The University *of* Western Ontario
BIOLOGICAL AGENTS REGISTRY FORM
Approved Biohazards Subcommittee: August 12, 2011
Biosafety Website: www.uwo.ca/humanresources/biosafety/

This form must be completed by each Principal Investigator holding a grant administered by the University of Western Ontario (UWO) or in charge of a laboratory/facility where the use of Level 1, 2 or 3 biological agents is described in the laboratory or animal work proposed. The form must also be completed if any work is proposed involving animals carrying zoonotic agents infectious to humans or involving plants, fungi, or insects that require Public Health Agency of Canada (PHAC) or Canadian Food Inspection Agency (CFIA) permits.

This form must be updated at least every 3 years or when there are changes to the biological agents being used.

Containment Levels will be established in accordance with Laboratory Biosafety Guidelines, 3rd edition, 2004, Public Health Agency of Canada (PHAC) or Containment Standards for Veterinary Facilities, 1st edition 1996, Canadian Food Inspection Agency (CFIA).

Electronically completed forms are to be submitted to Occupational Health and Safety, (OHS), (Support Services Building, Room 4190 or to jstanle2@uwo.ca) for distribution to the Biohazards Subcommittee. For questions regarding this form, please contact the Biosafety Officer at extension 81135 or biosafety@uwo.ca. If there are changes to the information on this form (excluding grant title and funding agencies), contact Occupational Health and Safety for a modification form. See website: www.uwo.ca/humanresources/biosafety.

Please ensure that all questions are fully and clearly answered. Failure to do so will lead to the form being returned, which will cause delays in your approval and frustration for you and your colleagues on the Committee.

If you are re-submitting this form as requested by the Biohazards Subcommittee, please make modifications to the form in bold print, highlighted in yellow. Please re-submit forms electronically.

PRINCIPAL INVESTIGATOR:	**Dr Aaron Fenster**
DEPARTMENT:	**Imaging Research Laboratories**
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PHONE NUMBER:	**519-663-3833**
EMERGENCY PHONE NUMBER(S):	**519-663-3833**
EMAIL:	**afenster@imaging.robarts.ca**

Location of experimental work to be carried out :

Building : **Robarts Research Institute** Room(s): **3232**

Building : _____ Room(s): _____

Building : _____ Room(s): _____

***For work being performed at Institutions affiliated with the University of Western Ontario, the Safety Officer for the Institution where experiments will take place must sign the form prior to its being sent to the University of Western Ontario Biosafety Officer (See Section 15.0, Approvals).**

FUNDING AGENCY/AGENCIES: **NSERC**

GRANT TITLE(S): **Development and Application of Cryogenic micro-CT for Pathology and Gene Expression Studies of COPD**

UNDERGRADUATE COURSE NAME(IF APPLICABLE): **N/A**

List all personnel working under Principal Investigators supervision in this location:

Name	UWO E-mail Address	Date of Biosafety Training
Shayna McKay	**smckay@imaging.robarts.ca**	**September 13th 2005**
Grace Parraga	**gep@imaging.robarts.ca**	

Biosafety training?

Please explain how the biological agents are used in your project and how they are stored and disposed of. The BARF without this description will not be reviewed.

Frozen lung specimens will be sent from the Biobank at the University of British Columbia, and will be contained in cryogenic vials (specialty plastic) and sent on dry ice. The lung cores will be scanned with cryogenic micro-CT in the cryogenic vials - the samples will not be removed from the vials for any reason. Following the cryogenic micro-CT scan the samples will be returned to the University of British Columbia in the cryogenic vials on dry ice for histology and gene expression analysis.

Please include a ONE page research summary or teaching protocol in lay terms.
Forms with summaries more than one page will not be reviewed.

Chronic obstructive pulmonary disease (COPD), the fourth leading cause of death worldwide, remains a disease without a cure; the only treatments available to the one million Canadians living with COPD may alleviate symptoms of this disease but do not slow disease progression. State-of-the-art microCT of human lung tissue has lead to the recent discovery that the terminal bronchioles are obliterated in the lungs of patients with COPD, likely one of the earliest markers of the disease. This breakthrough in COPD research provides new treatment targets and potential markers for early disease diagnosis. This groundbreaking discovery based on results from microCT requires immediate validation by histology, the gold standard for understanding the pathogenesis of COPD. Provided that healthy lung tissue is heterogenous and heterogeneity increases with COPD, histological validation of microCT findings must be performed on the same lung samples, not possible with current technology. Thus, our overall objective is to provide microCT and histological evidence of terminal bronchiole obliteration from the same lung tissue core, based on samples acquired human lung tissue from patients with COPD as compared to healthy controls. Towards this objective we will 1) develop a cryogenic platform allowing for microCT of lung tissue cores at -72°C followed by histology on the same lung tissue, 2) develop methods for three-dimensional (3D) reconstruction of digitized histology images and semi-automated registration of 3D lung histology to microCT volumes, and 3) validate microCT findings using 3D histology measurements of the terminal bronchioles in tissue samples from COPD lungs acquired post-transplant and healthy lung tissue (unused healthy lung transplant specimens). Histological and microCT evidence of terminal bronchiole obliteration in COPD will solidify this as a novel marker of disease with potential for exploitation in therapeutics. Furthermore, cryogenic microCT allows for 3D, micron resolution imaging of tissue volumes with subsequent same tissue analysis ranging from histology to gene expression studies possible; the applications of this are widespread across tissue types and disease models.

1.0 Microorganisms

1.1 Does your work involve the use of biological agents? ☐ YES ☒ NO
(non-pathogenic and pathogenic biological agents including but not limited to bacteria and other microorganisms, viruses, prions, parasites or pathogens of plant or animal origin)? If no, please proceed to Section 2.0

Do you use microorganisms that require a permit from the CFIA? ☐ YES ☐ NO
If YES, please give the name of the species ____________________
What is the origin of the microorganism(s)? ____________________

Please describe the risk (if any) of escape and how this will be mitigated:

Please attach the CFIA permit.

Please describe any CFIA permit conditions:

1.2 Please complete the table below:

Full Scientific Name of Biological Agent(s)* (Be specific)	Is it known to be a human pathogen? YES/NO	Is it known to be an animal pathogen? YES/NO	Is it known to be a zoonotic agent? YES/NO	Maximum quantity to be cultured at one time? (in Litres)	Source/ Supplier	PHAC or CFIA Containment Level
	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No			☐ 1 ☐ 2 ☐ 2+ ☐ 3
	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No			☐ 1 ☐ 2 ☐ 2+ ☐ 3
	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No			☐ 1 ☐ 2 ☐ 2+ ☐ 3
	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No			☐ 1 ☐ 2 ☐ 2+ ☐ 3
	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No			☐ 1 ☐ 2 ☐ 2+ ☐ 3
	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No			☐ 1 ☐ 2 ☐ 2+ ☐ 3
	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No			☐ 1 ☐ 2 ☐ 2+ ☐ 3
	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No			☐ 1 ☐ 2 ☐ 2+ ☐ 3

****Please attach a Material Safety Data Sheet or equivalent from the supplier if the bacterium used is not on this link:***
http://www.uwo.ca/humanresources/docandform/docs/ohs/CFIA_Ecoli_list.pdf

Additional Comments: ____________________

2.0 Cell Culture

2.1 Does your work involve the use of cell cultures? ☐ YES ☒ NO
(If NO, please proceed to Section 3.0)

2.2 Please indicate the type of primary cells (i.e. derived from fresh tissue) that will be grown in culture:

Cell Type	Is this cell type used in your work?	Source of Primary Cell Culture Tissue	AUS Protocol Number
Human	☐ Yes ☐ No		Not applicable
Rodent	☐ Yes ☐ No		
Non-human primate	☐ Yes ☐ No		
Other (specify)	☐ Yes ☐ No		

2.3 Please indicate the type of established cells that will be grown in culture in:

Cell Type	Is this cell type used in your work?	Specific cell line(s)*	Containment Level of each cell line	Supplier / Source of cell line(s)
Human	☐ Yes ☐ No			
Rodent	☐ Yes ☐ No			
Non-human primate	☐ Yes ☐ No			
Other (specify)	☐ Yes ☐ No			

**Please attach a Material Safety Data Sheet or equivalent from the supplier. (For more information, see www.atcc.org)*

2.4 For above named cell types(s) indicate PHAC or CFIA containment level required ☐ 1 ☐ 2 ☐ 2+ ☐ 3

Additional Comments: _____

3.0 Use of Human Source Materials

3.1 Does your work involve the use of human source materials? ☒ YES ☐ NO
If no, please proceed to Section 4.0

3.2 Indicate in the table below the Human Source Material to be used.

Human Source Material	**Source/Supplier /Company Name**	**Is Human Source Material Infected With An Infectious Agent? YES/UNKNOWN**	**Name of Infectious Agent (If applicable)**	**PHAC or CFIA Containment Level (Select one)**
Human Blood (whole) or other Body Fluid		☐ Yes ☐ Unknown		☐1 ☐2 ☐2+ ☐3
Human Blood (fraction) or other Body Fluid		☐ Yes ☐ Unknown		☐1 ☐2 ☐2+ ☐3
Human Organs or Tissues (unpreserved)	**BioBank, UBC**	☐ Yes ☒ Unknown		☐1 ☒2 ☐2+ ☐3
Human Organs or Tissues (preserved)		Not Applicable		Not Applicable

Additional Comments: _____

4.0 Genetically Modified Organisms and Cell lines

4.1 Will genetic modifications be made to the microorganisms, biological agents, or cells described in Sections 1.0 and 2.0? ☐ YES ☒ NO If **NO**, please proceed to Section 5.0

4.2 Will genetic modification(s) involving plasmids be done? ☐ YES, complete table below ☐ NO

Bacteria Used for Cloning *	Plasmid(s) **	Source of Plasmid	Gene Transformed or Transfected	Will there be a change due to transformation of the bacteria?	Will there be a change in the pathogenicity of the bacteria after the genetic modification?	What are the consequences due to the transformation of the bacteria?

**** Please attach a Material Safety Data Sheet or equivalent if available.***
***** Please attach a plasmid map.***
******No Material Safety Data Sheet is required for the following strains of E. coli:***
http://www.uwo.ca/humanresources/docandform/docs/ohs/CFIA_Ecoli_list.pdf

4.3 Will genetic modification(s) of bacteria and/or cells involving viral vectors be made?
☐ YES, complete table below ☐ NO

Virus Used for Vector Construction	Vector(s) *	Source of Vector	Gene(s) Transduced	Describe the change that results from transduction

**** Please attach a Material Safety Data Sheet or equivalent.***

4.3.1 Will virus be replication defective? ☐ YES ☐ NO

4.3.2 Will virus be infectious to humans or animals? ☐ YES ☐ NO

4.3.3 Will this be expected to increase the containment level required? ☐ YES ☐ NO

5.0 Will genetic sequences from the following be involved?

- HIV ☒ NO ☐ YES, specify
- HTLV 1 or 2 or genes from any Level 1 or Level 2 pathogens ☒ NO ☐ YES, specify
- SV 40 Large T antigen ☒ NO ☐ YES
- E1A oncogene ☒ NO ☐ YES
- Known oncogenes ☒ NO ☐ YES, specify
- Other human or animal pathogen and or their toxins ☒ NO ☐ YES, specify

5.1 Is any work being conducted with prions or prion sequences? ☒ NO ☐ YES

Additional Comments: _____

6.0 Human Gene Therapy Trials

6.1 Will human clinical trials be conducted involving a biological agent? ☐ YES ☒ NO
(including but not limited to microorganisms, viruses, prions, parasites or pathogens of plant or animal origin)
If no, please proceed to Section 6.0

6.2 If YES, please specify which biological agent will be used:
Please attach a full description of the biological agent.

6.3 Will the biological agent be able to replicate in the host? ☐ YES ☐ NO

6.4 How will the biological agent be administered?

6.5 Please give the Health Care Facility where the clinical trial will be conducted:

6.6 Has human ethics approval been obtained? ☐ YES, number: ☐ NO ☐ PENDING

7.0 Animal Experiments

7.1 Will live animals be used? ☐ YES ☒ NO If **NO**, please proceed to section 8.0

7.2 Name of animal species to be used

7.3 AUS protocol #

7.4 Will any of the agents listed in section 4.0 be used in live animals
☐ NO ☐ YES, specify:

7.5 Will the agent(s) be shed by the animal:
☐ YES ☐ NO, please justify:

8.0 Use of Animal species with Zoonotic Hazards

8.1 Will any animals with zoonotic hazards or their organs, tissues, lavages or other body fluids including blood be used (see list below)? ☐ YES ☒ NO - If **NO**, please proceed to section 9.0

8.2 Will live animals be used? ☐ YES ☐ NO

8.3 If **YES**, please specify the animal(s) used:

♦ Pound source dogs	☐ YES	☐ NO
♦ Pound source cats	☐ YES	☐ NO
♦ Cattle, sheep or goats	☐ YES, species	☐ NO
♦ Non-human primates	☐ YES, species	☐ NO
♦ Wild caught animals	☐ YES, species & colony #	☐ NO
♦ Birds	☐ YES, species	☐ NO
♦ Others (wild or domestic)	☐ YES, specify	☐ NO

8.4 If no live animals are used, please specify the source of the specimens:

9.0 Biological Toxins and Hormones

9.1 Will toxins or hormones of biological origin be used? ☐ YES ☒ NO If **NO**, please proceed to Section 10.0

9.2 If YES, please name the toxin(s) or hormones(s)
Please attach information, such as a Material Safety Data Sheet, for the toxin(s) used.

9.3 What is the LD_{50} (specify species) of the toxin or hormone

9.4 How much of the toxin or hormone is handled at one time*?

9.5 How much of the toxin or hormone is stored*?

9.6 Will any biological toxins or hormones be used in live animals? ☐ YES ☐ NO
If **YES**, Please provide details:

*For information on biosecurity requirements, please see:
http://www.uwo.ca/humanresources/docandform/docs/healthandsafety/biosafety/Biosecurity_Requirements.pdf

Additional Comments: _____

10.0 Insects

10.1 Do you use insects? ☐ YES ☒ NO - If **NO**, please proceed to Section 11.0

10.2 If YES, please give the name of the species.

10.3 What is the origin of the insect?

10.4 What is the life stage of the insect?

10.5 What is your intention? ☐ Initiate and maintain colony, give location:
☐ "One-time" use, give location:

10.6 Please describe the risk (if any) of escape and how this will be mitigated:

10.7 Do you use insects that require a permit from the CFIA permit? ☐ YES ☐ NO
If **YES**, Please attach the CFIA permit & describe any CFIA permit conditions:

11.0 Plants

11.1 Do you use plants? ☐ YES ☒ NO - If **NO**, please proceed to Section 12.0

11.2 If YES, please give the name of the species.

11.3 What is the origin of the plant?

11.4 What is the form of the plant (seed, seedling, plant, tree...)?

11.5 What is your intention? ☐ Grow and maintain a crop ☐ "One-time" use

11.6 Do you do any modifications to the plant? ☐ YES ☐ NO
If yes, please describe:

11.7 Please describe the risk (if any) of loss of the material from the lab and how this will be mitigated:

11.8 Is the CFIA permit attached? ☐ YES ☐ NO
If **YES**, Please attach the CFIA permit & describe any CFIA permit conditions:

12.0 Import Requirements

12.1 Will any of the above agents be imported? ☐ YES, country of origin ☒ NO
If **NO**, please proceed to Section 13.0

12.2 Has an Import Permit been obtained from HC for human pathogens? ☐ YES ☐ NO

12.3 Has an import permit been obtained from CFIA for animal or plant pathogens? ☐ YES ☐ NO

12.4 Has the import permit been sent to OHS? ☐ YES, please provide permit # ☐ NO

13.0 Training Requirements for Personnel Named on Form

All personnel named on the above form who will be using any of the above named agents are required to attend the following training courses given by OHS:

- Biosafety
- Laboratory and Environmental/Waste Management Safety
- WHMIS (Western or equivalent)
- Employee Health and Safety Orientation

As the Principal Investigator, I have ensured that all of the personnel named on the form who will be using any of the biological agents in Sections 1.0 to 9.0 have been trained.

An X in the check box indicates you agree with the above statement... ☒
Enter Your Name Aaron Fenster ***Date:*** September 28th 2011

14.0 Containment Levels

14.1 For the work described in sections 1.0 to 9.0, please indicate the highest HC or CFIA Containment Level required. ☐ 1 ☒ 2 ☐ 2+ ☐ 3

14.2 Has the facility been certified by OHS for this level of containment?
☒ YES, location and date of most recent biosafety inspection: **RRI 3232, January 13, 2011**
☐ NO, please certify
☐ NOT REQUIRED for Level 1 containment

14.3 Please indicate permit number (not applicable for first time applicants): **BIO-RRI-0050 Dr. Holdsworth**

15.0 Procedures to be Followed

15.1 Are additional risk reduction measures necessary beyond containment level 1, 2, 2+ or 3 measures that are unique to these agents? ☒ YES ☐ NO

If **YES** please describe:

The lung tissue will be contained in a cryo vial and will not be removed from the vial for micro-CT scanning. Thus, no exposure to the frozen lung tissue is expected. If the lid of the vial were to come unscrewd and skin contact occurred, we will ensure universal precautions will be used including gloves, lab coats, safety glasses will be in place.

15.2 Please outline what will be done if there is an exposure to the biological agents listed such as a needlestick injury or an accidental splash:
If there is an exposure to the tissue the site will be washed with soap and water and the person will be sent to Workplace Health.

15.3 As the Principal Investigator, I will ensure that this project will follow the Western Biosafety Guidelines and Procedures Manual for Containment Level 1 & 2 Laboratories (and the Level 3 Facilities Manual for Level 3 projects). I will ensure that UWO faculty, staff and students working in my laboratory have an up-to-date Hazard Communication Form, found at http://www.shs.uwo.ca/workplace/newposition.htm

An X in the check box indicates you agree with the above statement... ☒
Enter Your Name Aaron Fenster ***Date:*** September 28th 2011

15.4 Additional Comments: **If the vial breaks and there is a spill on the floor all surfaces that have been exposed to the tissue will be decontaminated with 10% bleach as per the biosafety manual spill procedure.**

16.0 Approvals

1) UWO Biohazards Subcommittee:
SIGNATURE: _____
Date: _____

2) Safety Officer for the University of Western Ontario
SIGNATURE: _____
Date: _____

3) Safety Officer for Institution where experiments will take place (if not UWO):
SIGNATURE: Ronald Worthy
Date: October 18, 2011

Approval Number: _____ Expiry Date (3 years from Approval): _____

Special Conditions of Approval: