

**THE UNIVERSITY OF WESTERN ONTARIO  
BIOLOGICAL AGENTS REGISTRY FORM**  
Approved Biohazards Subcommittee: October 14, 2010  
Biosafety Website: [www.uwo.ca/humanresources/biosafety/](http://www.uwo.ca/humanresources/biosafety/)

This form must be completed by each Principal Investigator holding a grant administered by the University of Western Ontario (UWO) or in charge of a laboratory/facility where the use of Level 1, 2 or 3 biological agents is described in the laboratory or animal work proposed. The form must also be completed if any work is proposed involving animals carrying zoonotic agents infectious to humans or involving plants, fungi, or insects that require Public Health Agency of Canada (PHAC) or Canadian Food Inspection Agency (CFIA) permits.

This form must be updated at least every 3 years or when there are changes to the biological agents being used.

Containment Levels will be established in accordance with Laboratory Biosafety Guidelines, 3rd edition, 2004, Public Health Agency of Canada (PHAC) or Containment Standards for Veterinary Facilities, 1<sup>st</sup> edition 1996, Canadian Food Inspection Agency (CFIA).

Completed forms are to be returned to Occupational Health and Safety, (OHS), (Support Services Building, Room 4190) for distribution to the Biohazards Subcommittee. For questions regarding this form, please contact the Biosafety Officer at extension 81135 or [biosafety@uwo.ca](mailto:biosafety@uwo.ca). If there are changes to the information on this form (excluding grant title and funding agencies), contact Occupational Health and Safety for a modification form. See website: [www.uwo.ca/humanresources/biosafety/](http://www.uwo.ca/humanresources/biosafety/)

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Location of experimental work to be carried out: Building(s) NCB Room(s) 417

\*For work being performed at Institutions affiliated with the University of Western Ontario, the Safety Officer for the Institution where experiments will take place must sign the form prior to its being sent to the University of Western Ontario Biosafety Officer (See Section 15.0, Approvals).

FUNDING AGENCY/AGENCIES: NSERC  
GRANT TITLE(S): Photosynthetic acclimation and adaptation to extreme environments: photostasis, excitation pressure and psychrophilly

List all personnel working under Principal Investigators supervision in this location:

Name	UWO E-mail Address	Date of Biosafety Training
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**Please explain the biological agents and/or biohazardous substances used and how they will be stored, used and disposed of. Projects without this description will not be reviewed.**

We study photoacclimation of the green algae, Chlamydomonas raudensis, Chlamydomonas reinhardtii and Chlorella vulgaris. None of these organisms is biohazardous. These studies involve liquid cultures.  
We routinely dispose of the liquid cultures by autoclaving the liquid cultures and then disposing of the dead, autoclaved cultures in the sink. The media used in all cases are basic minimal salt media.

**Please include a one page research summary or teaching protocol.**

**(I) Chloroplasts as Global Energy Sensors.** We define photostasis as the maintenance of cellular energy balance in photoautotrophs. In contrast, excitation pressure (EP) is a measure of the imbalance in cellular energy budget. It is measured as the relative reduction state of PSII reaction centres ( $Q_{Ared}/Q_{Aox} + Q_{Ared}$ ) i.e. the extent to which PSII reaction centres are 'closed' and unable to perform photochemistry. PSII closure is sensed by the redox state of the intersystem PQ pool of the photosynthetic electron transport chain (PETC). The redox state of the PQ pool, in turn, acts as a global energy sensor that regulates chloroplastic as well as nuclear gene expression which, in turn, governs remodelling of the photosynthetic apparatus. Although plants, green algae and cyanobacteria sense  $\Delta EP$ , the molecular response, and therefore, the phenotypic response to  $\Delta EP$  is species dependent due to inherent differences in electron sink capacity to consume photosynthetically-generated reductants. An emerging consensus is that the PETC not only transforms light energy but also acts as a primary sensor of energy imbalance.

**(II) EP, PTOX & Variegation.** Because of its capacity to oxidize thylakoid plastoquinol (PQH<sub>2</sub>), it was proposed that IMMUTANS(IM) is a plastid terminal oxidase (PTOX) that acts as a safety-valve to protect PSII from EP. By comparing the knockout mutant (*im*) and two Arabidopsis *IM* overexpressing lines with the WT, we reported that PTOX in fully developed Arabidopsis leaves does not act as a safety valve because of its inability to compete with P700<sup>+</sup> for PSII generated electrons and to protect either PSII or PSI against photoinhibition<sup>1</sup>. Furthermore, the absence of *IM* is necessary but not sufficient to account for the variegated phenotype of *im*! High EP (HEP) controls the extent and sectoring patterns of variegation not only in *im* but also *spotty*, *var1* and *var2*. IM does act as a safety valve and minimizes EP during the very early stages thylakoid biogenesis and assembly and protects the developing photosynthetic apparatus from photo-oxidation prior to the attainment of full photosynthetic competence.

**(III) Psychrophily and Energy Partitioning.** We have established a very powerful biological system to address the molecular basis of psychrophily through comparisons of the psychrophile, *Chlamydomonas raudensis* Ettl UWO241, with its mesophilic strain SAG 49.72. My approach to elucidating the molecular basis of psychrophily is novel since it focuses on the molecular, biochemical and physiological basis for the sensitivity of UWO241 to high non-permissive growth temperatures. Why is UWO241 restricted to growth at temperatures below 20°C? Recently, we reported that shifting UWO241 from a permissive 8°C to a nonpermissive 24°C induces a classic, time-pendent heat shock response coupled with an increase in EP with no loss of pigmentation! Furthermore, exposure of UWO 241 to increased growth temperature decreases PSI/PSII stoichiometry coupled with a shift in energy partitioning from antenna to reaction centre quenching. This is correlated with Thr phosphorylation of a specific 17kD subunit associated with a purified PSI supercomplex rather than the expected phosphorylation of LHCII in the PSII supercomplex as observed in SAG 49.72. Using mass spectroscopic sequencing, the 17kD subunit, we have identified this 17kD PSI-associated subunit to a PsbP-like (PsbPL) protein. In contrast to UWO241, no phosphorylated subunits were detected in the PSI-supercomplexes of either SAG 49.72 or *C. reinhardtii*.

**(IV) Photoperiod-Temperature Interactions and EP.** Contrary to expectations, we reported that increasing autumn temperatures from 7°C to 22°C combined with a short photoperiod inhibits CO<sub>2</sub> assimilation in *Pinus banksiana* as a result of enhanced EP due to an inhibition of electron transport between the Cyt b6/f complex and P700. Thus, the autumnal photoperiod signal to induce dormancy in *Pinus banksiana* over-rides any potential benefit in C-gain associated with warm autumn temperatures. Although photoperiod is unaffected by climate change, it is the photoperiod signal that actually limits C-gain of this conifer in a warmer world, not the fluctuating temperature!

**(V) CBFs, EP and Phenotypic Plasticity.** Growth and development of cold-tolerant plants at low temperature generally results in a compact, dwarf growth habit with leaves that exhibit increased thickness relative to NA controls. In 2005, we reported that the dwarf growth habit and freezing tolerance in *Brassica napus* appears to be controlled by overexpression of *BNCBF17*. Most recently, we show that overexpression of *BNCBF17* also reduces the low temperature sensitivities ( $Q_{10}$ ) of CO<sub>2</sub> assimilation and photosynthetic electron transport analogous to that which occurs during cold acclimation of winter rye and winter wheat<sup>6</sup>. This results in an increase in the number photons required to close PSII reaction centres and a decrease in the need of for energy dissipation through NPQ. Thus, to our surprise, overexpression of a single transcription factor (*BNCBF17*) not only affects phenotype but also affects photosynthetic performance and global energy balance during cold acclimation of *Brassica napus* and by extension, presumably winter cereals.

**(VI) *isiA* Expression and Energy Partitioning.** The role of the *isiA* gene product in mitigating Fe stress in cyanobacteria has been a source of controversy. Through biochemical localization studies and analyses of composition of the isolated pigment-protein complexes, we showed that the cyanobacterial *isiA* gene product induced by Fe stress is associated with PSI monomers in contrast to PSI trimers as previously reported in Nature. More important, in contrast to these reports, direct, *in vivo* biophysical measurements of PSI absorptive cross sections support our suggestion that the PSI-associated *isiA* protein acts as a quencher to protect PSI from excess light during Fe stress<sup>2,28</sup> rather than a functional PSI antenna to enhance light harvesting efficiency.

**1.0 Microorganisms**

1.1 Does your work involve the use of biological agents? — YES       NO  
(non-pathogenic and pathogenic biological agents including but not limited to bacteria and other microorganisms, viruses, prions, parasites or pathogens of plant or animal origin)? If no, please proceed to Section 2.0

Do you use microorganisms that require a permit from the CFIA?       YES       NO  
If YES, please give the name of the species. \_\_\_\_\_

What is the origin of the microorganism(s)? \_\_\_\_\_  
Please describe the risk (if any) of escape and how this will be mitigated:

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Please attach the CFIA permit.  
Please describe any CFIA permit conditions:

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1.2 Please complete the table below:

Name of Biological Agent(s)* (Be specific)	Is it known to be a human pathogen? YES/NO	Is it known to be an animal pathogen? YES/NO	Is it known to be a zoonotic agent? YES/NO	Maximum quantity to be cultured at one time? (in Litres)	Source/Supplier	PHAC or CFIA Containment Level
C. raudensis	<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="radio"/> Yes <input checked="" type="radio"/> No	2 L		<input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
C. vulgaris	<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="radio"/> Yes <input checked="" type="radio"/> No	2 L		<input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
C. rhinehardtii	<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="radio"/> Yes <input checked="" type="radio"/> No	0.2 L		<input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No			<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3

\*Please attach a Material Safety Data Sheet or equivalent from the supplier.

## 2.0 Cell Culture

2.1 Does your work involve the use of cell cultures?  YES  NO  
If no, please proceed to Section 3.0

2.2 Please indicate the type of primary cells (i.e. derived from fresh tissue) that will be grown in culture:

Cell Type	Is this cell type used in your work?	Source of Primary Cell Culture Tissue	AUS Protocol Number
Human	<input type="radio"/> Yes <input checked="" type="radio"/> No		Not applicable
Rodent	<input type="radio"/> Yes <input checked="" type="radio"/> No		
Non-human primate	<input type="radio"/> Yes <input checked="" type="radio"/> No		
Other (specify)	<input checked="" type="radio"/> Yes <input type="radio"/> No		Arabidopsis plant cell cultures

2.3 Please indicate the type of established cells that will be grown in culture in:

Cell Type	Is this cell type used in your work?	Specific cell line(s)*	Containment Level of each cell line	Supplier / Source of cell line(s)
Human	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Rodent	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Non-human primate	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Other (specify) Arabidopsis plant cell cultures	<input checked="" type="radio"/> Yes <input type="radio"/> No	n/a	1	Univ. of Calgary

\*Please attach a Material Safety Data Sheet or equivalent from the supplier. (For more information, see [www.atcc.org](http://www.atcc.org))

2.4 For above named cell types(s) indicate PHAC or CFIA containment level required  1  2  2+  3

### 3.0 Use of Human Source Materials

3.1 Does your work involve the use of human source materials?  YES  NO

If no, please proceed to Section 4.0

3.2 Indicate in the table below the Human Source Material to be used.

Human Source Material	Source/Supplier /Company Name	Is Human Source Material Infected With An Infectious Agent? YES/UNKNOWN	Name of Infectious Agent (If applicable)	PHAC or CFIA Containment Level (Select one)
Human Blood (whole) or other Body Fluid		<input type="radio"/> Yes <input type="radio"/> Unknown		<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
Human Blood (fraction) or other Body Fluid		<input type="radio"/> Yes <input type="radio"/> Unknown		<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
Human Organs or Tissues (unpreserved)		<input type="radio"/> Yes <input type="radio"/> Unknown		<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
Human Organs or Tissues (preserved)		Not Applicable		Not Applicable

### 4.0 Genetically Modified Organisms and Cell lines

4.1 Will genetic modifications be made to the microorganisms, biological agents, or cells described in Sections 1.0 and 2.0?  YES  NO If no, please proceed to Section 5.0

4.2 Will genetic modification(s) involving plasmids be done?  YES, complete table below  NO

Bacteria Used for Cloning *	Plasmid(s) **	Source of Plasmid	Gene Transfected	Describe the change that results from transformation or tranfection

\* Please attach a Material Data Sheet or equivalent if available.

\*\* Please attach a plasmid map.

4.3 Will genetic modification(s) of bacteria and/or cells involving viral vectors be made?

YES, complete table below  NO

Virus Used for Vector Construction	Vector(s) *	Source of Vector	Gene(s) Transduced	Describe the change that results from transduction

\* Please attach a Material Safety Data Sheet or equivalent.

4.4 Will genetic sequences from the following be involved?

- ◆ HIV  YES, please specify \_\_\_\_\_  NO
- ◆ HTLV 1 or 2 or genes from any Level 1 or Level 2 pathogens  YES, specify \_\_\_\_\_  NO
- ◆ SV 40 Large T antigen  YES  NO
- ◆ E1A oncogene  YES  NO
- ◆ Known oncogenes  YES, please specify \_\_\_\_\_  NO
- ◆ Other human or animal pathogen and or their toxins  YES, please specify \_\_\_\_\_  NO

4.5 Will virus be replication defective?  YES  NO

4.6 Will virus be infectious to humans or animals?  YES  NO

4.7 Will this be expected to increase the containment level required?  YES  NO

### 5.0 Human Gene Therapy Trials

5.1 Will human clinical trials be conducted involving a biological agent?  YES  NO  
(including but not limited to microorganisms, viruses, prions, parasites or pathogens of plant or animal origin)  
If no, please proceed to Section 6.0

5.2 If YES, please specify which biological agent will be used: \_\_\_\_\_  
Please attach a full description of the biological agent.

5.2 Will the biological agent be able to replicate in the host?  YES  NO

5.3 How will the biological agent be administered? \_\_\_\_\_

5.4 Please give the Health Care Facility where the clinical trial will be conducted: \_\_\_\_\_

5.5 Has human ethics approval been obtained?  YES, number: \_\_\_\_\_  NO  PENDING

### 6.0 Animal Experiments

6.1 Will live animals be used?  YES  NO If no, please proceed to section 7.0

6.2 Name of animal species to be used \_\_\_\_\_

6.3 AUS protocol # \_\_\_\_\_

6.4 Will any of the agents listed in section 4.0 be used in live animals  YES, specify: \_\_\_\_\_  NO

6.5 Will the agent(s) be shed by the animal:  YES  NO, please justify:  
\_\_\_\_\_  
\_\_\_\_\_

**7.0 Use of Animal species with Zoonotic Hazards**

7.1 Will any animals with zoonotic hazards or their organs, tissues, lavages or other body fluids including blood be used (see list below)?  YES  No If no, please proceed to section 8.0

7.2 Will live animals be used?  YES  No

7.3 If yes, please specify the animal(s) used:

- ◆ Pound source dogs  YES  NO
- ◆ Pound source cats  YES  NO
- ◆ Cattle, sheep or goats  YES, please specify species \_\_\_\_\_  NO
- ◆ Non-human primates  YES, please specify species \_\_\_\_\_  NO
- ◆ Wild caught animals  YES, please specify species & colony # \_\_\_\_\_  NO
- ◆ Birds  YES, please specify species \_\_\_\_\_  NO
- ◆ Others (wild or domestic)  YES, please specify \_\_\_\_\_  NO

7.4 If no live animals are used, please specify the source of the specimens:  
\_\_\_\_\_

**8.0 Biological Toxins**

8.1 Will toxins of biological origin be used?  YES  NO If no, please proceed to Section 9.0

8.2 If YES, please name the toxin(s) \_\_\_\_\_  
Please attach information, such as a Material Safety Data Sheet, for the toxin(s) used.

8.3 What is the LD<sub>50</sub> (specify species) of the toxin \_\_\_\_\_

8.4 How much of the toxin is handled at one time\*? \_\_\_\_\_

8.5 How much of the toxin is stored\*? \_\_\_\_\_

8.6 Will any biological toxins be used in live animals?  YES, Please provide details: \_\_\_\_\_  NO

\*For information on biosecurity requirements, please see:  
[http://www.uwo.ca/humanresources/docandform/docs/healthandsafety/biosafety/Biosecurity\\_Requirements.pdf](http://www.uwo.ca/humanresources/docandform/docs/healthandsafety/biosafety/Biosecurity_Requirements.pdf)

**9.0 Insects**

9.1 Do you use insects?  YES  NO If no, please proceed to Section 10.0

9.2 If YES, please give the name of the species. \_\_\_\_\_

9.3 What is the origin of the insect? \_\_\_\_\_

9.4 What is the life stage of the insect? \_\_\_\_\_

9.5 What is your intention?  Initiate and maintain colony, give location: \_\_\_\_\_  
 "One-time" use, give location: \_\_\_\_\_

9.6 Please describe the risk (if any) of escape and how this will be mitigated:  
\_\_\_\_\_  
\_\_\_\_\_

9.7 Do you use insects that require a permit from the CFIA permit?  YES  NO

If YES, Please attach the CFIA permit & describe any CFIA permit conditions:

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### 10.0 Plants

10.1 Do you use plants?  YES  NO If no, please proceed to Section 11.0

10.2 If YES, please give the name of the species. *Secale cereale*, *Aestivum savitum*, *Brassica napus*, *Arabidopsis thaliana* \_\_\_\_\_

10.3 What is the origin of the plant? Ag Canada and TAIR \_\_\_\_\_

10.4 What is the form of the plant (seed, seedling, plant, tree...)? seed \_\_\_\_\_

10.5 What is your intention?  Grow and maintain a crop  "One-time" use

10.6 Do you do any modifications to the plant?  YES  NO

If yes, please describe: \_\_\_\_\_  
\_\_\_\_\_

10.7 Please describe the risk (if any) of loss of the material from the lab and how this will be mitigated: n/a We have our own seed stocks. - \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10.8 Is the CFIA permit attached?  YES  NO

If YES, Please attach the CFIA permit & describe any CFIA permit conditions:

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### 11.0 Import Requirements

11.1 Will any of the above agents be imported?  YES, please give country of origin \_\_\_\_\_  NO  
If no, please proceed to Section 12.0

11.2 Has an Import Permit been obtained from HC for human pathogens?  YES  NO

11.3 Has an import permit been obtained from CFIA for animal or plant pathogens?  YES  NO

11.4 Has the import permit been sent to OHS?  YES, please provide permit # \_\_\_\_\_  NO

### 12.0 Training Requirements for Personnel Named on Form

All personnel named on the above form who will be using any of the above named agents are required to attend the following training courses given by OHS:

- ◆ Biosafety
- ◆ Laboratory and Environmental/Waste Management Safety
- ◆ WHMIS (Western or equivalent)
- ◆ Employee Health and Safety Orientation

As the Principal Investigator, I have ensured that all of the personnel named on the form who will be using any of the biological agents in Sections 1.0 to 9.0 have been trained.

Signature

SIGNATURE \_\_\_\_\_

13.0 Containment Levels

13.1 For the work described in sections 1.0 to 9.0, please indicate the highest HC or CFIA Containment Level required. X 1 0 2 0 2+ 0 3

13.2 Has the facility been certified by OHS for this level of containment?
O YES, date of most recent biosafety inspection:
O NO, please certify
X NOT REQUIRED for Level 1 containment

13.3 Please indicate permit number (not applicable for first time applicants):

14.0 Procedures to be Followed

14.1 Please describe additional risk reduction measures will be taken beyond containment level 1, 2, 2+ or 3 measures, that are unique to this agent.

\_\_\_\_\_
\_\_\_\_\_
\_\_\_\_\_

14.2 Please outline what will be done if there is an exposure to the biological agents listed, such as a needlestick injury or an accidental splash:

\_The injury/splash will be washed thoroughly and treated if necessary with the first aid kit.
\_\_\_\_\_
\_\_\_\_\_

14.3 As the Principal Investigator, I will ensure that this project will follow the Western Biosafety Guidelines and Procedures Manual for Containment Level 1 & 2 Laboratories (and the Level 3 Facilities Manual for Level 3 projects). I will ensure that UWO faculty, staff and students working in my laboratory have an up-to-date Hazard Communication Form, found at http://www.wph.uwo.ca/

SIGNATURE \_\_\_\_\_ Date: Sept 9/2011

15.0 Approvals

1) UWO Biohazards Subcommittee: SIGNATURE: \_\_\_\_\_ Date: \_\_\_\_\_

2) Safety Officer for the University of Western Ontario SIGNATURE: \_\_\_\_\_ Date: \_\_\_\_\_

3) Safety Officer for Institution where experiments will take place (if not UWO): SIGNATURE: \_\_\_\_\_ Date: \_\_\_\_\_

Approval Number: \_\_\_\_\_ Expiry Date (3 years from Approval): \_\_\_\_\_

Special Conditions of Approval: