**, and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications....  
*No further changes*  
Effective: 2013-2014 academic year

Dancap Private Equity Student Award in Organizational and Human Resources (Faculty of Social Science, Management and Organizational Studies)

Change in criteria from:

Awarded annually to a full-time student enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies (MOS), based upon academic achievement in Human Resources Administration for HR Students (~~MOS 4485A/B~~), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies Web site or from the MOS Main Office. Applications, including a resume describing the applicant's qualifications, must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA`85).

To: Awarded annually to a full-time student enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies (MOS), based upon academic achievement in Human Resources Administration for HR Students (~~MOS 4485A/B~~ **F/G**), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications....  
*No further changes*  
Effective: 2013-2014 academic year

Dancap Private Equity Student Awards in General Accounting (Faculty of Social Science, Management and Organizational Studies)

Change in criteria from:

Awarded annually to full-time students enrolled in a Finance or Accounting module in the Aubrey Dan Program in Management and Organizational Studies, based on academic achievement in the equivalent of 3.0 MOS accounting courses (~~MOS 3360A/B, 3361A/B, 3362A/B, 3363A/B, 3372, 4460A/B, 4461A/B and 4462A/B~~), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies Web site or from the MOS main office. Applications including a resume describing the applicant's qualifications must be submitted to the MOS Office no later than April 1. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. These awards were established by a generous donation from Mr. Aubrey Dan (BA`85).

To: Awarded annually to full-time students enrolled in a Finance or Accounting module in the Aubrey Dan Program in Management and Organizational Studies, based on academic achievement in the equivalent of 3.0 MOS accounting courses (~~MOS 3360A/B, 3361A/B, 3362A/B, 3363A/B, 3372, 4462A/B, 4463A/B, 4464A/B, 4465A/B, and 4466A/B~~), and demonstrated professionalism, leadership and initiative in extracurricular activities. Applications....  
*No further changes*  
Effective: 2013-2014 academic year

Dancap Private Equity Student Essay Award in Organizational and Human Resources (Faculty of Social Science, Management and Organizational Studies)

Change in criteria from:

Awarded annually to a full-time student enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies, based on academic achievement for an individual essay submitted in any of the following courses: ~~Organizational Behavior: Theoretical Foundations (MOS 2280F/G);~~ Research Methods in Human Resources (MOS 3341F/G); Occupational Health and Safety Management (MOS 3344F/G); Industrial Relations and Labor Studies (MOS 3352F/G); Women in the Canadian Workplace (MOS 3355F/G); or Contemporary Perspectives on Women in the Workplace (MOS 3356F/G), and who have demonstrated professionalism, leadership and initiative in extracurricular activities. Applications can be accessed online through the Aubrey Dan Program in Management and Organizational Studies Web site or from the MOS Main Office. Applications, including a resume describing the applicant's qualifications, must be submitted to the MOS Office no later than April 1. Candidates must submit an unmarked copy of the essay. A recipient of this award, which will be based upon the above evaluation criteria as judged by the Committee, is not eligible to receive any other Dancap Private Equity Student Award during the same year. This award was established by a generous donation from Mr. Aubrey Dan (BA' 85).

To: Awarded annually to a full-time student enrolled in any module of the Aubrey Dan Program in Management and Organizational Studies, based on academic achievement for an individual essay submitted in any of the following courses: **Evidence Based Management (MOS 3280F/G);** Research Methods in Human Resources (MOS 3341F/G)....

*No further changes*

Effective: 2013-2014 academic year

Timothy Findley Memorial Scholarship (Faculty of Arts and Humanities)

Change in value and effective date from: 1 at \$890

Effective: 2008-2009 to 2012-2013 academic years inclusive

To: 1 at \$1,057

Effective: 2013-2014 to 2017-2018 academic years inclusive

D. Jane Riddell Student Athlete Award (Any Undergraduate, Graduate or Affiliated University College Student - Athletic Award [Women's Basketball])

Change in criteria, value and effective date from:

Awarded to a full-time ~~female~~ undergraduate or graduate student in any program including the Affiliated University Colleges who ~~demonstrates athletic leadership skills as a member of the women's basketball team. Candidates in first year must have a minimum 80% admission average and candidates in second year or higher must have a minimum 70% average. Candidates who are varsity athletes must be in compliance with current OUA and CIS regulations. The Western Athletic Financial Awards Committee will select the recipient based on its evaluation of academic performance/potential (20%) and the written recommendation from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This award was established by D. Jane Riddell (MA'85, Physical Education) with Foundation Western.~~

Value: 1 at \$4,300

Effective: 2012-2013 academic year

To: Awarded to a full-time undergraduate or graduate student in any **year of any degree** program **at Western**, including the Affiliated University Colleges, who **is making a significant contribution** as a member of the women's basketball team. **As per OUA and CIS regulations, an entering student athlete** must have a minimum **admission average of 80% and a non-entering student** must have an **in-course average of 70%**. Candidates must be in compliance with current OUA and CIS regulations. The Western Athletic Financial Awards Committee will select the recipient based on its evaluation of academic performance/potential (20%) and the written recommendation from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This award was established by D. Jane Riddell (**BA Honors Anthropology '77, Diploma Honors Physical Education '79**, MA Physical Education '86) with Foundation Western.

Value: 1 at **\$4,000**

Effective: 2013-2014 to 2017-2018 academic years inclusive (with value to be reviewed thereafter)

Richard Markell Scholarship (Faculty of Social Science, History)

Change in value from: 1 at \$750



To: 1 at \$580  
Effective: 2013-2014 academic year

Hari and Gudrun Sharma Graduate Scholarship in Pharmacology and Toxicology (School of Graduate and Postdoctoral Studies, Pharmacology and Toxicology)

Change in value from: In any given year, if no candidate is deemed eligible for this award, no award will be presented. If more than one candidate is deemed worthy, the number of awards and the value of the awards may vary dependent on available funds.

To: 1 at \$2,000  
Effective: May 2013

David S. Edmondson Memorial Award (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 1 at \$1,000

To: 2 at \$1,000

Effective: 2013-2014 academic year

Igor Lukac Engineering Global Opportunities Award (Faculty of Engineering)

Change in name, criteria and value from:

Awarded annually to a full-time student entering his or her 4<sup>th</sup> or 5<sup>th</sup> year in the Integrated Engineering Program. Preference will be given to a student in the combined BESC (Integrated Engineering) and HBA degree program ~~who is participating in an international exchange or study abroad program including: exchange programs; approved study abroad programs; curriculum-based international field courses; international study or international community service; summer co-ops or internships; and other university-led credit or non-credit learning experiences.~~

~~This award will be based on a combination of academic achievement, cost of experience, length of experience, proposed length of study, and a short (250 word maximum) student statement outlining what the student expects to learn through the program of study and how he or she will be an effective ambassador for Western. Only students who have been approved for any of the above listed programs and who are registered at the constituent University will be considered. Students must have completed their prescribed academic program the previous year and currently be registered in a full-time course load. Applications are available from the International Exchange Student Centre and must be submitted along with the statement described above. Transcripts are required for students who studied elsewhere in their previous academic year. Applications are due on either November 1 or March 1 (the most applicable to the desired program). Receipt of the award will be based on the acceptance of the candidate into the specified study abroad program, though students may apply in advance of acceptance. The award will be issued to the student before they leave on their exchange or study abroad program.~~

~~This award was established by a generous gift from Igor Lukac (BESC (Integrated)/HBA 2010).~~

Value: 1 at \$2,000\*

Effective: 2012-2013 to 2021-2022 academic years inclusive

~~\*The donor is contributing \$1,000 which will be matched by the University through the Global Opportunities Award Program. If in any academic year, there are no students in the Integrated Engineering program who are participating in an international exchange or study abroad program, then a student in the Integrated Engineering program will be selected based on academic achievement, but he or she will receive \$1,000 rather than \$2,000. Preference will be given to a student in the Combined Integrated Engineering and HBA program.~~

To: Igor Lukac Engineering Award

Awarded annually to a full-time student entering his or her **final years (3,4,5)** in the Integrated Engineering Program. Preference will be given to a student in the combined BESC (Integrated Engineering) and HBA degree program **and/or who has international exchange or study-abroad experience. Selection will be based on academic achievement and other experiences ie. international experiences. Online applications are available through the Faculty of Engineering's Web site and must be submitted by September 30 along with a one-page statement outlining the candidate's experience. The recipient will be selected by the Undergraduate Awards Committee in the Faculty of Engineering.** This award was established by a generous gift from Igor Lukac (BESC (Integrated)/HBA 2010).

Value: 1 at \$**1,000**

Effective: 2013-2014 to 2021-2022 academic years inclusive

Borwein Memorial Prize (Faculty of Science, Mathematics)

Change in criteria from:

Awarded annually to the student with the highest standing in Mathematics ~~207a/b~~ (Real Analysis I).

To: Awarded annually to the student with the highest standing in Mathematics **2122A/B** (Real Analysis I).

Effective: 2013-2014 academic year

Leland Ritcey Scholarship (Faculty of Science, Mathematics)

Change in criteria from:

Awarded to a second year student with an outstanding performance in the principal courses of an Honors Specialization in Mathematics (preferred) or a double Major in an Honors degree that includes Mathematics. Preference will be given to a student with an overall 80% average in Calculus ~~250a and 251b~~.

To: Awarded to a second year student with an outstanding performance in the principal courses of an Honors Specialization in Mathematics (preferred) or a double Major in an Honors degree that includes Mathematics. Preference will be given to a student with an overall 80% average in Calculus **2502A/B and 2503A/B**.

Effective: 2013-2014 academic year

W.A. Bottom Prize in Physiology (School of Graduate and Postdoctoral Studies, Physiology)

Change in value from: 1 at \$450

To: The number and value of the awards may vary depending on funds available.

Effective: May 2013

### 3. **Discontinued Awards**

The following award was discontinued effective May 2012:

Canada Law Book Limited Prizes

The following awards, prizes and scholarships were discontinued effective May 2013:

Michael C. McInerney Award  
Mary Warner Prize in Human Rights  
James C. Bristow Alumni Entrance Scholarship  
Ivey HBA Association Award  
CMA Ontario Strategic Management Prize  
Middlesex Law Association Silver Medal and Scholarship  
Middlesex Law Association Entrance Scholarships

**REPORT OF THE SENIOR OPERATIONS COMMITTEE**

<b>Contents</b>	<b>Consent Agenda</b>
<b>Code of Student Conduct – Annual Report</b>	Yes
<b>Decanal Reappointment – Faculty of Engineering</b>	Yes

**FOR INFORMATION**

1. **Code of Student Conduct**

The Code of Student Conduct is a Board policy governing non-academic misconduct. An annual report on cases dealt with under the Code is provided to the Board through the Senior Operations Committee. The report is attached as [Annex 1](#).

2. **Decanal Reappointment – Faculty of Engineering**

On behalf of the Board of Governors, the Senior Operations Committee approved the reappointment of Dr. Andrew Hrymak as Dean of the Faculty of Engineering for term July 1, 2014 to June 30, 2019.

***Code of Student Conduct***  
**Annual Report: Misconduct and Sanctions**  
**for the period July 1, 2012 – June 30, 2013**  
prepared by Angie Mandich,  
Associate Vice-Provost (Academic Programs & Students)

This is the twelfth report of actions taken under the terms of the *Code of Student Conduct* since the *Code* was enacted by the Board of Governors. The office of the Associate Vice-Provost (Academic Programs & Students) [Registrar] continues to work closely with the University's Legal Counsel, Deans' offices and Campus Community Police Service, to ensure all matters involving the *Code* are handled according to the established procedures for record-keeping, communication and follow-up.

As shown in the table below, there have been 32 incidents involving 38 students that have either come to my direct attention or been reported to me by the Faculties/Affiliates under the terms of the Code of Student Conduct.

INCIDENT	SANCTION	DECISION BY
Aggressive behaviour	On probation for 1 year , required to meet with counsellor	Associate Vice Provost (Academic Programs & Students)
Intimidation/bullying	On probation until September 2013	Associate Vice Provost (Academic Programs & Students)
Threatening behaviour	Suspended until August 2013	Associate Vice Provost (Academic Programs & Students)
Mischief under \$5000 (six students involved)	On probation for 1 year, payment of damages. Students asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Theft of cell phone	On probation for 1 year. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Possession of drugs	Probation for one year. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Theft	On probation for 1 year, required to meet with counsellor	Associate Vice Provost (Academic Programs & Students)
Death threats	Cannot return to Western until other threatened students graduate, trespassed to property act	Associate Vice Provost (Academic Programs & Students)



Code of Student Conduct, Annual Report

<b>INCIDENT</b>	<b>SANCTION</b>	<b>DECISION BY</b>
Wilful damage to property	On probation and required to attend a Residence Alcohol Seminar. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Damage to residence property	On probation for 1 year. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Public mischief	On probation for 6 months. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Uttering threats	On probation for 6 months. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Sexual assault	Cannot return to Western until other student graduates, Trespassed to Property Act	Associate Vice Provost (Academic Programs & Students)
Theft of MacBook	On probation for 6 months. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Possession, trespassing, disorderly conduct, alcohol consumption	On probation for 1 year. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Theft of iPhone	On probation for 1 year. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Theft of Mac Book Pro	On probation for 6 months. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Mischief, property damage	On probation for 1 year. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)

Code of Student Conduct, Annual Report

<b>INCIDENT</b>	<b>SANCTION</b>	<b>DECISION BY</b>
Assault	IPO, Suspended until August 2013	Associate Vice Provost (Academic Programs & Students) Appealed <b>Appeal denied</b>
Assault	On probation for 1 year. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Theft from book store	CCPS imposed 1 year trespass from Book Store, on probation for 1 year. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Assault	On probation for 1 year, trespass notice from all residences. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Harassment (Three incidents involving one student)	On probation for 1 year	Dean of Students, KUC Supported by Associate Vice Provost (Academic Programs & Students)
Theft of cell phone	On probation for 1 year. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Assault	Probation for one year. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Disruptive and hostile behaviour and possession of drugs	Suspended for 2 years to Sept. 2014, Tuition fees reimbursed to student.	Associate Vice Provost (Academic Programs & Students)
Mischief to property	On probation for 1 year. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Threatening messaging	May return to campus, January 1, 2013 but not to Ivey. Required to take anger management counselling	Associate Vice Provost (Academic Programs & Students) Dean of Students Ivey
Theft under \$5000	On probation for 6 months. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
<b>INCIDENT</b>	<b>SANCTION</b>	<b>DECISION BY</b>
Break and enter	On probation for full school year	HUC Principal and Associate Vice Provost (Academic Programs & Students)

Code of Student Conduct, Annual Report

		Students)
Theft of iPad3	On probation for 1 year. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)
Disorderly conduct	On probation for 1 year. Student asked to write a letter of reflection	Associate Vice Provost (Academic Programs & Students)

**REPORT OF THE FUND RAISING AND DONOR RELATIONS COMMITTEE**

<b>Contents</b>	<b>Consent Agenda</b>
<a href="#">Imagine Canada Ethical Code Program Renewal</a>	Yes

**FOR INFORMATION**

**Imagine Canada Ethical Code Program Renewal**

At its meeting of November 14, 2013, the Fund Raising and Donor Relations Committee approved on behalf of the Board of Governors, the renewal of Western's participation in the Imagine Canada program and adopted its Ethical Fundraising and Accountability Code for the period of August 1, 2013 to July 31, 2014, attached in [Annex 1](#).

By renewing membership in the Ethical Code Program, Western will continue to be able to use the Ethical Code trustmark and be recognized on the CanadaHelps donation portal. The Ethical Code Program through Imagine Canada, sets standards for charities in the areas of fundraising and financial reporting practices. Any charity is able to follow the Ethical Code, but charities that participate in the Ethical Code Program are able to use the Ethical Code trustmark to show donors that they comply with the Code's standards. The fee paid was \$1,750.

As of December 31, 2011, Imagine Canada stopped accepting new applicants to the Ethical Code Program. Over the next few years, the Ethical Code Program will be merged with Imagine Canada's new Standards Program. The Standards Program is an accredited program with annual license fees of \$4,000.

Management is reviewing the benefits of the program and will return to FRDRC with a recommendation in June 2014.



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# ETHICAL CODE HANDBOOK

Updated in February 2011



## TABLE OF CONTENTS

### ABOUT THE ETHICAL CODE

INTRODUCTION .....	1
HOW THE PROGRAM WORKS.....	2
PROGRAM REQUIREMENTS.....	3
PROGRAM RESOURCES .....	3

### ETHICAL FUNDRAISING AND FINANCIAL ACCOUNTABILITY CODE

<b>A</b> DONOR POLICIES AND PUBLIC REPRESENTATIONS .....	4
<b>B</b> FUNDRAISING PRACTICES .....	6
<b>C</b> FINANCIAL PRACTICE AND TRANSPARENCY.....	9

<b>INTERPRETATION GUIDE .....</b>	<b>10</b>
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The Ethical Code Program is generously supported by:



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**FAX:** (416) 597-2294 **EMAIL:** [code@imaginecanada.ca](mailto:code@imaginecanada.ca)



Hospitals of Regina Foundation

## THE ETHICAL CODE PROGRAM

The *Ethical Fundraising and Financial Accountability Code* (Ethical Code) sets standards for charities in the areas of fundraising and financial reporting practices. It was developed by Imagine Canada in consultation with charities across the country. The current version was released in the fall of 2007 and revised in 2008 and 2011. The Ethical Code is reviewed annually to ensure that it remains timely and relevant.

Any charity may use the Ethical Code to improve and monitor its fundraising and financial reporting practices.

Charities wishing to make a public commitment to ethical fundraising and financial accountability may apply to join the Ethical Code Program. Program participants are authorized to use a trustmark that signals to donors that they comply with the Code's standards.

## HOW THE PROGRAM WORKS

To join the Ethical Code Program, charities must complete an application form and submit supporting documentation and the appropriate fee. More details about the application requirements can be found in the Ethical Code Program Application.

The image displays three overlapping pages of the 'ETHICAL CODE PROGRAM APPLICATION' form. The top-left page is the cover, featuring the title and a photo of a charity worker. The bottom-left page shows the 'IMPORTANT INFORMATION TO PARTICIPANTS' section, which includes instructions and a list of requirements. The right page is the 'APPLICATION FORM', which contains various fields for charity details and a table for financial data.

Compliance with the Ethical Code is monitored through a complaints-based process. Members of the public, donors and other stakeholders may lodge a complaint concerning any Program participant. Complainants will be encouraged to discuss their concerns directly with the charity involved. If the situation cannot be resolved in this way, the Ethical Code Committee will receive and review the complaint and deliver sanctions if necessary.

The Ethical Code Committee is an arms-length body comprised of experts in matters related to fundraising and financial reporting. In addition to reviewing complaints, the Committee can informally review practices and answer questions related to the Ethical Code.

The full process to review and manage complaints is laid out in the *Protocol for Complaints* that can be found on the Ethical Code website ([www.imaginecanada.ca/en/ethicalcode](http://www.imaginecanada.ca/en/ethicalcode)).

## PROGRAM REQUIREMENTS

### ANNUAL REPORTING PROCESS

**Each year, participants are required to submit updated financial statements and complete a short report outlining:**

- the number and types of complaints received related to the Ethical Code,
- any concerns raised by regulators over the previous year, and
- confirmation by the Executive Director/CEO that the Code is still in use and that new staff and board members have been provided with a copy.

### BIENNIAL RENEWAL

**Every two years, participants must renew their participation in the Program by:**

- passing a new board resolution renewing their commitment to the Code,
- submitting updated financial statements, T3010 filing, and annual report,
- signing a new licence agreement, and
- submitting the biennial fee.

## PROGRAM RESOURCES

Imagine Canada has developed a number of resources designed to help charities implement the Ethical Code. Resources include an *Interpretation Guide* with guidelines and definitions. The Guide, which will continue to be updated, can be found on page 10.

Other resources available online include a *Guide to Giving* for donors, annual progress reports on the Program, annual supplements in *Maclean's* and a template to assist with presenting the Ethical Code to boards of directors or senior staff. Ethical Code participants may also be interested in Charity Tax Tools, a website ([charitytax.imaginecanada.ca](http://charitytax.imaginecanada.ca)) that helps charities understand Canada Revenue Agency requirements. Visit the Ethical Code website ([www.imaginecanada.ca/en/ethicalcode](http://www.imaginecanada.ca/en/ethicalcode)) for these resources as well as the most up-to-date list of Program participants.



Canadian Federation of  
Humane Societies



YWCA Vancouver



# ETHICAL FUNDRAISING AND FINANCIAL ACCOUNTABILITY CODE

The registered charity undertakes to adhere to the standards set out in the Imagine Canada *Ethical Fundraising and Financial Accountability Code* in its treatment of donors and the public, its fundraising practices and its financial transparency, and to be accountable through its Board of Directors for doing so.

Approved by the Imagine Canada Board of Directors in September 2008; Updated in February 2011.

## A

### DONOR POLICIES AND PUBLIC REPRESENTATIONS

- 1** The Charity shall maintain its charitable status.
- 2** The charity shall prepare and issue official Income Tax receipts for monetary gifts and for gifts-in-kind pursuant to any policy established and published on minimum amounts to be receipted and in compliance with all regulatory requirements. The charity shall acknowledge in writing contributions not entitled to be officially receipted, subject to any policy established and published on minimum amounts to be acknowledged.
- 3** All fundraising solicitations by or on behalf of the charity shall disclose the charity's name and the purpose for which funds are requested. Printed and on-line solicitations (however transmitted) shall include its address or other contact information.
- 4** The charity must demonstrate the utmost transparency and accountability through accurate and accessible disclosure of information in a readily accessible location on its website. If the charity does not have a website, this information shall be included in readily accessible public materials or documents. Information that should be disclosed includes, but is not limited to the following:
  - the charity's most recent three years of annual reports and financial statements including notes as approved by the governing board;
  - the charity's registration number (BN) as assigned by the Canada Revenue Agency (CRA);

- any information contained in the public portion of the charity's most recent Charity Information Return (form T3010) as submitted to CRA;
  - a copy of the Charity's Investment Policy relating to its investable assets, if applicable (see C8);
  - a list of the names of the members of the charity's governing board; and
  - a copy of this *Ethical Fundraising and Financial Accountability Code*.
- 5** The charity or those fundraising on its behalf shall disclose, upon request, whether an individual or entity soliciting contributions is a volunteer, an employee or a contracted third party.
- 6** The charity shall not make claims that cannot be upheld or are misleading.
- 7** The charity shall encourage donors to seek independent advice if the proposed gift is a Planned Gift and/or the charity has any reason to believe that the proposed gift might significantly affect the donor's financial position, taxable income, or relationship with other family members.
- 8** The charity shall honour donors' requests to remain anonymous in respect to:
- being publicly identified as a supporter of the organization; and/or
  - having the amount of their contribution publicly disclosed.
- 9** The privacy of donors shall be respected. Any donor records that are maintained by the charity shall be kept confidential to the greatest extent possible. Donors shall have the right to see their own donor record, and to challenge its accuracy.
- 10** If the charity exchanges, rents, or otherwise shares its fundraising lists with other organizations, a donor's request to be excluded from the list shall be honoured.
- 11** Solicitations by or on behalf of the charity shall treat donors and prospective donors with respect. Every effort shall be made to honour their requests to:
- limit the frequency of solicitations;
  - not be solicited by telephone or other technology;
  - receive printed material concerning the charity;
  - discontinue solicitations where it is indicated they are unwanted or a nuisance.

- 12** The charity shall have appropriate fundraising policies in place, including but not limited to gift acceptance, treatment of restricted or designated gifts, naming, and endowment policies. The relevance and appropriateness of the charity's fundraising policies shall be reviewed regularly by the governing board.
- 13** Recognition mechanisms created due to a gift shall not be arbitrarily changed or withdrawn. Unless otherwise negotiated at the time of the gift or changed through a joint agreement between the charity and the donor or the donor's family or legal representative, the original form of the recognition mechanism will be maintained. If the mechanism cannot be physically retained, it will be changed to another consistent with the original agreement. If continuation of the recognition creates a reputational risk for the charity, it may be terminated or altered.
- 14** The charity shall post its complaints process and related contact information in a readily accessible location on its website. If the charity does not have a website, this information shall be included in readily accessible public materials or documents.
- 15** The charity shall respond promptly to a complaint by a donor or prospective donor about any matter that is addressed in this *Ethical Fundraising and Financial Accountability Code*.

## B

## FUNDRAISING PRACTICES

- 1** The charity's fundraising affairs shall be conducted in a responsible manner, consistent with the ethical obligations of stewardship and all applicable law.
- 2** Fundraising solicitations on behalf of the charity shall:
- be truthful; and
  - accurately describe the charity's activities and the intended use of donated funds.
- 3** The charity shall not exploit its beneficiaries. It shall be sensitive in describing those it serves (whether using graphics, images or text) and fairly represent their needs and how these needs will be addressed.



Calgary Opera

- 4** When the charity conducts online solicitations its practices shall be consistent with or exceed the provisions of the *Canadian Code of Practice for Consumer Protection in Electronic Commerce*, which is downloadable at: [http://www.cmcweb.ca/epic/site/cmc-cmc.nsf/vwapj/EcommPrinciples2003\\_e.pdf/\\$FILE/EcommPrinciples2003\\_e.pdf](http://www.cmcweb.ca/epic/site/cmc-cmc.nsf/vwapj/EcommPrinciples2003_e.pdf/$FILE/EcommPrinciples2003_e.pdf)
- 5** When the charity conducts face-to-face solicitations, including but not limited to door-to-door campaigns or street-side fundraising, its practices shall include measures to:

  - provide verification of the affiliation of the person representing the charity; and,
  - secure and safeguard any confidential information, including credit card information, provided by donors.
- 6** Volunteers, employees and third party consultants/solicitors who solicit or receive funds on behalf of the charity shall:

  - adhere to the provisions of this *Ethical Fundraising and Financial Accountability Code*;
  - act with fairness, integrity, and in accordance with all applicable laws;
  - adhere to the provisions of applicable professional codes of ethics, standards of practice, etc.;
  - cease solicitation of a prospective donor who identifies the solicitation as harassment or undue pressure, or who states that he does not wish to be solicited;
  - disclose immediately to the charity any actual or apparent conflict of interest or loyalty; and
  - not accept donations for purposes that are inconsistent with the charity's objects or mission.

- 7** The charity shall provide, upon request, its best available information on the gross revenue, net proceeds and costs of any fundraising activity (including the fundraising costs categorized as education and/or public awareness) it undertakes.
- 8** The charity shall not, directly or indirectly, pay finder's fees, commissions or percentage compensation based on contributions.
- 9** Charities that undertake cause-related marketing in collaboration with a third party shall disclose how the charity benefits from the sale of products or services and the minimum or maximum amounts payable under the arrangement. If no minimum amount is specified, the charity should disclose this.
- 10** The charity shall not sell its donor list. If applicable, any rental, exchange or other sharing of the charity's donor list shall exclude the names of donors who have so requested (as provided in section A8, above). If a list of the charity's donors is exchanged, rented or otherwise shared with another organization, such sharing shall be for a specified period of time and a specified purpose and must be limited to what is allowed under Federal and/or Provincial privacy legislations.
- 11** The charity's governing board shall be informed at least annually of the number, type and disposition of complaints received from donors or prospective donors about matters that are addressed in this *Ethical Fundraising and Financial Accountability Code*.



Save the Children Canada



## C FINANCIAL PRACTICE AND TRANSPARENCY

- 1** The charity's financial affairs shall be conducted in a responsible manner, consistent with the ethical obligations of stewardship and all applicable law.
- 2** All donations shall be used to support the charity's objects, as registered with CRA.
- 3** The cost-effectiveness of the charity's fundraising programs shall be reviewed regularly by the governing board. No more will be spent on administration and fundraising than is required to ensure effective management and resource development.
- 4** The charity shall accurately disclose all costs associated with its fundraising activity.
- 5** The charity shall make the following information publicly available (e.g., on its website, in its annual report, in its financial statements) within 6 months of its year end:
  - total fundraising revenues;
  - total fundraising expenses;
  - total expenditures on charitable activities/programming.
- 6** Charities with over \$1 million in annual revenue must have their financial statements audited by an independent licensed public accountant. Charities with annual revenue between \$250,000 and \$1 million may have a review engagement unless required by their governing legislation to have an audit.
- 7** If the charity's investable assets surpass \$1,000,000, an Investment Policy shall be established setting out asset allocation, procedures for investment decisions, and asset protection issues.

# INTERPRETATION GUIDE

This interpretation guide has been created to assist charities in complying with the standards laid out in the *Ethical Fundraising & Financial Accountability Code* (Ethical Code).

## A DONOR POLICIES AND PUBLIC REPRESENTATIONS

### STANDARD A1

The Charity shall maintain its charitable status.

#### INTERPRETATION GUIDELINES

1. If a charity asks for its charitable status to be revoked, it should notify Imagine Canada.
2. If a charity becomes aware that it is at risk of having its charitable status revoked by CRA, it should notify Imagine Canada.

### STANDARD A8

The charity shall honour donors' requests to remain anonymous in respect to:

- being publicly identified as a supporter of the organization; and/or
- having the amount of their contribution publicly disclosed

#### INTERPRETATION GUIDELINE

In some circumstances, when the source or size of a donation may be perceived as affecting the independence of the charity, the organization may negotiate the terms of public disclosure.

### STANDARD A12

The charity shall have appropriate fundraising policies in place, including but not limited to gift acceptance, treatment of restricted or designated gifts, naming, and endowment policies. The relevance and appropriateness of the charity's fundraising policies shall be reviewed regularly by the governing board.

#### INTERPRETATION GUIDELINE

All restricted or designated donations shall be used for the purposes for which they were given unless the charity has obtained legal authorization to use them for other purposes. Alternative uses will be discussed where

possible with the donor or the donor's legal designate. If the donor is deceased or legally incompetent and the charity is unable to contact a legal designate, the donation will be used in a manner that is as consistent as possible with the donor's original intent. If necessary, the charity will apply to the courts or the appropriate regulatory body to obtain legal authorization to use the donation for other purposes.

### **STANDARD A13**

Recognition mechanisms created due to a gift shall not be arbitrarily changed or withdrawn. Unless otherwise negotiated at the time of the gift or changed through a joint agreement between the charity and the donor or the donor's family or legal representative, the original form of the recognition mechanism will be maintained. If the mechanism cannot be physically retained, it will be changed to another consistent with the original agreement. If continuation of the recognition creates a reputational risk for the charity, it may be terminated or altered.

#### INTERPRETATION GUIDELINE

The charity's Donor Recognition/Gift Acceptance Policy may be referred to in a gift agreement and may define recognition time limits and/or establish procedures if maintaining the original form of recognition becomes impractical or undesirable.

## **B**

## **FUNDRAISING PRACTICES**

### **STANDARD B8**

The charity shall not, directly or indirectly, pay finder's fees, commissions or percentage compensation based on contributions.

#### RATIONALE

The Ethical Code prohibits finder's fees, commissions, and percentage-based compensation for several reasons, including:

- Charitable organizations operate for the public good and receive special rights and tax exemptions. Percentage-based compensation may provide excessive private benefit to individual fundraisers or for-profit fundraising firms and may damage the underpinnings of the contract that charitable organizations have with society.
- Percentage-based compensation may undermine donor confidence in charities by diverting or appearing to divert donated funds into private pockets. Donors

and potential donors may reconsider their decision to give if they believe that their donation will benefit the fundraiser rather than the charity.

- Successful fundraising programs are built on the development of long-term relationships with donors. Finder's fees, commissions, and percentage-based compensation for fundraisers provide an incentive to maximize immediate revenue and may undermine the long-term interests of the charitable organization.
- Linking remuneration directly to fundraising success can lead to undue pressure on donors.
- Pre-determined payments for fundraisers recognize the value of the work involved in fundraising independently of the fundraising outcome, which cannot be guaranteed.

#### INTERPRETATION GUIDELINES

1. This standard extends to all fundraising done by the charity including fundraising done on its behalf or in its name.
2. This standard does not apply to:
  - a. commercial fees, such as *commissions* or percentage payments or similar administrative payments for credit card transactions;
  - b. administrative fees charged by registered charities that collect money on behalf of other charities (e.g., Community Foundations and United Ways);
  - c. cause-related marketing where a retailer or other service provider agrees to give a percentage of sales to a charity without any intermediary;
  - d. service providers that provide a platform for fundraising but do not solicit contributions on behalf of the charity, or
  - e. lotteries, including but not limited to, bingos, raffles, break open tickets and social gaming events that are otherwise regulated. It is expected that lotteries and other gaming events will be licensed by the appropriate authority.
3. Compensation of staff, consultants, and contractors should take into account the experience, expertise and the time requirements of the position as well as performance.
4. Charitable organizations may offer *performance-based* compensation to staff (e.g., salary increases, *bonuses*) under the following conditions:
  - a. there is a performance-based compensation policy in place that also applies to staff engaged in non-fundraising activities;
  - b. the governing board approves this policy;
  - c. performance goals are established in advance; and,
  - d. the criteria for determining eligibility for, or the amount of, *performance-based compensation*, do not include consideration of a percentage of income received by the organization as a result of the individual's efforts.

5. Charities that work with fundraising consultants or contactors may include performance-management mechanisms in their contracts. These mechanisms should be designed to minimize the cost of fundraising to the charity and avoid excessive private benefit to the consultant/contractor.
6. Should a charity have a contractual arrangement in place to raise funds, and a violation of the Code occurs through a breach of that contract, the charity must correct the situation as soon as reasonably possible.

## DEFINITIONS

**Bonus:** a sum of money given to an employee in addition to his/her usual compensation. Bonuses are usually given for meeting or exceeding established performance goals.

**Commission:** a payment based on the dollar value of a transaction. In the fundraising context, it refers to remuneration based on a percentage of funds raised.

**Finder's Fee:** a fee paid to a third party for bringing together two or more people or companies in a business transaction, as in the borrowing or lending of money. In the fundraising context, a finder's fee refers to the payment of a fee to a third party that is contingent upon obtaining a donation.

**Percentage-Based Compensation:** compensation based on a percentage of funds raised.

**Performance-Based Compensation:** compensation paid to an employee, consultant, or contractor that is linked to performance (e.g., meeting or exceeding established performance goals) but which does not relate in a significant way to funds raised.



Habitat for Humanity Canada



## ABOUT IMAGINE CANADA

Imagine Canada is a national charitable organization whose cause is Canada's charities and nonprofits. We strengthen the sector's collective voice, act as a forum and meeting place and create an enabling environment in which organizations contribute to building stronger communities.



To join the Ethical Code program or for more information visit our website at [www.imaginecanada.ca/en/ethicalcode](http://www.imaginecanada.ca/en/ethicalcode), call **(416) 597-2293, ext. 229** or email [code@imaginecanada.ca](mailto:code@imaginecanada.ca).

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**ITEMS REFERRED BY SENATE**

	Contents	Consent Agenda
	<b>School of Graduate and Postdoctoral Studies: Dual-Credential Master's Degree between The Norwegian School of Economics ("NHH") and Western (Richard Ivey School of Business)</b>	<b>Yes</b>
	<b>King's University College: Dual-Credential Degree (2+2) Agreements</b>	
	a. <b>International Business School, Chongqing Business and Technology University</b>	<b>Yes</b>
	b. <b>School of International Business, Dongbei University of Finance and Economics</b>	<b>Yes</b>
	<b>Report of the Academic Colleague</b>	<b>Yes</b>
	<b>Academic Administrative Appointments</b>	<b>Yes</b>

**FOR APPROVAL**

1. **School of Graduate and Postdoctoral Studies: Dual-Credential Master's Degree between The Norwegian School of Economics ("NHH") and Western (Richard Ivey School of Business)**

**Recommended:** That the Board of Governors approve that effective September 1, 2013 the agreement for a Dual-Credential Master's Degree leading to a Master of Science in Economics and Business Administration, with a Major in any field from NHH and a Master of Science in Management from Western (Richard Ivey School of Business), be introduced in the School of Graduate and Postdoctoral Studies as recommended by Senate.

**Background:**

A student exchange agreement between NHH and Ivey was entered into in 2009. The institutions wish to expand the areas of academic cooperation between them with this dual-credential degree.

The proposed program's details (attached as **Annex 1**) were developed by the Richard Ivey School of Business and the Office of the Vice-Provost (School of Graduate and Postdoctoral Studies), with involvement from the Provost's office.

2. **King's University College: Dual-Credential Degree (2+2) Agreements**

2a. **International Business School, Chongqing Business and Technology University**

**Recommended:** That the Board of Governors approve:

That the dual-credential degree (2+2) agreement set out in **Annex 2**, be approved effective May 1, 2013, to allow qualified students registered in the Bachelor of Management or Bachelor of Economics at the International Business School, Chongqing Technology and Business University (CTBU), China, to be admitted into Year 3 of the Bachelor of Management and Organizational Studies (BMOS) with Honors Double Major in either Accounting or Global Commerce (MOS program) and Finance (Economics program), at King's University College,

and upon successful completion of the program, to qualify for degrees from both Western and CTBU, as recommended by Senate.

**Background:**

Five years ago, King's University College entered into an agreement with Chongqing Business and Technology University (CBTU) to accept qualified students into Year 3 of the Bachelor of Management and Organizational Studies (BMOS) (Honors Specialization in Global Commerce and Finance), and subsequently, the Honors Specialization in Accounting, and the Honors Specialization in Finance and Administration. After three graduating classes and 75 successful alumni who have entered graduate studies and/or successful careers, King's wishes to formalize this practice.

Under this Dual-Credential Degree Agreement, qualified CTBU students registered in the Bachelor of Management or Bachelor of Economics program at CTBU may be accepted into Year 3 of the BMOS at King's, in either an Honors Double Major in Accounting (MOS program) and Finance (Economics program), or an Honors Double Major in Global Commerce (MOS program) and Finance (Economics program), upon successful completion of the program, be qualified for degrees from both Western and CTBU. Course mapping is shown in [Annex 2](#).

The agreement is effective May 1, 2013, for a period of three years. Thereafter, it can be extended by King's for additional period(s) of time subject to the approval of the Senate and the Board of Governors of Western. Either King's or CTBU may terminate this agreement pursuant to the terms set out in the agreement.

2b. **School of International Business, Dongbei University of Finance and Economics**

**Recommended:** That the Board of Governors approve:

That the dual-credential degree (2+2) agreement set out in [Annex 3](#) be approved, effective May 1, 2013, to allow qualified students registered in the Bachelor of Management or Bachelor of Economics at Dongbei University of Finance and Economics (DUFE), China, to be admitted into Year 3 of either the Bachelor of Management and Organizational Studies (BMOS) (Honors Specialization in Finance and Administration), or the Bachelor of Arts Honors Economics, at King's University College, and upon successful completion of the program, be qualified for degrees from both Western and DUFE.

**Background:**

Ten years ago, King's entered into an agreement with Dongbei University of Finance and Economics (DUFE) to accept qualified students into Year 3 of the Bachelor of Arts (Honors Economics) or Year 3 of the Bachelor of Management and Organizational Studies. After a decade of successful collaboration and approximately 150 successful alumni who have completed graduate studies and/or entered successful careers, King's wishes to formalize this practice. Consultation has been completed.

Under this Dual-Credential Degree Agreement, qualified DUFE students registered in the Bachelor of Management or Bachelor of Economics program at DUFE may be accepted into Year 3 of the Bachelor of Arts (Honors Economics) or Year 3 of the BMOS (Honors Specialization in Finance and Administration) at King's University College. Upon successful completion of the program, they will qualify for degrees from both Western and DUFE. Course mapping is shown in [Annex 3](#).

This agreement will be effective May 1, 2013, and will be in place for a period of three years. Thereafter, it may be extended by King's for such additional period(s) of time as it may stipulate, subject to the approval of the Senate and Board of Governors of Western. Either King's or DUFE may terminate this agreement pursuant to the terms set out in the agreement.

FOR INFORMATION

3. **Report of the Academic Colleague**

See [Annex 4](#).

4. **Academic Administrative Appointments**

Name	Department/School	Faculty	Admin Post	Effective Date	End Date
Jisuo Jin	Earth Sciences	Science	Chair	July 1-2013	June 30-2014
David Jeffrey	Applied Mathematics	Science	Chair	July 1-2013	June 30-2015
Louise Milligan	Science	Science	Associate Dean	July 1-2013	June 30-2017
Robert Wood	Music Education	Music	Acting Chair	July 1-2013	June 30-2014
Catherine Yanchula		Schulich	Associate Dean	June 14-2013	June 21-2013
Ruth Wright	Music	Music	Assistant Dean	July 1-2013	June 30-2016
David Nicolle	Ophthalmology	Schulich	Chair	July 1-2013	June 30-2014
Candace Gibson	Schulich	Schulich	Assistant Dean	July 1-2013	June 30-2018
Terri Paul	Schulich	Schulich	Associate Dean – Equity and Professionalism	September 1- 2013	August 31-2018

## **DUAL DEGREE AGREEMENT**

### **BETWEEN**

**THE NORWEGIAN SCHOOL OF ECONOMICS ("NHH")**

### **AND**

**THE UNIVERSITY OF WESTERN ONTARIO through its Ivey Business School ("IVEY")**

#### **Preamble**

WHEREAS NHH and IVEY entered into an agreement for a student exchange program in 2009 and now wish to expand the areas of academic cooperation between their institutions;

AND WHEREAS both institutions share the objective of delivering programs of academic excellence and cultural diversity and wish to establish a Double Degree Track Program (DDT Program) that will allow qualified students to simultaneously pursue a Master's degree at each Institution over a two year period;

AND WHEREAS the parties wish to enter into an agreement that sets out the legal and organizational framework for the DDT Program;

THEREFORE in consideration of the premises and the mutual covenants and agreements herein, the parties agree as follows:

#### **1 Purpose of DDT Program**

- (a) The DDT Program established under this Agreement allows students to pursue simultaneously a Master's degree at each institution.
- (b) Upon successful completion of the DDT Program a student shall be awarded the following degrees:
  - AT NHH: Master of Science in Economics and Business Administration, Majoring in any field; and
  - At IVEY: Master of Science in Management.
- (c) Each Institution is responsible for ensuring that the DDT Program established under this Agreement complies with all academic requirements of its own Institution and has received the necessary approvals within their own Institution.

#### **2 Admission and Selection of Students**

- (a) Students must be enrolled in the Master of Science Program at their Home Institution at the time they apply for admission to the DDT Program. Acceptance into the DDT Program requires the approval of both the Home Institution and the Host Institution.
- (b) Up to five students from each Institution may be accepted into the DDT Program annually. This number may be varied during the term of this Agreement with the written agreement of the parties.
- (c) Candidates for the DDT Program must be in good standing in their home program and must satisfy all admission requirements, including language competencies, of the Host Institution as determined by the Host Institution, in order to be considered for admission to the DDT Program.
- (d) Candidates for the DDT Program will be nominated by their Home Institution through a competitive process according to the following selection criteria: CV, Motivation letter, and Personal interview.
- (e) The Host Institution shall be granted access to any written application documents and protocols of selection procedures for the Program held by the Home Institution.
- (f) Each Host Institution reserves the right to require DDT Program candidates to complete the standard enrolment documents and to provide the results of any entrance examinations required by the Home Institution.



- (g) The Host Institution has the right to reject a DDT Program candidate if he or she does not meet the Host Institution's academic criteria or other published admission requirements.

### **3 Study Structure Program**

- (a) The Master of Science Program is a four-term program at both Institutions. Students who are accepted into the DDT Program must complete their first two terms at their Home Institution and then enrol in the Master of Science Program at the Host Institution for the last two terms.
- (b) DDT Program students must complete the minimum credit requirements and all core course requirements required by each Institution in order to be awarded a degree by each Institution.
- (c) Upon admission to the DDT Program, students must develop, in writing, a program of study at the Host Institution that will meet the degree requirements of both the Home and Host Institutions. The proposed program of study must be approved by the relevant Academic Officers at both Institutions before a student commences his or her studies at the Host Institution.
- (d) DDT Program students may not take a course at the Host Institution that the Academic Officers determine to be substantially similar to a credit course taken at the Home Institution.
- (e) While enrolled at the Host Institution, DDT Program students shall have access to courses and enjoy the same rights and privileges and be subject to the same rules and regulations as the regular graduate students in the corresponding master study track of the Host Institution.
- (f) DDT Program students' academic progress at the Host Institution shall be evaluated and determined by the Host Institution in accordance with the Host Institution's academic rules and regulations. The Host Institution shall submit the results of such evaluations to the Home Institution at least once a term.
- (g) The Master's Thesis requirement at NHH and the Research Project requirement at IVEY are deemed equivalent. Successful completion of the IVEY Research Project by DDT Program students from NHH who are enrolled at IVEY for the third and fourth terms satisfies the Master's Thesis requirement at NHH. Similarly, successful completion of the Master's Thesis requirement by DDT Program students from IVEY who are enrolled at NHH for their third and fourth terms satisfies the Research Project requirement at IVEY.
- (h) DDT Program students from IVEY who are enrolled at NHH for the third and fourth terms shall have two thesis supervisors, one appointed by each Institution. The thesis must be written in English.
- (i) If a student withdraws from the DDT Program he or she may continue in the relevant Master of Science Program at the Home Institution subject to the rules and regulations of the Home Institution.

### **4 Financial**

DDT Program students are required to pay full tuition and mandatory fees to their Home Institution for the four terms.

DDT Program students will also be required to pay tuition fees to the Host Institution for terms three and four. The current fees are as follows: At NHH, Ivey students must register and pay a term fee for each of terms three and four of NOK 680. At Ivey, NHH students must pay a total tuition fee of \$17,500 CAD at the start of term three. These fees are subject to change by May 1 of each year and each Institution shall ensure that the other Institution is notified of any changes to the fees charged to incoming students. Each Institution is responsible for collecting its own fees.

DDT Program students will assume expenses for travel and all living expenses while at the Host Institution. For the students' activities each Institution will make available its own resources, including library access and computer accounts. Students may also be required to (or may elect to) pay certain ancillary fees (for example, for health coverage and services, bus pass, and/or intercollegiate and intramural sports). IVEY requires that incoming DDT Program students from NHH and any accompanying dependents purchase coverage under the University Health Insurance Plan (UHIP).

While the partner institutions have no responsibility for student funding, they will advise students of any available third party funding.

### **5 Program Management**

Both parties agree to provide the necessary means, resources and prerequisites to implement and operate the DDT PROGRAM as set forth in this Agreement. Each Institution will appoint both an administrative and an academic program coordinator to oversee the operation of the DDT Program at

their own Institution. Each Institution shall provide support services assisting the incoming DDT Program students in academic, administrative, organisational and social-cultural issues.

Both Institutions shall inform each other of any changes or proposed changes to the academic requirements or the structure of their Master of Science programs that may affect the DDT Program. The Institutions shall work together to ensure that any such changes do not adversely affect students who are currently in the DDT Program.

## **6 Controversies**

The parties agree to solve in a friendly manner any controversy arising from the interpretation of the present Agreement. The parties shall make every effort to reach an out-of-court settlement for any disputes that arise on signing or performing this Agreement.

## **7 Duration, Amendment, Review and Termination of Agreement**

This agreement shall be in force from August 1, 2013 and be binding upon the parties for a period of 5 years. It shall be subject to revision, modification and/or renewal by mutual written agreement. The parties will conduct a review of the Program at least 12 months' prior to the termination of this Agreement to determine if the Program should be continued.

This Agreement may be terminated by either institution, provided notice is given in writing to the other institution at least 12 months before termination is to become effective. If any students are currently in, or have been accepted into the DDT Program by both Institutions as of the date of such notice, this Agreement shall not terminate until such students have completed or left the DDT Program.

**This Agreement is signed by the authorized representatives of the Institutions.**

For and on behalf of

THE NORWEGIAN SCHOOL OF  
ECONOMICS

For and on behalf of

THE UNIVERSITY OF WESTERN  
ONTARIO

Frøystein Gjesdal  
Rector

Janice Deakin  
Provost and Vice-President Academic

Date \_\_\_\_\_

Irene Birrell, University Secretary

Date: \_\_\_\_\_

Sunniva Whittaker  
Deputy Rector and Dean for Internationalisation

Carol Stephenson  
Dean, Ivey Business School

John Andersen  
Director International Relations

Carol Beynon, Acting Vice-Provost, School of  
Graduate and Postdoctoral Studies

***Agreement between***  
**International Business School at Chongqing Business and Technology**  
**University (CTBU), Chongqing, PRC**  
**Of the First Part**

***and***  
**King's University College at The University of Western Ontario,**  
**London, Ontario, Canada**

***and***  
**The University of Western Ontario**  
**London, Ontario, Canada**

**Of the Second Part**

Chongqing Technology and Business University (CTBU), King's University College at The University of Western Ontario (King's) and The University of Western Ontario (Western) wish to enter into an agreement whereby qualified CTBU students registered in the Bachelor of Management or Bachelor of Economics at CTBU may be accepted into Year 3 of the Bachelor of Management and Organizational Studies (Honors Double Major) in Accounting from the MOS program and Finance from the Economics program or the Bachelor of Management and Organizational Studies (Honors Double Major) in Global Commerce from the MOS program and Finance from the Economics program at King's and upon successful completion of the program, qualify for degrees at both Western and CTBU.

Therefore the parties agree as follows:

**1. THE PURPOSE OF THE AGREEMENT**

The purpose of this agreement is:

- (a) to set out the requirements and procedures for admission of CTBU students to Year 3 of the BMOS (Honors Double Major) in Accounting from the MOS program and Finance from the Economics program or the Bachelor of Management and Organizational Studies (Honors Double Major) in Global Commerce from the MOS program and Finance from the Economics program at King's.
- (b) to set out the courses that will be accepted for transfer credit at King's and CTBU.
- (c) to set out the progression and graduation requirements for CTBU students enrolled at King's and;
- (d) to describe the nature of the relationship between King's and CTBU and describe the obligations of both in relation to the transfer credit agreement.

**2. TRANSFER CREDIT**

King's agrees that it will grant transfer credit for the first and second year CTBU courses set out in Schedule I to CTBU students who are admitted to the third year of the BMOS (Honors Double Major) in Accounting from the MOS program and Finance from Economics program and it will grant transfer credit for the first and second year CTBU courses set out in Schedule II to CTBU students who are admitted to the third year of the BMOS (Honors Double Major) in Global

Commerce from the MOS program and Finance from the Economics program pursuant to this agreement. CTBU agrees that it will grant transfer credit to CTBU students who have successfully completed the third and fourth year courses at King's set out in Schedule I and II.

### 3. ADMISSION

(a) King's will consider for admission to Year 3 of the BMOS (Honors Double Major) in Accounting from the MOS program and Finance from the Economics program or BMOS (Honors Double Major) in Global Commerce from the MOS program and Finance from the Economics program those applicants who have been proposed by CTBU and who satisfy the following admissions criteria:

(i) In order to be eligible to apply for admission to King's, CTBU students must have successfully completed the first and second year CTBU courses set out in Schedule I and II.

(ii) CTBU students must have an overall average of at least 70%, in the context of Western's grading scale, in all courses listed in Schedule I and II; and

(iii) CTBU students must also meet the English language proficiency as follows: TOEFL Internet based score of at least 85 with no section with a score of less than 20; or an IELTS score of 6.5 with no section with a score of less than 6.0.

(b) The final decision as to who will be admitted each year is made solely by King's.

(c) CTBU agrees that it will provide King's with academic transcripts (both mid-term and final) of all prospective students.

(d) The parties acknowledge that CTBU and King's make their own admission decisions relating to applicants at their respective institutions.

### 4. PROGRESSION REQUIREMENTS

(a) CTBU students studying at King's will be required to study on a full-time basis and are subject to all rules and regulations applicable to Western students, including academic progression requirements. The undergraduate program will normally be completed in two years of full-time study.

(b) Students performing unsatisfactorily in the BMOS (Honors Double Major) in Accounting from the MOS program and Finance from the Economics program or BMOS (Honors Double Major) in Global Commerce from the MOS program and Finance from the Economics program at King's will be counselled to consider whether they wish to continue in this program or another at King's or return to CTBU. King's will inform CTBU about the progress of all the students.

The transfer credits under this Agreement are granted solely for the two King's programs set out in this Agreement and are not applicable if a student transfers to another program and/or campus at Western. Students who transfer to another program and/or campus at Western will have the credit that they received as part of the Articulation Agreement removed from their academic record. Credit from CTBU will be assessed for individual credit on a course-by-course basis by the Admissions Office, as applicable, at that point in time.

## 5. ADVERTISING AND PROMOTION

When advertising this transfer credit agreement, King's and CTBU agree:

- (a) to receive prior approval for any form of advertising and promotion (either in written or electronic format) both in content as well as brand and/or logo.
- (b) to provide promotional materials as well as representation where appropriate.

## 6. COMMUNICATION, INFORMATION EXCHANGE AND PROTECTION OF PERSONAL INFORMATION

(a) CTBU and King's shall give the other reasonable notice of any anticipated changes to the list of courses set out in Schedule I and Schedule II, or to the content of those courses, and any changes to the list must be agreed to in writing by CTBU and King's. In the event that CTBU and King's are unable to agree on any proposed changes to the courses/credits set out in Schedule I and II, no further student will be admitted to King's under this Agreement.

(b) CTBU and King's will designate individuals responsible for this communication.

(c) CTBU and King's agree to take all reasonable measures to ensure that student personal information provided to it by the other institution is used only for the purpose for which it is provided and is protected against loss, unauthorised access, use, modification or disclosure.

(d) CTBU and King's agree that they will not transfer personal information to a third party without the prior written consent of the individual to whom the information relates.

## 7. AMENDMENT, RENEWAL AND TERMINATION OF THIS AGREEMENT

This agreement is in effect for five years from the date of signing. Discussions about the renewal of the agreement shall begin at least 12 months prior to the end date. Notwithstanding, the agreement can be terminated by any party with six months notice with the understanding that those students enrolled in the program will be allowed to complete their degrees.

## 8. INSTITUTIONAL CONTACT AND NOTICES

A notice under this agreement must be made in writing and sent by air mail, fax or email to the following institutional contacts:

For CTBU:

Ms. Liu Qian  
Program Manager  
School of International Business, CTBU  
Phone +86-23-62769256  
Fax +86-23-62769254  
Email [ctbuliuqian@qq.com](mailto:ctbuliuqian@qq.com)

For King's:

Ms. Marilyn Mason  
Registrar

**King's**  
**Phone 1-519-433-3491**  
**Fax 519-433-2227**  
**Email [mmason@uwo.ca](mailto:mmason@uwo.ca)**

**IN WITNESS WHEREOF** the parties have executed this agreement by the hands of their  
duly authorized signing officers

**CHONQUING TECHNOLOGY AND BUSINESS UNIVERSITY**

\_\_\_\_\_  
**Dr. Yang Jirui**  
**President**

\_\_\_\_\_  
**Dr. Bai Qun**  
**Dean, International School of Business**

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

**KING'S UNIVERSITY COLLEGE AT WESTERN UNIVERSITY**

\_\_\_\_\_  
**Dr. David Sylvester**  
**Principal**

\_\_\_\_\_  
**Dr. Sauro Camiletti**  
**Academic Dean**

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

**THE UNIVERSITY OF WESTERN ONTARIO**

\_\_\_\_\_  
**Dr. John Doerksen**  
**Vice Provost (Academic Programs and Students)**

\_\_\_\_\_  
Date



**Course Mapping for the 2+2 Program in BMOS (Honors Double Major in Accounting from the MOS program and Finance from the Economics program) between King's and CTBU**

**Schedule I (Course Mapping and Transfer Credit)**

<b>No.</b>	<b>First Year Subject at CTBU</b>	<b>Credit Weight</b>
1	P.E.I	2
2	Philosophy (SF)	2
3	Calculus I (SF)	4
4	Basic Computer Operation (SF)	3
5	English Cross-Culture Communication I (CK)	1
6	English Writing I (CK)	2
7	Oral English I (CK)	4
8	English Listening I (CK)	4
9	English Reading I (CK)	4
10	Micro-Economics (CK)	3
11	P.E. II	2
12	Calculus II (SFA)	4
13	English Cross-Culture Communication II (CK)	1
14	English Listening II (CK)	2
15	English Writing II (CK)	2
16	Oral English II (CK)	4
17	English Reading II (CK)	4
18	International Trade Theory & Practice (CK)	2
19	Macro-Economics (CK)	3
20	Commercial Law (CK)	4
21	Practice of Programming Fundamentals (09090023)	2

<b>No.</b>	<b>Second Year Subject at CTBU</b>	<b>Credit Weight</b>
22	Probability Theory and Mathematical Statistics (SF)	4
23	English Listening III (CK)	2
24	Selected Reading of the English New Publications I (CK)	2
25	English Writing III (CK)	2
26	Oral English III (CK)	4
27	English Reading III (CK)	4
28	Accounting Principles (CK)	3
29	Intermediate Micro-economics (CK)	3
30	International Finance (CK)	4
31	Linear Algebra (SF)	3
32	Oral English IV (CK)	4
33	English Listening IV (CK)	2
34	Selected Reading of the English New Publications II (CK)	2
35	English Writing IV (CK)	2
36	English Reading IV (CK)	4
37	Principles of Management (CK)	4
38	Marketing (CK)	3
39	Intermediate Accounting (CK)	4

<b>No.</b>	<b>Third Year Subject at King's</b>	<b>Credit Weight</b>
40	# Actuarial Science 2053	1.0
41	# Business 2257	1.0
42	# MOS 3360A/B	0.5
43	# MOS 3401F/G	0.5
44	# PHIL 2074F/G	0.5
45	# ECO 2221A/B	0.5
46	# ECO 2300A/B	0.5
47	# Writing 0002F/G	0.5

<b>No.</b>	<b>Fourth Year Subject at King's</b>	<b>Credit Weight</b>
48	# MOS 3310A/B	0.5
49	# MOS 3312A/B	0.5
50	# MOS 3320A/B	0.5
51	# MOS 3330A/B	0.5
52	# MOS 3361A/B	0.5
53	# MOS 3370A/B and 3371A/B	1.0
54	# MOS 4410A/B	0.5
55	# MOS 4465A/B	0.5
56	MOS 3362A/B	0.5
57	MOS 3363A/B	0.5
58	MOS 4404A/B	0.5
59	ECO 3371A/B Monetary Economics (prerequisite Economics 2221A/B)	0.5
		<b>4.5</b> required

### **Explanation**

1. In this table, all courses in the program are listed, including the courses at CTBU for the first and second years and the courses at King's for the third and fourth years.
2. According to the CTBU education plan, all courses at CTBU listed in the table for the first 2 years are required.
3. The required courses at King's for the third and fourth years in this table are marked with #'s. The unmarked courses are elective courses.
4. CTBU will give 2 transfer credits for each course successfully finished at King's during their last 2 years.
5. If students complete 10.0 courses in total, including those marked with #'s, they will satisfy the degree requirements at both CTBU and at King's.
6. Essay credit requirements: transfer credit students only require 1.0 essay course to satisfy the King's requirements. Essay courses include at least 1.0 from the following courses: MOS 3401F/G and PHIL 2074F/G.
7. CTBU students are required to complete at least 1.0 elective MOS courses in addition to the required courses marked with #'s.

## 1st Year CTBU to King's Course Mapping

1. 0.5 Philosophy (1020TRN) based on Philosophy (SF) taken in term 1.
2. 0.5 Calculus 1000 a/b based on Calculus (SF) I, taken in term 1.
3. 0.5 Computer Science 1020TRN, based on Basic Computer Operation (SF) taken in term 1.
4. 0.5 Economics 1021 a/b based on Micro-Economics (SF) taken in term 1.
5. 0.5 Calculus 1301 a/b based on Calculus (SF) II, taken in term 2.
6. 1.0 Political Science (1020 TRN) based Commercial Law (CK) taken in term 2.
7. 0.5 Economics 1022 a/b based on Macro-Economics (CK) taken in term 2.
8. 0.5 Economics 2220 a/b based on Intermediate Macro-Economics (CK) taken at the end of term 2 in the summer session taught by a King's professor on-site at CTBU.
9. 0.5 MOS 2181 a/b based on Organizational Behavior (CK) taken at the end of term 2 in the summer session taught by a King's professor on-site at CTBU.

**Total of 4.0 first year credits, 1.0 second year credits**

## 2nd Year CTBU to King's Course Mapping

1. 0.5 Economics 2222 a/b based on Part 1 of Probability Theory and Mathematical Statistics (SF) taken in term 3.
2. 0.5 Economics 2223 a/b based on Part 2 of Probability Theory and Mathematical Statistics (SF) taken in term 3.
3. 0.5 Economics 2260 a/b based on Intermediate Micro-economics (CK) taken in term 3.
4. 1.0 Business 1220E based on Principle of Management (CK) and Accounting Principles (CK) in term 3 and Introduction to Decision Making (taught by KUC in summer following 4<sup>th</sup> term).
5. 0.5 Economics 2100 TRN based on Part 1 of International Finance (CK) taken in term 3. \*\*
6. 0.5 Economics 3370 a/b based on Part 2 of International Finance (CK) taken in term 3. \*\*
7. 0.5 Linear Algebra 1020 TRN based on Linear Algebra (SF) taken in term 4.
8. 0.5 Writing 1020 TRN based on English Writing IV (CK) taken in term 4.
9. 0.5 Marketing 1020 TRN based on Marketing (CK) taken in term 4.
10. 0.5 Business 2100 TRN based on Intermediate Accounting (CK) taken in term 4.

\*\* International Finance at CTBU is taught as a full year course with 72 credit hours. Since Economics 3370a/b is our equivalent of International Finance, we are able to award an additional ½ unspecified credit in Economics at the 2100 level for the additional hours of course work completed at CTBU.

**Total of 2.0 first year credits and 3.5 second year credits**

**There are a total of 10.5 credits available for consideration for transfer from the first 2 years at CTBU. Only a maximum of 10.0 credits can be transferred.**

## 3rd Year Kings' to CTBU Course Mapping

1.	#	1.0 Actuarial Science 2053 counts as Math for Financial Analysis (CK)
2.	#	1.0 Business 2257 counts as Introduction to Financial Accounting (CK)
3.	#	0.5 MOS 3360a/b counts as Intermediate Account I (CK)
4.	#	0.5 MOS 3401F/G counts as Corporate Social Responsibility (CK)
5.	#	0.5 PHIL 2074 F/G counts as Special Topics in Philosophy (CK)
6.	#	0.5 Economics 2221 a/b counts as Macroeconomics II (CK)
7.	#	0.5 Economics 2300 a/b counts as Financial Markets (CK)
8.	#	0.5 Writing 0002F/G as Intro to Writing in English (CK)

### 4th Year King's to CTBU Course Mapping

1.	#	0.5 MOS 3310 a/b counts as Finance for MOS (CK)
2.	#	0.5 MOS 3312 a/b counts as counts as Derivative & Securities (CK)
3.	#	0.5 MOS 3320 a/b counts as Advanced Marketing (CK)
4.	#	0.5 MOS 3330 a/b counts as Operations Management (CK)
5.	#	0.5 MOS 3361 a/b counts as Intermediate Account II (CK)
6.	#	1.0 MOS 3370a/b and 3371a/b counts as Managerial Accounting (CK)
7.	#	0.5 MOS 4410 a/b counts as Strategic Management (CK)
8.	#	0.5 MOS 4465 counts as Advanced Accounting (CK)
9.		0.5 MOS 3362 a/b counts as Taxation (CK)
10.		0.5 MOS 3363 a/b counts as Auditing (CK)
11.		0.5 MOS 4404 a/b counts as International Enterprise (CK)
12.		0.5 Economics 3371 a/b counts as Banking (CK)

The required courses at King's for the third and fourth years in this table are marked with #s. All students will take at least 10.0 courses at King's/Western.

#### Note:

In the last 2 years, if students successfully complete any non-mapped course, e.g. MOS 3398 a/b Selected Topics, CTBU will give them 2 transfer credits.

### There are no Course equivalencies for the following CTBU courses to King's:

P.E.I
English Cross-Culture Communication I (CK)
English Writing I (CK)
Oral English I (CK)
English Listening I (CK)
English Reading I (CK)
P.E. II
English Cross-Culture Communication II (CK)
English Listening II (CK)
English Writing II (CK)
Oral English II (CK)
English Reading II (CK)
English Listening III (CK)
Selected Reading of the English New Publications I (CK)
English Writing III (CK)
Oral English III (CK)
English Reading III (CK)
Oral English IV (CK)
English Listening IV (CK)
Selected Reading of the English New Publications II (CK)
English Reading IV (CK)

**2+2 program in BMOS (MOS Honors Double Major in Accounting from the MOS program and Finance from the Economics Program ) between King's and CTBU**  
**Course Transfer Map**

<b>KUC Credit</b>	<b>KUC Course</b>	<b>Course Title</b>	<b>CTBU Credit</b>	<b>CTBU Course Equivalent</b>
	<b>YEAR 1</b>			
0.5	Philosophy 1020 TRN	Intro to Philosophy	0.5	Philosophy (SF)
0.5	Computer Science 1020 TRN	Computer Science	0.5	Basic Computer Operation (SF)
1.0	Calculus 1000a/b + Calculus 1301a/b	Calculus I & Calculus II	1.0	Calculus (SF) I + Calculus (SF) II
1.0	Economics 1021a/b + 1022a/b	Principles of Microeconomics and Principles of Macroeconomics	1.0	Microeconomics (SF) + Macroeconomics (CK)
1.0	Political Science 1020 TRN	Intro to Political Science	1.0	Commercial Law (CK)
0.5	Economics 2220a/b	Intermediate Macroeconomics I	0.5	Intermediate Macro-Economics *
0.5	MOS 2155a/b	MOS—Organizational Behaviour	0.5	Organizational Behaviour *
<b>5.0</b>			<b>5.0</b>	
	<b>YEAR 2</b>			
1.0	Economics 2222a/b + Economics 2223 a/b	Intermediate Econometrics I + Econometrics II	1.0	Probability Theory and Mathematical Statistics (SF)
0.5	Economics 2260a/b	Intermediate Microeconomics I	0.5	Intermediate Micro-economics (CK)#
1.0	Business 1220E	Intro to Business	1.0	Principles of Management (CK) + Accounting Principles (CK) + Introduction to Decision Making (KUC)\$
0.5	Economics 3370a/b	International Finance	0.5	International Finance 1
0.5	Economics 2100 TRN		0.5	International Finance 2
0.5	Linear Algebra 1020 TRN	Linear Algebra	0.5	Linear Algebra (SF)
0.5	Writing 1020 TRN	Intro to Writing	0.5	English Writing IV (CK)
0.5	Marketing 2100 TRN	Marketing	0.5	Marketing (CK)
0.5	Business 2100 TRN		0.5	Intermediate Accounting (CK)
<b>5.5</b>			<b>5.5</b>	

10.5 courses have been assessed in total but only a maximum of 10.0 can be transferred

\* taken end of term 2 (summer session taught by KUC profs)

# taken in term 3 (in substitution to MOS 3310a/b)

\$ taken at end of term 4 (summer session taught by KUC profs)



International Finance at CTBU is taught as a full year course with 72 credit hours. Since Economics 3370a/b is our equivalent of International Finance, we are able to award an additional ½ unspecified credit in Economics at the 2100 level for the additional hours of course work completed at CTBU.

<b>KUC Credit</b>	<b>Required Courses</b>	<b>KUC Course</b>	<b>CTBU Equivalent</b>
		<b>YEAR 3</b>	
1.0	#	Actuarial Science 2053	Mathematics for Financial Analysis (CK)
1.0	#	Business 2257	Introduction to Financial Accounting (CK)
0.5	#	MOS 3360a/b	Intermediate Accounting I (CK)
0.5	#	MOS 3401 F/G	Corporate Social Responsibility (CK)
0.5	#	PHIL 2074 F/G	Special Topics in Philosophy (CK)
0.5	#	Economics 2221 a/b	Macroeconomics II (CK)
0.5	#	Writing 0002F/G	Intro to Writing in English (CK)
0.5	#	Economics 2300a/b	Financial Markets (CK)
		<b>YEAR 4</b>	
0.5	#	MOS 3310 a/b	Finance for MOS (CK)
0.5	#	MOS 3312 a/b	Derivative & Securities (CK)
0.5	#	MOS 3320 a/b	Advanced Marketing (CK)
0.5	#	MOS 3330 a/b	Operations Management (CK)
0.5	#	MOS 3361a/b	Intermediate Account II (CK)
1.0	#	MOS 3370a/b and 3371a/b	Managerial Accounting (CK)
0.5	#	MOS 4410 a/b	Strategic Management (CK)
0.5	#	MOS 4465 a/b	Advanced Accounting (CK)
0.5		MOS 3362a/b	Taxation (CK)
0.5		MOS 3363a/b	Auditing (CK)
0.5		MOS 4404a/b	International Enterprise (CK)
0.5		Economics 3371a/b	Banking (CK)

# indicates the required courses at King's for the third and fourth years.  
All students will take at least 10.0 courses at King's/UWO.

**Course Mapping for the 2+2 Program in BMOS (Honors Double Major in Global Commerce from the MOS program and Finance from the Economics program) between King's and CTBU**

**Schedule II (Course Mapping and Transfer Credit)**

<b>No.</b>	<b>First Year Subjects at CTBU</b>	<b>Credit Weight</b>
1	P.E.I	2
2	Philosophy (SF)	2
3	Calculus I (SF)	4
4	Basic Computer Operation (SF)	3
5	English Cross-Culture Communication I (CK)	1
6	English Writing I (CK)	2
7	Oral English I(CK)	4
8	English Listening I (CK)	4
9	English Reading I (CK)	4
10	Micro-Economics (CK)	3
11	P.E. II	2
12	Calculus II (SF)	4
13	English Cross-Culture Communication II (CK)	1
14	English Listening II (CK)	2
15	English Writing II (CK)	2
16	Oral English II (CK)	4
17	English Reading II (CK)	4
18	International Trade Theory & Practice (CK)	2
19	Macro-Economics (CK)	3
20	Commercial Law (CK)	4
21	Practice of Programming Fundamentals (09090023)	2

No.	<b>Second Year Subjects at CTBU</b>	<b>Credit Weight</b>
22	Probability Theory and Mathematical Statistics (SF)	4
23	English Listening III (CK)	2
24	Selected Reading of the English New Publications I (CK)	2
25	English Writing III (CK)	2
26	Oral English III (CK)	4
27	English Reading III (CK)	4
28	Accounting Principles (CK)	3
29	Intermediate Micro-economics (CK)	3
30	International Finance (CK)	4
31	Linear Algebra (SF)	3
32	Oral English IV (CK)	4
33	English Listening IV (CK)	2
34	Selected Reading of the English New Publications II (CK)	2
35	English Writing IV (CK)	2
36	English Reading IV (CK)	4
37	Principle of Management (CK)	4
38	Marketing (CK)	3
39	Intermediate Accounting (CK)	4

No.	<b>Third Year Subject at King's</b>	<b>Credit Weight</b>
40	# Actuarial Science 2053	1.0
41	# Business 2257	1.0
42	# MOS 2220F/G	0.5
43	# MOS 3401F/G	0.5
44	# PHIL 2074F/G	0.5
45	# Econ 2221a/b	0.5
46	# Econ 2162a/b	0.5
47	# Writing 0002F/G	0.5
		<b>5.0</b>

No.	Fourth Year Subject at King's	Credit Weight
48	# Econ 2300a/b	1.0
49	# Econ 2261a/b	0.5
50	# Econ 3317a/b	0.5
51	# MOS 2285a/b	0.5
52	# MOS 3310a/b	0.5
53	# MOS 3312a/b	0.5
54	# MOS 3320a/b	0.5
55	# MOS 3330a/b	0.5
56	# MOS 4404a/b	0.5
57	# MOS 4410a/b	0.5
		<b>5.0</b>

### Explanation

1. In this table, all courses in the program are listed, including the courses at CTBU for the first and second years and the courses at King's for the third and fourth years.
2. According to the CTBU education plan, all courses at CTBU listed in the table for the first 2 years are required.
3. The required courses at King's for the third and fourth years in the chart are marked with #'s. The unmarked courses are elective courses.
4. CTBU will give 2 transfer credits for each course successfully finished at King's during their last 2 years.
5. If students complete 10.0 courses in total, including those marked with #'s, they will satisfy the degree requirements at both CTBU and at King's.
6. Essay credit requirements: transfer credit students only require 1.0 essay course to satisfy the King's requirements. Essay courses include at least 1.0 from the following courses: MOS 3401F/G and PHIL 2074F/G.
7. CTBU students are required to complete at least 1.0 elective MOS courses in addition to the required courses marked with #s.

## **Explanation of Course Transfer from CTBU to King's**

### **1st Year CTBU to King's Course Mapping**

1. 0.5 Philosophy (1020TRN) based on Philosophy (SF) taken in term 1.
2. 0.5 Calculus 1000 a/b based on Calculus (SF) I, taken in term 1.
3. 0.5 MOS 1033a/b based on Basic Computer Operation (SF) taken in term 1.
4. 0.5 Economics 1021 a/b based on Micro-Economics (SF) taken in term 1.
5. 0.5 Calculus 1301 a/b based on Calculus (SF) II, taken in term 2
6. 1.0 Political Science (1020 TRN) based Commercial Law (CK) taken in term 2.
7. 0.5 Economics 1022 a/b based on Macro-Economics (CK), taken in term 2
8. 0.5 Economics 2220 a/b based on Intermediate Macro-Economics (CK), taken at end of term 2 in Summer session taught by King's professor on site at CTBU.
9. 0.5 MOS 2155 a/b based on Organizational Behavior (CK) taken at end of term 2 in Summer session taught by King's professor on site at CTBU.

***Total of 4.0 first year credits, 1.0 second year credits***

### **2nd Year CTBU to King's Course Mapping**

1. 0.5 Economics 2222 a/b based on Part 1 of Probability Theory and Mathematical Statistics (SF) taken in term 3.
2. 0.5 Economics 2223 a/b based on Part 2 of Probability Theory and Mathematical Statistics (SF) taken in term 3.
3. 0.5 Economics 2260 a/b based on Intermediate Micro-economics (CK) taken in term 3.
4. 1.0 Business 1220E based on Principle of Management (CK) and Accounting Principles (CK) in term 3 and Introduction to Decision Making (taught by KUC in summer following 4<sup>th</sup> term).
5. 0.5 Economics 3370 a/b based on Part 1 of International Finance (CK) taken in term 3. \*\*
6. 0.5 Economics 2100 TRN based on Part 2 of International Finance (CK) taken in term 3. \*\*
7. 0.5 Linear Algebra 1020 TRN based on Linear Algebra (SF) taken in term 4
8. 0.5 Writing 1020 TRN based on English Writing IV (CK) taken in term 4.
9. 0.5 Marketing 2100 TRN based on Marketing (CK) taken in term 4.

\*\* International Finance at CTBU is taught as a full year course with 72 credit hours. Since Economics 3370a/b is our equivalent of International Finance, we are able to award an additional ½ unspecified credit in Economics at the 2100 level for the additional hours of course work completed at CTBU.

***Total of 2.0 first year credits and 3.0 second year credits***

***There are a total of 10.0 credits transferred to King's for the first 2 years.***

### **3rd Year King's to CTBU Course Mapping**

1.	#	1.0 Actuarial Science 2053 counts as Mathematics for Financial Analysis (CK)
2.	#	1.0 Business 2257 counts as Introduction to Financial Accounting (CK)
3.	#	0.5 MOS 2220a/b counts as Cross-Cultural Commercial Enterprise (CK)
4.	#	0.5 MOS 3401F/G counts as Corporate Social Responsibility (CK)
5.	#	0.5 PHIL 2074 F/G counts as Special Topics in Philosophy (CK)

6.	#	0.5 Economics 2221 a/b counts as Macro economics II (CK)
7.	#	0.5 Economics 2162a/b counts as International Economics (CK)
8.	#	0.5 Writing 0002F/G counts as Intro to Writing in English (CK)

#### 4th Year King's to CTBU Course Mapping

1.	#	0.5 Economics 2300a/b counts as Investment and Financial Market (CK)
2.	#	0.5 Economics 2261a/b counts as Intermediate Microeconomics II (CK)
3.	#	0.5 Economics 3317a/b counts as Modern Asian Economics (CK)
4.	#	0.5 MOS 2285a/b counts as Global Business Environment (CK)
5.	#	0.5 MOS 3310 a/b counts as Finance for MOS (CK)
6.	#	0.5 MOS 3312 a/ counts as counts as Derivative & Securities (CK)
7.	#	0.5 MOS 3320a/b counts as Marketing for MOS (CK)
8.	#	0.5 MOS 3330 a/b counts as Operations Management (CK)
9.	#	0.5 MOS 4404 a/b counts as International Enterprise (CK)
10.	#	0.5 MOS 4410 a/b counts as Strategic Management (CK)

The required courses at King's for the third and fourth years in this table are marked with #'s. All students will take at least 10.0 courses at King's/Western.

#### Note:

In the last 2 years, if students successfully complete any non-mapped course, e.g. MOS 3398 a/b Selected Topics, CTBU will give them 2 transfer credits.

#### There are no course equivalencies for the following CTBU courses to King's:

P.E.I
English Cross-Culture Communication I (CK)
English Writing I (CK)
Oral English I (CK)
English Listening I (CK)
English Reading I (CK)
P.E. II
English Cross-Culture Communication II (CK)
English Listening II (CK)
English Writing II (CK)
Oral English II (CK)
English Reading II (CK)
English Listening III (CK)
Selected Reading of the English New Publications I (CK)
English Writing III (CK)
Oral English III (CK)
English Reading III (CK)
Oral English IV (CK)
English Listening IV (CK)
Selected Reading of the English New Publications II (CK)
English Reading IV (CK)



**2+2 program in BMOS (MOS Honors Double Major in Global Commerce from the MOS program and Finance from the Economics program) between King's and CTBU**  
**Course Transfer Map**

<b>KUC Credit</b>	<b>KUC Course</b>	<b>Course Title</b>	<b>CTBU Credit</b>	<b>CTBU Course Equivalent</b>
	<b>YEAR 1</b>			
0.5	Philosophy 1020 TRN	Intro to Philosophy	0.5	Philosophy (SF)
0.5	MOS 1033a/b	Information Technology in the Commercial Environment	0.5	Basic Computer Operation (SF)
1.0	Calculus 1000a/b + Calculus 1301a/b	Calculus I & Calculus II	1.0	Calculus (SF) I + Calculus (SF) II
1.0	Economics 1021a/b + 1022a/b	Principles of Microeconomics and Principles of Macroeconomics	1.0	Microeconomics (SF) + Macroeconomics (CK)
1.0	Political Science 1020 TRN	Intro to Political Science	1.0	Commercial Law (CK)
0.5	Economics 2220a/b	Intermediate Macroeconomics I	0.5	Intermediate Macro-Economics *
0.5	MOS 2155a/b	MOS—Organizational Behaviour	0.5	Organizational Behaviour *
<b>5.0</b>			<b>5.0</b>	
	<b>YEAR 2</b>			
1.0	Economics 2222a/b + Economics 2223 a/b	Intermediate Econometrics I + Econometrics II	1.0	Probability Theory and Mathematical Statistics (SF)
0.5	Economics 2260a/b	Intermediate Microeconomics I	0.5	Intermediate Micro-economics (CK)#
1.0	Business 1220E	Intro to Business	1.0	Principles of Management (CK) + Accounting Principles (CK) + Introduction to Decision Making (KUC)\$
0.5	Economics 3370a/b	International Finance	0.5	International Finance 1
0.5	Economics 2100 TRN		0.5	International Finance 2
0.5	Linear Algebra 1020 TRN	Linear Algebra	0.5	Linear Algebra (SF)
0.5	Writing 1020 TRN	Intro to Writing	0.5	English Writing IV (CK)
0.5	Marketing 2100 TRN	Marketing	0.5	Marketing (CK)
<b>5.0</b>			<b>5.0</b>	

\* taken end of term 2 (summer session taught by KUC profs)

# taken in term 3 (in substitution to MOS 3310a/b)

\$ taken at end of term 4 (summer session taught by KUC profs)

International Finance at CTBU is taught as a full year course with 72 credit hours. Since Economics 3370a/b is our equivalent of International Finance, we are able to award an additional ½ unspecified credit in Economics at the 2100 level for the additional hours of course work completed at CTBU.

<b>KUC Credit</b>	<b>Required Courses</b>	<b>KUC Course</b>	<b>CTBU Equivalent</b>
		<b>YEAR 3</b>	
1.0	#	Business 2257	Introduction to Financial Accounting (CK)
1.0	#	Act Sci 2053	Mathematics for Financial Analysis (CK)
0.5	#	MOS 2220 a/b	Cross Cultural Commercial Enterprise (CK)
0.5	#	MOS 3401 F/G	Corporate Social Responsibility (CK)
0.5	#	PHIL 2074 F/G	Special Topics in Philosophy (CK)
0.5	#	Economics 2221 a/b	Macroeconomics II (CK)
0.5	#	Economics 2162 a/b	International Economics (CK)
0.5	#	Writing 0002F/G	Intro to Writing in English (CK)
		<b>YEAR 4</b>	
0.5	#	Economics 2300a/b	Investment and Financial Markets (CK)
0.5	#	Economics 2261a/b	Intermediate Microeconomics II (CK)
0.5	#	Economics 3317 a/b	Modern Asian Economics (CK)
0.5	#	MOS 2285a/b	Global Business Environment (CK)
0.5	#	MOS 3310 a/b	Finance for MOS (CK)
0.5	#	MOS 3320a/b	Marketing for MOS (CK)
0.5	#	MOS 3330 a/b	Operations Management (CK)
0.5	#	MOS 3312 a/b	Derivative & Securities (CK)
0.5	#	MOS 4404 a/b	International Enterprise (CK)
0.5	#	MOS 4410 a/b	Strategic Management (CK)

# indicates the required courses at King's for the third and fourth years.  
All students will take at least 10.0 courses at King's/UWO.

***Agreement between***

**School of International Business, Dongbei University of Finance and  
Economics (DUFE), Dalian, PRC**

**Of the first part**

***and***

**King's University College at The University of Western Ontario,  
London, Ontario, Canada**

***and***

**The University of Western Ontario  
London, Ontario, Canada**

**Of the second part**

Dongbei University of Finance and Economics (DUFE) and King's University College at The University of Western Ontario (King's) and The University of Western Ontario (Western) wish to enter into an agreement whereby qualified DUFE students registered in the Bachelor of Management or Bachelor of Economics at DUFE may be accepted into Year 3 of the Bachelor of Management and Organizational Studies (BMOS Honors Specialization) in the Finance and Administration Module or the Bachelor of Arts (Honors) in Economics at King's and upon successful completion of the program, qualify for degrees at both Western and DUFE.

Therefore the parties agree as follows:

**1. THE PURPOSE OF THE AGREEMENT**

The purpose of this agreement is:

- (a) to set out the requirements and procedures for admission of DUFE students to Year 3 of the BMOS (Honors Specialization) in Finance and Administration or BA (Honors) in Economics at King's.
- (b) to set out the courses that will be accepted for transfer credit at King's and DUFE.
- (c) to set out the progression and graduation requirements for DUFE students enrolled at King's and;
- (d) to describe the nature of the relationship between King's and DUFE and describe the obligations of both in relation to the transfer credit agreement.

**2. TRANSFER CREDIT**

King's agrees that it will grant transfer credit for the first and second year DUFE courses set out in Schedule I to DUFE students who are admitted to the third year of the BMOS (Honors Specialization) in Finance and Administration and it will grant transfer credit for the first and second year DUFE courses set out in Schedule II to DUFE students who are admitted to the third year of the BA (Honors) in Economics program pursuant to this agreement. DUFE agrees that it will grant transfer credit to DUFE students who have successfully completed the third and fourth year courses at King's set out in Schedule I and II.

### 3. ADMISSION

(a) King's will consider for admission to Year 3 of the BMOS (Honors Specialization) in Finance and Administration or BA (Honors) in Economics program those applicants who have been proposed by DUFE and who satisfy the following admissions criteria;

(i) In order to be eligible to apply for admission to King's, DUFE students must have successfully completed the first and second year DUFE courses set out in Schedule I or Schedule II.

(ii) DUFE students must have an overall average of at least 70% in the context of Western's grading scale, in all courses listed in Schedule I or Schedule II; and

(iii) DUFE students must also meet the English language proficiency as follows: TOEFL Internet based score of at least 85 with no section with a score of less than 20; or an IELTS score of 6.5 with no section with a score of less than 6.0.

(b) The final decision as to who will be admitted each year is made solely by King's.

(c) DUFE agrees that it will provide King's with academic transcripts (both mid-term and final) of all prospective students.

(d) The parties acknowledge that DUFE and King's make their own admission decisions relating to applicants at their respective institutions.

### 4. PROGRESSION REQUIREMENTS

(a) DUFE students studying at King's will be required to study on a full-time basis and are subject to all rules and regulations applicable to Western students, including academic progression requirements. The undergraduate program will normally be completed in two years of full-time study.

(b) Students performing unsatisfactorily in the BMOS (Honors Specialization) in Finance and Administration or BA (Honors) in Economics program at King's will be counselled to consider whether they wish to continue in this program or another at King's or return to DUFE. King's will inform DUFE about the progress of all the students.

The transfer credits under this Agreement are granted solely for the two King's programs set out in this Agreement and are not applicable if a student transfers to another program and/or campus at Western. Students who transfer to another program and/or campus at Western will

have the credit that they received as part of the Articulation Agreement removed from their academic record. Credit from the DUFE will be assessed for individual credit on a course-by-course basis by the Admissions Office, as applicable, at that point of time.

## 5. ADVERTISING AND PROMOTION

When advertising this transfer credit agreement, King's and DUFE agree:

(a) to receive prior approval for any form of advertising and promotion (either in written or electronic format) both in content as well as brand and/or logo.

(b) to provide promotional materials as well as representation where appropriate.

## 6. COMMUNICATION, INFORMATION EXCHANGE AND PROTECTION OF PERSONAL INFORMATION

(a) DUFE and King's shall give the other reasonable notice of any anticipated changes to the list of courses set out in Schedule I and Schedule II, or to the content of those courses, and any changes to the list must be agreed to in writing by DUFE and King's. In the event that DUFE and King's are unable to agree on any proposed changes to the courses/credits set out in Schedule I and II, no further students will be admitted to King's under this Agreement.

(b) DUFE and King's will designate individuals responsible for this communication.

(c) DUFE and King's agree to take all reasonable measures to ensure that student personal information provided to it by the other institution is used only for the purpose for which it is provided and is protected against loss, unauthorised access, use, modification or disclosure.

(d) DUFE and King's agree that they will not transfer personal information to a third party without the prior written consent of the individual to whom the information relates.

## 7. AMENDMENT, RENEWAL AND TERMINATION OF THIS AGREEMENT

This agreement is in effect for five years from the date of signing. Discussions about the renewal of the agreement shall begin at least 12 months prior to the end date. Notwithstanding, the agreement can be terminated by any party with six months notice with the understanding that those students enrolled in the program will be allowed to complete their degrees.

## 8. INSTITUTIONAL CONTACT AND NOTICES

A notice under this agreement must be made in writing and sent by air mail, fax or email to the following institutional contacts:

For DUFE:

Ms. Meng Mei  
International Program Manager  
School of International Business, DUFE  
Phone +86 411 8471 2088  
Fax +86 411 8471 0600  
Email [mmeng@dufe.edu.cn](mailto:mmeng@dufe.edu.cn)

For King's:

Ms. Marilyn Mason  
Registrar  
King's  
Phone 1-519-433-3491  
Fax 519-433-2227  
Email [mmason@uwo.ca](mailto:mmason@uwo.ca)

IN WITNESS WHEREOF the parties have executed this agreement by the hands of their  
duly authorized signing officers

DONGBEI UNIVERSITY OF FINANCE AND ECONOMICS

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Dr. Ai Hongde  
President

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Date

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Dr. David Wang  
Dean, International School of Business

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Date

KING'S UNIVERSITY COLLEGE AT WESTERN UNIVERSITY

---

Dr. David Sylvester  
Principal

---

Date

---

Dr. Sauro Camiletti  
Academic Dean

---

Date

THE UNIVERSITY OF WESTERN UNIVERSITY

---

Dr. John Doerksen  
Vice-Provost (Academic Programs and Students)

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Date



## **Course Mapping for the 2+2 Program in BMOS (Honors Sp in Finance and Administration) between King's and DUFE**

### **Schedule I (Course Mapping and Transfer Credit)**

<b>No.</b>	<b>First Year Subject at DUFE</b>	<b>Credit Weight</b>
1	Physical Education	2
2	Foundation of Database	4
3	Introduction to Business	5
4	Fundamentals of Computers	4
5	Sociology	2
6	Academic English Writing I	2
7	Oral English I	4
8	English Listening I	4
9	Linear Algebra	2
10	Micro-Economics	3
11	English Listening II	2
12	English Writing II	2
13	Oral English II	4
14	Macro-Economics	3

<b>No.</b>	<b>Second Year Subject at DUFE</b>	<b>Credit Weight</b>
15	Probability Theory and Mathematical Statistics	4
16	English Listening III	2
17	Volleyball or Basketball	2
18	English Writing III	2
19	Oral English III	2
20	Physical Education	2

21	Advanced Mathematics 1B	5
22	Intermediate Micro-economics	4
23	International Economics	3
24	Intermediate Macroeconomics	4
25	Advanced Mathematics 2B	4
26	Organizational Behavior	3
27	Introduction of Economic Law	3
28	English Reading I	2
29	English Reading II	2

<b>No.</b>	<b>Third Year Subject at King's</b>	<b>Credit Weight</b>
30	# Business 2257	1.0
31	# Actuarial Science 2053	1.0
32	# MOS 2285a/b	0.5
33	#MOS 3401F/G	0.5
34	#ECON 2300a/b	0.5
35	# PHIL 2074F/G, additional courses at the 2000 level	0.5
36	# Writing 0002F/Writing 1022G	1.0
		<b>5.0</b>
<b>No.</b>	<b>Fourth Year Subject at King's</b>	<b>Credit Weight</b>
37	# MOS 3360a/b and MOS 3361a/b or MOS 3370a/b and 3371a/b	1.0
38	# MOS 3310a/b	0.5
39	# MOS 3320a/b	0.5
40	# MOS 3330a/b, additional courses at the 2000 level	0.5
41	#MOS 3312a/b	0.5
42	# MOS 4410a/b	0.5
43	# MOS 4310a/b	0.5
44	# MOS 4422F/G	0.5
45	#Elective 2000 level or above	0.5

		<b>5.0</b> required
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### **Explanation**

1. In this table, all courses in the program are listed, including the courses at DUFE for the first and second years and the courses at King's for the third and fourth years.
2. According to the DUFE education plan, all courses at DUFE listed in the table for the first 2 years are required.
3. The required courses at King's for the third and fourth years in this table are marked with #'s. The unmarked courses are elective courses.
4. DUFE will give 2 transfer credits for each course successfully finished at King's during their last 2 years.
5. If students complete 10.0 courses in total, including those marked with #'s, they will satisfy the degree requirements at both DUFE and at King's.
6. Essay credit requirements: transfer credit students only require 1.0 essay course to satisfy the King's requirements. Essay courses include at least 1.0 from the following courses: MOS 4422F/G and PHIL 2074F/G.

### **1st Year DUFE to King's Course Mapping**

1. 0.5 Calculus 1000 a/b based on Advanced Mathematics 1B.
2. 0.5 Calculus 1301a/b based on Advanced Mathematics 2B.
3. 1.0 Computer Science 1020TRN based on Fundamentals of Computers and Foundations of Database.
4. 0.5 Economics 1021 a/b based on Micro-Economics.
5. 0.5 Economics 1022a/b based on Macro-Economics.
6. 0.5 Math 1600 TRN based on Linear Algebra.
7. 1.0 Business 1220E based on Introduction to Business taken in term 3 taught by King's professors at DUFE.
8. 0.5 Sociology based on Sociology and weighted as a 0.5 credit.

### ***Total of 5.0 first year credits***

### **2nd Year DUFE to King's Course Mapping**

1. 0.5 Economics 2222 a/b based on Intermediate Econometrics I.
2. 0.5 Economics 2223 a/b based on Intermediate Econometrics II.
3. 0.5 Economics 2260 a/b based on Intermediate Microeconomics I taught by King's faculty in Term III at DUFE.
4. 0.5 Economics 2261a/b based on Intermediate Microeconomics II taught by King's faculty in Term III at DUFE.
5. 0.5 Economics 2220a/b based on Intermediate Macroeconomics I.
6. 0.5 Economics 2221a/b based on Intermediate Macroeconomics II.
7. 0.5 Economics 2100/2200 TRN based on International Economics. \*\*

8. 0.5 Economics 2100/2200 TRN based on Econometrics I and II.
9. Economics 2163/3352a/b based on International Finance.\*\*
10. Statistics 2035 based on Probability and Mathematics Statistics and Econometrics.
11. MOS 2275a/b based on Introduction to Economic Law.
12. MOS 2155a/b based on Organizational Behavior and taught by King's faculty at DUFE in Term III.
13. Business 2100 TRN based on Management course.

\*\* International Finance at DUFE is taught as a full year course with 72 credit hours. Since Economics 3370a/b is the only equivalent to International Finance, we are able to award an additional 1/2 unspecified credit in Economics at the 2100 level for the additional hours of course work completed at DUFE.

***There are a total of 12.5 credits available for consideration for transfer from the first 2 years at DUFE. Only a maximum of 10.0 credits can be transferred.***

### **3rd Year Kings' to DUFE Course Mapping**

1.	#	1.0 Business 2257 counts as Introduction to Financial Accounting
2.	#	1.0 Actuarial Science 2053 counts as Math for Financial Analysis
3.	#	0.5 Economics 2300a/b counts as Investment and Financial Market
4.	#	0.5 MOS 2285a/b counts as Global Business Environment
5.	#	0.5 MOS 3401F/G counts as Corporate Social Responsibility
6.	#	0.5 PHIL 2074 F/G counts as Special Topics in Philosophy
7.	#	0.5 Writing 0002F/G accounts as Advanced English Writing IV
8.	#	0.5 Writing 1022F/G counts as Advanced English Writing V

### **4th Year King's to DUFE Course Mapping**

1.	#	1.0 MOS 3370a/b and 3371a/b or MOS 3360a/b+ MOS 3361a/b counts as Managerial Accounting or Intermediate Accounting I and II
2.	#	0.5 MOS 3310 a/b counts as Finance Management
3.	#	0.5 MOS 3312 a/b counts as counts as Derivative & Securities
4.	#	0.5 MOS 3320 a/b counts as Advanced Marketing
5.	#	0.5 MOS 3330 a/b counts as Operations Management
6.	#	0.5 MOS 4310a/b counts as Corporate Finance
7.	#	0.5 MOS 4410 a/b counts as Strategic Management
8.	#	0.5 MOS 4422F/G counts as Corporate Governance
9.	#	0.5 Elective 2000 or above

The required courses at King's for the third and fourth years in this table are marked with #s. All students will take at least 10.0 courses at King's/Western.

**There are no Course equivalencies for the following DUFE courses to King's:**

Physical Education I
Academic English Writing I
Oral English I
English Listening I
English Reading I

Physical Education II
Volleyball
English Writing II
Oral English II
English Reading II
English Listening II
English Listening III
Oral English III
English Listening IV

**2+2 program in BMOS (Honors Sp in Finance and Administration) between King's and DUFE**  
**Course Transfer Map**

<b>KUC Credit</b>	<b>KUC Course</b>	<b>Course Title</b>	<b>DUFE Credit</b>	<b>DUFE Course Equivalent</b>
	<b>YEAR 1</b>			
1.0	Computer Science 1020 TRN	Computer Science	1.0	Fundamentals of Computers+ Database
1.0	Calculus 1000a/b + Calculus 1301a/b	Calculus I and Calculus II	1.0	Advanced Mathematics 1B and Advanced Mathematics 2B
1.0	Economics 1021a/b + 1022a/b	Principles of Microeconomics and Principles of Macroeconomics	1.0	Microeconomics + Macroeconomics )
0.5	Math 1600 TRN	Linear Algebra I	0.5	Linear Algebra
1.0	Business 1220E	Introduction to Business	1.0	Introduction to Business
0.5	Sociology 1020 TRN	Introduction to Sociology	0.5	Sociology
<b>5.0</b>			<b>5.0</b>	
	<b>YEAR 2</b>			
1.0	Economics 2222a + Economics 2223 b	Intermediate Econometrics I + Econometrics II	1.0	Statistics+ Probability & Mathematical Statistics+ Econometrics
1.0	Economics 2260a/b+ Economics 2261a/b	Intermediate Microeconomics I + Microeconomics II	1.0	Intermediate Microeconomics
1.0	Economics 2220a and Economics 2221b	Intermediate Macroeconomics I + Macroeconomics II	1.0	Intermediate Macroeconomics
0.5	Economics 2100/2200 TRN	Economics	0.5	Econometrics I
0.5	Economics 2100/ 2200 TRN	Economics	0.5	International Finance **
0.5	Economics 2163/3352 a/b*	International Finance/Trade	0.5	International Finance **
1.0	Statistics 2035	Statistics for Business and Social Sciences	1.0	Probability & Mathematics Statistics B + Statistics
0.5	MOS 2275a/b	Business Law I	0.5	Business Law
0.5	MOS 2155a/b	Human Relations in the Organization	0.5	Organizational Behaviour
0.5	Business 2100 TRN	Management	0.5	Management
<b>7.0</b>			<b>7.0</b>	

12.5 courses have been assessed in total but only a maximum of 10.0 can be transferred

\* Students achieving 70% will be granted higher level course

\*\* International Finance at DUFE is taught as a full course. Since the only equivalent is a half course, we were able to provide another 2100/2220 half course in Economics.



<b>KUC Credit</b>	<b>Required Courses</b>	<b>KUC Course</b>	<b>DUFE Equivalent</b>
		<b>YEAR 3</b>	
1.0	#	Business 2257	Introduction to Financial Accounting, Intermediate Accounting (CK)
1.0	#	Actuarial Science 2053	Math for Financial Analysis (CK)
0.5	#	MOS 2285a/b	Global Business Environment (CK)
0.5	#	MOS 3401 F/G	Corporate Social Responsibility (CK)
0.5	#	PHIL 2074 F/G	Special Topics in Philosophy (CK)
0.5	#	Economics 2300 a/b	Investment and Financial Markets (CK)
1.0	#	Writing 0002F/G and Writing 1022F/G	Writing in English (CK)
<b>5.0</b>			<b>5.0</b>
		<b>YEAR 4</b>	
1.0	#	MOS 3370a/b and 3371a/b or MOS 3360a/b and 3361a/b	Managerial Accounting or Intermediate Accounting I and II (CK)
0.5	#	MOS 3310 a/b	Finance for MOS (CK)
0.5	#	MOS 3312 a/b	Derivative & Securities (CK)
0.5	#	MOS 3320 a/b	Advanced Marketing (CK)
0.5	#	MOS 3330 a/b	Operations Management (CK)
0.5	#	MOS 4410 a/b	Strategic Management (CK)
0.5	#	Elective 2000 level or above	Elective (CK)
0.5	#	MOS 4310a/b	Corporate Finance (CK)
0.5	#	MOS 4422F/G	Corporate Governance (CK)
<b>5.0</b>			<b>5.0</b>

# indicates the required courses at King's for the third and fourth years.

\*must take one course of this group

All students will take at least 10.0 courses at King's/Western.

## **Course Mapping for the 2+2 Program in BA (Honors) in Economics between King's and DUFE**

### **Schedule II (Course Mapping and Transfer Credit)**

<b>No.</b>	<b>First Year Subject at DUFE</b>	<b>Credit Weight</b>
1	Physical Education	2
2	Foundation of Database	4
3	Introduction to Business	5
4	Fundamentals of Computers	4
5	Sociology	2
6	Academic English Writing I	2
7	Oral English I	4
9	Linear Algebra	2
10	Micro-Economics	3
11	English Listening II	2
12	English Writing II	2
13	Oral English II	4
14	Macro-Economics	3

<b>No.</b>	<b>Second Year Subject at DUFE</b>	<b>Credit Weight</b>
15	Probability Theory and Mathematical Statistics	4
16	English Listening III	2
17	Volleyball or Basketball	2
18	English Writing III	2
19	Oral English III	2
20	Physical Education	2
21	Advanced Mathematics 1B	5
22	Intermediate Micro-economics	4

23	International Economics	3
24	Intermediate Macroeconomics	4
25	Advanced Mathematics 2B	4
26	Organizational Behavior	3
27	Introduction of Economic Law	3
28	English Reading I	2
29	English Reading II	2

<b>No.</b>	<b>Third Year Subject at King's</b>	<b>Credit Weight</b>
30	# Economics 3313E	1.0
31	# Writing 002F/G and Writing 1022F/G	1.0
32	# Economics 3381a/b	0.5
33	# Economics 2210a/b	0.5
34	# Econ 3000 level or above courses	1.0
35	Additional courses	1.0
		<b>5.0</b>
<b>No.</b>	<b>Fourth Year Subject at King's</b>	<b>Credit Weight</b>
36	#Economics 4405E	1.0
37	# Economics 3319F/G	0.5
38	# Economics 3320a/b	0.5
39	# Econ 3000 level or above courses	0.5
40	# Additional course(s)	2.5
		<b>5.0</b> required

### **Explanation**

1. In this table, all courses in the program are listed, including the courses at DUFE for the first and second years and the courses at King's for the third and fourth years.
2. According to the DUFE education plan, all courses at DUFE listed in the table for the first 2 years are required.

3. The required courses at King's for the third and fourth years in this table are marked with #'s. The unmarked courses are elective courses.
4. DUFE will give 2 transfer credits for each course successfully finished at King's during their last 2 years.
5. If students complete 10.0 courses in total, including those marked with #'s, they will satisfy the degree requirements at both DUFE and at King's.
6. Essay credit requirements: transfer credit students only require 1.0 essay course to satisfy the King's requirements. Essay courses include at least 1.0 from the following courses: Writing 0002F, Writing 1022G, Economics 3319F/G and Economics 4405E.

### **1st Year DUFE to King's Course Mapping**

1. 0.5 Calculus 1000 a/b based on Advanced Mathematics 1B.
2. 0.5 Calculus 1301a/b based on Advanced Mathematics 2B.
3. 1.0 Computer Science 1020TRN based on Fundamentals of Computers and Foundations of Database.
4. 0.5 Economics 1021 a/b based on Micro-Economics.
5. 0.5 Economics 1022a/b based on Macro-Economics.
6. 0.5 Math 1600 TRN based on Linear Algebra.
7. 1.0 Business 1220E based on Introduction to Business taken in term 3 taught by King's professors at DUFE.
8. 0.5 Sociology based on Sociology and weighted as a 0.5 credit.

***Total of 5.0 first year credits***

### **2nd Year DUFE to King's Course Mapping**

1. 0.5 Economics 2222 a/b based on Intermediate Econometrics I.
2. 0.5 Economics 2223 a/b based on Intermediate Econometrics II.
3. 0.5 Economics 2260 a/b based on Intermediate Microeconomics I taught by King's faculty in Term III at DUFE.
4. 0.5 Economics 2261a/b based on Intermediate Microeconomics II taught by King's faculty in Term III at DUFE.
5. 0.5 Economics 2220a/b based on Intermediate Macroeconomics I.
6. 0.5 Economics 2221a/b based on Intermediate Macroeconomics II.
7. 0.5 Economics 2100/2200 TRN based on International Economics. \*\*
8. 0.5 Economics 2100/2200 TRN based on Econometrics I and II.
9. Economics 2163/3352a/b based on International Finance. \*\*
10. Statistics 2035 based on Probability and Mathematics Statistics and Econometrics.
11. MOS 2275a/b based on Introduction to Economic Law.
12. MOS 2155a/b based on Organizational Behavior and taught in Term III by King's faculty at DUFE.
13. Business 2100 TRN based on Management course.

\*\* International Finance at DUFE is taught as a full year course with 72 credit hours. Since Economics 3370a/b is the only equivalent to International Finance, we are able to award an additional ½ unspecified credit in Economics at the 2100 level for the additional hours of course work completed at DUFE.

***There are a total of 12.5 credits available for consideration for transfer from the first 2 years at DUFE. Only a maximum of 10.0 credits can be transferred.***

### **3rd Year Kings' to DUFE Course Mapping**

1.	#	1.0 Economics 3313E counts as Research Methods
2.	#	0.5 Economics 3381a/b counts as Advanced Microeconomics
3.	#	0.5 Economics 2210a/b counts as Mathematical Economics
4.	#	1.0 Economics 3000 level or above courses counts as options
5.	#	1.0 Additional Course
6.	#	0.5 Writing 0002F/G accounts as Advanced English Writing IV
7.	#	0.5 Writing 1022gF/G counts as advanced English Writing V

### **4th Year King's to DUFE Course Mapping**

1.	#	1.0 Economics 4405E counts as Senior Thesis
2.	#	0.5 Economics 3319F/G counts as Economic Policy
3.	#	0.5 Economics 3320a/b counts as Advanced Macroeconomics
4.	#	1.0 3000 or higher level courses in Economics counts as Economics options
5.	#	2.5 Additional Courses

The required courses at King's for the third and fourth years in this table are marked with #s. All students will take at least 10.0 courses at King's/Western.

**There are no Course equivalencies for the following DUFE courses to King's:**

Physical Education I
Academic English Writing I
Oral English I
English Listening I
English Reading I
Physical Education II
Volleyball or Basketball
English Writing II
Oral English II
English Reading II
English Listening II
English Listening III
Oral English III
English Listening IV

**2+2 program in BA (Honors) in Economics between King's and DUFE**  
**Course Transfer Map**

<b>KUC Credit</b>	<b>KUC Course</b>	<b>Course Title</b>	<b>DUFE Credit</b>	<b>DUFE Course Equivalent</b>
	<b>YEAR 1</b>			
1.0	Computer Science 1020 TRN	Computer Science	1.0	Fundamentals of Computers+ Database
1.0	Calculus 1000a/b + Calculus 1301a/b	Calculus I and Calculus II	1.0	Advanced Mathematics 1B and Advanced Mathematics 2B
1.0	Economics 1021a/b + 1022a/b	Principles of Microeconomics and Principles of Macroeconomics	1.0	Microeconomics + Macroeconomics
0.5	Math 1600 TRN	Linear Algebra I	0.5	Linear Algebra
1.0	Business 1220E	Introduction to Business	1.0	Introduction to Business
0.5	Sociology 1020 TRN	Introduction to Sociology	0.5	Sociology
<b>5.0</b>			<b>5.0</b>	
	<b>YEAR 2</b>			
1.0	Economics 2222a + Economics 2223 b	Intermediate Econometrics I + Econometrics II	1.0	Statistics+ Probability & Mathematical Statistics+ Econometrics
1.0	Economics 2260a/b+ Economics 2261a/b	Intermediate Microeconomics I + Microeconomics II	1.0	Intermediate Microeconomics
1.0	Economics 2220a and Economics 2221b	Intermediate Macroeconomics I + Macroeconomics II	1.0	Intermediate Macroeconomics
0.5	Economics 2100/2200 TRN*	International Finance/Trade	0.5	Economic History
0.5	Economics 2100/ 2200 TRN*		0.5	Econometrics II
0.5	Economics 2163/3352 a/b*		0.5	International Economics
1.0	Statistics 2035	Statistics for Business and Social Sciences	1.0	Probability & Mathematics Statistics B + Statistics
0.5	MOS 2275a/b	Business Law I	0.5	Introduction to Economic Law
0.5	MOS 2155a/b	Human Relations in the Organization	0.5	Organizational Behaviour
1.0	Business 2100 TRN		0.5	Management
<b>7.0</b>			<b>7.0</b>	

12.0 courses have been assessed in total but only a maximum of 10.0 can be transferred

\* Students achieving 70% will be granted higher level course

<b>KUC Credit</b>	<b>Required Courses</b>	<b>KUC Course</b>	<b>DUFE Equivalent</b>
		<b>YEAR 3</b>	
1.0	#	Economics 3313E	Research Methods
1.0	#	Writing 0002F/G and Writing 1022F/G	English Writing
0.5	#	Economics 3381a/b	Advanced Microeconomics
0.5	#	Economics 2210a/b	Mathematical Economics
1.0	#	3000 level Economics course(s)	Electives
1.0		Additional courses	Electives
<b>5.0</b>			<b>5.0</b>
		<b>YEAR 4</b>	
1.0	#	Economics 4405E	Senior Thesis
0.5	#	Economics 3319F/G	Economic Policy
0.5	#	Economics 3320a/b	Advanced Macroeconomics
0.5	#	3000 level Economics courses	Economics courses
2.5	#	Additional courses	Electives
<b>5.0</b>			<b>5.0</b>

# indicates the required courses at King's for the third and fourth years.

All students will take at least 10.0 courses at King's/Western.



**Council of Ontario Universities  
Report to Senate of the Academic Colleague  
Kathleen Okruhlik, September 2013**

The COU Academic Colleagues met in Toronto on September 13<sup>th</sup>, 2013. This was (in part) an orientation meeting for new members, and there was no meeting of the full Council.

**Roundtables:** The work of COU in the near future will be closely tied to outcomes of the four Roundtables on Postsecondary Education that were organized by the Ministry (MTCU) during the summer of 2013.

*Differentiation and Strategic Mandate Agreements (SMAs), 10 July 2013:* Ministry and university representatives participated in this discussion; colleges were not at the table. The Ministry is concerned that existing cost structures are unsustainable; the question is how to cut costs without eroding quality. Improved credit transfer, better coordination across the post-secondary sector, and stronger relationships with industry will all be parts of the solution, but differentiation among universities will be one of the most powerful levers. Although the process through which universities submitted their Strategic Mandate Agreements (SMAs) last year was far from perfect, the SMAs will be crucial documents in kick-starting the differentiation process. And because allocation of graduate student spaces is very closely tied to the differentiation process, the matters discussed at the fourth Roundtable are especially relevant.

*Ontario Online Initiative, 18 July 2013:* Colleges, universities, and the Ministry participated in this discussion. The Ministry acknowledged that there was no support for the model the government had previously proposed (a model based on the Ontario Online Institute). Colleges and universities presently have quite diverse delivery models and levels of engagement in online education. The government is looking for one proposal, a coalition of the willing working together through an arms-length, not-for-profit organization funded through a transfer payment agreement.

*Credit Transfer, 7 August 2013:* This discussion involved the Ministry and the Executive Heads of colleges and universities. The Ministry seems to have arrived with quite firm plans; its goal (and its directive to colleges and universities) is to implement a consistent and comprehensive framework for credit transfer—with some ideas fully implemented by 2014. There was good alignment between college and university representatives in their responses to MTCU's plans, especially with respect to the need to work through ONCAT (the Ontario Council on Articulation and Transfer) to develop a better evidence base and a better model. Officials indicated that the Ministry would consider what it had been told.

*Graduate Expansion, 14 August 2013:* This meeting involved the Ministry and the Executive Heads and Provosts of universities. The institutions represented by COU are a diverse group, and specific negotiations will have to be bilateral —i.e. between the each university and the Ministry. Nonetheless there seems to have been a surprising degree of consensus on some core issues. Universities offered strong advice about process: Do *not* categorize universities. (No matter how a categorization process is dressed up, it will be seen as tiering.) Decisions should not be announced as binding “for all time”. SMAs are time-limited and are the right vehicles for dealing with graduate allocations and similar questions (such as program approvals). COU asked for interim graduate allocations for 2014-15.