

**THE UNIVERSITY OF WESTERN ONTARIO  
BIOLOGICAL AGENTS REGISTRY FORM**  
Approved Biohazards Subcommittee: October 14, 2010  
Biosafety Website: [www.uwo.ca/humanresources/biosafety/](http://www.uwo.ca/humanresources/biosafety/)

This form must be completed by each Principal Investigator holding a grant administered by the University of Western Ontario (UWO) or in charge of a laboratory/facility where the use of Level 1, 2 or 3 biological agents is described in the laboratory or animal work proposed. The form must also be completed if any work is proposed involving animals carrying zoonotic agents infectious to humans or involving plants, fungi, or insects that require Public Health Agency of Canada (PHAC) or Canadian Food Inspection Agency (CFIA) permits.

This form must be updated at least every 3 years or when there are changes to the biological agents being used.

Containment Levels will be established in accordance with Laboratory Biosafety Guidelines, 3rd edition, 2004, Public Health Agency of Canada (PHAC) or Containment Standards for Veterinary Facilities, 1<sup>st</sup> edition 1996, Canadian Food Inspection Agency (CFIA).

Completed forms are to be returned to Occupational Health and Safety, (OHS), (Support Services Building, Room 4190) for distribution to the Biohazards Subcommittee. For questions regarding this form, please contact the Biosafety Officer at extension 81135 or [biosafety@uwo.ca](mailto:biosafety@uwo.ca). If there are changes to the information on this form (excluding grant title and funding agencies), contact Occupational Health and Safety for a modification form. See website: [www.uwo.ca/humanresources/biosafety/](http://www.uwo.ca/humanresources/biosafety/)

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Location of experimental work to be carried out: Building(s) NCB Room(s) 405/464

\*For work being performed at Institutions affiliated with the University of Western Ontario, the Safety Officer for the Institution where experiments will take place must sign the form prior to its being sent to the University of Western Ontario Biosafety Officer (See Section 15.0, Approvals).

FUNDING AGENCY/AGENCIES: NSERC  
GRANT TITLE(S): Functional Characterization of Plant Secondary Metabolism/Metabolites

List all personnel working under Principal Investigators supervision in this location:

<u>Name</u>	<u>UWO E-mail Address</u>	<u>Date of Biosafety Training</u>
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Dimitre Ivanov	<a href="mailto:divanov2@uwo.ca">divanov2@uwo.ca</a>	Dec 13/2011)
Pooja Sharma	<a href="mailto:psharm26@uwo.ca">psharm26@uwo.ca</a>	Jan 13, 2011
Anica Bjelica	<a href="mailto:abjelica@uwo.ca">abjelica@uwo.ca</a>	Dec 13/2011)

**Change(s) to workers**

**Please explain the biological agents and/or biohazardous substances used and how they will be stored, used and disposed of. Projects without this description will not be reviewed.**

We employ typical microorganisms used in the generation of experimental transgenic plants, the expression of recombinant proteins for biochemical characterization and the generation of "hairy roots" for studying root biology. These include *E. coli* (TOP10, DHalpha), *Agrobacterium tumefaciens*, *Agrobacterium rhizogenes* and yeast (WAT 11 strain). We also use a number of fungal (*Trichoderma hamatum*) and oomycete (*Pythium irregulare*, *Pythium ultimum*, *Phytophthora sojae*) species to study plant-pathogen interactions. Bacterial strains are grown in LB broth or on selective medium plates at 28°C or 37°C. Yeast strains are maintained on YPAD medium at 28°C, and once transformed, on selective medium according to the plasmids incorporated into them (see section 4.2). Fungal strains are maintained on potato dextrose agar at room temperature, with stock cultures kept on the same medium at 5°C. For experiments, fungal cultures are transferred aseptically to Czapek-Dox minimal medium with and without added plant secondary compounds (the ability of the fungi to metabolize these compounds is being tested). Alternatively, fungal strains are transferred to V-8 medium for the generation of zoospores.

We employ a number of vectors/plasmids in our work (Section 4.2), including commercially available Gateway vectors, as well as pGEMeasy and pCAMBIA-based vectors for expression analysis. For each of these, we clone-in the gene/promoter constructs that we are interested in studying and use selective antibiotics to screen for positive transformants. We routinely prepare stocks of all vectors/plasmids at each stage of development, as well as for un-transformed bacterial and yeast cultures as glycerol stocks to be stored at -80°C. For this, single colonies of the strains are grown overnight in appropriate selectable liquid media. The next day, 0.5 ml of overnight culture is mixed with 0.5 ml of 80% sterile glycerol and the mixture kept in -80°C.

Once we are finished our experiments, all working cultures are disposed of according to UWO policy (i.e., autoclaving with indicator cultures).

Changes on this page

**Please include a one page research summary or teaching protocol.**

Suberin is a biomacromolecule that plants use to reinforce the cell walls of specific cell types, including root epidermis and endodermis cells. The role of suberin in the resistance of plants to root diseases and tolerance to water stress has caught the interest of breeders and scientists, increasing the need to better understand this under-studied material. The long-term objectives of my research program are to understand the genetic and biochemical control of suberin biosynthesis. Ultimately, I would like to apply this knowledge to enhance suberin deposition and improve plant tolerance to pathogens and drought. In the short term, my objectives are to (i) identify critical genes of suberin biosynthesis, clone them and isolate their promoters, (ii) develop systems in which to manipulate the expression of these genes in tissue relevant to suberin deposition, and (iii) use the systems developed in (ii) to characterize the promoters of these genes, determine critical hormonal and genetic controllers of their expression, and determine their specific role(s) in suberin biosynthesis.

The hydroxylation and further oxidation of the terminal (omega) carbon of fatty acids is a critical step in the biosynthesis of aliphatic suberin monomers, since it introduces a second functional group and allows three-dimensional cross-linking. We have identified and cloned three fatty acid omega hydroxylases (FAWH) from potato and six from soybean. For potato, StFAWH1, has been shown to be tuber specific. For soybean, the expression of the six GmFAWH genes is more complex and we need to confirm which are expressed in roots during normal growth and development. For this we will use a "brute force" PCR approach involving gene specific primers. The recent (Fall 2010) preliminary release of the *Solanum phureja* genome (<http://potatogenomics.plantbiology.msu.edu/index.html>) has allowed us to clone 1.7 kb of sequence upstream of StFAWH1 and we will now analyse the regulation of expression of this gene (i.e., with GUS and GFP marker genes). Our preliminary data suggests that the first approx. 260 bp 5' of the StFAWH1 transcriptional start site are sufficient for wound-induced GUS expression in transgenic potato hairy roots. We are now poised to explore the regulation of StFAWH1 gene expression in detail. However, this is hampered by the lack of a rapid and robust genetic transformation system in tissues relevant to suberin deposition. While *Arabidopsis* can be rapidly transformed and there are extensive genetic resources available for this species, it does not produce large amounts of suberin. Conversely, potato tubers produce an abundance of suberin; however, obtaining genetically modified potato tubers is laborious and time consuming. To overcome these obstacles, we propose to combine the in vitro propagation of potato microtubers with *Agrobacterium*-mediated genetic transformation to create a system in which genetically modified potato microtuber tissue can be generated on the same time scale as *Arabidopsis* transformants. Similarly, we are adapting a soybean hairy root system, in which *A. rhizogenes*-mediated gene transfer is used to create transgenic soybean roots, to the study of the soybean-*Phytophthora sojae* pathosystem. With this system we will be able to directly test whether altering suberin content of the root affects resistance or susceptibility to *P. sojae*. We will also be able to design experiments to help determine how suberin deposition is regulated in soybean roots, using GmFAWH genes as markers for suberin biosynthesis.

**1.0 Microorganisms**

1.1 Does your work involve the use of biological agents?  YES  NO  
 (non-pathogenic and pathogenic biological agents including but not limited to bacteria and other microorganisms, viruses, prions, parasites or pathogens of plant or animal origin)? If no, please proceed to Section 2.0

Do you use microorganisms that require a permit from the CFIA?  YES  NO

If YES, please give the name of the species. \_\_\_\_\_

What is the origin of the microorganism(s)? \_\_\_\_\_

Please describe the risk (if any) of escape and how this will be mitigated:

\_\_\_\_\_  
 \_\_\_\_\_

Please attach the CFIA permit.

Please describe any CFIA permit conditions:

\_\_\_\_\_  
 \_\_\_\_\_

1.2 Please complete the table below: (See also, attached table extension, pp 4b)

Name of Biological Agent(s)* (Be specific)	Is it known to be a human pathogen? YES/NO	Is it known to be an animal pathogen? YES/NO	Is it known to be a zoonotic agent? YES/NO	Maximum quantity to be cultured at one time? (in Litres)	Source/Supplier	PHAC or CFIA Containment Level
E. coli (TOP10 DHa, BL21)	<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="radio"/> Yes <input checked="" type="radio"/> No	0.1 - 0.5 L		<input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
Saccharomyces cerevisiae)	<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="radio"/> Yes <input checked="" type="radio"/> No	0.1 - 0.5 L		<input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
Agrobacterium tumefaciens	<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="radio"/> Yes <input checked="" type="radio"/> No	0.1-0.2 L		<input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
Agrobacterium rhizogenes	<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="radio"/> Yes <input checked="" type="radio"/> No	0.1-0.2 L		<input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3

\*Please attach a Material Safety Data Sheet or equivalent from the supplier.

**2.0 Cell Culture**

2.1 Does your work involve the use of cell cultures?  YES  NO

If no, please proceed to Section 3.0

2.2 Please indicate the type of primary cells (i.e. derived from fresh tissue) that will be grown in culture:

Cell Type	Is this cell type used in your work?	Source of Primary Cell Culture Tissue	AUS Protocol Number
Human	<input type="radio"/> Yes <input checked="" type="radio"/> No		Not applicable
Rodent	<input type="radio"/> Yes <input checked="" type="radio"/> No		
Non-human primate	<input type="radio"/> Yes <input checked="" type="radio"/> No		
Other (specify)	<input checked="" type="radio"/> Yes <input type="radio"/> No	potato leaves & tubers soybean cotyledons	

## Changes to this page

The following fungi and oomycetes were obtained from the Canadian Collection of Fungal Cultures. None are genetically modified or used for molecular biological work. They are cultured on either potato dextrose agar, V-8 agar or Czapek-Dox minimal medium, usually at room temperature in the dark. Stock cultures are maintained at 5°C.

Genus	Species	Isolate No.	Host Species	Location
<i>Trichoderma</i>	<i>hamatum</i>	TH 215090	<i>Cucumis sativus</i>	British Columbia, Canada
<i>Trichoderma</i>	<i>hamatum</i>	TH 215955	<i>Cucumis sativus</i>	British Columbia, Canada
<i>Pythium</i>	<i>irregulare</i>	BR 574	<i>Picea mariana</i>	Ontario, Canada
<i>Pythium</i>	<i>irregulare</i>	BR 901	<i>Cucumis sativus</i>	Alberta, Canada
<i>Pythium</i>	<i>irregulare</i>	BR 486	<i>Phaseolus vulgaris</i>	Netherlands
<i>Pythium</i>	<i>irregulare</i>	BR 779	<i>Triticum aestivum</i>	Transvaal, South Africa
<i>Pythium</i>	<i>irregulare</i>	BR 962	Soil	Wairakei, New Zealand
<i>Pythium</i>	<i>irregulare</i>	BR 598	<i>Panax quinquefolius</i>	Ontario, Canada
<i>Pythium</i>	<i>irregulare</i>	BR 1040	<i>Phaseolus vulgaris</i>	British Columbia, Canada
<i>Pythium</i>	<i>irregulare</i>	BR 1068	<i>Panax quinquefolius</i>	Ontario, Canada
<i>Pythium</i>	<i>irregulare</i>	BR 426	<i>Rhododendron sp.</i>	Alberta, Canada
<i>Pythium</i>	<i>ultimum</i>	BR 638	<i>Pisum sativum</i>	Alberta, Canada

2.3 Please indicate the type of established cells that will be grown in culture in:

Cell Type	Is this cell type used in your work?	Specific cell line(s)*	Containment Level of each cell line	Supplier / Source of cell line(s)
Human	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Rodent	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Non-human primate	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Other (specify)	<input type="radio"/> Yes <input checked="" type="radio"/> No			

\*Please attach a Material Safety Data Sheet or equivalent from the supplier. (For more information, see www.atcc.org)

2.4 For above named cell type(s) indicate PHAC or CFIA containment level required  1  2  2+  3

### 3.0 Use of Human Source Materials

3.1 Does your work involve the use of human source materials?  YES  NO

If no, please proceed to Section 4.0

3.2 Indicate in the table below the Human Source Material to be used.

Human Source Material	Source/Supplier /Company Name	Is Human Source Material Infected With An Infectious Agent? YES/UNKNOWN	Name of Infectious Agent (If applicable)	PHAC or CFIA Containment Level (Select one)
Human Blood (whole) or other Body Fluid		<input type="radio"/> Yes <input type="radio"/> Unknown		<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
Human Blood (fraction) or other Body Fluid		<input type="radio"/> Yes <input type="radio"/> Unknown		<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
Human Organs or Tissues (unpreserved)		<input type="radio"/> Yes <input type="radio"/> Unknown		<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
Human Organs or Tissues (preserved)		Not Applicable		Not Applicable

### 4.0 Genetically Modified Organisms and Cell lines

4.1 Will genetic modifications be made to the microorganisms, biological agents, or cells described in Sections 1.0 and 2.0?  YES  NO If no, please proceed to Section 5.0

4.2 Will genetic modification(s) involving plasmids be done?  YES, complete table below  NO

Bacteria Used for Cloning *	Plasmid(s) **	Source of Plasmid	Gene Transfected	Describe the change that results from transformation or tranfection
Same as in Table 1.2.	See attached maps	See Supplemental Table on pp 5a	See Supplemental Table on pp 5a	See Supplemental Table on pp 5a

\* Please attach a Material Data Sheet or equivalent if available.

\*\* Please attach a plasmid map.

Supplemental Table (Section 4.2)

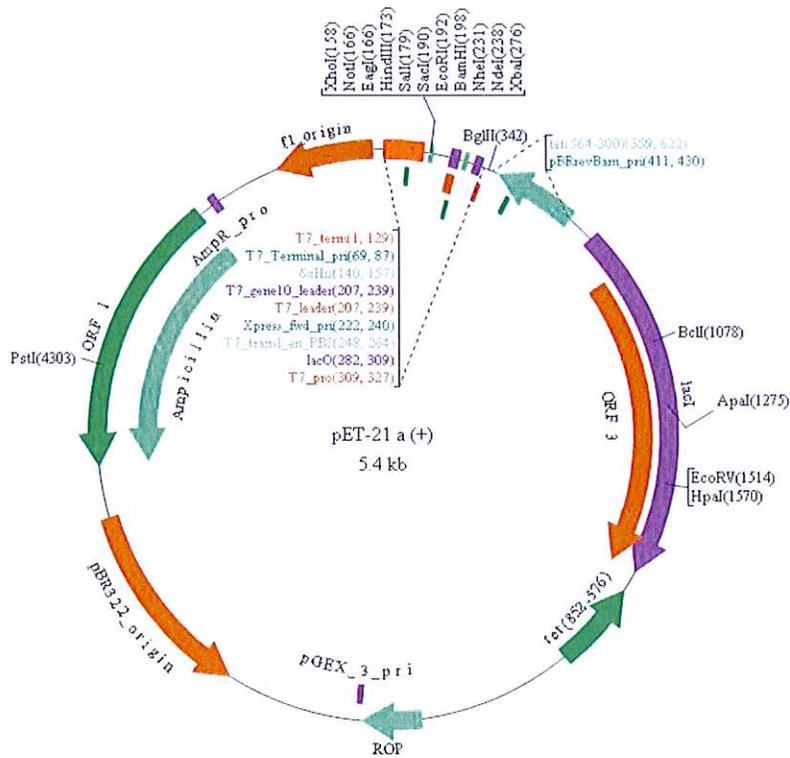
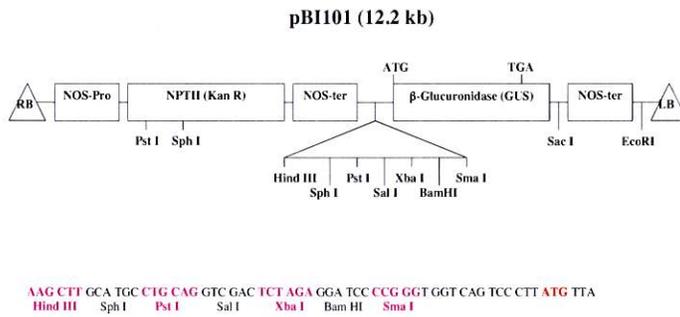
Bacteria Used for Cloning	Plasmid(s)*	Source	Gene Transfected	Describe the change that results from transformation
<i>E. coli</i> DH5 $\alpha$	pGemTeasy	Promega	1.7 kb F $\omega$ HI coding region	The only change we are testing for and seeing regularly in the strains transformed with the plasmids (all the strains <i>E. coli</i> , <i>S. cerevisiae</i> and <i>A. rhizogenes</i> listed) is their acquaintance of specific antibiotic resistance determined by plasmid sequence (selection marker for transformed strains). In addition, strains transformed with plasmids containing F $\omega$ HI coding region are expressing recombinant protein.
<i>E. coli</i> DH5 $\alpha$	pTrcHisA	Invitrogen	1.7 kb F $\omega$ HI coding region	
<i>S. cerevisiae</i> wat11	pYeDP60	Dr. Philip Urban, France	1.7 kb F $\omega$ HI coding region	
ccdB <i>E. coli</i>	pH2GW7,0	Invitrogen	none	
ccdB <i>E. coli</i>	pHGWF57,0	Invitrogen	none	
<i>E. coli</i> DH5 $\alpha$	pHGWF57,0	Invitrogen	upstream promoter region F $\omega$ HI; 300 bp	
<i>A. rhizogenes</i>	pHGWF57,0	Invitrogen	upstream promoter region F $\omega$ HI; 300 bp	
<i>E. coli</i> DH105 $\alpha$	pCAMBIA1305.1	Invitrogen	None	
<i>E. coli</i> XL1Blue	pBI101:CML 37 promoter	Colleague	None	
<i>E. coli</i> XL1Blue	pBI101:CML 38 promoter	Colleague	None	

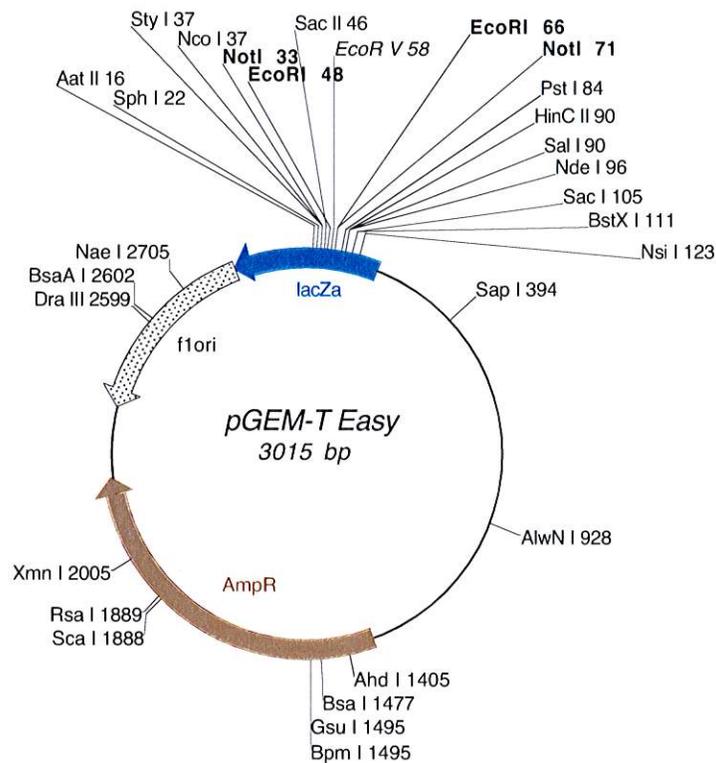
Abbreviations: F $\omega$ HI coding region of Fatty Acid  $\omega$  Hydroxylase from *Solanum tuberosum* (CYP86A homolog).

\*plasmid maps attached

Vector Maps for vectors used in the Bernards lab.

1. Vectors used in cloning and bacterial expression of recombinant proteins.





**Plasmid name:** pGEM-T Easy

**Plasmid size:** 3015 bp

**Constructed by:** Promega Corporation, Madison, WI.

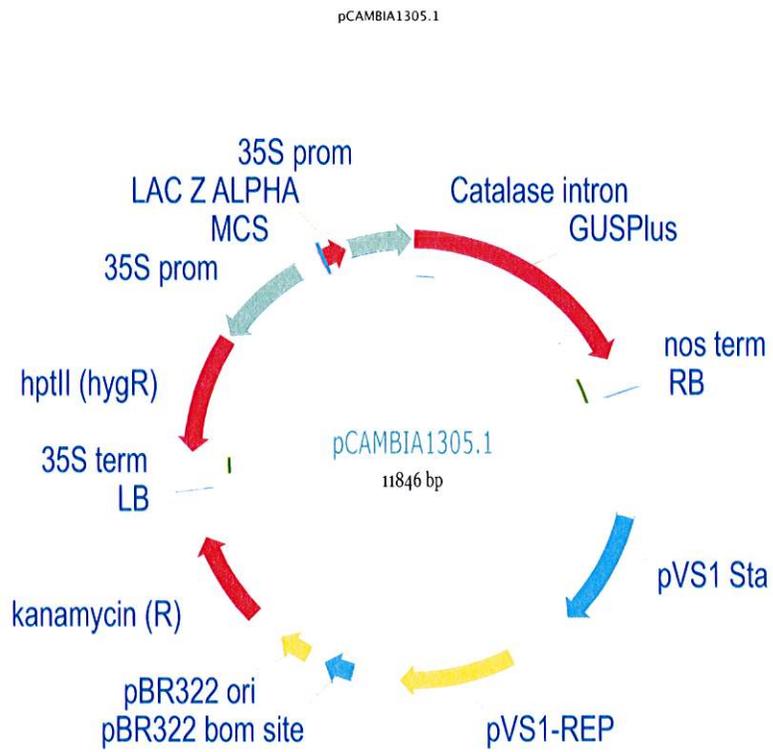
**Construction date:**

**Comments:**

T7 RNA Polymerase transcription initiation site	1
SP6 RNA Polymerase transcription initiation site	141
T7 RNA Polymerase promoter (-17 to +3)	2999-3
SP6 RNA Polymerase promoter (-17 to +3)	139-158
multiple cloning region	10-128
lacZ start codon	180
lac operon sequences	2836-2996, 166-395
lac operator	200-216
beta-lactamase coding region	1337-2197
phage f1 region	2380-2835
binding site of pUC/M13 Forward Sequencing Primer	2956-2972
binding site of pUC/M13 Reverse Sequencing Primer	176-192

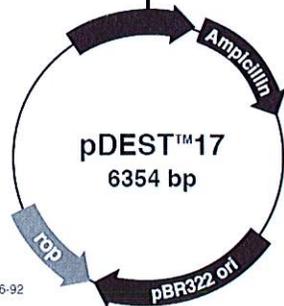
The pGEM(R)-T Easy Vector has been linearized with EcoRV at Base 60 of this sequence (indicated by an asterisk \*) and a T added to both 3' -ends.

## 2. Vectors used in plant expression



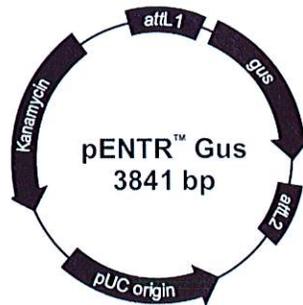
Friday, 11 August 2006 02:23:18 PM Page 1

## Gateway Vectors: used in plant expression systems



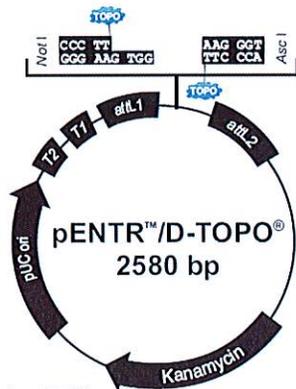
### Comments for pDEST™17 6354 nucleotides

T7 promoter: bases 21-40  
 Ribosome binding site (RBS): bases 66-92  
 Initiation ATG: bases 101-103  
 6xHis tag: bases 113-130  
 attR1: bases 140-264  
 Chloramphenicol resistance gene (Cm<sup>R</sup>): bases 373-1032  
 ccdB gene: bases 1374-1679  
 attR2: bases 1720-1844  
 T7 transcription termination region: bases 1855-1993  
 bla promoter: bases 2471-2569  
 Ampicillin (bla) resistance gene: bases 2570-3430  
 pBR322 origin: bases 3575-4248  
 ROPORF: bases 4619-4810 (C)  
 C=complementary strand



### Comments for pENTR™ Gus 3841 nucleotides

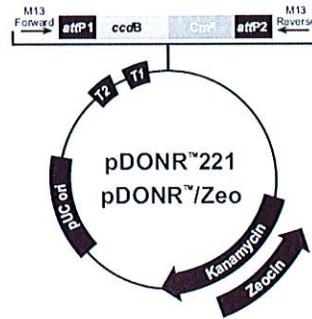
attL1: bases 99-199 (complementary strand)  
 gus gene: bases 228-2039  
 attL2: bases 2041-2140  
 pUC origin: bases 2200-2873 (C)  
 Kanamycin resistance gene: bases 2990-3905 (C)  
 C = complementary strand



### Comments for pENTR™/D-TOPO® 2580 nucleotides

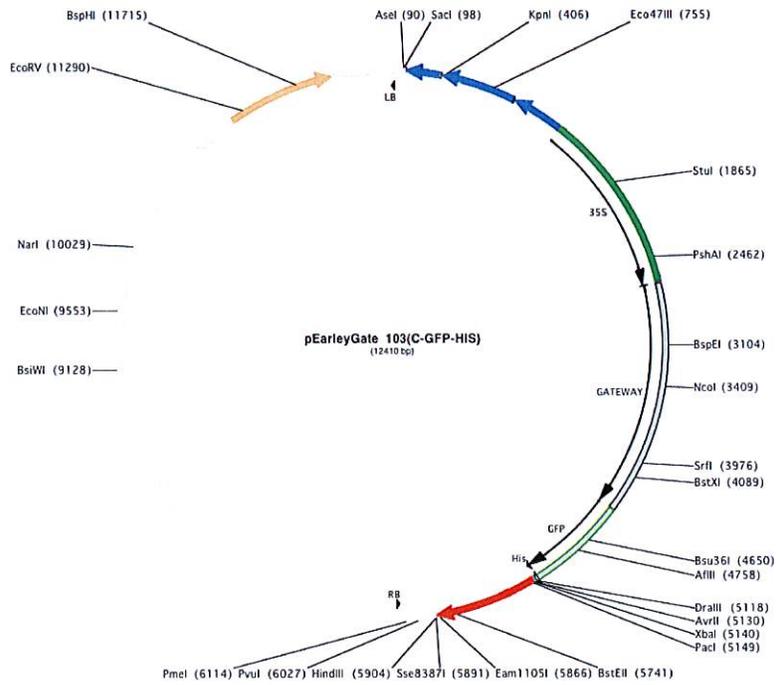
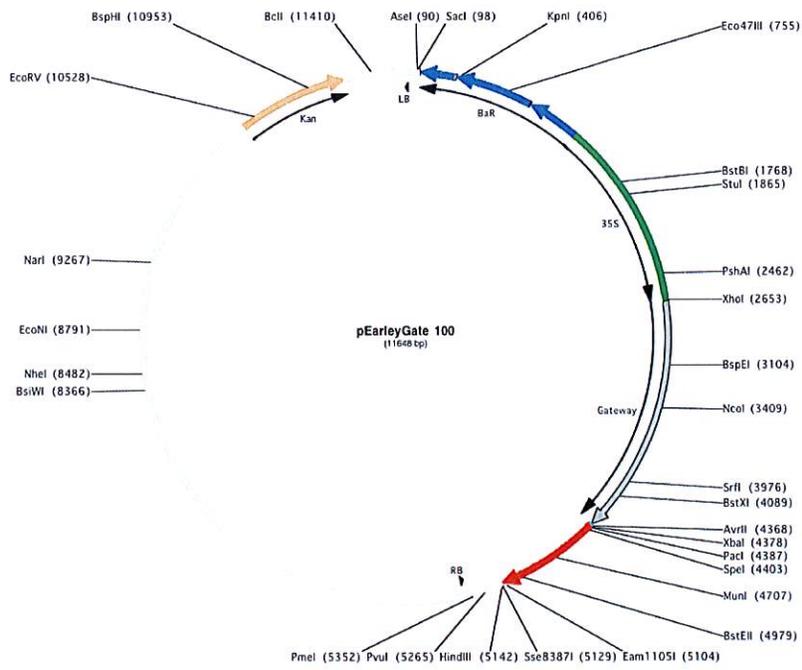
rrbB T2 transcription termination sequence: bases 268-295  
 rrbB T1 transcription termination sequence: bases 427-470  
 M13 forward (-20) priming site: bases 537-552  
 attL1: bases 569-668 (c)  
 TOPO<sup>®</sup> recognition site 1: bases 680-684  
 Overhang: bases 685-688  
 TOPO<sup>®</sup> recognition site 2: bases 689-693  
 attL2: bases 705-804  
 T7 Promoter/priming site: bases 821-840 (c)  
 M13 reverse priming site: bases 845-861  
 Kanamycin resistance gene: bases 974-1783  
 pUC origin: bases 1904-2577

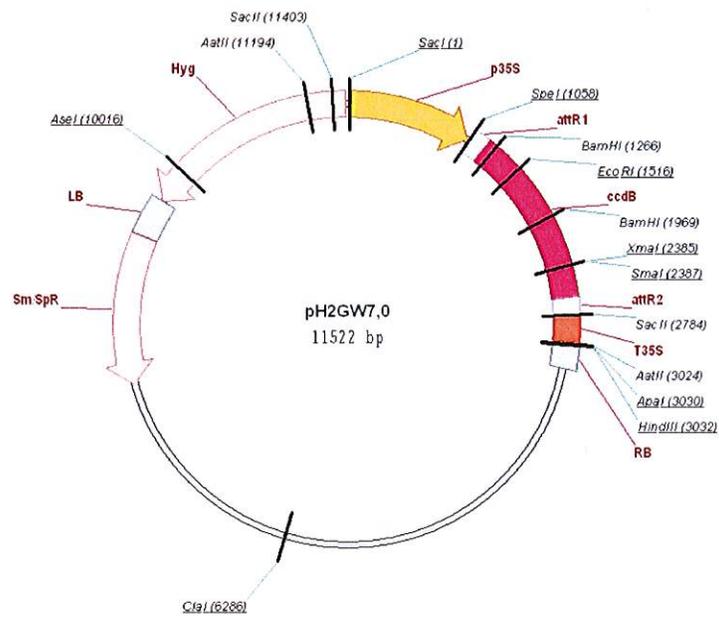
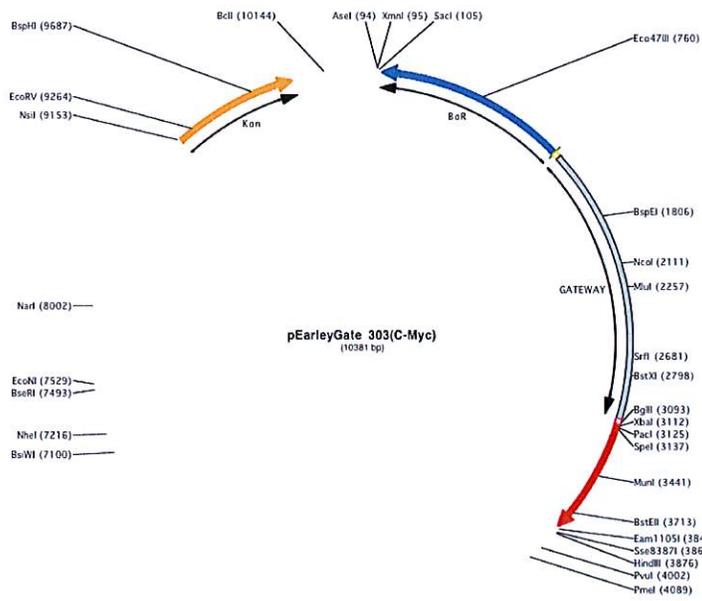
(c) = complementary sequence

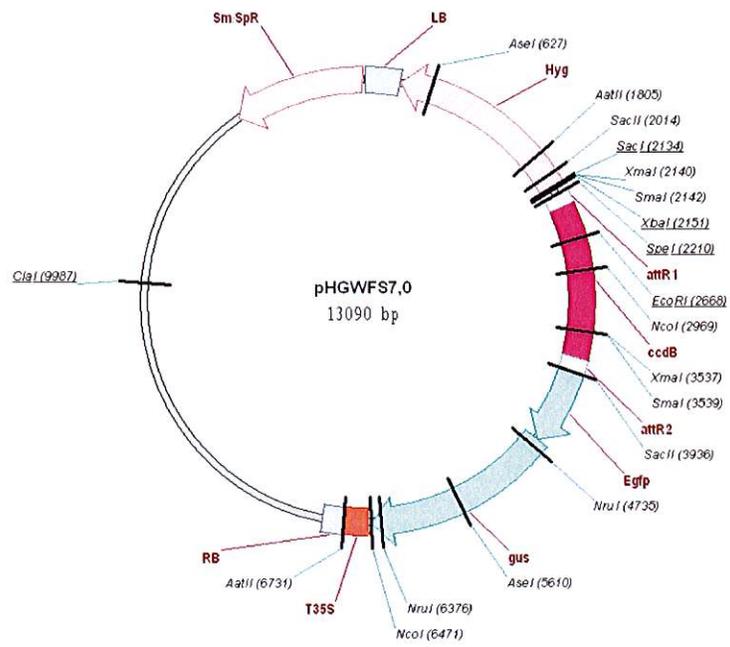


### Comments for:

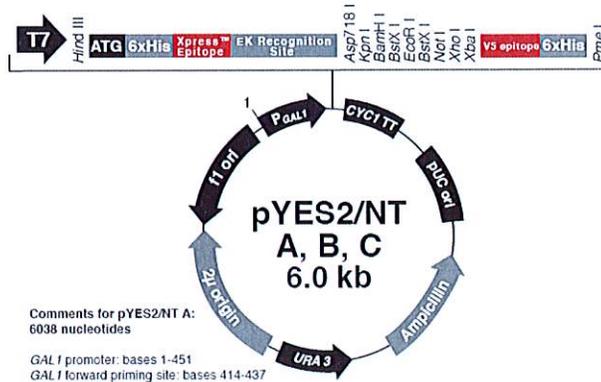
	pDONR™221 4761 nucleotides	pDONR™/Zeo 4291 nucleotides
rrbB T2 transcription termination sequence (c):	268-295	268-295
rrbB T1 transcription termination sequence (c):	427-470	427-470
M13 Forward (-20) priming site:	537-552	537-552
attP1:	570-801	570-801
ccdB gene (c):	1197-1502	1197-1502
Chloramphenicol resistance gene (c):	1825-2505	1847-2506
attP2 (c):	2753-2984	2754-2985
M13 Reverse priming site:	3026-3042	3027-3043
Kanamycin resistance gene:	3155-3964	---
EM7 promoter (c):	---	3486-3552
Zeocin resistance gene (c):	---	3111-3485
pUC origin:	4085-4758	3615-4288
(c) = complementary strand		





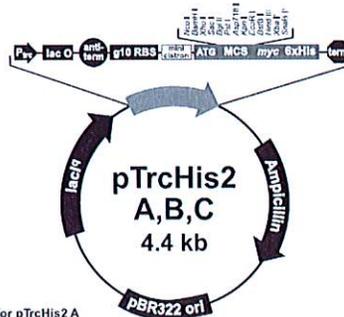


### 3. Vectors used for recombinant protein expression in yeast



Comments for pYES2/NT A:  
6038 nucleotides

- GAL1 promoter: bases 1-451
- GAL1 forward priming site: bases 414-437
- T7 promoter/priming site: bases 475-494
- ATG initiation codon: bases 510-512
- Polyhistidine (6xHis) region: bases 522-539
- Xpress™ epitope: bases 579-602
- Enterokinase (EK) recognition site: bases 588-602
- Multiple cloning site: bases 602-669
- V5 epitope: bases 682-723
- Polyhistidine (6xHis) region: bases 733-750
- CYC1 transcription termination signal: 783-1036
- CYC1 reverse priming site: bases 800-818
- pUC origin: bases 1220-1893
- Ampicillin resistance gene: bases 2038-2898 (complementary strand)
- URA3 gene: bases 2916-4023 (complementary strand)
- 2 $\mu$  origin: bases 4027-5498
- f1 origin: bases 5566-6021 (complementary strand)



Comments for pTrcHis2 A  
4406 nucleotides

- trc* promoter region: bases 190-382
- 35 region: bases 193-198
- 10 region: bases 216-221
- lac operator (*lacO*): bases 228-248
- rrmB* antitermination signal: bases 264-333
- gene 10 region: bases 346-354
- Ribosome binding site: bases 369-373
- pTrcHis forward priming site: bases 370-390
- Minicistron ORF: bases 383-409
- Reinitiation RBS: bases 398-403
- Expression ATG: bases 413-415
- Multiple cloning site: bases 411-464
- myc* epitope: bases 471-503
- Polyhistidine tag: bases 516-533
- myc*His reverse priming site: bases 508-527
- rrmB* T1 and T2 transcriptional terminators: bases 639-796
- Ampicillin resistance ORF: bases 1076-1936
- pBR322 origin: bases 2081-2754
- Lac Repressor (*lacI<sup>q</sup>*) ORF: bases 3408-4367

\* *Xba* I is only found in pTrcHis2 B

\* *Sna* B I is only found in pTrcHis2 C

4.3 Will genetic modification(s) of bacteria and/or cells involving viral vectors be made?

YES, complete table below  NO

Virus Used for Vector Construction	Vector(s) *	Source of Vector	Gene(s) Transduced	Describe the change that results from transduction

\* Please attach a Material Safety Data Sheet or equivalent.

4.4 Will genetic sequences from the following be involved?

- ◆ HIV  YES, please specify \_\_\_\_\_  NO
- ◆ HTLV 1 or 2 or genes from any Level 1 or Level 2 pathogens  YES, specify \_\_\_\_\_  NO
- ◆ SV 40 Large T antigen  YES  NO
- ◆ E1A oncogene  YES  NO
- ◆ Known oncogenes  YES, please specify \_\_\_\_\_  NO
- ◆ Other human or animal pathogen and or their toxins  YES, please specify \_\_\_\_\_  NO

4.5 Will virus be replication defective?  YES  NO

4.6 Will virus be infectious to humans or animals?  YES  NO

4.7 Will this be expected to increase the containment level required?  YES  NO

**5.0 Human Gene Therapy Trials**

5.1 Will human clinical trials be conducted involving a biological agent?  YES  NO  
 (including but not limited to microorganisms, viruses, prions, parasites or pathogens of plant or animal origin)  
 If no, please proceed to Section 6.0

5.2 If YES, please specify which biological agent will be used: \_\_\_\_\_  
 Please attach a full description of the biological agent.

5.2 Will the biological agent be able to replicate in the host?  YES  NO

5.3 How will the biological agent be administered? \_\_\_\_\_

5.4 Please give the Health Care Facility where the clinical trial will be conducted: \_\_\_\_\_

5.5 Has human ethics approval been obtained?  YES, number: \_\_\_\_\_  NO  PENDING

**6.0 Animal Experiments**

6.1 Will live animals be used?  YES  NO If no, please proceed to section 7.0

6.2 Name of animal species to be used \_\_\_\_\_

6.3 AUS protocol # \_\_\_\_\_

6.4 Will any of the agents listed in section 4.0 be used in live animals  YES, specify: \_\_\_\_\_  NO

6.5 Will the agent(s) be shed by the animal:  YES  NO, please justify:

\_\_\_\_\_  
 \_\_\_\_\_

**7.0 Use of Animal species with Zoonotic Hazards**

7.1 Will any animals with zoonotic hazards or their organs, tissues, lavages or other body fluids including blood be used (see list below)?  YES  No If no, please proceed to section 8.0

7.2 Will live animals be used?  YES  No

7.3 If yes, please specify the animal(s) used:

- ◆ Pound source dogs  YES  NO
- ◆ Pound source cats  YES  NO
- ◆ Cattle, sheep or goats  YES, please specify species \_\_\_\_\_  NO
- ◆ Non-human primates  YES, please specify species \_\_\_\_\_  NO
- ◆ Wild caught animals  YES, please specify species & colony # \_\_\_\_\_  NO
- ◆ Birds  YES, please specify species \_\_\_\_\_  NO
- ◆ Others (wild or domestic)  YES, please specify \_\_\_\_\_  NO

7.4 If no live animals are used, please specify the source of the specimens:  
\_\_\_\_\_

**8.0 Biological Toxins**

8.1 Will toxins of biological origin be used?  YES  NO If no, please proceed to Section 9.0

8.2 If YES, please name the toxin(s) \_\_\_\_\_  
Please attach information, such as a Material Safety Data Sheet, for the toxin(s) used.

8.3 What is the LD<sub>50</sub> (specify species) of the toxin \_\_\_\_\_

8.4 How much of the toxin is handled at one time\*? \_\_\_\_\_

8.5 How much of the toxin is stored\*? \_\_\_\_\_

8.6 Will any biological toxins be used in live animals?  YES, Please provide details: \_\_\_\_\_  NO

\*For information on biosecurity requirements, please see:  
[http://www.uwo.ca/humanresources/docandform/docs/healthandsafety/biosafety/Biosecurity\\_Requirements.pdf](http://www.uwo.ca/humanresources/docandform/docs/healthandsafety/biosafety/Biosecurity_Requirements.pdf)

**9.0 Insects**

9.1 Do you use insects?  YES  NO If no, please proceed to Section 10.0

9.2 If YES, please give the name of the species. \_\_\_\_\_

9.3 What is the origin of the insect? \_\_\_\_\_

9.4 What is the life stage of the insect? \_\_\_\_\_

9.5 What is your intention?  Initiate and maintain colony, give location: \_\_\_\_\_  
 "One-time" use, give location: \_\_\_\_\_

9.6 Please describe the risk (if any) of escape and how this will be mitigated:  
\_\_\_\_\_  
\_\_\_\_\_

9.7 Do you use insects that require a permit from the CFIA permit?  YES  NO  
If YES, Please attach the CFIA permit & describe any CFIA permit conditions:

\_\_\_\_\_

### 10.0 Plants

10.1 Do you use plants?  YES  NO If no, please proceed to Section 11.0

10.2 If YES, please give the name of the species. potato, soybean, ginseng

10.3 What is the origin of the plant? potato tubers are purchased, soybean seed from Agriculture Canada, ginseng seed and roots from Agriculture Canada

10.4 What is the form of the plant (seed, seedling, plant, tree...)? seeds, tubers, roots

10.5 What is your intention?  Grow and maintain a crop  "One-time" use

10.6 Do you do any modifications to the plant?  YES  NO  
If yes, please describe: potato and soybean tissues are used for molecular biology experiments; these are generated, used and maintained under sterile, restrictive

10.7 Please describe the risk (if any) of loss of the material from the lab and how this will be mitigated:  
The transgenic plants we create to study the role(s) of specific genes in suberin biosynthesis are readily re-generated, as long as we have the appropriate constructs in hand. These materials do not pose any threat to the environment.

10.8 Is the CFIA permit attached?  YES  NO  
If YES, Please attach the CFIA permit & describe any CFIA permit conditions:  
These tissues do not require CFIA permits

### 11.0 Import Requirements

11.1 Will any of the above agents be imported?  YES, please give country of origin \_\_\_\_\_  NO  
If no, please proceed to Section 12.0

11.2 Has an Import Permit been obtained from HC for human pathogens?  YES  NO

11.3 Has an import permit been obtained from CFIA for animal or plant pathogens?  YES  NO

11.4 Has the import permit been sent to OHS?  YES, please provide permit # \_\_\_\_\_  NO

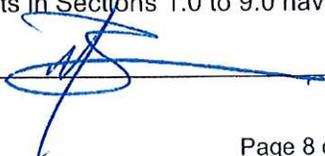
### 12.0 Training Requirements for Personnel Named on Form

All personnel named on the above form who will be using any of the above named agents are required to attend the following training courses given by OHS:

- ◆ Biosafety
- ◆ Laboratory and Environmental/Waste Management Safety
- ◆ WHMIS (Western or equivalent)
- ◆ Employee Health and Safety Orientation

As the Principal Investigator, I have ensured that all of the personnel named on the form who will be using any of the biological agents in Sections 1.0 to 9.0 have been trained.

SIGNATURE \_\_\_\_\_



**13.0 Containment Levels**

13.1 For the work described in sections 1.0 to 9.0, please indicate the highest HC or CFIA Containment Level required.  1  2  2+  3

13.2 Has the facility been certified by OHS for this level of containment?  
 YES, date of most recent biosafety inspection: \_\_\_\_\_  
 NO, please certify  
 NOT REQUIRED for Level 1 containment

13.3 Please indicate permit number (not applicable for first time applicants): \_\_\_\_\_

**14.0 Procedures to be Followed**

14.1 Please describe additional risk reduction measures will be taken beyond containment level 1, 2, 2+ or 3 measures, that are unique to this agent.

\_\_\_\_\_  
N/A  
\_\_\_\_\_

14.2 Please outline what will be done if there is an exposure to the biological agents listed, such as a needlestick injury or an accidental splash:

\_\_\_\_\_  
washing well with soapy water & doing accident report. Monitor employee to ensure  
there are no ill effects.  
\_\_\_\_\_

14.3 As the Principal Investigator, I will ensure that this project will follow the Western Biosafety Guidelines and Procedures Manual for Containment Level 1 & 2 Laboratories (and the Level 3 Facilities Manual for Level 3 projects). I will ensure that UWO faculty, staff and students working in my laboratory have an up-to-date Hazard Communication Form, found at <http://www.wph.uwo.ca/>

SIGNATURE  \_\_\_\_\_ Date: November 2, 2011

**15.0 Approvals**

1) UWO Biohazards Subcommittee: SIGNATURE: \_\_\_\_\_  
Date: \_\_\_\_\_

2) Safety Officer for the University of Western Ontario  
SIGNATURE: \_\_\_\_\_  
Date: \_\_\_\_\_

3) Safety Officer for Institution where experiments will take place (if not UWO):  
SIGNATURE: \_\_\_\_\_  
Date: \_\_\_\_\_

Approval Number: \_\_\_\_\_ Expiry Date (3 years from Approval): \_\_\_\_\_

Special Conditions of Approval:

# Table 1.2



Canadian Food  
Inspection Agency

Agence canadienne  
d'inspection des aliments



Office of Biohazard Containment and Safety  
Science Branch, CFIA  
59 Camelot Drive, Ottawa, Ontario K1A 0Y9  
Tel: (613) 221-7068 Fax: (613) 228-6129  
Email: ImportZoopath@inspection.gc.ca

Bureau du confinement des biorisques et sécurité  
Direction générale des sciences, ACIA  
59 promenade Camelot, Ottawa, Ontario K1A 0Y9  
Tél: (613) 221-7068 Téléc: (613) 228-6129  
Courriel: ImportZoopath@inspection.gc.ca

October 20<sup>th</sup>, 2009

Ms. Shamila Survery / Mr. Michael Decosimo  
Cedarlane Laboratories Ltd  
4410 Paletta Court  
Burlington, Ontario L7L 5R2

By Facsimile: (289) 288-0020

**SUBJECT: Importation of *Escherichia coli* strains**

Dear Ms. Survery / Mr. Decosimo:

Our office received your query about the importation of *Escherichia coli* from the American Type Culture Collection (ATCC) located in Manassas, Virginia, United States. The following *Escherichia coli* strains are considered to be level 1 animal pathogens:

- |               |                    |           |                   |                |
|---------------|--------------------|-----------|-------------------|----------------|
| • 5K          | • CIE85            | • J52     | • MC4100 (MuLac)  | • U5/41        |
| • 58          | • DH1              | • J53     | • MG1655          | • W208         |
| • 58-161      | • DH10 GOLD        | • JC3272  | • MM294           | • W945         |
| • 679         | • DH10B            | • JC7661  | • MS101           | • W1485        |
| • 1532        | • DH5              | • JC9387  | • NC-7            | • W3104        |
| • AB284       | • DH5-alpha        | • JF1504  | • Nissle 1917     | • W3110        |
| • AB311       | • DP50             | • JF1508  | • One Shot STBL3  | • WA704        |
| • AB1157      | • DY145            | • JF1509  | • OP50            | • WP2          |
| • AB1206      | • DY380            | • JJ055   | • P678            | • X1854        |
| • AG1         | • E11              | • JM83    | • PA309           | • X2160T       |
| • B           | • EJ183            | • JM101   | • PK-5            | • X2541        |
| • BB4         | • EL250            | • JM109   | • PMC103          | • X2547T       |
| • BD792       | • EMG2             | • K12     | • PR13            | • XL1-BLUE     |
| • BL21        | • EPI 300          | • KC8     | • Rri             | • XL1-BLUE-MRF |
| • BL21 (DE3)  | • EZ10             | • KA802   | • RV308           | • XL0LR        |
| • BM25.8      | • FDA Seattle 1946 | • KAM32   | • S17-1λ -PIR     | • Y10          |
| • C           | • Fusion-Blue      | • KAM33   | • SCS1            | • Y1090 (1090) |
| • C-1a        | • H1443            | • KAM43   | • SMR10           | • YN2980       |
| • C-3000      | • HF4714           | • LE450   | • SOLR            | • W3110        |
| • C25         | • HB101            | • LE451   | • SuperchargeEZ10 | • WG1          |
| • C41 (DE3)   | • HS(PFAMP)R       | • LE452   | • SURE            | • WG439        |
| • C43 (DE3)   | • Hfr3000          | • MB408   | • TOP10           | • WG443        |
| • C600        | • Hfr3000 X74      | • MBX1928 | • TG1             | • WG445        |
| • Cavalli Hfr | • HMS174           | • MC1061  |                   |                |

The Office of Biohazard Containment and Safety (BCS) of the Canadian Food Inspection Agency (CFIA) only issues import permits for microorganisms that are pathogenic to animals, or parts of microorganisms that are pathogenic to animals. As the products listed above are not considered pathogenic to animals, the Office of BCS does not have any regulatory requirements for their importation.

Please note that other legislation may apply. You may wish to contact the Public Health Agency of Canada's (PHAC) Office of Laboratory Security at (613) 957-1779.

Note: Microorganisms pathogenic to animals and veterinary biologics require an import permit from the CFIA.

Sincerely,

Cynthia Labrie  
Head, Animal Pathogen Importation Program  
Office of Biohazard Containment & Safety

Canada

----- Original Message -----

**Subject:**Re: Containment Level Request (agrobacterium LBA4404)

**Date:**Thu, 05 May 2011 10:46:22 -0400

**From:**ImportZoopath <ImportZoopath@inspection.gc.ca>

**To:**Jennifer Stanley <jstanle2@uwo.ca>

Good Morning,

According to our database, **Agrobacterium tumefaciens** would be considered a containment level 1 animal pathogen. If you have further questions, do not hesitate to contact our office.

Thank you,  
Steven Burns

Office of Biohazard Containment & Safety, CFIA | Bureau du confinement des  
biorisques et de la sécurité, ACIA  
Government of Canada | Gouvernement du Canada  
1400 Merivale, Ottawa ON K1A0Y9  
Phone/Tél.: (613) 773-6520  
Fax/ Téléc.: (613) 773-6521  
ImportZoopath@inspection.gc.ca

----- Original Message -----

**Subject:**Re: Agrobacterium rhizogenes

**Date:**Wed, 13 Jul 2011 08:18:26 -0400

**From:**ImportZoopath <ImportZoopath@inspection.gc.ca>

**To:**Jennifer Stanley <jstanle2@uwo.ca>

Good Morning,

According to our database, **Agrobacterium rhizogenes** is a level 1 animal pathogen. If you have any further questions, do not hesitate to contact our office.

Thank you,

Lauren Baerg

Office of Biohazard Containment & Safety, CFIA | Bureau du confinement des  
biorisques et de la sécurité, ACIA

Government of Canada | Gouvernement du Canada

1400 Merivale, Ottawa ON K1A0Y9

Phone/Tél.: (613) 773-6520

Fax/ Téléc.: (613) 773-6521

ImportZoopath@inspection.gc.ca

**Subject:** Re: Biological Agents Registry Form: Bernards

**From:** Mark A Bernards <bernards@uwo.ca>

**Date:** Thu, 23 Feb 2012 14:33:18 -0500

**To:** Jennifer Stanley <jstanle2@uwo.ca>

Dear Jennifer,

According to your instructions (below) I have revised the attached BARF. I have also up-dated the list of lab personnel and their Biosafety training completion dates.

Please let me know if you require additional information.

Mark

On 12/01/12, Jennifer Stanley <jstanle2@uwo.ca> wrote:

Hi there

Your form was recently reviewed at the Committee. Please address the following issues:

More detail is needed on how freezer stocks are prepared and more details on what is meant by "routine culturing". Chepak-Dox should be spelled 'Czapek-Dox'.

Regards,  
Jennifer

--

Dr. Mark A. Bernards  
Professor and Chair  
Department of Biology  
The University of Western Ontario  
London, ON, Canada N6A 5B7  
bernards@uwo.ca  
(519) 661-2111 x86477  
(519) 661-3935 (fax)

barf-BernardsRevisedFeb23-2012withSignatures.pdf

**Content-Type:** attachment/pdf  
**Content-Encoding:** base64