

# Uniform Kan fibrations in simplicial sets (jww Eric Faber)

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# Section 1

## Motivation

# Voevodsky

## Voevodsky

Interpretation of univalent type theory in simplicial sets. The idea is: types are Kan complexes and dependent types are modelled by Kan fibrations.

This interpretation relies on the existence of the Kan-Quillen model structure on simplicial sets.

The metatheory: **ZFC** plus some inaccessible.

## Key question

Can we also prove this constructively? Say, in Aczel's set theory **CZF** plus some inaccessible?

# Obstruction

## Theorem (Bezem-Coquand-Parmann)

The classical result which says that if  $A$  and  $B$  are Kan, then so is  $A^B$ , is not constructively valid.

So in particular the following result cannot be shown constructively:

## Theorem (classical)

If  $f : Y \rightarrow X$  and  $g : Z \rightarrow Y$  are Kan fibrations, then so is  $\Pi_f(g)$ . (With  $\Pi_f$  being the right adjoint to pulling back along  $f$ .)

In response Coquand and many others have ...

- switched to cubical sets.
- added uniformity conditions to the notion of a fibration.

## Today

We remain in simplicial sets.

## A possible approach (not ours)

One possibility would be to take the text book definitions of a Kan fibration (map having the RLP wrt to Horn inclusions) and trivial Kan fibration (RLP wrt to boundary inclusions) and see how far one gets.

### Theorem (Henry)

One can use the standard definitions of a (trivial) Kan fibration to show *constructively* that there is a model structure on simplicial sets.

Gambino-Henry-Sattler-Szumilo show how this can be extended to a model of univalent type theory as well, modulo some issues:

- They only have a weak form of  $\Pi$  (constructively) and stability is an issue.
- An appropriate coherence theorem to turn this into a genuine model of type theory is (so far) missing.

## Our approach

We try to define a notion of a *uniform Kan fibration* in simplicial sets. By that we mean two things:

- We think of the existence of lifts as *structure* on a uniform Kan fibration (and not a property).
- We believe these lifts should satisfy certain compatibility (“uniformity”) conditions.

### Our aim

Define a notion of a uniform Kan fibration in simplicial sets such that ...

- they are closed under  $\Pi$ , constructively.
- uniform Kan fibration have the RLP wrt Horn inclusions, constructively.
- every map which has the RLP wrt Horn inclusions can be equipped with the structure of a uniform Kan fibration, classically.

# A notion of fibred structure

## Objection

Wait, wasn't that already done by Gambino & Sattler in their paper “The Frobenius condition, right properness, and uniform fibrations”?

## Response

True, but they were unable to show that their notion of uniform Kan fibration was *local*.

## Definition

Let  $\mathcal{E}$  be some category and write  $\mathcal{E}_{\text{cart}}^{\rightarrow}$  for the category of arrows in  $\mathcal{E}$  and pullback squares between them. Then we can call a functor

$$\text{Fib} : (\mathcal{E}_{\text{cart}}^{\rightarrow})^{\text{op}} \rightarrow \mathcal{S}ets$$

a *notion of fibred structure*.



## Local notion of fibred structure

### Definition

Suppose  $\mathcal{E}$  is the category of simplicial sets, and let  $\mathcal{R}$  be the full subcategory of  $\mathcal{E}_{\text{cart}}^{\rightarrow}$  consisting of those arrows with representable codomain. Let us say that a notion of fibred structure is *local* (or: *locally presentable*) if for any  $f : Y \rightarrow X$  in  $\mathcal{E}$  we have the following: given a family  $(t_{\sigma} \in \text{Fib}(g) : g \in \mathcal{R}, \sigma : g \rightarrow f)$  satisfying  $\text{Fib}(\tau)(t_{\sigma}) = t_{\sigma \circ \tau}$  for any pair  $\sigma \in \mathcal{R} \downarrow f, \tau \in \text{Ar}(\mathcal{R})$ , there exists a unique element  $t \in \text{Fib}(f)$  such that  $\text{Fib}(\sigma)(t) = t_{\sigma}$  for any  $\sigma \in \mathcal{R} \downarrow f$ .

$$\begin{array}{ccccc} Y_{x \cdot \alpha} & \longrightarrow & Y_x & \longrightarrow & Y \\ \downarrow & & \downarrow & & \downarrow f \\ \Delta^m & \xrightarrow{\alpha} & \Delta^n & \xrightarrow{x} & X \end{array}$$

Compare: Sattler (“The equivalence extension property and model structures”), Shulman (“All  $(\infty, 1)$ -toposes have strict univalent universes”).

## Our aim, again

Because they were unable to show that their notion of a uniform Kan fibration is local, Gambino & Sattler were unable to show *constructively* that universal uniform Kan fibrations exist.

### Our aim

Define a notion of a uniform Kan fibration in simplicial sets such that ...

- they are closed under  $\Pi$ , constructively.
- uniform Kan fibrations have the RLP with respect to Horn inclusions, constructively.
- every map which has the RLP with respect to Horn inclusions can be equipped with the structure of a uniform Kan fibration, classically.
- the notion of a uniform Kan fibration is a local notion of fibred structure.

Today, I will explain our definition as a modification of the one by Gambino & Sattler (which follows the cubical sets approach). So let's first recall that one.

## Section 2

### The Gambino-Sattler approach

# Cofibrations à la Gambino-Sattler

## Cofibrations (G & S)

A map  $m : B \rightarrow A$  of simplicial sets is a *cofibration* if each  $m_n : B_n \rightarrow A_n$  is a complemented monomorphism (in the subobject lattice of  $A_n$ ).

Note that cofibrations are stable under pullback.

# Uniform trivial fibrations à la Gambino-Sattler

## Uniform trivial fibration (G & S)

A map  $f : Y \rightarrow X$  is a *uniform trivial fibration* if it comes equipped with a choice of filler for any cofibration  $m$  and commutative square

$$\begin{array}{ccc} B & \longrightarrow & Y \\ m \downarrow & \nearrow & \downarrow f \\ A & \longrightarrow & X, \end{array}$$

in such a way that for any commutative diagram

$$\begin{array}{ccccc} B' & \longrightarrow & B & \longrightarrow & Y \\ m' \downarrow & & m \downarrow & \nearrow & \downarrow f \\ A' & \xrightarrow{b} & A & \longrightarrow & X \end{array}$$

in which  $m$  and  $m'$  are cofibrations and the left hand square is a pullback, the chosen fillers commute with each other.

# Uniform Kan fibration à la Gambino-Sattler

## Uniform Kan fibration (G & S)

A morphism  $f : Y \rightarrow X$  is a *uniform Kan fibration* if  $(Y^{\delta_i}, f^I) : Y^I \rightarrow Y \times_X X^I$  is a uniform trivial fibration. (Here  $I = \Delta^1$  and  $\delta^i : 1 = \Delta^0 \rightarrow \Delta^1$  with  $i \in \{0, 1\}$  chooses an end point of the interval.)

Ignoring the uniformity condition, this says: given

- $i \in \{0, 1\}$ ,
- a point  $y \in Y_n$ ,
- a path  $\pi : \Delta^n \times \mathbb{I} \rightarrow X$  with  $\pi(1_{[n]}, \delta_i) = f(y)$ ,
- a cofibrant sieve  $S \subseteq \Delta^n$  and path  $\rho : S \times \mathbb{I} \rightarrow Y$  with  $\rho(\alpha, \delta_i) = y \cdot \alpha$  for any  $\alpha \in S$  and  $f \circ \rho = \pi \upharpoonright S \times \mathbb{I}$ ,

we get a path  $\tau : \Delta^n \times \mathbb{I} \rightarrow Y$  satisfying:

- $\tau(1_{[n]}, \delta_i) = y$ ,
- $f \circ \tau = \pi$ ,
- $\tau \upharpoonright S \times \mathbb{I} = \rho$ .

## Section 3

### Our approach

## Simplicial Moore path object

In an earlier paper with Richard Garner, I defined a *simplicial Moore path functor*.

The idea is that there is an endofunctor  $M$  on simplicial sets together with natural transformations

$$\begin{aligned}r &: X \rightarrow MX \\s, t &: MX \rightarrow X \\ \mu &: MX \times_X MX \rightarrow MX\end{aligned}$$

so that  $(X, MX, r, s, t, \mu)$  becomes a simplicial category for any simplicial set  $X$ .

We will call the  $n$ -simplices in  $MX$  *Moore paths*.



## First definition of $M$

Let  $\mathbb{T}_0$  be the simplicial set whose  $n$ -simplices are zigzags (*traversals*) of the form

$$\bullet \xleftarrow{p_1} \bullet \xrightarrow{p_2} \bullet \xrightarrow{p_3} \bullet \xleftarrow{p_4} \bullet \xrightarrow{p_5} \bullet$$

with  $p_i \in [n]$ . With the final segment ordering this can be seen as a poset internal to simplicial sets (simplicial poset). Then  $M$  can be defined as the polynomial functor associated to the map  $\text{cod} : \mathbb{T}_1 \rightarrow \mathbb{T}_0$ .

This makes  $M$  an instance of a *polynomial comonad* (more about that in Richard Garner's talk in a few weeks).

## Second definition of $M$

Alternatively, we can define for any such  $n$ -dimensional traversal  $\theta$  its *geometric realisation*  $\widehat{\theta}$  (often just written  $\theta$ ) as the colimit of the diagram

$$\begin{array}{ccccccc}
 \Delta^n & & \Delta^n & & \Delta^n & \dots & \Delta^n \\
 \searrow & \swarrow & \searrow & \swarrow & \searrow & \dots & \swarrow \\
 & d_{p_1^t} & & d_{p_2^t} & & d_{p_3^t} & \\
 & \swarrow & \searrow & \swarrow & \searrow & \dots & \swarrow \\
 d_{p_1^s} \Delta^{n+1} & & d_{p_2^s} \Delta^{n+1} & & d_{p_3^s} \Delta^{n+1} & \dots & d_{p_k^s} \Delta^{n+1}
 \end{array}$$

where  $p_i^s$  is  $p_i + 1$  if edge  $i$  points to the right, and  $p_i^s$  is  $p_i$  if edge  $i$  points to the left and *vice versa* for  $p_i^t$ . Then

$$(MX)_n = \sum_{\theta \in (\mathbb{T}_0)_n} \text{Hom}(\widehat{\theta}, X).$$

By considering only those  $n$ -dimensional traversals of the form

$$\bullet \xrightarrow{n} \bullet \xrightarrow{n-1} \dots \xrightarrow{1} \bullet \xrightarrow{0} \bullet$$

one can show that  $X^{\mathbb{I}} \subseteq MX$ .

# Cofibrations

## Cofibrations

A map  $m : B \rightarrow A$  of simplicial sets is a *cofibration* if each  $m_n : B_n \rightarrow A_n$  is a complemented monomorphism (in the subobject lattice of  $A_n$ ).

Note that cofibrations are stable under pullback *and closed under composition*.

# Uniform trivial fibrations

## Uniform trivial fibration

A map  $f : Y \rightarrow X$  is a *uniform trivial fibration* if it comes equipped with a chosen filler for any cofibration  $m$  and any commutative square

$$\begin{array}{ccc} B & \longrightarrow & Y \\ m \downarrow & \nearrow & \downarrow f \\ A & \longrightarrow & X, \end{array}$$

in a way which respects both pullbacks of cofibrations (as before) and composition of cofibrations:

$$\begin{array}{ccc} C & \longrightarrow & Y \\ m \downarrow & \nearrow & \downarrow f \\ B & & \\ n \downarrow & \nearrow & \\ A & \longrightarrow & X. \end{array}$$

## Uniform Kan fibration (first attempt)

### Uniform Kan fibration (G & S)

A morphism  $f : Y \rightarrow X$  is a *uniform Kan fibration* if  $(Y^{\delta_i}, f^i) : Y^i \rightarrow Y \times_X X^i$  is a uniform trivial fibration for any  $i \in \{0, 1\}$ .

### Uniform Kan fibration (first attempt)

A morphism  $f : Y \rightarrow X$  is a *uniform Kan fibration* if  $(t, Mf) : MY \rightarrow Y \times_X MX$  is a uniform trivial fibration.

Ignoring the uniformity condition, this says: given

- a point  $y \in Y_n$ ,
- an  $n$ -dimensional traversal and Moore path  $\pi : \theta \rightarrow X$  with  $t(\pi) = f(y)$ ,
- a cofibrant sieve  $S \subseteq \Delta^n$  and Moore path  $\rho : \theta \cdot S \rightarrow Y$  with  $s(\rho) = y \cdot S$  and  $f \circ \rho = \pi \upharpoonright (\theta \cdot S)$ ,

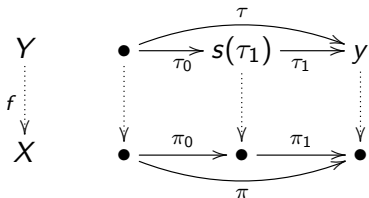
we get a Moore path  $\tau : \theta \rightarrow Y$  satisfying  $t(\tau) = y$ ,  $f \circ \tau = \pi$  and  $\tau \upharpoonright (\theta \cdot S) = \rho$ .

## One more condition

To make the definition local, we add one more condition:

### Final condition (ignoring the sieves)

If  $\pi = \pi_1\pi_0$  is a composition of Moore paths and  $y$  lies over the target of  $\pi$ , then the lift  $\tau$  for  $\pi$  given  $y$  coincides with the composition of the lift  $\tau_1$  of  $\pi_1$  given  $y$  and the lift  $\tau_0$  of  $\pi_0$  given  $s(\tau_1)$ .



## Section 4

### Results

## Goal achieved

We claim to have defined a notion of a uniform Kan fibration in simplicial sets such that ...

- they are closed under  $\Pi$ , constructively.
- uniform Kan fibrations have the RLP with respect to Horn inclusions, constructively.
- every map which has the RLP with respect to Horn inclusions can be equipped with the structure of a uniform Kan fibration, classically.
- the notion of a uniform Kan fibration is a local notion of fibred structure.

We can also show that every uniform Kan fibration in our sense is also a uniform Kan fibration in the sense of Gambino-Sattler, but we expect the converse to be unprovable constructively.



## Towards an algebraic model structure

The main motivation for our work was to give constructive proofs of:

- the existence of an algebraic model structure on simplicial sets.
- the existence of a model of univalent type theory in simplicial sets.

Currently we have constructive proofs/sketches for:

- the existence of a model structure on the simplicial sets, when restricted to those that are uniformly Kan.
- the existence of a model of type theory with  $\Pi, \Sigma, \mathbb{N}, 0, 1, +, \text{Id}, \times$ .

## Future work

What remains to be proven (constructively!):

- We can show that universal uniform Kan fibrations exist, but we haven't shown they are univalent.
- We haven't shown that universes are uniformly Kan.
- And we haven't shown that there exists an algebraic model structure on the entire category of simplicial sets based on our notion of a uniform Kan fibration.

THANK YOU!