



The UNIVERSITY of WESTERN ONTARIO

BOARD OF GOVERNORS MEETING

**1:00 p.m., Thursday, January 26, 2012
Room 4155 Stevenson Hall**

1. Adoption of Agenda - Open Session
2. **Report of the President** (Amit Chakma)
3. Unanimous Consent Agenda - **Appendix I**
Includes Open Session Minutes of the Meeting of November 24, 2011
4. Business Arising from the Minutes
5. Reports of Committees:

Property & Finance Committee - **Appendix II** (Keith Zerebecki)

Senior Operations Committee - **Appendix III** (Steve Coxford)

Fund Raising and Donor Relations Committee - **Appendix IV** (Jackie Moss)
6. Items Referred by Senate - **Appendix V** (Amit Chakma)
7. Questions from Members
8. Other Business
9. Adjournment to Confidential Session



*The*UNIVERSITYof WESTERN ONTARIO

MINUTES OF THE MEETING OF THE BOARD OF GOVERNORS

JANUARY 26, 2012

The meeting was held at 1:00 p.m. in Room 4155 Stevenson Hall.

PRESENT: Mr. S. Coxford, Chair
Ms. I. Birrell, Secretary

Mr. J. Adams
Dr. A. Chakma
Dr. R. Darnell
Mr. M. Dietrich
Mr. K. Goldthorp
Ms. L. Gribbon
Mr. H. Hassan
Mr. P. Jenkins
Mr. J. Knowles
Ms. G. Kulczycki

Mr. D. Lemieux
Ms. J. Moss
Mr. C. Shah
Dr. S. Sims
Dr. S. Singh
Ms. J. Vanderheyden
Dr. J. White
Mr. M. Wilson
Mr. K. Zerebecki

By Invitation: R. Langille, L. Logan

BG.12-01

CHAIR'S REMARKS

Mr. Coxford addressed the Board:

"This is my first meeting in the Chair and I would like to make some brief remarks suitable to the occasion. First of all, I would like to give tribute to my predecessor, Frank Angeletti. Frank provided great leadership to this Board for two years as Chair and I recognize that he leaves behind big shoes to fill. I am most grateful for the opportunity to serve on this Board and most thankful for the confidence that you as a group have in me in electing me your Chair for this coming year.

"This is a great institution and we all have a common purpose in these meetings and in the work we do on committees: we are all here because we love the institution and we want the very best for it going forward. In my view our universities in this country are among the best in the Western world and this university represents all the very best things that we see in our society. What goes on here every single day in laboratories, classrooms, wherever, appeals to our higher sense of purpose. We all want the best for the University and all its stakeholders going forward and in that spirit I am hoping that over the next couple years I can effectively lead this Board.

"We have a very proud heritage here, dating back 135 years; we can look back at tremendous accomplishments and contributions to the greater good – a single example that came up over lunch today in conversation – the recent announcement of an HIV vaccine going to clinical trials in the United States – you can imagine what that means to society overall and what that means to this

University if it proves to be what we hope it will be. I believe that the best days for Western lie ahead. I think that the University has been on an upward trajectory for a number of years, our President took over an institution in great shape and our challenge is to compound past successes with all kinds of wonderful things that we see going forward from here. I am very proud to be sitting at the head of this table and I look forward to working with all of you. Thank you very much for the confidence you have shown in me.”

BG.12-02 **REPORT OF THE PRESIDENT**

The President's report consisted of the following: Government relations update, FDA approval of the HIV vaccine for human clinical trials, Fall Preview Day, leadership recruitment, Federal Advisory Panel on International Education, Canadian universities mission to Israel, Western's new visual identity, the selection of Joe Rotman as Western's next Chancellor and activities of the President between November 19, 2011 and January 20, 2012. Details are found in his report distributed with the agenda.

BG.12-03 **UNANIMOUS CONSENT AGENDA** [Appendix I]

It was moved by L. Gribbon, seconded by C. Shah,

That the 13 items listed in Appendix 1 be approved and/or received for information by the Board of Governors by unanimous consent.

CARRIED

BG.12-04 **Minutes of the Previous Meeting**

The minutes of the meeting of November 24, 2011, were approved as circulated.

REPORT OF THE PROPERTY & FINANCE COMMITTEE [Appendix II]

BG.12-05 **Information Items Reported by the Property & Finance Committee**

The Report of the Property & Finance Committee, detailed in Appendix II, contained the following items that were received for information by unanimous consent:

- Analysis of Revenues, Expenditures, and Tuition Fees by Faculty 2010-2011
- Wolfe Medical Research Chair in Pharmacogenomics
- Wolfe Senior Research Fellowship in Steroidal Hormones in Health and Disease
- Wolfe Senior Research Fellowship in Tumor Suppressor Genes
- Quarterly Financial Report (Operating Budget)
- Ancillary Financial Report
- Investment Committee Report
- New and Revised Scholarships and Awards

REPORT OF THE SENIOR OPERATIONS COMMITTEE [Appendix III]

BG.12-06 **Selection Committee for a Vice-President (Research)**

On behalf of the Board, the Senior Operations Committee had appointed Mr. Chirag Shah to the Selection Committee for a Vice-President (Research).

REPORT OF THE FUND RAISING AND DONOR RELATIONS COMMITTEE [Appendix IV]

BG.12-07 **Visual Identity Launch**

Mr. Goldthorp provided an overview of Western's new visual identity launched today. Overhead slides used to highlight his presentation are attached as Appendix 1.

Members suggested that additional work might be needed to clarify when "Western", "Western University" and "The University of Western Ontario" were to be used. The press release was somewhat confusing. Mr. Goldthorp agreed that this issue must be addressed to ensure that it is clear that the name of the University has not changed. He also believed that those individuals who were immediately critical at first will see the value of the new identity/branding over time.

BG.12-08 **Information Items Reported by the Fund Raising and Donor Relations Committee**

The Report of the Fund Raising and Donor Relations Committee contained the following items that were received for information by unanimous consent:

- Quarterly Report on Fund Raising
- Fundraising Results to December 31, 2011

BG.12-09 **ITEMS REFERRED BY SENATE**

Information Item Referred by Senate

Appendix V, Items Referred by Senate, contained the following item that was received for information by unanimous consent:

- Academic Administrative Appointments

The meeting adjourned to the confidential session.

S. Coxford
Chair

I. Birrell
Secretary



Visual Identity Implementation Update

January 26, 2012



Kevin Goldthorp • VP (External)

Shield Modifications

- Half stag and demi-lion (simpler)
- Ermine dots and coronet added to demi-lion
- Larger maple leaf
- Date on book in “marketing” version only



Visual ID Implementation Update

Link With Coat of Arms

- Align shield and coat of arms – updated coat of arms underway
- Current design “substantially acceptable” to Office of Chief Herald
- 4-6 months process under way



Visual ID Implementation Update

Faculty and Unit Sub-Brands

- New format for named faculties and major institutes



Visual ID Implementation Update

Brand Architecture Defined



Western Engineering



Western Science



Western Social Science



Visual ID Implementation Update

Stationery Designed



Visual ID Implementation Update



Visual ID Implementation Update

Today's Launch

- Virtual launch starting at 5 a.m. with homepage revised and main banner art
- Brand launch website



Visual ID Implementation Update

Today's Launch Cont...

- Amit sent mass email to faculty/ staff/ students inviting them to check website
- Ivey Dean emailed Ivey faculty/staff supporting Western's new brand
- Media release – traditional media
- Full social media push
- Courtesy calls to government stakeholders



Visual ID Implementation Update

Today's Launch Con't...

- Pitches to Canadian Business, Marketing Magazine, Globe on branding process
- Western News feature stories
- New banners along Western Road boulevard
- Video playing on screens across campus
- Wallpaper on library computers with new ID



Visual ID Implementation Update

Launch Plans – Post Jan. 26

- Jan. 28 – Distribution of Western Alumni Gazette with visual ID cover story
- Jan. 30 – Engineering Alumni e-newsletter
- Feb. 1 – Alumni e-newsletter story
- Feb. 1 – Ivey@intouch alumni newsletter



Visual ID Implementation Update

Web Redesign

- Sept. 2011 – Research and Analysis (review analytics, peer websites, content inventory)
- Oct./ Nov. 2011 – Surveys and Focus Groups
- Nov. 2011 – Information architecture (primary and secondary navigation)
- Dec. 2011 – Wire framing and navigation click testing by 100 people on campus



Visual ID Implementation Update

Web Redesign Cont...

- Jan. 2012 – Concept and Design (homepage and second level page)
- Feb.- Apr. 2012 – Cascade content management system design and integration
- Early May 2012 – User testing on final design
- Late May 2012 – new site launch



Visual ID Implementation Update

Implementation Timeline and Milestones

- Jan. 26 – Virtual launch
- Fund Raising & Donor Relations Committee updates – each meeting
- April 2012 – new web homepage launched
- April 2012 – working with ITS to develop plan for redirecting uwo.ca traffic to westernu.ca



Visual ID Implementation Update

Implementation Timeline

- Month 2012– Brand guidelines completed
- March 2012- Campaign Case completed
- September 2012 – Majority of faculties and priority department websites redesigned (5-7 students working May – September)
- January 2013 Ivey co-branding in place



Visual ID Implementation Update





Board of Governors
January 26, 2012

REPORT OF THE PRESIDENT

TO: Board of Governors
FROM: Amit Chakma
DATE: January 26, 2012
SUBJECT: President's Report to the Board

I'm pleased to provide the following update on important developments and achievements since the last meeting of the Board on November 24, 2011:

- 1. Government relations update:** Several important changes regarding provincial funding were announced in recent months that will impact Western. In November, the Ministry of Economic Development and Innovation proposed the creation of a Southwestern Ontario Development Fund as part of the *Attracting Investment and Creating Jobs Act, 2011*. This new fund would provide up to \$20M annually to entice private investment in the region with the help of public incentives. The government is now engaged in a consultation process (December through February) to determine the scope and details of how the fund will operate, which may represent an opportunity to foster more public-private partnerships between industry and universities.

In December, the Ministry of Economic Development and Innovation announced its cancellation of Rounds 6 and 7 of the Ontario Research Fund's Research Excellence program, as well as a special round for the Social Sciences, Arts and Humanities—representing a total reduction of \$42M over four years. Western has been successful in competing for these grants in the past (e.g., \$6M in last year's Round 5), and the program's cancellation leaves 17 Western projects for which new proposals had been developed to seek other potential funding sources.

In January, the Ministry of Training, Colleges and Universities announced details for how the Province's 30% tuition rebate would be implemented for full-time undergraduate students from families with a gross household income under \$160,000. Beginning in September 2012, eligible university students will receive \$1,600 toward their tuition, equaling a total provincial investment of \$420M. For the current Winter 2012 term, eligible students will receive half the full-year benefit (\$800). Among the off-sets used to fund this new tuition rebate, the Ministry has announced it will discontinue the Ontario Trust for Student Support Program, which has provided \$1.4B to institutional endowments and student financial aid during the past 14 years.

FDA approves HIV vaccine for human clinical trials: Western's Dr. Yong Kang and his team of researchers captured international headlines in late December when the US Food and Drug Administration approved the HIV vaccine developed at Western to proceed with human clinical trials. This marks a significant milestone in Dr. Kang's decades-long search for a preventive vaccine, which is backed by funding from Sumagen Canada and holds the potential to save millions of lives around the world.

- 2. Record attendance at Fall Preview Day:** More than 11,000 prospective students and their families converged on campus in late November as Western opened its doors to showcase Canada's best student experience. Registered attendance exceeded last year's record by 6%, while 1,350 faculty, staff and students volunteered their time to ensure this annual event was a success. 28 support units assisted in organizing activities that included Faculty welcome sessions, drop-in centres, tours, mini-lectures, student panels, research displays and demonstrations, and musical concerts.
- 3. Leadership recruitment:** Search committees have been struck and their work is now well underway to recruit for three key senior administrative positions at Western: Vice-President (Research), Vice-Provost (International), and Dean of the Faculty of Arts and Humanities. Each search committee aims to announce respective appointments for these important roles in time that they will take effect in the summer of 2012.
- 4. Federal Advisory Panel on International Education:** December and January have been busy months for the federal advisory panel I am chairing at the request of the Ministers of International Trade and Finance. Thus far, the panel has received input from leaders in government, industry, and the education sector spanning the country from Halifax to Victoria. In February, the panel will broaden its international perspective in Asia through a series of consultation meetings prior to writing our draft report, due in March.
- 5. Canadian universities mission to Israel:** Earlier this month, at the invitation of Israel's Ambassador to Canada, I participated in a mission to Israel with colleagues from several other Canadian universities. During the trip, we met with senior Israeli government officials as well as presidents and leading faculty members at institutions including Technion, Tel Aviv University, the Weizmann Institute of Science, and Bar-Ilan University. The mission served to build new relationships that could pave the way to the development of educational and research partnerships.
- 6. Western's new logo introduced online:** At the writing of this report, plans are underway to officially unveil Western's new Board-approved logo and visual identity to the world via a virtual launch featured on the University's website January 26.
- 7. Joseph Rotman named Chancellor:** As I referenced in my oral report to the Board November 24, but wish to capture here formally in my written report, I am thrilled that alumnus Joe Rotman (BA'57, LLD'09) has accepted our invitation to serve as Western's 21st Chancellor. The renowned businessman and philanthropist will be installed during the October 25 convocation, beginning a four-year term through 2016. Joe succeeds John Thompson who has served with distinction in this role since 2008.

ACTIVITIES OF THE PRESIDENT

(November 19, 2011 – January 20, 2012)

November	19	London	Fall Preview Day
	21	Ottawa	International Education Panel
	22	London	The Honourable Michael Wilson Lecture
			Leaders Forum
			Branding and Visual Identity Sessions
			Lawrence Summers Lecture and Dinner
	23	London	Presidential Closing Luncheon and Visual Identity Preview
			Dinner with Lucille and Norton Wolf (Gibbons)
	24	London	Phone Call with Dan Mathieson (Mayor, City of Stratford)
			Interview with The Link Radio Program
			Board of Governors / Board Dinner
	28	Toronto	OCE Board Strategy Session
			Ivey Global Health Conference 2011
	29	Toronto	Public Policy Forum Breakfast and Roundtable
			2011 Leaders' Symposium
	30	Toronto	Bill Wilder/Grant Reuber
			AFP Luncheon
			Research Ontario Project Meeting
December	1	London	Phone Call with Susan Truppe (MP, London North Centre)
			Business Cares Food Drive Kick-Off
			Lunch with Andrew Forgione (USC President)

			Economics Department Meeting
	2	London	External Department Budget Meeting
			Honorary Degree Committee
	5	Ottawa	International Education Strategy Advisory Panel
	7	Halifax	International Education Strategy Advisory Panel
	8	Montreal	International Education Strategy Advisory Panel
			AUW Trustee Conference Call
	9	Toronto	International Education Strategy Advisory Panel
	10	London	President's Holiday Open House (Gibbons)
	12	Edmonton	International Education Strategy Advisory Panel
			Phone Call with Howard Alper (Chair, STIC)
	13	Vancouver Victoria	International Education Strategy Advisory Panel
	15	London	Teleconference International Education Strategy Advisory Panel
	19	London	Teleconference Research Ontario Project
			Western Holiday Reception
	20	London	Sumagen/HIV AIDS Announcement
			Lunch with Dr. Dong Joon Kim (Vice Chairman) and Sangkyun Lee (Vice President) Sumagen
	21	Toronto	Geoff Beattie
			Testimonial Video Shoot for Joe Rotman (Life Sciences Ontario Award)
			Jim Flaherty (Minister of Finance)
January	4	London	Teleconference with Minister Murray (MTCU)
	5	London	Teleconferences (International Education Strategy

			Advisory Panel, Saskatchewan Officials, Manitoba Officials)
	6	London	Teleconferences (PEI Officials, NB Officials)
	7- 14	Israel	Israel Trip (with Ambassador of Israel to Canada, Mrs. Miriam Ziv)
	16	Quebec City	International Education Strategy Advisory Panel
	17	Toronto	Deputy Minister Newman, Assistant Deputy Minister Nancy Naylor (MTCU)
			International Education Strategy Advisory Panel
	18	Toronto	International Education Strategy Advisory Panel
	19	Toronto	International Education Strategy Advisory Panel
			Teleconference OCE Board of Directors
	20	London	Interview with Western News

UNANIMOUS CONSENT AGENDA

FOR APPROVAL

Any member who wishes to ask a question, discuss, or oppose an item that is listed below may have it removed from the consent agenda by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the following motion.

[See background information at the end of Appendix I]

Recommended: **That the following item be approved by the Board of Governors by unanimous consent:**

Minutes

1.	Minutes of the Meeting of November 24, 2011	ACTION
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Report of the Property & Finance Committee – [Appendix II](#)

2.	Analysis of Revenues, Expenditures, and Tuition Fees by Faculty 2010-11	INFORMATION
3.	Wolfe Medical Research Chair in Pharmacogenomics	INFORMATION
4.	Wolfe Senior Research Fellowship in Steroidal Hormones in Health & Disease	INFORMATION
5.	Wolfe Senior Research Fellowship in Tumor Suppressor Genes	INFORMATION
6.	Quarterly Financial Report (Operating Budget)	INFORMATION
7.	Ancillary Financial Report	INFORMATION
8.	Investment Committee Report	INFORMATION
9.	New & Revised Scholarships & Awards	INFORMATION

Report of the Senior Operations Committee – [Appendix III](#)

10.	Board Representative on the Selection Committee for a Vice-President (Research)	INFORMATION
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Report of the Fund Raising & Donor Relations Committee – [Appendix IV](#)

11.	Fundraising Results to December 31, 2011	INFORMATION
12.	Quarterly Report on Fundraising	INFORMATION

Items Referred by Senate – [Appendix V](#)

13.	Academic Administrative Appointments	INFORMATION
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The Unanimous Consent Agenda

The Board's parliamentary authority -- *Sturgis Standard Code of Parliamentary Procedure* -- explains the consent agenda:

Organizations having a large number of routine matters to approve often save time by use of a *consent agenda*, also called a *consent calendar* or *unanimous consent agenda*. This is a portion of the printed agenda listing matters that are expected to be non-controversial and on which there are likely to be no questions.

Before taking the vote, the chair allows time for the members to read the list to determine if it includes any matters on which they may have a question, or which they would like to discuss or oppose. Any member has a right to remove any item from the consent agenda, in which case it is transferred to the regular agenda so that it may be considered and voted on separately. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual votes.

A number of Canadian university Boards have employed the consent agenda format to include not only routine approval items, but also information items. One reason for using this format is to allow the Board to focus on major items of business. While approval of an omnibus motion saves time at Board meetings, Board members will want to review the agenda materials carefully in order that they properly discharge their responsibilities.

How it works:

The Secretary identifies action and information items that are routine and/or likely non-controversial. In so doing, she may consult with the Chair of the Board, the relevant committee chair, and principal resource persons. In each Committee's report, these items are flagged in the list of items at the beginning of the report. The unanimous consent motion lists each of the flagged items [**see the motion on the reverse of this page**]. Action and information items on the agenda and in committee reports that are not flagged will be presented singly for discussion and voting (when appropriate).

When members receive their Board agendas, they should review all reports in the usual manner. **If any member wants to ask a question, discuss, or oppose an item that is marked for the consent agenda, he or she can have it removed from the consent agenda** by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the motion to approve or receive, by unanimous consent, the items listed.

At the Board meeting, before the unanimous consent motion is presented for approval, the Chair of the Board (1) will advise the Board of items that are to be removed from the list, based on prior requests from Board members; and (2) will ask if there are any other items that should be removed from the list. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual presentation and voting. Those matters that have been struck from the consent agenda will be handled in the usual way as each Committee's report is presented.

The minutes of the Board meeting will report matters approved as part of the consent agenda as "carried by unanimous consent". Information items received as part of the consent agenda will be reported as received.

REPORT OF THE PROPERTY AND FINANCE COMMITTEE

Contents	Consent Agenda
Analysis of Revenues, Expenditures, and Tuition Fees by Faculty 2010-2011	Yes
Wolfe Medical Research Chair in Pharmacogenomics	Yes
Wolfe Senior Research Fellowship in Steroidal Hormones in Health and Disease	Yes
Wolfe Senior Research Fellowship in Tumor Suppressor Genes	Yes
Quarterly Financial Report (Operating Budget)	Yes
Ancillary Financial Report	Yes
Investment Committee Report	Yes
New and Revised Scholarships and Awards	Yes

FOR INFORMATION

1. **Analyses of Revenues, Expenditures, and Tuition Fees By Faculty- 2012-11**

See [Annex 1](#).

2. **Wolfe Medical Research Chair in Pharmacogenomics**

In November 2011, the Property and Finance Committee approved on behalf of the Board of Governors, the following terms of reference for the Wolfe Medical Research Chair in Pharmacogenomics.

Donor and Funding: The creation of this Chair is made possible through a generous donation of \$1.5 million from an anonymous donor.

The donor's gift of \$1.5 million will be matched on a dollar-for-dollar basis by the University, and directed to the Wolfe Chair to create a \$3 million endowment to fund the Chair. It is understood and agreed that the creation of the above described Chair shall be in perpetuity.

The total \$3 million endowment will be held at the University and managed in accordance with University policies and procedures.

Effective Date: December 1, 2011

Purpose: The gift from the anonymous donor will support the establishment of the Wolfe Medical Research Chair in Pharmacogenomics at the Division of Clinical Pharmacology, Department of Medicine, Schulich School of Medicine & Dentistry.

The income from the endowment fund will be used exclusively for the purpose of salary support and benefits for the Chair. The administration of the spending of resources will be the responsibility of the Dean of the Schulich School of Medicine & Dentistry.

The naming of this Chair is supported by the Dean of the Schulich School of Medicine & Dentistry. The Chair is named in honour of Dr. Bernard Wolfe, a distinguished professor emeritus and honorary degree recipient.

Criteria: The holder of the Chair will be a medically qualified clinician scientist who applies proteomic/genomic strategies towards the understanding and treatment of important biomedical and therapeutic problems. The incumbent will be a distinguished scholar who is committed primarily to academic activity to translational research in pharmacogenomics and only secondarily to teaching, clinical care and/or administration.

It is expected that the Chair will spend at least 75% of his/her academic time on research or research-related activities. (Currently, the Schulich School of Medicine & Dentistry targets a ratio of 60% research and 20% research leadership, which meets the objectives of the funding).

Appointments to the Chair will normally be full-time with tenure at the rank of Professor and conducted in accordance with University policies and procedures on academic appointments.

Reporting: The University agrees to report annually to the donor regarding the financial status of the endowments and the University, through the Schulich School of Medicine & Dentistry, will report annually concerning the activities of the Chair, on or before August 31 each year.

3. **Wolfe Senior Research Fellowship in Steroidal Hormones in Health and Disease**

In November 2011, the Property and Finance Committee approved on behalf of the Board of Governors the following terms of reference for the Wolfe Senior Research Fellowship in Steroidal Hormones in Health and Disease.

Donor and Funding: The creation of this Senior Research Fellow is made possible through a generous donation of \$500,000 from an anonymous donor.

The donor's gift of \$500,000 will be used on an expendable term basis to establish the Wolfe Senior Research Fellowship in Steroidal Hormones in Health and Disease in the Department of Biochemistry at the Schulich School of Medicine & Dentistry. The University will provide an additional \$250,000 by the end of the quarter in which the donor's payment is received, and the total amount of \$750,000 shall be held in the Wolfe Senior Research Fellowship in Steroidal Hormones in Health and Disease Fund to be expended over a ten year period.

The donor's gift of \$500,000 together with the University's matching funds of \$250,000 will be held in the Fund and invested pursuant to the University's Policy 2.11, Section 4.04 and will therefore receive a fixed annual rate of return of 4%.

Effective Date: December 1, 2011

Purpose: The gift will support the establishment of the Wolfe Senior Research Fellowship in Steroidal Hormones in Health and Disease in the Department of Biochemistry at the Schulich School of Medicine & Dentistry.

The fund will be used, in accordance with the University's policies and practices, to provide funding exclusively for the purpose of salary support and benefits for the Senior Research Fellow. The administration of the spending of resources will be the responsibility of the Dean of the Schulich School of Medicine & Dentistry.

The naming of this Senior Research Fellow is supported by the Dean of the Schulich School of Medicine & Dentistry. The Fellowship is named in honour of Dr. Bernard Wolfe, a distinguished professor emeritus and honorary degree recipient.

Criteria: It is expected that the Senior Research Fellow will spend at least 75% of his/her academic time on research or research-related activities. (Currently, the Schulich School of Medicine & Dentistry targets a ratio of 60% research and 20% research leadership, which meets the objectives of the funding).

Appointments to the Senior Research Fellowship will be conducted in accordance with University policies and procedures on academic appointments. Appointments to the Fellowship are for a five-year term and are renewable.

Reporting: The University agrees to report annually to the donor regarding the financial status of the Fund and the University, through the Schulich School of Medicine & Dentistry, will report annually concerning the activities of the Senior Research Fellow, on or before August 31 each year.

4. **Wolfe Senior Research Fellowship in Tumor Suppressor Genes**

In November 2011, the Property and Finance Committee approved on behalf of the Board of Governors the following terms of reference for the Wolfe Senior Research Fellowship in Tumor Suppressor Genes.

Donor and Funding: The creation of this Senior Research Fellow is made possible through a generous donation of \$500,000 from an anonymous donor.

The donor's gift of \$500,000 will be used on an expendable term basis to establish the Wolfe Senior Research Fellowship in Tumor Suppressor Genes in the Department of Biochemistry at the Schulich School of Medicine & Dentistry. The University will provide an additional \$250,000 by the end of the quarter in which the donor's payment is received, and the total amount of \$750,000 shall be held in the Wolfe Senior Research Fellowship in Tumor Suppressor Genes Fund to be expended over a ten year period.

The donor's gift of \$500,000 together with the University's matching funds of \$250,000 will be held in the Fund and invested pursuant to the University's Policy 2.11, Section 4.04 and will therefore receive a fixed annual rate of return of 4%.

Effective Date: December 1, 2011

Purpose: The gift will support the establishment of the Wolfe Senior Research Fellowship in Tumor Suppressor Genes in the Department of Biochemistry at the Schulich School of Medicine & Dentistry.

The fund will be used, in accordance with the University's policies and practices, to provide funding exclusively for the purpose of salary support and benefits for the Senior Research Fellow. The administration of the spending of resources will be the responsibility of the Dean of the Schulich School of Medicine & Dentistry.

The naming of this Senior Research Fellow is supported by the Dean of the Schulich School of Medicine & Dentistry. The Fellowship is named in honour of Dr. Bernard Wolfe, a distinguished professor emeritus and honorary degree recipient.

Criteria: It is expected that the Senior Research Fellow will spend at least 75% of his/her academic time on research or research-related activities. (Currently, the Schulich School of Medicine & Dentistry targets a ratio of 60% research and 20% research leadership, which meets the objectives of the funding).

Appointments to the Senior Research Fellowship will be conducted in accordance with University policies and procedures on academic appointments. Appointments to the Fellowship are for a five-year term and are renewable.

Reporting: The University agrees to report annually to the donor regarding the financial status of the Fund and the University, through the Schulich School of Medicine & Dentistry, will report annually concerning the activities of the Senior Research Fellow, on or before August 31 each year.

5. **Quarterly Financial Report (Operating Budget)**

See [Annex 2](#).

6. **Ancillary Financial Report**

See [Annex 3](#).

7. **Investment Committee Report**

See [Annex 4](#).

8. **New and Revised Scholarships and Awards**

See [Annex 5](#).



December 15, 2011

To: All Deans

From: Ruban Chelladurai

Copy: Amit Chakma, Janice Deakin, Alan Weedon, Gitta Kulczycki

Subject: **Revenues, Expenditures, and Tuition Fees – by Faculty: 2010-11
and
Budget per Weighted Teaching Unit: 2010-11**

Attached are two sets of analyses which are updates to the information you have received in recent years.

1. The tables showing the 2010-11 analyses on Revenues, Expenditures, and Tuition Fees – by Faculty. The analyses are based on final year-end 2010-11 data.
2. The graph showing Budget per Weighted Teaching Unit for 2010-11 – by Faculty. This graph has been presented to Deans as another way of looking at relative resource allocations.

The first set of analyses (i.e. Revenues, Expenditures, and Tuition Fees – by Faculty) was first carried out in 1995-96, and has been presented to Deans annually. In this year's analyses, we have made changes in order to align the analyses with (a) changes that have taken place (over time) in the University's revenue and expenditure profiles and (b) the new revenue-sharing mechanism for the Faculties. The changes are:

- a. The attribution of revenues is now based on "undergraduate teaching activity" and graduate students' Faculty of registration. Previously, revenue attribution was based on undergraduate and graduate students' Faculty of registration.
- b. Since revenue attribution is based on "undergraduate teaching activity", the cross-Faculty teaching adjustment used in the past is not necessary – and therefore has been removed from the analyses.
- c. Some of the Indirect Expenditure line items – which are attributed using enrolments – now reflect the change to "undergraduate teaching activity".

If you have any questions please let me know.

TABLE A (2010-11)

EXPENDITURES AND TUITION REVENUE AT WESTERN, 2010-11

		FTE Enrolments	Expenditures (\$ 000)	Expenditures / FTE	Tuition Revenue (\$ 000)	Tuition Rev / FTE	Tuition / Expenditures
		(1)	(2)	(3)	(4)	(5)	(6)
	Group 1:						
1	Arts & Humanities	3,466	45,784	13,210	19,311	5,572	42.2%
2	Engineering	1,395	41,424	29,697	13,005	9,324	31.4%
3	Health Sciences	2,720	45,204	16,620	15,420	5,669	34.1%
4	Music	668	14,229	21,314	3,632	5,440	25.5%
5	Science	5,596	89,414	15,979	34,123	6,098	38.2%
6	Social Science	7,401	85,072	11,495	41,991	5,674	49.4%
7	Sub-Total	21,245	321,128	15,116	127,482	6,001	39.7%
	Group 2:						
8	Business	2,032	71,026	34,961	48,468	23,857	68.2%
9	Education	1,738	21,112	12,145	9,471	5,448	44.9%
10	Info & Media Studies	853	14,357	16,837	5,228	6,131	36.4%
11	Law	581	11,137	19,175	7,237	12,461	65.0%
12	Medicine & Dentistry	3,451	127,489	36,944	31,903	9,245	25.0%
13	Sub-Total	8,654	245,121	28,324	102,308	11,822	41.7%
14	Total	29,899	566,248	18,939	229,791	7,686	40.6%

Notes

Col 1 = Undergraduate Full-Time Equivalent Enrolments (FTEs) are based on teaching activity.
 Graduates FTEs based on students' Faculty of registration.

Col 2 = Sum of Base Budgets and Other Direct and Indirect Expenses.

Col 3 = Column 2 / Column 1

Col 4 = Based on the FTEs -- as calculated in Column 1.

Col 5 = Column 4 / Column 1

Col 6 = Column 4 / Column 2

EXPENDITURES AND REVENUES AT WESTERN -- BY FACULTY, 2010-11

		FTEs	Expenditures (\$000)	Revenues (\$000)	Exp-Rev (\$000)	Expenditures / Revenues	Expenditures / FTE	Revenues / FTE	(Exp-Rev) / FTE	Tuition / Expenditures
		(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Group 1:									
1	Arts & Humanities	3,466	45,784	47,235	(1,451)	0.97	13,210	13,628	(419)	42.2%
2	Engineering	1,395	41,424	31,501	9,923	1.32	29,697	22,583	7,114	31.4%
3	Health Sciences	2,720	45,204	50,821	(5,617)	0.89	16,620	18,686	(2,065)	34.1%
4	Music	668	14,229	11,019	3,210	1.29	21,314	16,506	4,808	25.5%
5	Science	5,596	89,414	85,154	4,260	1.05	15,979	15,217	761	38.2%
6	Social Science	7,401	85,072	97,765	(12,693)	0.87	11,495	13,210	(1,715)	49.4%
7	Sub-Total	21,245	321,128	323,495	(2,367)	0.99	15,116	15,227	(111)	39.7%
	Group 2:									
8	Business	2,032	71,026	70,439	587	1.01	34,961	34,672	289	68.2%
9	Education	1,738	21,112	19,890	1,222	1.06	12,145	11,442	703	44.9%
10	Info & Media Studies	853	14,357	13,125	1,232	1.09	16,837	15,392	1,445	36.4%
11	Law	581	11,137	11,435	(298)	0.97	19,175	19,688	(513)	65.0%
12	Medicine & Dentistry	3,451	127,489	127,865	(376)	1.00	36,944	37,053	(109)	25.0%
13	Sub-Total	8,654	245,121	242,754	2,367	1.01	28,324	28,050	273	41.7%
14	Total	29,899	566,248	566,248	(0)	1.00	18,939	18,939	(0)	40.6%

Notes

Col 1 = Undergraduate Full-Time Equivalent Enrolments (FTEs) are based on teaching activity.
 Graduates FTEs based on students' Faculty of registration.

Col 2 = Operating Expenditures (see Table C)

Col 3 = Operating Revenues (see Table D)

Col 4 = Column 2 less Column 3

Col 5 = Expenditures / Revenues (Column 2 / Column 3)

Col 6 = Expenditures / FTE (Column 2 / Column 1)

Col 7 = Revenues / FTE (Column 3 / Column 1)

Col 8 = (Expenditures-Revenues) / FTE or ((Column 2 - Column 3) / FTE)

Col 9 = Tuition Revenue /Expenditures (same as column 6 in Table A)

TABLE C (2010-11)**OPERATING EXPENDITURES AT WESTERN, 2010-11**

		Base Budget	One-Time Support	Centrally Funded Student Support	Indirect Costs	Operating Expenditures
		(1)	(2)	(3)	(4)	(5)
	Group 1:					
1	Arts & Humanities	28,994,579	2,980,258	1,075,807	12,733,595	45,784,240
2	Engineering	25,112,415	2,974,990	1,895,343	11,441,320	41,424,068
3	Health Sciences	27,019,571	2,551,199	2,678,017	12,955,434	45,204,221
4	Music	9,456,462	677,811	597,157	3,497,833	14,229,262
5	Science	50,667,181	5,870,063	4,493,648	28,382,874	89,413,766
6	Social Science	49,706,222	5,339,554	5,363,084	24,663,233	85,072,093
7	Sub-Total	190,956,430	20,393,875	16,103,055	93,674,289	321,127,649
	Group 2:					
8	Business	53,770,117	221,794	2,988,639	14,045,477	71,026,027
9	Education	12,828,520	866,795	594,369	6,821,838	21,111,521
10	Info & Media Studies	9,423,486	996,366	642,969	3,294,082	14,356,903
11	Law	6,649,839	182,755	1,714,258	2,590,217	11,137,070
12	Medicine & Dentistry	73,241,368	15,495,410	6,715,695	32,036,523	127,488,996
13	Sub-Total	155,913,330	17,763,120	12,655,931	58,788,136	245,120,517
14	TOTAL	346,869,760	38,156,995	28,758,986	152,462,425	566,248,166

Notes

Col 1 = Base Budget at April 30.

Col 2 = Includes initial one-time allocations as originally budgeted and other one-time allocations up to April 30th. In addition, 2010-11 in-year allocations for GSSTF, GEF, GEF+, ECF and Summer /Distance Stipends are included in this column.

Col 3 = Includes undergraduate scholarships and bursaries which have been specifically awarded and unallocated bursaries distributed on the basis of awards to-date. Scholarship and bursary awards are based on distribution as of April 30.

Col 4 = The amounts shown under indirect costs are the total of the budgets of all University-wide expenditures and support areas, and a portion of unallocated ADF. Distributed as in Table F.

TABLE D (2010-11)

OPERATING REVENUES AT WESTERN, 2010-11

		Base Grants	Graduate Expansion Grant	Research Related Grants	Faculty Specific-Grants	Other Grants	Tuition Revenue	Other Revenues	Total Operating Revenue
		(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
	Group 1:								
1	Arts & Humanities	22,061,658	1,621,203	437,617		1,295,471	19,311,499	2,507,545	47,234,993
2	Engineering	11,927,810	410,578	2,588,393		702,969	13,005,388	2,865,570	31,500,709
3	Health Sciences	23,985,054	2,366,112	701,302	4,218,986	1,412,166	15,419,639	2,717,709	50,820,967
4	Music	5,836,708	510,143	38,476		342,885	3,631,654	659,601	11,019,468
5	Science	37,249,866	1,965,285	4,405,004		2,186,903	34,123,494	5,223,141	85,153,693
6	Social Science	44,029,123	1,510,265	2,158,006		2,583,193	41,990,752	5,493,393	97,764,732
7	Sub-Total	145,090,219	8,383,586	10,328,799	4,218,986	8,523,587	127,482,425	19,466,959	323,494,562
	Group 2:								
8	Business	12,336,367	40,365	468,587		724,962	48,468,446	8,400,256	70,438,984
9	Education	8,174,161	250,724	199,712		480,165	9,471,083	1,314,008	19,889,854
10	Info & Media Studies	6,388,617	356,874	116,157		376,870	5,228,311	657,963	13,124,793
11	Law	3,410,874	103,599	63,380		199,742	7,237,382	419,795	11,434,772
12	Medicine & Dentistry	40,479,705	582,196	10,302,391	30,353,079	2,372,759	31,903,244	11,871,829	127,865,202
13	Sub-Total	70,789,725	1,333,758	11,150,228	30,353,079	4,154,498	102,308,466	22,663,851	242,753,604
14	TOTAL	215,879,944	9,717,344	21,479,027	34,572,065	12,678,085	229,790,891	42,130,810	566,248,166

Notes

Col 1 = Base Grants as of April 30, and are distributed on the basis of adjusted BIUs -- which are calculated using teaching activity.

Includes Undergraduate Accessibility Fund, Tuition Offset Grant, Quality Assurance Fund (QAF), Quality Improvement Fund (QIF), Access to Opportunities Program (ATOP), Teacher Education Expansion and Graduate Expansion for growth to 2007-08 -- as of April 30.

Col 2 = Graduate Expansion Fund is distributed based on enrolment growth (domestic students eligible for government funding) over 2007-08.

Col 3 = Research Related Grants are: Research Performance Grant, Research Infrastructure Grant, Federal Funding for Indirect Costs of Research, and Canada Research Chairs -- as of April 30, and are distributed on the basis of research funding share.

Col 4 = Faculty-specific Grants are: T&R and Primary Care Grants to Medicine, and grants targetted for Nursing expansion.

Col 5 = Other Grants consist of the following grants: Performance Indicator Fund, Trois-Pistoles Bursary, Accessibility for Disabled Students, Property Tax Grant, Work Study Grants, and Other MTCU Allocations. Distributed on the basis of adjusted BIUs.

Col 6 = Actual Tuition Revenue for the Year. Based on same distribution as described in the footnote for Column 1 in Table A.

Col 7 = Other Revenues consist of: Royalties & Licences, Contributions from Ancillary Units, Investment Income, Research Overhead, Transfer from Affiliated University Colleges, Application Fees, Unrestricted Fundraising, Privately-Funded Student Awards, and Other Miscellaneous Revenues. Excludes Recoverable Salaries. Royalties & Licences and Research Overhead are distributed directly to Faculties. Distribution of all other revenues is based 50% on BIUs and 50% on FTEs -- with the exception of the Ivey School's transfer for services provided by UWO (which is assigned directly to Ivey) and Privately-Funded Student Awards (which are Faculty-specific).

TABLE E (2010-11)

BIU AND FTE ENROLMENTS AT WESTERN, 2010-11

		Basic Income Units (BIUs)			Full-Time Equivalents (FTEs)			BIUs per FTE		
		Undergrad	Graduate	Total	Undergrad	Graduate	Total	Undergrad	Graduate	Total
		(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Group 1:									
1	Arts & Humanities	4,206.0	1,352.9	5,558.9	3,050.0	416.0	3,466.0	1.38	3.25	1.60
2	Engineering	1,430.0	1,586.5	3,016.5	769.0	625.9	1,394.9	1.86	2.54	2.16
3	Health Sciences	3,337.0	2,722.6	6,059.6	2,012.0	707.8	2,719.8	1.66	3.85	2.23
4	Music	1,063.0	408.3	1,471.3	545.0	122.6	667.6	1.95	3.33	2.20
5	Science	7,242.0	2,142.1	9,384.1	4,820.0	775.8	5,595.8	1.50	2.76	1.68
6	Social Science	9,124.4	1,960.2	11,084.6	6,795.0	605.6	7,400.6	1.34	3.24	1.50
7	Sub-Total	26,402.4	10,172.5	36,574.9	17,991.0	3,253.7	21,244.7	1.47	3.13	1.72
	Group 2:									
8	Business	2,166.0	944.8	3,110.8	1,516.0	515.6	2,031.6	1.43	1.83	1.53
9	Education	1,501.0	559.4	2,060.4	1,562.0	176.3	1,738.3	0.96	3.17	1.19
10	Info & Media Studies	649.0	968.2	1,617.2	507.0	345.7	852.7	1.28	2.80	1.90
11	Law	830.0	27.1	857.1	567.0	13.8	580.8	1.46	1.96	1.48
12	Medicine & Dentistry	8,020.7	2,160.9	10,181.6	2,879.9	571.0	3,450.9	2.79	3.78	2.95
13	Sub-Total	13,166.7	4,660.3	17,827.1	7,031.9	1,622.4	8,654.3	1.87	2.87	2.06
14	TOTAL	39,569.1	14,832.9	54,402.0	25,022.9	4,876.1	29,899.0	1.58	3.04	1.82

Notes

Col 1,4 : Based on teaching activity.

Col 2,6 : Based on Faculty of registration.

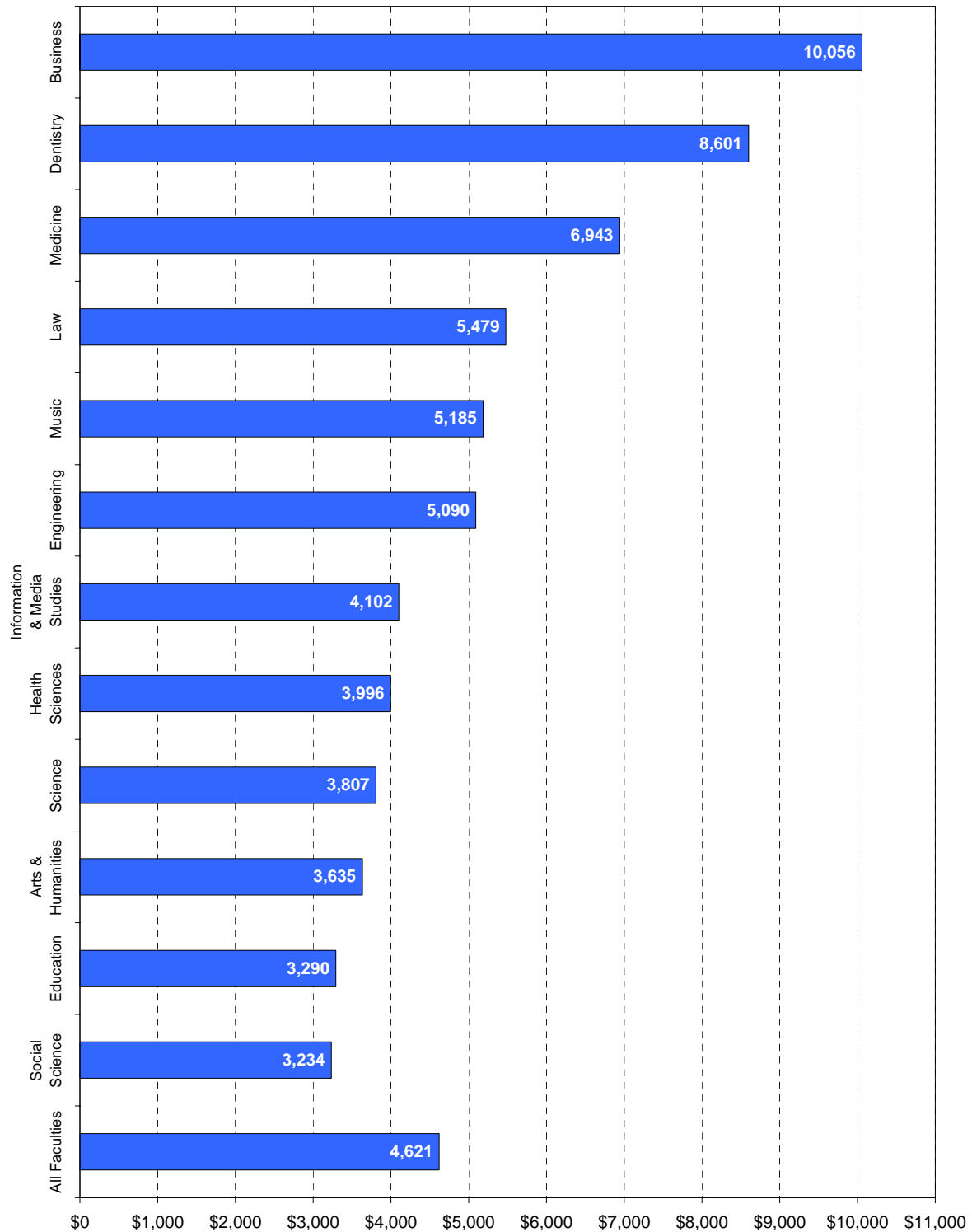
Row 12 Undergraduate enrolment includes BMedSci, DDS, MD enrolments and non-International Medical Residents.

COST DRIVERS FOR ALLOCATION OF INDIRECT EXPENDITURES

		Allocation Basis (i.e. Cost Drivers)								
		Operating Funds - Total excl. Cfwd	Total FTE Enrolment	Undergrad FTE Enrolment	Graduate FTE Enrolment	FTE Faculty	FTE Employees	Research Funding	Total Space	Research Space
		(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
1	SGPS				X					
2	Trois Pistoles			X						
3	P.A.S.F. (Unallocated)	X								
4	CFI							X		
5	Campaign Matching & Related Initiatives (Unallocated)					X				
6	Energy Efficiency Initiatives								X	
7	A.D.F. (Unallocated)					X				
8	Teaching Support Centre					X				
9	Effective Writing Program		X							
10	Information Technology Services		X				X			
11	Libraries - Basic & Acquisitions		X				X			
12	Registrar's Office			X						
13	Vice-Provost (APPF)					X				
14	Institutional Planning & Budgeting	X								
15	Research Western					X		X		
16	Research Promotion Fund					X				
17	Western Innovation Found (Unallocated)							X		
18	Animal Care/Vet. Services							X		
19	Alumni Relations & Development									X
20	Communications		X				X			
21	McIntosh Gallery		X				X			
22	Advancement Services									X
23	Office of the President & Vice-Presidents	X								
24	University Secretariat		X				X			
25	Financial Services	X								
26	Human Resources						X			
27	University Police								X	
28	Physical Plant								X	
29	Accessibility for the Disabled								X	
30	Corporate Contingency	X								
31	Convocation & Diplomas		X							
32	Ombudsperson			X						
33	Insurance								X	
34	London Museum of Archaeology		X			X				
35	Athletic Injury Clinic		X							
36	Intercollegiate Athletics		X							
37	CRVAWC					X				
38	University Surveys & Teaching Evaluations		X							
39	MMI Transfer to Capital								X	
40	Memberships	X								
41	Physical Plant Utilities								X	
42	Professional Fees	X								
43	Internal Audit	X								
44	Property Tax		X							
45	Costs Associated with Employee Contracts						X			
46	Information Technology Infrastructure Fund		X				X		X	
47	Employee Benefit Plans/Recoveries						X			
48	Staff/Faculty Health Services						X			
49	Provision/Unallocated	X								
50	FFICR Transfer to Capital									X
51	CRC Transfer to Capital								X	
52	One-Time Transfer to Capital								X	
53	Undergraduate & Graduate Program Reviews			X	X					

The University of Western Ontario

2010-11: (Base Budget + ECF + GEF/GEF+) per WTU



The University of Western Ontario
2011-12 Operating Budget Update

as at October 31, 2011

(\$000)

<a> Summary

		As Approved by Board	Revised Forecast	Increase/Decrease	
				\$	%
1	Revenues 	604,078	605,453	1,375	0.23%
2	Expenditures <c>	602,030	602,610	580	0.10%
3	Surplus / (Deficit)	2,048	2,843	795	
4	Operating Reserve -- Beginning of Year	29,830	33,014	3,184	
5	Surplus / (Deficit)	2,048	2,843	795	
6	Operating Reserve -- End of Year	31,878	35,857	3,979	

** Revenue Changes**

7	Tuition Revenue			2,032	
8	Undergraduate Enrolment Growth Funding			1,996	
9	Reaching Higher Grants (Graduate Expansion)			-2,653	
10	Total Revenue Changes			1,375	

<c> Expenditure Changes

11	Undergraduate Student Aid			580	
12	Total Expenditure Changes			580	

<p style="text-align: center;"><i>The University of Western Ontario</i></p> <p style="text-align: center;">STUDENT FEE FUNDED UNITS, ANCILLARIES, ACADEMIC SUPPORT UNITS, AND ASSOCIATED COMPANIES</p> <p style="text-align: center;">2011/12 Budget and Projected</p> <p style="text-align: center;">(\$000's)</p>									
Student Fee Funded Units	2011/12 Budget			2011/12 Projected			% Change		Projected April 30/12 Reserve
	Revenues	Expenses	Surplus/ (Deficit)	Revenues	Expenses	Surplus/ (Deficit)	Revenues	Expenses	
1 Campus Recreation	4,633.0	4,633.6	(0.6)	4,746.5	4,683.6	62.9	2.4	1.1	909.1
2 Financial Aid	912.3	912.2	0.1	936.4	932.8	3.6	2.6	2.3	55.9
3 Indigenous Services	600.3	603.5	(3.2)	627.0	627.0	-	4.4	3.9	45.6
4 Intercollegiate Athletics	4,623.7	4,580.8	42.9	5,117.1	4,999.4	117.7	10.7	9.1	216.0
5 Off Campus Housing & Housing Mediation Office	361.8	377.3	(15.5)	359.5	372.1	(12.6)	(0.6)	(1.4)	48.2
6 Services for Students With Disabilities	376.9	376.9	-	386.0	386.0	-	2.4	2.4	27.8
7 Student Development Services	1,968.0	2,002.1	(34.1)	1,997.8	2,033.6	(35.8)	1.5	1.6	262.6
8 Student Success Centre	1,227.2	1,266.1	(38.9)	1,269.0	1,266.5	2.5	3.4	0.0	325.8
9 Student Health Services	4,237.0	4,347.6	(110.6)	4,267.5	4,278.0	(10.5)	0.7	(1.6)	685.2
10 Western Foot Patrol	159.7	159.1	0.6	160.7	160.6	0.1	0.6	0.9	48.1
11 Thompson Recreation & Athletic Centre	1,144.4	1,140.8	3.6	1,160.9	1,153.1	7.8	1.4	1.1	1,378.2
12 Total Student Fee Funded Units	20,244.3	20,400.0	(155.7)	21,028.4	20,892.7	135.7	3.9	2.4	4,002.5
Ancillaries									
13 Family Practice Clinic	451.9	448.4	3.5	463.3	464.6	(1.3)	2.5	3.6	(68.7)
14 Housing	52,986.8	48,655.3	4,331.5	53,142.3	47,585.2	5,557.1	0.3	(2.2)	21,626.1
15 Parking Services	4,547.9	4,101.6	446.3	4,618.9	4,190.3	428.6	1.6	2.2	4,650.4
16 Retail Services	39,766.1	39,633.3	132.8	38,553.9	38,499.3	54.6	(3.0)	(2.9)	1,398.6
17 Total Ancillaries	97,752.7	92,838.6	4,914.1	96,778.4	90,739.4	6,039.0	(1.0)	(2.3)	27,606.4
Academic Support Units									
18 Animal Care & Veterinary Services	3,549.8	3,673.3	(123.5)	3,452.9	3,705.9	(253.0)	(2.7)	0.9	(330.8)
19 Boundary Layer Wind Tunnel	2,200.0	2,188.0	12.0	2,100.0	2,084.0	16.0	(4.5)	(4.8)	(178.1)
20 Surface Science Western	1,397.0	1,562.7	(165.7)	1,568.9	1,564.3	4.6	12.3	0.1	1,153.1
21 University Machine Services	1,665.0	1,698.7	(33.7)	1,333.5	1,462.0	(128.5)	(19.9)	(13.9)	(398.0)
22 Western Centre of Continuing Studies	2,006.3	2,006.3	-	1,988.8	1,970.3	18.5	(0.9)	(1.8)	485.7
23 Total Academic Support Units	10,818.1	11,129.0	(310.9)	10,444.1	10,786.5	(342.4)	(3.5)	(3.1)	731.9
Associated Companies									
24 Richard Ivey School of Business Foundation (a)	21,980.0	22,075.0	(95.0)	24,279.0	22,476.0	1,803.0	10.5	1.8	5,360.9
25 Richard Ivey School of Business (Asia) (a)	4,703.0	4,429.0	274.0	3,750.0	4,613.0	(863.0)	(20.3)	4.2	(2,110.9)
26 UWO Research Park (includes Windermere Manor)	7,525.4	7,783.1	(257.7)	7,558.6	7,998.4	(439.8)	0.4	2.8	(13,868.5)
27 Museum of Ontario Archaeology	326.7	321.5	5.2	394.6	389.9	4.7	20.8	21.3	(198.4)
28 Total Associated Companies	34,535.1	34,608.6	(73.5)	35,982.2	35,477.3	504.9	4.2	2.5	(10,816.9)
29 Total	163,350.2	158,976.2	4,374.0	164,233.1	157,895.9	6,337.2	0.5	(0.7)	21,523.9

(a) The Ivey group of companies (Richard Ivey School of Business Foundation and the Richard Ivey School of Business (Asia)) are operated in conjunction with the Richard Ivey School of Business at UWO. The financial position of the Richard Ivey School of Business at UWO is included in the overall report on the Operating Budget of the University. The financial results for the Ivey group, including the Richard Ivey School of Business at UWO, is as follows.

	2011/12 Budget			2011/12 Projected			% Change		Projected April 30/12 Reserve
	Revenues	Expenses	Surplus/ (Deficit)	Revenues	Expenses	Surplus/ (Deficit)	Revenues	Expenses	
Richard Ivey School of Business at UWO	65,538.0	65,538.0	-	66,255.3	66,224.4	30.9	1.1	1.0	(7,717.4)
Ivey Group of Companies (from lines 24 and 25 above)	26,683.0	26,504.0	179.0	28,029.0	27,089.0	940.0	5.0	2.2	3,250.0
Total Ivey Group	92,221.0	92,042.0	179.0	94,284.3	93,313.4	970.9	6.1	3.3	(4,467.4)

Report of the Investment Committee

For Information

This report outlines the performance of the operating and endowment investment portfolio and the recent activities of the Investment Committee.

Performance

The investment performance of the portfolio for the past four years was as follows:

<u>Asset Class</u>	Annual Sept. 30 <u>2011</u>	Annual Sept. 30 <u>2010</u>	Annual Sept. 30 <u>2009</u>	Annual Sept. 30 <u>2008</u>	Annualized for Four years Ending Sept. 30, 2011
Fixed Income	6.4%	7.5%	9.9%	5.7%	7.4%
Alternative Investments:					
Hedge funds	3.9	(1.3)	(16.6)	(10.7)	(6.5)
Private equity	10.6	-	-	-	-
Equities:					
Canadian	(5.8)	10.6	(0.3)	(15.8)	(3.3)
US	0.1	8.6	(12.7)	(20.3)	(6.7)
Non North American	<u>(8.9)</u>	<u>3.8</u>	<u>0.3</u>	<u>(22.8)</u>	<u>(7.5)</u>
Total Return	<u>(0.6)%</u>	<u>7.6%</u>	<u>2.8%</u>	<u>(10.5)%</u>	<u>(0.4)%</u>
Policy Return	<u>(0.4)%</u>	<u>7.8%</u>	<u>3.2%</u>	<u>(11.0)%</u>	<u>(0.3)%</u>

For additional information, please refer to the attached pages, which show the amounts held by each investment manager at September 30, 2011, the annual and annualized returns by manager over the five years ending September 30, 2011.

Returns in relation to the real rate of return objective

One of the Investment Committee's objectives is to earn a real rate of return over the long term (i.e., to earn a given rate over the rate of inflation, as measured by the Consumer Price Index). In September 2011, the Property & Finance Committee approved changes to the University's Statement of Investment Objectives, Policies and Governance which included a reduction in the real return objective from 5% to 4%. Inflation has averaged 2.0% per year for the past five and ten years.

For the four years ending September 30, 2011, the annualized real rate of return was -2.3%, consisting of a nominal return of -0.4% less inflation of 1.9%. For the five years ending September 30, 2011, the annualized real rate of return for the portfolio was -0.2%, which consisted of a nominal (actual) annualized return of 1.8% less 2.0% for inflation. The 5 year annualized return does not meet the Investment Committee's performance objective of a real rate of return of 4%.

Value added by Active Management

Another of the Investment Committee's objectives is to earn the return produced by the asset mix policy based on the returns of the market indices plus a premium to reflect the additional fees related to active management. In order to achieve this objective, investment managers with active investment mandates need to outperform their benchmark indices. For example, Canadian equity managers need to outperform the TSX Composite Index over time.

Over the five years ending September 30, 2011, the actual annualized return for the portfolio was 1.8%

and the return generated by the market indices for the portfolio was 1.6% (the policy return). Over this period, our combination of managers achieved higher results than could have been achieved by a passive or index style of management. It should be noted that 55% of the total portfolio is actively managed and 45% is passively managed.

Book and Market Value of the Portfolio

As at September 30, 2011, the operating and endowment portfolio had a book value of \$384,904,000 and market value of \$427,049,000 as follows:

	Book Value (\$000's)	Market Value (\$000's)	Actual Asset Mix	Policy Asset Mix
Bonds	\$100,793	\$150,840	35.3%	25.0%
Alternative Investments	21,747	22,047	5.2	15.0
Canadian equities	72,445	84,002	19.7	20.0
US equities	79,300	85,141	19.9	20.0
Non-North American equities	<u>110,619</u>	<u>85,019</u>	<u>19.9</u>	<u>20.0</u>
Total	<u>\$384,904</u>	<u>427,049</u>	<u>100.0%</u>	<u>100.0%</u>

At September 30, 2011 the portfolio consisted of the following components:

Portion related to Endowed funds	<i>\$200,507,000</i>	<i>47.0%</i>
Portion related to Operating and Non-endowed funds	<u>226,542,000</u>	<u>53.0%</u>
Total market value of portfolio	<u>\$427,049,000</u>	<u>100.0%</u>

Update on Investment Committee Activities

- Revisions to the Statement of Investment Objectives, Policies & Governance have been completed and have been approved by Property & Finance and the Board
- Administration completed its research on Infrastructure funds and presented a report for the Committee's review at the December 1st meeting
- The Committee asked Administration to continue with their work on Infrastructure given the discussion that took place, with the objective of making an investment in the near term
- Administration completed the initial funding of the approved 5% allocation to Greystone Mortgage Fund and a 2.5% allocation to Romspen Mortgage Investment Fund
- The Committee agreed to terminate Highstreet Asset Management and to re-allocate the assets to the remaining Canadian Equity managers and not make any further changes to the Canadian Equity structure at this time
- The Committee approved an additional investment of \$50 million, being reallocated from the short term portfolio, in conjunction with rebalancing the Operating & Endowment portfolio
- Mercer Global Investments made a presentation to the members related to a potential investment in their Canadian Core Plus Fixed Income product. The members discussed the manager and product at length and determined that it would be appropriate to step back and re-evaluate the active vs passive decisions previously made given the events of the past few months
- The Committee requested that Administration obtain additional information from Mercer's and bring the topic forward for discussion at the next meeting

University of Western Ontario Endowment Plan
Asset Summary
As of September 30, 2011

	Total Market Value	% of Total Fund	% of Asset Class	Equity & Convertible	Fixed Income	Real Estate	Cash & Equivalents	Alternative	
Total Fund									
UWO Endowment - Total Fund	\$ 427.0	100.0%	100.0%	\$ 253.7	59.4%	\$ 12.7	35.3%	9.3	2.2%
Canadian Stock Managers	84.0	19.7	100.0	83.5	99.5	--	0.5	--	--
Beutel, Goodman Capital Mgmt.	31.8	7.4	37.9	31.4	98.6	--	0.5	--	--
Greystone Canada	24.9	5.8	29.7	24.9	100.0	--	--	--	--
Highstreet Asset Management	27.2	6.4	32.4	27.2	100.0	--	--	--	--
U.S Stock Managers	85.1	19.9	100.0	85.1	100.0	--	--	--	--
SSgA Midcap Hedge Blend	14.1	3.3	16.5	14.1	100.0	--	--	--	--
J.P. Morgan 130/30	38.3	9.0	44.9	38.3	100.0	--	--	--	--
SSgA S&P 500 Hedge Blend	32.8	7.7	38.5	32.8	100.0	--	--	--	--
Hedge Funds	3.2	0.7	100.0	--	--	--	--	3.2	100.0
Northwater Capital Mgmt. Inc. NET III	3.2	0.7	100.0	--	--	--	--	3.2	100.0
Non-North American Mgrs	85.0	19.9	100.0	85.0	100.0	--	--	--	--
Templeton International	42.0	9.8	49.4	42.0	100.0	--	--	--	--
MFS/SSgA Hedge Blend	43.0	10.1	50.6	43.0	100.0	--	--	--	--
Fixed Income Managers	150.8	35.3	100.0	--	150.8	100.0	--	--	--
State Street Global Advisors	150.8	35.3	100.0	--	150.8	100.0	--	--	--
Private Equity									
Adams Street Partners	6.2	1.4	100.0	--	--	--	--	6.2	100.0
Real Estate Managers	\$ 12.7	3.0%	100.0%	\$ --	--%	\$ 12.7	100.0%	--%	--%
Bentall Investment Management	11.5	2.7	90.5	--	--	11.5	100.0	--	--
GPM	1.2	0.3	9.5	--	--	1.2	100.0	--	--

Performance Summary by Portfolio

Annual Value Added by Asset Class – September 30th, 2011

	3 Mths	YTD	1 Yr	Annualized				Rolling 12-Months			
				3 Yrs	4 Yrs	5 Yrs		2010	2009	2008	2007
Total Fund - UWOW Endowment Policy Portfolio	(7.2) (7.2)	(5.2) (5.0)	(0.6) (0.4)	3.2 3.5	(0.4) (0.3)	1.8 1.6		7.6 7.8	2.8 3.2	(10.5) (11.0)	11.0 9.8
Canadian Stock Managers	(13.2) (11.9) (16.6) (11.3) (12.0)	(13.9) (10.3) (17.3) (14.4) (11.9)	(5.8) (3.0) (9.3) (5.6) (3.6)	1.3 4.6 (0.9) (0.6) 2.7	(3.3) 0.0 (6.0) (4.4) (1.9)	1.7 3.8 (0.1) 1.1 2.6		10.6 10.1 13.6 8.9 11.6	(0.3) 7.2 (5.5) (4.6) 0.5	(15.8) (12.6) (19.7) (14.7) (14.4)	24.7 20.6 27.2 26.5 22.8
U.S. Stock Managers	(13.0) (20.5) (8.7) (14.3) (7.0) (13.5) (20.4) (14.2)	(9.2) (14.0) (7.7) (8.9) (4.2) (8.8) (13.5) (8.9)	0.1 (2.4) 0.1 0.8 2.7 0.3 (1.9) 0.9	(1.7) 1.0 2.9 (3.7) 0.6 3.4 1.2 (1.0)	(6.7) (4.5) -- -- (4.0) (0.4) (3.8) (6.8)	(3.3) (0.4) -- -- (2.5) (0.8) 0.1 (2.9)		8.6 16.0 5.7 8.7 5.3 12.6 16.0 8.6	(12.7) (9.0) 3.0 (18.5) (6.0) (2.2) (9.0) (11.5)	(20.3) (19.0) -- -- (16.5) (10.9) (17.2) (22.3)	11.8 17.4 -- -- 3.7 5.8 17.1 14.8
Hedge Funds	7.0 7.0 0.1	9.5 9.5 0.2	3.9 3.9 0.3	(5.1) (5.1) 0.7	(6.5) (6.5) 1.4	(4.1) (4.1) 2.2		(1.3) (1.3) 0.3	(16.6) (16.6) 1.4	(10.7) (10.7) 3.5	6.0 6.0 5.4
Non-North American Mgrs.	(15.3) (14.3) (13.3) (16.3) (15.8) (12.5)	(13.1) (11.5) (10.0) (14.7) (15.3) (10.5)	(8.9) (9.4) (6.3) (8.5) (10.4) (7.5)	(1.8) (2.3) 1.2 (0.6) (3.9) (1.3)	(7.5) (8.0) (3.8) (6.5) (11.0) (7.9)	(3.3) (3.7) -- -- (6.3) (4.3)		3.8 (1.0) 4.1 11.5 2.1 (0.9)	0.3 4.1 6.2 (3.7) (3.0) 4.8	(22.8) (23.4) (17.3) (22.1) (29.5) (25.2)	15.7 15.8 -- -- 15.5 11.7
Private Equity	1.5 1.5 (10.7)	7.5 7.5 (9.0)	10.6 10.6 (4.0)	-- -- 0.5	-- -- (5.5)	-- -- (2.4)		-- -- 4.1	-- -- 1.5	-- -- (21.3)	-- -- 11.0
Fixed Income Managers	5.0 5.0 5.1	7.2 7.2 7.4	6.4 6.4 6.7	8.0 8.0 8.1	7.4 7.4 7.2	6.2 6.2 6.1		7.5 7.5 7.3	9.9 9.9 10.3	5.7 5.7 4.6	1.7 1.7 1.6

Policy (effective 9/30/2011):

- S&P/TSX Composite 20%
- S&P 500 - 2/3 hedged 16%
- S&P 400 Hedged 4%
- MSCI EAFE half hedged 20%
- DEX Universe 25%
- MSCI ACWI 5%
- 90-Day LIBOR 5%
- IPD All Property 2.5%
- IPD All Industrials 2.5%

SSgA Midcap Hedge Blend includes the history of the Northwater hedges.
MFS/SSgA hedge Blend includes the history of the Northwater hedges.

UWO Operating - Combined

Total Fund Real Returns – September 30th, 2011

	1 Year	2 Years	3 Years	4 Years	5 Years	6 Years	7 Years	8 Years	9 Years	10 Years
UWO Annualized Returns										
CPI (Inflation)	-0.6	3.4	3.2	-0.4	1.8	2.8	4.3	5.1	5.8	4.6
Real Return	3.2	2.5	1.4	1.9	2.0	1.8	2.0	2.0	2.0	2.0
	-3.8	0.9	1.8	-2.3	-0.2	1.0	2.3	3.1	3.8	2.6
UWO Annual Returns										
CPI (Inflation)	-0.6	7.6	2.8	-10.5	11.0	8.1	14.0	10.5	11.4	-5.5
Real Return	3.2	1.9	-0.9	3.4	2.5	0.7	3.2	1.8	2.2	2.3
	-3.8	5.7	3.7	-13.9	8.5	7.4	10.8	8.7	9.3	-7.8
Yr Ending 9/30/2011	Yr Ending 9/30/2010	Yr Ending 9/30/2009	Yr Ending 9/30/2008	Yr Ending 9/30/2007	Yr Ending 9/30/2006	Yr Ending 9/30/2005	Yr Ending 9/30/2004	Yr Ending 9/30/2003	Yr Ending 9/30/2002	

UWO Operating - Combined

Total Fund Value Added – September 30th, 2011

	1 Year Annualized	2 Years Annualized	3 Years Annualized	4 Years Annualized	5 Years Annualized	6 Years Annualized	7 Years Annualized	8 Years Annualized	9 Years Annualized	10 Years Annualized
UWO Annualized Return (1)	-0.6	3.4	3.2	-0.4	1.8	2.8	4.3	5.1	5.8	4.6
Policy Return (2)	-0.4	3.6	3.5	-0.3	1.6	2.6	4.2	5.0	5.7	4.6
Value Added	-0.2	-0.2	-0.3	-0.1	0.2	0.2	0.1	0.1	0.1	0.0
	Yr Ending 9/30/2011	Yr Ending 9/30/2010	Yr Ending 9/30/2009	Yr Ending 9/30/2008	Yr Ending 9/30/2007	Yr Ending 9/30/2006	Yr Ending 9/30/2005	Yr Ending 9/30/2004	Yr Ending 9/30/2003	Yr Ending 9/30/2002
UWO Annual Return (1)	-0.6	7.6	2.8	-10.5	11.0	8.1	14.0	10.5	11.4	-5.5
Policy Return (2)	-0.4	7.8	3.2	-11.0	9.8	7.8	14.2	10.3	11.9	-5.3
Value Added	-0.2	-0.2	-0.4	0.5	1.1	0.3	-0.2	0.2	-0.4	-0.2

Policy Mix as of September 30

S&P/TSX Composite	20%	20%	20%	20%	20%	20%	20%	20%	20%	20%
S&P 500	5%	5%	5%	5%	15%	15%	10%	20%	20%	20%
S&P 500 Hedged Currency	11%	11%	11%	10%	5%	5%	10%	0%	0%	0%
S&P 400 Hedged Currency	4%	4%	4%	5%	5%	5%	5%	5%	5%	5%
MSCI EAFE	10%	10%	10%	10%	10%	10%	10%	10%	10%	10%
MSCI EAFE Hedged Currency	10%	10%	10%	10%	5%	5%	5%	5%	5%	5%
Total Equity	60%	60%	60%	60%	60%	60%	60%	60%	60%	60%
DEX Universe (3)	25%	40%	40%	40%	40%	40%	40%	40%	40%	40%
MSCI All Country World (ACWI)	5%	0%	0%	0%	0%	0%	0%	0%	0%	0%
90-Day LIBOR	5%	0%	0%	0%	0%	0%	0%	0%	0%	0%
Real Estate (4)	5%	0%	0%	0%	0%	0%	0%	0%	0%	0%

Notes:

- (1) All returns except for the Northwater Hedge Fund are before deducting investment managers' fees.
- (2) The UWO Policy Return is the return that would have been earned (before investment managers' fees) by investing the portfolio in index funds in proportion to the target or policy asset mix.
- (3) Includes hedge fund and private equity prior to July 2010
- (4) Current 5% allocation consists of 2.5% REALpac / IPD Canada - All Property Index and 2.5% REALpac / IPD Canada - All Industrials Index

SCHOLARSHIPS/AWARDS/PRIZES

FOR INFORMATION

1. New Scholarships, Awards and Bursaries

On behalf of the Board of Governors, the Property and Finance Committee has approved the following terms of reference for new scholarships, awards, bursaries and prizes.

John Nash Football Athletic Scholarship (Any Undergraduate, Graduate or Affiliated University College Student - Athletic Award [Football])

Awarded annually to a full-time undergraduate or graduate student in any year of any degree program at Western, including the Affiliated University Colleges, based on academic achievement. The student must demonstrate leadership qualities as a member of the intercollegiate football team. Candidates must be in compliance with current OUA and CIS regulations. As per current OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and a non-entering student must have an in-course average of 70%. The Western Athletic Financial Awards Committee will select the recipients based on its evaluation of academic performance/ potential (20%) and the written recommendations from the Head Coach assessing athletic performance/ potential and team/campus leadership (weighted as 60% and 20% respectively). This scholarship was established by the Board of Foundation Western, with contributions from Western alumni, in recognition of John Nash for his service as President of Foundation Western from 2008 to 2010.

Value: 1 at \$1,000

Effective: 2011-2012 to 2021-2022 academic years inclusive

Doris Jackson Memorial OSOTF II Award in Music (Don Wright Faculty of Music)

Awarded annually to a student in the Don Wright Faculty of Music who has made a significant contribution to the UWO Jazz Ensemble and demonstrates financial need. Applications can be obtained through the Office of the Registrar's Web site and must be submitted by September 30. Financial need will be determined by the Office of the Registrar. The Scholarship Review Subcommittee in the Don Wright Faculty of Music will select the recipient. This award was established by the London Jazz Society as a memorial to its founding president.

This award is offered through the Ontario Student Opportunity Trust Fund (OSOTF II) program, and recipients must meet Ontario residency requirements.

Value: 1 at \$1,000

Effective: 2011-2012 academic year

Doris Jackson Memorial OTSS Awards in Music (Don Wright Faculty of Music)

Awarded annually to students in the Don Wright Faculty of Music who have made a significant contribution to the UWO Jazz Ensemble and demonstrate financial need. Applications can be obtained through the Office of the Registrar's Web site and must be submitted by September 30. Financial need will be determined by the Office of the Registrar. The Scholarship Review Subcommittee in the Don Wright Faculty of Music will select the recipients. These awards were established by the London Jazz Society as a memorial to its founding president.

These awards are offered through the Ontario Trust for Student Support (OTSS) program, and recipients must meet Ontario residency requirements.

Value: 2 at \$1,000

Effective: 2011-2012 academic year

Serge Gouin and Denyse Chicoyne MBA Leadership Award

Awarded to a full-time student entering the Master of Business Administration program at the Richard Ivey School of Business whose first language is French and who resides in Quebec, based on academic achievement and demonstrated community leadership. The MBA Scholarship Committee at Ivey will make the final selection of the recipient. This award was established by Serge Gouin (HBA'65, MBA'66) and Denyse Chicoyne.

Value: 1 at \$35,000

Effective: May 2012 - May 2016

Filion Wakely Thorup Angeletti LLP Award in Labour and Employment Law (Faculty of Law)

Awarded annually to the full-time undergraduate student who has successfully completed his or her final year in the Faculty of Law and who has successfully completed a minimum of three Labour and Employment Law courses offered with the highest academic average in those courses. The Scholarship Committee within the Faculty of Law, in consultation with the Dean concerning the selection of courses to be taken into account, will select the recipient and the award will be made at the June convocation. This award was established by Filion Wakely Thorup Angeletti LLP.

Value: 1 at \$2,000

Effective: 2011-2012 academic year

Kwitko Family Scholarship in Ophthalmology (Schulich School of Medicine & Dentistry, Medicine)

Awarded annually to a Doctor of Medicine (MD) resident who demonstrates enthusiasm and clinical excellence during his or her rotation in Ophthalmology. If the scholarship is not awarded to a resident, an undergraduate student in the Doctor of Medicine (MD) program who fulfills the same criteria may be selected. The recipient will be selected by the Progression, Awards and Appeals Committee in the Schulich School of Medicine & Dentistry. This award was established by the Kwitko Family through Foundation Western.

Value: 1 at \$4,000

Effective: 2011-2012 academic year

HSBC Women in Business Leadership Award (Richard Ivey School of Business)

Awarded to a student entering HBA 1 at the Richard Ivey School of Business, based on academic achievement and leadership potential. Preference will be given to a female student. This award will be renewed for Year 2 provided the recipient maintains a minimum average of 75%. If the Year 1 recipient does not meet this requirement, Year 2 students will have an opportunity to apply for this award. The HBA Scholarship Committee will make the final selection of the award recipient. This award was established with a generous gift from HSBC Bank Canada.

Value: 1 at \$10,000, continuing

Effective: 2011-2012 through 2015-16 academic years, inclusive

2. **Scholarship and Award Revisions**

On behalf of the Board of Governors, the Property and Finance Committee has approved the following revisions to the terms of reference for scholarships, awards, bursaries and prizes.

Donald Tapscott and Ana Lopes 125th Anniversary OSOTF Award (Any Undergraduate Program)

Change in criteria from:

Awarded to a full-time undergraduate student in Year 2 or beyond of any program based on financial need and ~~academic achievement~~ (minimum 70% average). Candidates must also be involved in student government through the University Students' Council or one of the Faculty Students' Councils. Applications can be accessed online through the Office of the Registrar's Web site and must be submitted by September 30. ~~An essay (minimum 250 words), explaining~~

~~the student's extra-curricular involvement in either of these Councils must also be submitted to Student Financial Services, Room 1140 Western Student Services Building by September 30.~~
This award was established by Mr. Donald Tapscott and Ms. Ana Lopes through Foundation Western.

To: Awarded to a full-time undergraduate student in Year 2 or beyond of any program based on financial need and a minimum 70% average. Candidates must also be involved in student government through the University Students' Council or one of the Faculty Students' Councils. Applications can be accessed online through the Office of the Registrar's Web site and must be submitted by September 30. **The Office of the Registrar will select the recipient.** This award was established by Mr. Donald Tapscott and Ms. Ana Lopes through Foundation Western.

Effective: 2011-2012 academic year

SWOMEN Award for Excellence in Rural Medicine (Schulich School of Medicine & Dentistry, Medicine)

Change in name to: Dr. R. Gibb McGugan SWOMEN Award

Effective: 2011-2012 academic year

Rix Family Bursary OSOTF II (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 4 at \$2,500

To: 5 at \$1,550

Effective: 2011-2012 academic year

Rix Family OSOTF Bursary (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 3 at \$800

To: 4 at \$1,550

Effective: 2011-2012 academic year

Brian Innes Health Studies Rural Health Award (Faculty of Health Sciences, Health Studies)

Change in criteria from:

Awarded annually to a senior undergraduate student in the School of Health Studies, with preference given to a student who has excelled in the 3rd year rural health courses, ~~Introduction to Rural Communities~~ (Health Sciences 3010F/G) and/or Health Policy (Health Sciences 3400 A/B). A one-page statement outlining the student's interest in rural health/rural health policy must accompany the application. Applications are available through the School of Health Studies and are due in the Directors Office by ~~October 30th~~. ~~The Scholarship and Awards Committee in the School of Health Studies will select the recipient based upon academic achievement in the above courses, career aspirations which include rural health and/or policy goals, and related personal experience. This award was established through Foundation Western by family and friends in memory of Brian Innes who was a faculty member in the School of Health Studies.~~

To: Awarded annually to a senior undergraduate student in the School of Health Studies, with preference given to a student who has excelled in **third-year** rural health courses (Health Sciences 3010F/G, **3011F/G**) and/or Health Policy (Health Sciences 3400A/B). A one-page statement outlining the student's interest in rural health/rural health policy must accompany the application. Applications are available through the School of Health Studies and are due in **that** Office by **the announced deadline**. The School of Health Studies will select the recipient based upon academic achievement in the above courses, career aspirations which include rural health and/or policy goals, and related personal experience. This award was established through Foundation Western by family and friends in memory of Brian Innes who was a faculty member in the School of Health Studies.

Effective: 2011-2012 academic year

Andrea Bailey Memorial Award (Faculty of Engineering)

Change in criteria and value from:

Awarded annually to a female student ~~entering fourth~~ year of Mechanical and Materials Engineering, based on demonstrated financial need, ~~academic achievement~~ (minimum 70% average) and involvement in extra-curricular activities at the University and in the community. Preference will be given to a student meeting the stated criteria who is in a concurrent degree program. Online financial need applications are available through the Registrar's Web site and are due by September 30. A separate award application must also be completed online through the Engineering Undergraduate Services Web site (<http://www.eng.uwo.ca/undergraduate>) and submitted along with a one-page statement outlining extracurricular involvement, by September 30. The recipient will be selected by the Scholarships and Awards Committee in the Faculty of Engineering after the Registrar's Office has assessed financial need. The recipient must not be in receipt of any other award in the Department of Mechanical and Materials Engineering. This award was established by friends and family in memory of Andrea Bailey.

This award is offered through the Ontario Student Opportunity Trust Fund (OSOTF) program, and recipients must meet Ontario residency requirements.

Value: 1 at \$1,800

To: Awarded annually to a female student **in any** year of Mechanical and Materials Engineering, based on demonstrated financial need, minimum 70% average and involvement in extra-curricular activities at the University and in the community. Preference will be given to a student meeting the stated criteria who is in a concurrent degree program. Online financial need applications are available through the Registrar's Web site and are due by September 30. A separate award application must also be completed online through the Engineering Undergraduate Services Web site (<http://www.eng.uwo.ca/undergraduate>) and submitted along with a one-page statement outlining extracurricular involvement, by September 30. The recipient will be selected by the Scholarships and Awards Committee in the Faculty of Engineering after the Registrar's Office has assessed financial need. The recipient must not be in receipt of any other award in the Department of Mechanical and Materials Engineering. This award was established by friends and family in memory of Andrea Bailey.

This award is offered through the Ontario Student Opportunity Trust Fund (OSOTF) program, and recipients must meet Ontario residency requirements.

Value: 1 at **\$1,400**

Effective: 2011-2012 academic year

Wendy S. Hunter Memorial Award (Don Wright Faculty of Music)

Change in value from: 1 at \$2,000

To: 1 at \$3,000

Effective: 2011-2012 to 2017-2018 academic years inclusive

Martin and Mary LeBoldus Award for Outstanding Clinical Clerk (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 1 at \$650

To: 1 at \$460

Effective: 2011-2012 academic year

Martin and Mary LeBoldus Award for Promising Clinician (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 1 at \$600

To: 1 at \$430

Effective: 2011-2012 academic year

Norm and Kay Irwin Hockey Award (Any Undergraduate, Graduate or Affiliated University College Student – Athletic Award [Hockey])

Change in criteria and value from:

Awarded to a full-time undergraduate or graduate student in any year of any degree program at Western, including the Affiliated University Colleges, who is making a contribution as a member of the Men's Hockey team. Candidates who are intercollegiate student athletes must be in compliance with current OUA and CIS regulations. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and a non-entering student must have an in-course average of 70%. The Western Athletic Financial Awards Committee will select the recipient based on its evaluation of academic performance /potential (20%) and the written recommendations from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This award was established by Mrs. Marion O'Donnell and her family ~~through~~ Foundation Western, in memory of her parents, Norm and Kay Irwin, who always exhibited a great love of hockey.
Value: 1 at ~~\$2,000~~

To: Awarded to a full-time undergraduate or graduate student in any year of any degree program at Western, including the Affiliated University Colleges, who is making a contribution as a member of the Men's Hockey team. Candidates who are intercollegiate student athletes must be in compliance with current OUA and CIS regulations. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and a non-entering student must have an in-course average of 70%. The Western Athletic Financial Awards Committee will select the recipient based on its evaluation of academic performance /potential (20%) and the written recommendations from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This award was established by Mrs. Marion O'Donnell and her family **and is held at** Foundation Western in memory of her parents, Norm and Kay Irwin, who always exhibited a great love of hockey.

Value: 1 at **\$3,000**

Effective: 2012-2013 academic year only, then will revert back to 1 at \$2,000 (The additional award value for 2012-2013 was made possible by a generous donation from Mr. Doug Berk (MBA '85).)

Stanley Markell Dow Scholarship (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 1 at \$750

To: 1 at \$560

Effective: 2011-2012 academic year

Elmer and Bette (Liz) Mlinar Rural Medicine Award (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 3 at \$2,300

To: 1 at \$1,760

Effective: 2011-2012 academic year

Samuel D. Neill Scholarship (School of Graduate and Postdoctoral Studies, MLIS)

Change in value from: 3 at \$500

To: 2 at \$500

Effective: May 2011

Weldon Family Bursary (Faculty of Information and Media Studies)

Change in value from: 1 at \$1,000

To: 1 at \$950

Effective: 2011-2012 academic year

McLean Foundation Bursary (Any Undergraduate Faculty)

Change in value from: 1 at Up to \$550

To: 1 at \$400

Effective: 2011-2012 academic year

Chawkers Foundation Bursary (Any Undergraduate Faculty)

Change in value from: 1 at \$1,750
To: 1 at \$1,300
Effective: 2011-2012 academic year

Atkinson Charitable Foundation Bursary (Any Undergraduate Faculty)

Change in value from: 1 at \$1,100
To: 1 at \$900
Effective: 2011-2012 academic year

Godsoe Family Bursary (Faculty of Social Science or Faculty of Arts and Humanities)

Change in value from: 1 at \$3,000
To: 1 at \$2,400
Effective: 2011-2012 academic year

Carl and Agnes Santoni Graduate Scholarship in Mathematics (School of Graduate and Postdoctoral Studies, Mathematics)

Change in criteria from:

Awarded to a full-time graduate student who is in the Masters or Doctoral Graduate Program in Mathematics based on academic achievement (minimum 80% average) and research work. The recipient will be selected by the Chair in the Department of Mathematics in consultation with a faculty member who is also a member of the School of Graduate and Postdoctoral Studies. This scholarship is made possible by a gift from Carl and Agnes Santoni. In awarding this scholarship, preference is given to students funded through OGS/QGSST. In a year when there is no eligible OGS/QGSST recipient, the funds may be used to support a student conducting research in Mathematics.

Effective: 2007-2008 to 2009-2010

To: Awarded **annually** to a full-time graduate student who is in the Masters or Doctoral Program in Mathematics based on academic achievement and research **merit. The School of Graduate and Postdoctoral Studies will select the recipient in consultation with** the Chair in the Department of Mathematics. In awarding this scholarship, preference is given to students funded through **an Ontario Graduate Scholarship (OGS) or Queen Elizabeth II Graduate Scholarship in Science and Technology (QEII GSST)**. In a year when there is no eligible OGS/QEII GSST recipient, the funds may be used to support a student conducting research in Mathematics. **This scholarship is made possible by a gift from Carl (BSc '73, Computer Science) and Agnes Santoni (BSC '74, Biology).**

Effective: May 2011 to April 2016

Carol Buck Graduate Scholarship in Epidemiology (School of Graduate and Postdoctoral Studies, Epidemiology)

Change in name, criteria, and value from:

Awarded ~~annually~~ to a full-time graduate student ~~who is registered~~ in Epidemiology & Biostatistics and is a current holder of an Ontario Graduate Scholarship (OGS) or a Queen Elizabeth II Graduate Scholarship in Science and Technology (QE II GSST). These scholarships are awarded through a competitive process based on academic achievement and research potential. The School of Graduate and Postdoctoral Studies will select the recipient in consultation with the Epidemiology & Biostatistics program. This award was established through Foundation Western to honour Dr. Carol Buck, MD, PhD, DipPH, FRS (Can), a former professor in the Department of Epidemiology & Biostatistics.

If there is no OGS or QE II GSST eligible recipient, the School of Graduate and Postdoctoral Studies may select a non-OGS / QE II GSST recipient in consultation with the Schulich School of Medicine & Dentistry based on academic achievement and thesis development, or hold funds for an additional OGS / QE II GSST scholarship in a subsequent year.

Value: 1 @ \$5,000*

~~*Each OGS/ QE II GSST funding ensures a 2:1 match through the Provincial Government, increasing the value of the scholarship to \$15,000~~

To: Carol Buck Graduate Scholarship

Awarded to a full-time graduate student in Epidemiology & Biostatistics **at the end of their first year, based on academic achievement (minimum 78%) and development of his or her thesis project. A faculty committee in the Department of Epidemiology & Biostatistics will select the recipient. The committee will include representation from at least one faculty member who is also a member of the School of Graduate and Postdoctoral Studies.** This award was established through Foundation Western to honour Dr. Carol Buck, MD, PhD, DipPH, FRS (Can), a former professor in the Department of Epidemiology & Biostatistics.

Value: 1 at **\$1,000**

Effective: May 2011

Canadian Institute of Steel Construction - Dymin Steel Award (Faculty of Engineering)

Change in name to: CISC Ontario/Dymin Steel Scholarship

Effective: 2011-2012 academic year

Tim Wiggan Rugby Scholarship (Any Undergraduate, Graduate or Affiliated University College Student – Athletic Award [Rugby])

Change in value from: 5 at \$1,000

Effective: 2008/2009 to 2010/2011 academic years inclusive

To: Number and value will vary (\$5,000 available each year)

Effective: 2011-2012 to 2012-2013 academic years inclusive

Bob Gage Athletic Leadership Awards (Any Undergraduate or Graduate Student - Athletic Award)

Change in value from: 20 at \$1,000

To: The number of awards will vary and each will be valued at a minimum of \$1,000 to a maximum of \$3,500. A total of \$20,000 is available.

Effective: 2011-2012 academic year

The Parents Fund Award in the Faculty of Health Sciences (Faculty of Health Sciences)

Change in number and value from: 2 at \$600

To: 3 at \$500

Effective: 2011-2012 academic year

Richard Konrad Ontario Graduate Scholarships (School of Graduate and Postdoctoral Studies, Science)

Change in number from: **9** at \$5,000*

To: **7** at \$5,000*

Effective: May 2011

**Ontario Graduate Scholarship (OGS) funding ensures a 2:1 match through the Provincial Government, increasing the value of each scholarship to \$15,000.*

Zechner Family Bursary (Any Undergraduate Program)

Change in effective date from: 2009-2010 academic year. (Value of the award to be reviewed in 2010-2011)

To: 2011-2012 (based on funds available, value to be reviewed each year)

Robert W. Hodder Travel Bursaries (Faculty of Science, Earth Sciences; School of Graduate and Postdoctoral Studies, Geology/Geophysics)

Change in number from: **9** at a minimum of \$300 up to a maximum of \$500 each (award amounts will be determined based on field trip selection)

To: **6** at a minimum of \$300 up to a maximum of \$500 each (award amounts will be determined based on field trip selection)

Effective: May 2011

Douglas and Cynthia Kneale 125th Anniversary Alumni Award in English (Faculty of Arts and Humanities, English)

Change in name and criteria from:

Awarded to a full-time student in Year 3 of an Honors Specialization or double Major in English Language and Literature based on academic achievement (minimum 70% average) and financial need. Applications can be accessed online through the Office of the Registrar's Web site and must be submitted by September 30. The Office of the Registrar will determine financial need and the Faculty of Arts Scholarships and Awards Committee will select the recipient. This award was established by Dr. J. Douglas Kneale (BA '78, MA '79, English) and Mrs. Cynthia Kneale (BA '79, English, MEd '88) through Foundation Western.

To: Douglas Kneale 125th Anniversary Alumni Award in English

Awarded to a full-time student in Year 3 of an Honors Specialization or double Major in English Language and Literature based on academic achievement (minimum 70% average) and financial need. Applications can be accessed online through the Office of the Registrar's Web site and must be submitted by September 30. The Office of the Registrar will determine financial need and the Faculty of Arts **and Humanities** Scholarships and Awards Committee will select the recipient. This award was established by Dr. J. Douglas Kneale (BA '78, MA '79, English) **with** Foundation Western.

Effective: 2011-2012 academic year

Towers Perrin Bursary (Faculty of Science, Richard Ivey School of Business)

Change in name and criteria from:

Awarded in odd years to an undergraduate student in any year of an Honors Specialization in Actuarial Science in the Faculty of Science, and in even years to an undergraduate student in any year of Honors Business Administration in the Richard Ivey School of Business, to a student who is academically eligible to continue and who has demonstrated financial need. Established through a generous gift from Towers Perrin.

To: Towers **Watson** Bursary

Awarded in odd years to an undergraduate student in any year of an Honors Specialization in Actuarial Science in the Faculty of Science, and in even years to an undergraduate student in any year of Honors Business Administration in the Richard Ivey School of Business, to a student who is academically eligible to continue and who has demonstrated financial need. Established through a generous gift from Towers **Watson**.

Effective: 2011-2012 academic year

Towers Perrin Graduate Scholarship (School of Graduate and Postdoctoral Studies, Statistical and Actuarial Sciences)

Change in name and criteria from:

Awarded to a full-time graduate student entering first year of the Master's in Statistics with an area of concentration in Actuarial Sciences based on academic achievement (minimum 78% average). The Chair of the Department of Statistics and Actuarial Sciences will select the recipient in consultation with a committee, with at least one representative who is also a member of the School of Graduate and Postdoctoral Studies. This scholarship is made possible by the generosity of Towers Perrin and its employees.

To: Towers **Watson** Graduate Scholarship

Change in Name and Criteria from:

Awarded to a full-time graduate student entering first year of the Master's in Statistics with an area of concentration in Actuarial Sciences based on academic achievement (minimum 78% average). The Chair of the Department of Statistics and Actuarial Sciences will select the recipient in consultation with a committee, with at least one representative who is also a member of the School of Graduate and Postdoctoral Studies. This scholarship is made possible by the generosity of Towers **Watson** and its employees

Effective: May 2011 to April 2014

KPMG Bursary in Medicine (Schulich School of Medicine & Dentistry, Medicine)

Change in value from: 1 at \$1,000

To: 1 at \$700

Effective: 2011-2012 academic year

Joan Scarfone Memorial Awards (Schulich School of Medicine & Dentistry, Medicine)

Change in number from: 1

To: 2

Effective: 2011-2012 and then will revert back to 1 for 2012-2013

Keith Fleming/CMA Student Award (Faculty of Social Science, MOS)

Change in value from: 1 at \$1,125

To: 1 at \$900

Effective: 2011-2012 academic year

London 2004 Ontario Winter/Summer Games Legacy Awards (Any Undergraduate Faculty)

Change in value from: A total of \$5,700 is available annually. The number of awards will vary and each will range in value from \$900 to \$3,500.

To: A total of **\$10,000** is available annually. The number of awards will vary and each will range in value from **\$1,000** to \$3,500.

Effective: 2011-2012 academic year

Wrestling Leadership Awards (Any Undergraduate, Graduate or Affiliated University College Student – Athletic Award [Wrestling])

Change in criteria from:

Awarded to full-time undergraduate and graduate students in any year of any degree program at Western including the Affiliated University Colleges who are making a contribution as a member of the Men's or Women's Wrestling Team. Candidates must be in compliance with current OUA and CIS regulations. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and a non-entering student must have an in-course average of 70%. The Western Athletic Financial Awards Committee will select recipients basing its ~~decision~~ on its evaluation of academic performance/potential (20%) and the written recommendation from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). These awards were established by Jack Matthews, MBA '83, John Park, BA '79 and Clive Llewellyn, BA '75 LLB'82 through Foundation Western.

To: Awarded to full-time undergraduate and graduate students in any year of any degree program at Western including the Affiliated University Colleges who are making a contribution as a member of the Men's or Women's Wrestling Team. Candidates must be in compliance with current OUA and CIS regulations. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and a non-entering student must have an in-course average of 70%. The Western Athletic Financial Awards Committee will select recipients

based on its evaluation of academic performance/potential (20%) and the written recommendation from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). These awards were established by Jack Matthews, MBA '83, John Park, BA '79, Clive Llewellyn, BA '75 LLB'82 and **Robert Pearson, BA '63 with** Foundation Western.

Effective: 2011-2012 academic year

Cornell Prize in Aviation Law (Faculty of Social Science, MOS)
Change in name to: Honourable Mr. Justice R. Dan Cornell Prize in Aviation Law
Effective: 2011-2012 to 2012-2013 academic years inclusive

Susan Vitali-Lovell Family Medicine Awards (Schulich School of Medicine & Dentistry, Medicine)
Change in criteria from:
Awarded annually to students in third or fourth year of the undergraduate Doctor of Medicine (MD) program who demonstrate an interest in pursuing Family Medicine, have financial need, and preferably, are originally from a rural area. Preference will be given to students who have confirmed their participation in the Southwestern Ontario Medical Education Network (SWOMEN) program at Western. The candidate will embody the ideal characteristics of a physician as outlined in the Medical Undergraduate Education Objectives. Application forms ~~to apply~~ will be available in January of the year of application and ~~will~~ be completed and returned to the Admission/Student Equity Affairs Office or other return site by March 31~~st~~. The award recipients will be selected by the Progression, Awards and Appeals Committee in the Schulich School. These awards were established by the late John J. Vitali in memory of his eldest daughter Susan Vitali-Lovell ~~who died in 2008~~.

To: Awarded annually to students in third or fourth year of the undergraduate Doctor of Medicine (MD) program who demonstrate an interest in pursuing Family Medicine, have financial need and preferably, are originally from a rural area. **Online financial need applications are available on the Office of the Registrar's website and must be completed by September 30.** Preference will be given to students who have confirmed their participation in the Southwestern Ontario Medical Education Network (SWOMEN) program at Western. The candidate will embody the ideal characteristics of a physician as outlined in the Medical Undergraduate Education Objectives. Application forms will be available in January of the year of application and **must** be completed and returned to the Admission/Student Equity Affairs Office or other return site by March 31. The award recipients will be selected by the Progression, Awards and Appeals Committee in the Schulich School **of Medicine & Dentistry**. These awards were established by the late John J. Vitali in memory of his eldest daughter Susan Vitali-Lovell.

Effective: 2011-2012 academic year

KPMG Foundation Dean's HBA Entrance Scholarship (Richard Ivey School of Business)
Change in value from: 1 at \$2500
To: 2 at \$2000 (1 HBA1 and 1 HBA2)
Effective: 2012-2013 academic year

KPMG Award in Business (Richard Ivey School of Business)
Change in value from: 1 at \$1000
To: 1 at \$800
Effective: 2012-2013 academic year

William Quinn HBA Scholarships (Richard Ivey School of Business)
Change in value from: 2 at \$5,000 (not continuing)
To: 2 at \$3,000 continuing
Effective: 2012-2013 academic year

TD Bank Financial Group Women in Management MBA Awards (School of Graduate and Postdoctoral Studies, MBA)
Change in value (half tuition) from: \$35,000
To: \$36,750
Effective: May 2012

MBA Student Support Fee OSOTF Bursaries (School of Graduate and Postdoctoral Studies, MBA)
Change in value from: max \$7,000 annually
To: 1 at \$7,000
Effective: May 2012

MBA 88 Award (School of Graduate and Postdoctoral Studies, MBA)
Change in value from:
3 at \$15,000, effective date: 2009-2010 to 2012-2013 academic years inclusive
2 at \$10,000, effective date: 2013-2014 academic year inclusive

To: 3 at \$12,000
Effective: May 2012 to April 2014

3. **Discontinued Awards**

The following have been discontinued effective May 2011:

Rix Family Bursaries (Schulich School of Medicine & Dentistry, Medicine)
Ralph Spencer and Frances Clare MacLean Award

REPORT OF THE SENIOR OPERATIONS COMMITTEE

Contents	Consent Agenda
Board Representative on the Selection Committee for a Vice-President (Research)	Yes

FOR INFORMATION

Board Representative on the Selection Committee for a Vice-President (Research)

The membership of a selection committee for a Vice-President (Research) includes one member of the Board of Governors. At its meeting of December 6, 2011, the Senior Operations Committee approved the appointment of Mr. Chirag Shah to the selection committee.

REPORT OF THE FUND RAISING AND DONOR RELATIONS COMMITTEE

Contents	Consent Agenda
Visual Identity Launch	No
Quarterly Report on Fund Raising	Yes
Fundraising Results to December 31, 2011	Yes

FOR INFORMATION

1. **Visual Identity Launch**

The Vice-President (External) will make a presentation at the meeting.

2. **Quarterly Report on Fund Raising**

See [Annex 1](#).

3. **Fundraising Results to December 31, 2011**

See [Annex 2](#).



Fund Raising Initiatives Quarterly Report
as at October 31, 2011
(with comparative figures for the fiscal year 2010/11 and 2009/10)

Exhibit I

(1) **PLEDGE DATA**

Pledges outstanding May 1,
New Gifts & Pledges (Gross)
Pledges cancelled/amended on new/prior pledges
Net New Pledges

(2)

Contributions received in payment of pledges:
Foundation Western
The University of Western Ontario
Richard Ivey School of Business (Asia) Limited
Total contributions received

Net Pledges Outstanding

May 1, 2011 to April 30, 2012 (000's)			May 1, 2010 to April 30, 2011 (000's)			May 1, 2009 to April 30, 2010 (000's)		
Target	Actual	Actual as a % of Target	Target	Actual	Actual as a % of Target	Target	Actual	Actual as a % of Target
98,090	98,090	N/A	150,720	89,957	N/A	125,709	89,094	N/A
60,000	22,401	37.34%	54,000	63,563	117.71%	65,000	55,020	84.65%
-2,041	-400	19.60%	-3,204	-1,760	54.93%	-3,204	-3,207	100.09%
57,959	22,001	37.96%	50,796	61,803	121.67%	61,796	51,813	83.85%
10,000	3,579	35.79%	10,000	11,490	114.90%	10,000	6,014	60.14%
38,289	17,661	46.13%	38,887	41,784	107.45%	26,387	44,518	168.71%
400	41	10.25%	396	396	100.00%	398	418	105.03%
48,689	21,281	43.71%	49,283	53,670	108.90%	36,785	50,950	138.51%
107,360	98,810	92.04%	152,233	98,090	64.43%	150,720	89,957	59.68%

Cost Per Dollar Raised

Advancement Fund Raising Units
Development
Richard Ivey School of Business
Foundation Western

Total Expenses/Cost Per Dollar Raised

Net Pledges	Expenses	Cost per Dollar Raised	Net Pledges	Expenses	Cost per Dollar Raised	Net Pledges	Expenses	Cost per Dollar Raised
12,283	1,653	\$0.13	27,733	3,389	\$0.12	29,671	3,444	\$0.12
6,445	758	\$0.12	25,171	1,634	\$0.06	21,770	1,433	\$0.07
3,545	586	\$0.17	10,542	1,145	\$0.11	3,366	1,118	\$0.33
22,273	2,997	\$0.13	63,446	6,168	\$0.10	54,807	5,995	\$0.11

(3)

3-Year Average Cost Per Dollar Raised

140,526	15,160	\$0.11
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(1) **Includes total activity of:**

The University of Western Ontario
The University of Western Ontario Inc.
The University of Western Ontario (UK) Foundation
The University of Western Ontario (HK) Foundation
Foundation Western
Richard Ivey School of Business (Asia) Limited

(2) Represents all contributions including cash, gift in kind and gift in purchase discounts entered in the Contributor Relations System within reporting period and may differ from the general ledger reporting period.

(3) 3-Year Rolling Average - reflects the major gift factor and the post campaign period.



STATUS REPORT AS OCTOBER 31, 2011

By Constituency

Gifts & Pledges

Board of Governors/Faculty/Staff/Retirees	752,731
Students	25,670
Alumni	8,177,449
Parents	248,710
Friends	1,802,874
Corporations	8,724,646
Foundations	1,912,072
Associations	628,310
Municipal Government	<u>200</u>

TOTAL **\$22,272,661**

By Faculties/Special Projects

Gifts & Pledges

Arts and Humanities	814,402
Don Wright Faculty of Music	32,784
Education	46,699
Engineering	3,390,852
Health Sciences	558,361
Information & Media Studies	770,890
Law	1,500,705
Libraries	406,238
Mustang Athletics	648,836
Richard Ivey School of Business	6,444,973
Robarts Research Institute	751,247
Schulich School of Medicine & Dentistry	3,715,995
Science	624,610
Social Science	1,132,409

Special Projects

Student Awards-University Wide	537,530
Western Fund	349,423
Campus Wide Programs	<u>546,707</u>

TOTAL **\$22,272,661**

By Theme

Gifts & Pledges

Educate the next generation of global leaders	9,431,915
Shape the future of healthcare, wellness and medical discovery	2,729,580
Promote exploration and innovation through research	3,560,433
Enrich humanity through the arts culture and music	1,031,747
Support environmental management and a sustainable future	2,726,666
Inspire community engagement and a global outlook	<u>2,792,319</u>

\$22,272,661

2011/2012 Fund Raising Objective
% of the 2011/2012 Objective Achieved

\$ 60,000,000
37%

ENDOWED CHAIR MATCHING PROGRAM

Included in the above results is **\$6,000,000** in gifts & pledges towards a **\$12,500,000** goal and establishes **4** new endowed chairs in The Richard Ivey School of Business (1), Robarts Research Institute (1), Schulich School of Medicine & Dentistry (2).

GIFT PLANNING PROGRAM

In addition to the above gifts and pledges, The University of Western Ontario has been notified of **54** new expectancies, future commitments such as bequests or life insurance totaling **\$2,259,429** toward a **\$10,000,000** annual goal and an overall campaign progress of **\$61,185,352** toward a **\$100,000,000** campaign goal.

Overall Progress February 1989 to current \$141,924,797 (Expectancies)

**Campaign Activity Summary
for the period ending December 31, 2011**

	For the Campaign: May 1, 2007 to April 30, 2014					
	Campaign Goal	Gifts & Pledges to Date*	% of Goal	Endowed UWO	Endowed FW	Expendable
Faculties:						
Arts and Humanities	9,000,000	5,547,794	62%	102,286	1,130,673	4,314,835
Don Wright Faculty of Music	6,500,000	3,179,250	49%	126,890	347,208	2,705,152
Education	6,000,000	2,156,812	36%	1,500	1,691,618	463,693
Engineering	17,000,000	15,181,064	89%	716,980	448,027	14,016,057
Health Sciences	30,000,000	13,742,057	46%	6,125,402	2,208,128	5,408,527
Information & Media Studies	2,125,000	1,747,961	82%	151,563	482,919	1,113,480
Law	11,400,000	10,847,094	95%	1,442,944	832,239	8,571,910
Libraries	6,000,000	10,234,141	171%	161,667	30,532	10,041,942
Mustang Athletics	6,000,000	5,164,889	86%	495,118	2,097,564	2,572,207
Richard Ivey School of Business	125,000,000	79,890,877	64%	8,319,415	9,076,148	62,495,315
Robarts Research Institute	25,000,000	8,238,874	33%	738,020	1,602,608	5,898,246
Schulich School of Medicine & Dentistry	100,000,000	34,622,778	35%	7,020,087	9,605,308	17,997,383
Science	34,000,000	18,722,111	55%	94,159	580,063	18,047,889
Social Science	14,500,000	9,365,516	65%	80,243	1,274,603	8,010,670
Sub Total by Faculty	\$392,525,000	\$218,641,218	56%	\$25,576,274	\$31,407,637	\$161,657,306
Special Projects:						
Student Awards - University Wide	15,000,000	16,248,517	108%	9,715,416	4,238,513	2,294,588
Western Fund	10,000,000	6,110,511	61%	560	1,777,721	4,332,229
Campus Wide Programs	7,475,000	32,973,464	441%	11,682	1,374,216	31,587,567
Future Priorities	75,000,000					
Sub Total by Special Projects	\$107,475,000	\$55,332,493	51%	\$9,727,658	\$7,390,451	\$38,214,384
GRAND TOTAL	\$500,000,000	\$273,973,710	55%	\$35,303,932	\$38,798,088	\$199,871,690

Footnotes:

Ivey Campaign commenced in 2006/07 during which \$20.4 million was raised; total raised to date is \$99 million (79% of goal)
Adjustments to the Accumulative Pledges may reflect redirection of initial designation.

Gift Planning Progress**	Campaign Goal	Value	% of Goal
Expectancies	\$100,000,000	62,435,351	62%

**Expectancies represents future commitments such as bequests or life insurance, realizations counted in the current/prior campaign activity by faculty.

Year-to-Date Progress: May 1, 2011 to April 30, 2012							For the month: December 2011
Annual Goal	Gifts & Pledges to Date*	% of Goal	Endowed UWO	Endowed FW	Expendable		Gifts & Pledges
1,400,000	1,383,110	99%	60,449	119,110	1,203,551		301,029
350,000	158,166	45%	8,000	76,435	73,731		39,875
650,000	475,861	73%	100	441,037	34,724		426,987
5,500,000	3,522,360	64%	12,175	100,415	3,409,845		11,000
1,000,000	603,518	60%	280,775	276,419	46,324		37,312
2,000,000	1,069,457	53%	150,200	443,907	475,350		160,660
4,000,000	3,323,096	83%	1,274,700	9,020	2,039,376		1,766,413
700,000	489,363	70%	124,459	1,200	363,703		80,724
1,000,000	746,307	75%	200	88,250	657,857		64,801
18,000,000	9,333,324	52%	978,305	811,157	7,543,862		1,212,560
2,000,000	847,782	42%	50	52,958	794,774		76,985
11,000,000	7,339,244	67%	3,822,450	676,030	2,840,764		3,281,078
3,500,000	832,159	24%	475	25,332	806,352		205,955
1,200,000	1,356,454	113%	6,600	629,261	720,593		21,485
\$52,300,000	\$31,480,201	60%	\$6,718,938	\$3,750,532	\$21,010,807		7,686,864
3,000,000	4,062,076	135%	3,425,600	298,185	338,291		149,204
1,200,000	573,193	48%	300.00	164,596	408,298		128,506
3,500,000	4,304,289	123%	7,956.00	401,033	3,895,300		1,116,428
\$7,700,000	\$8,939,558	116%	\$3,433,856	\$863,813	\$4,641,889		1,394,137
\$60,000,000	\$40,419,759	67%	\$10,152,794	\$4,614,345	\$25,652,695		9,081,002

Gift Planning	Annual Goal	Value	% of Goal	December 2011
Expectancies	\$10,000,000	3,509,428	35%	1,150,000
Realizations	\$5,000,000	4,644,553	93%	1,334,566

Annual Fund*	Annual Goal	Annual Achievement	% of Goal
Annual Fund	\$1,400,000	945,449	68%

*included in the above results

ITEMS REFERRED BY SENATE

FOR INFORMATION

Academic Administrative Appointments

Name	Department/School	Faculty	Admin Post	Effective Date	End Date
Serguei Primak	ECE	Engineering	Acting Chair	November 1, 2011	June 30, 2012
Catherine Nolan		Don Wright Faculty of Music	Associate Dean (Graduate Studies)	January 1, 2012	April 30, 2015
Richard Semmens	Music Research and Composition	Don Wright Faculty of Music	Acting Chair	January 1, 2012	June 30, 2012
Keri Veblen		Don Wright Faculty of Music	Assistant Dean	January 1, 2012	June 30, 2012