

**THE UNIVERSITY OF WESTERN ONTARIO
BIOLOGICAL AGENTS REGISTRY FORM**
Approved Biohazards Subcommittee: February 18, 2011
Biosafety Website: www.uwo.ca/humanresources/biosafety/

This form must be completed by each Principal Investigator holding a grant administered by the University of Western Ontario (UWO) or in charge of a laboratory/facility where the use of Level 1, 2 or 3 biological agents is described in the laboratory or animal work proposed. The form must also be completed if any work is proposed involving animals carrying zoonotic agents infectious to humans or involving plants, fungi, or insects that require Public Health Agency of Canada (PHAC) or Canadian Food Inspection Agency (CFIA) permits.

This form must be updated at least every 3 years or when there are changes to the biological agents being used.

Containment Levels will be established in accordance with Laboratory Biosafety Guidelines, 3rd edition, 2004, Public Health Agency of Canada (PHAC) or Containment Standards for Veterinary Facilities, 1st edition 1996, Canadian Food Inspection Agency (CFIA).

Completed forms are to be returned to Occupational Health and Safety, (OHS), (Support Services Building, Room 4190) for distribution to the Biohazards Subcommittee. For questions regarding this form, please contact the Biosafety Officer at extension 81135 or biosafety@uwo.ca. If there are changes to the information on this form (excluding grant title and funding agencies), contact Occupational Health and Safety for a modification form. See website: www.uwo.ca/humanresources/biosafety

PRINCIPAL INVESTIGATOR	<u>Dr. Argyrios Margaritis</u>
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EMERGENCY PHONE NUMBER(S)	<u>" "</u>
EMAIL	<u>amarg@uwo.ca</u>

Location of experimental work to be carried out: Building(s) SEB, TEB Room(s) 2035, 2033 & 313

*For work being performed at Institutions affiliated with the University of Western Ontario, the Safety Officer for the Institution where experiments will take place must sign the form prior to its being sent to the University of Western Ontario Biosafety Officer (See Section 15.0, Approvals).

FUNDING AGENCY/AGENCIES: NSERC
GRANT TITLE(S): LIGNOCELLULOSIC BUTANOL PRODUCTION FROM ANGRICULTURAL WASTE RESIDUES USING SOLVENTOGENIC CLOSTRIDIA

UNDERGRADUATE COURSE CODE (IF APPLICABLE): _____

List all personnel working under Principal Investigators supervision in this location:

<u>Name</u>	<u>UWO E-mail Address</u>	<u>Date of Biosafety Training</u>
Dr. Peter M. Kilonzo Postdoctoral Research Associate	pkilonz2@uwo.ca or pkilonzo@uwo.ca	2005-Present

Please explain the biological agents and/or biohazardous substances used and how they will be stored, used and disposed of. Projects without this description will not be reviewed.

YEAST STOCKS

Yeast (e.g., *S. cerevisiae*, *P. stipitis*) stocks are typically frozen in 20% glycerol at -80°C for long-term storage. Viability of frozen yeast cells depends on the specific strain and the health of the cells at the time of freezing. Cultures to be stored are typically started from "patched out" clones and grown in a suitable medium with agitation overnight.

Yeast Glycerol Stocks

Patch out clones on an appropriate plate. Incubate at 30°C for 2 days. Inoculate 6 ml of yeast extract-peptone-dextrose (YPD) medium with a "match head-full" of cells from the plate (this is a large inoculum; the culture will be turbid before incubation). Incubate at 30°C with agitation overnight. Add 2 ml of sterile 80% glycerol and mix thoroughly. Transfer 0.5-ml aliquots into 1 mL internally threaded Cryo ELITE cryogenic vials. Thoroughly shake the Cryo ELITE vials and freeze at -60°C or lower (typically -80°C). Yeast tend to die if frozen at temperatures above -55°C. Yeast strains can be stored at -80°C indefinitely by using this method. Note that strains grown in YPD medium before freezing have better long-term viability than those grown in selective medium.

Retrieval of Frozen Yeast Stocks

Never thaw frozen yeast stocks. Use a sterile loop, sterile wooden stick, or sterile disposable pipette to scratch the surface of the stock. Streak appropriate agar plates (e.g., YPD or selective agar plates) for single colonies. Recap the frozen stock and return it to storage at -80°C. Incubate the plate at 30°C for 2 days. Yeast can be stored at 4°C for ~6 months on YPD agar plates or for ~2 months on selective plates (i.e., SC plates with added supplements). Plates should be stored upside down at 4°C during this time. For long-term storage, seal the plates or place them in bags to keep them from drying out. Supplementing YPD medium with adenine prevents the toxicity caused by the red pigment produced by *ade2* strains that are stored at 4°C.

BACTERIAL STOCKS

Most bacterial (e.g., *Clostridium acetobutylicum*, *Clostridium beijerinckii*) stocks are frozen in 7% Dimethyl Sulfoxide (DMSO) or 15% glycerol at -80°C for long-term storage. Viability of frozen cells depends on the specific strain and the health of the cells at the time of freezing. Cultures to be stored are typically started from a single colony and grown in a suitable medium with agitation overnight (~10 – 15 hours).

DMSO Stocks

Transfer 1 mL of an overnight culture into a labeled 1.5 mL Wheaton Cryo ELITE Cryogenic vial and add 80 µL of DMSO. (Use DMSO from a bottle specifically dedicated for bacterial stock preparation. Never pipette directly from the stock bottle; aseptically remove an aliquot from the bottle and use the aliquot of DMSO to prepare the cultures.) Cap the cryogenic vial and mix gently. Store at -80°C. Long-term viability of stocks depends on the particular strain, but some bacterial stocks have been known to maintain good viability for up to 10 years after initial storage in DMSO.

Glycerol Stocks

Transfer 0.5 mL of an overnight culture into a labeled 1.5 mL Wheaton Cryo ELITE Cryogenic vial and add 0.5 mL of sterile 30% glycerol. Cap the tube and mix gently. Store at -80°C. Long-term viability of stocks depends on the particular strain. Alternatively, grow bacteria in medium containing 8 – 10% glycerol in plastic multiwall plates and store at -80°C. This method is typically used for storing cosmid, bacteriophage P1, BAC, and cDNA libraries.

Retrieval of Frozen Bacterial Stocks

Never thaw frozen bacterial stocks in DMSO or glycerol. Use sterile loop, sterile wooden stick, or sterile disposable pipette to scratch the surface of the stock. Streak appropriate agar plates (e.g., LB agar plates) for single colonies. Recap the frozen stock and return it to storage at -80°C. Incubate the plate overnight at 37°C. The colonies on a plate can be used for up to 1 week to inoculate cultures. Plates should be stored upside down at 4°C during this time.

All preparation work is done within the laminar sterile hood Biosafety cabinet level 1. Unused liquid media are collected and stored in labeled waste bottles. Petri-dishes that have the remaining of solid media are autoclaved and stored in special bags for disposal. Remains of fermentation broths are autoclaved at 121°C and 1 atm for 60 min prior to disposal. Wire loops, wooden sticks, or pipettes used during cell culture preparation are normally sterilized by autoclaving under similar conditions.

Clostridium beijerinckii will be used to ferment soluble starch to butanol, acetone and ethanol. The anaerobic fermentation data will be used to develop a new kinetic model that relates the growth of *Clostridium beijerinckii* cells and production of butanol, acetone and ethanol at different pH conditions and starch concentrations.

1 Abstract:

Clostridium acetobutylicum ATCC 824 was grown on a variety of different sugars [D-(+)-glucose, D-(+)-xylose, D-(+)-mannose, D-(+)-galactose, D-(-)-arabinose, and D-(+)-cellobiose] found in agricultural waste hydrolysates and assayed for acetone, butanol, and ethanol (ABE) production. The order of sugar utilization by the culture was D-(+)-glucose > D-(+)-cellobiose > D-(+)-mannose > D-(+)-xylose > D-(-)-arabinose > D-(+)-galactose. The high D-(+)-glucose utilization of 98% resulted to higher cell density of 2.49 g/L with the highest growth rate of 0.293 h⁻¹, than other sugars. In this system, ABE concentration of 18.3 g/L, was triggered by a total acid concentration of 11.5 g/L, but growth cessation took place at a total butanol and acid concentration of 24.1 g/L. Although the culture utilized 92% sugar from D-(+)-cellobiose and 86% from D-(+)-xylose, the resultant biomass concentration (1.53 and 1.82 g/L) was very low. In these system, a total acid concentration between 14 and 15 g/L triggered 19.0 and 13.9 g/L ABE g/L from D-(+)-cellbiose and D-(+)-xylose, respectively. Relatively high yield (0.33 g/g), productivity (0.47 g/L.h), and low growth rate of 0.069 h⁻¹ resulted from the D-(+)-cellobiose system. In both systems, growth cessation occurred at a total butanol and acid concentration between 25 and 27 g/L. Culture grown on mixed sugars utilized 70-90% total sugars. A total acid concentration between 23 and 28 g/L triggered only 13-16 g/L ABE, with relatively high yield and productivity of 0.37 and 0.43 g/L.h, whereas growth inhibition occurred at a total butanol and acid concentration between 30 and 39 g/L.

This research will continue to obtain more kinetic data in order to develop the kinetic model for cell growth of *Clostridium acetobutylicum* at different sugar concentrations.

Keywords: solvents, acetone-butanol-ethanol, butanol, acids, individual sugars, mixed sugars, C.

acetobutylicum ATCC 824

2 Abstract

Production of glucoamylase by recombinant *Saccharomyces cerevisiae* C468/pGAC9 (ATCC 20690) in a continuous stirred tank bioreactor was studied at different dilution rates. Plasmid stability was found to be growth (dilution rate) dependent; it increased with the dilution rate. Bioreactor productivity and specific productivity also increased with the dilution rate. A kinetic equation was used to model the plasmid stability kinetics. The growth rate ratio between plasmid-carrying and plasmid-free cells decreased from 1.397 to 1.215, and segregational instability or probability of plasmid loss from each cell division decreased from 0.059 to 0.020 as the dilution rate increased from 0.10 to 0.37 1/h. The specific growth rates increased with dilution rate, while the growth rate difference between plasmid-carrying and plasmid-free cell populations was negligible. This was attributed to the low copy number of the hybrid plasmid pGAC9. Thus, the growth rate had no significant effect on plasmid instability. The proposed kinetics was consistent with experimental results, and the model simulated the experimental data well.

Keywords: *Saccharomyces cerevisiae*, Glucoamylase, Kinetics, Dilution rate, Plasmid stability

This work has been completed and no more experiments are planned.

1.0 Microorganisms

1.1 Does your work involve the use of biological agents? • YES ○ NO
 (non-pathogenic and pathogenic biological agents including but not limited to bacteria and other microorganisms, viruses, prions, parasites or pathogens of plant or animal origin)? If no, please proceed to Section 2.0

Do you use microorganisms that require a permit from the CFIA? ○ YES • NO

If YES, please give the name of the species. _____

What is the origin of the microorganism(s)? _____

Please describe the risk (if any) of escape and how this will be mitigated:

Please attach the CFIA permit.

Please describe any CFIA permit conditions:

N/A

1.2 Please complete the table below:

Name of Biological Agent(s)* (Be specific)	Is it known to be a human pathogen? YES/NO	Is it known to be an animal pathogen? YES/NO	Is it known to be a zoonotic agent? YES/NO	Maximum quantity to be cultured at one time? (in Litres)	Source/ Supplier	PHAC or CFIA Containment Level
BACTERIA : <i>Clostridium acetobutylicum</i> ATCC 824 (Production of biofuel-biobutanol from sugars)	○ Yes • No	○ Yes • No	○ Yes • No	210mL	ATCC	• 1 ○ 2 ○ 2+ ○ 3
<i>Clostridium beijerinckii</i> (Production of biofuel-biobutanol from starch)						
YEAST: <i>Saccharomyces cerevisiae</i> (production of enzymes from sugars)	○ Yes • No	○ Yes • No	○ Yes • No			• 1 ○ 2 ○ 2+ ○ 3
	○ Yes ○ No	○ Yes ○ No	○ Yes ○ No			○ 1 ○ 2 ○ 2+ ○ 3
	○ Yes ○ No	○ Yes ○ No	○ Yes ○ No			○ 1 ○ 2 ○ 2+ ○ 3

*Please attach a Material Safety Data Sheet or equivalent from the supplier.

2.0 Cell Culture

2.1 Does your work involve the use of cell cultures?

YES NO

If no, please proceed to Section 3.0

2.2 Please indicate the type of primary cells (i.e. derived from fresh tissue) that will be grown in culture:

Cell Type	Is this cell type used in your work?	Source of Primary Cell Culture Tissue	AUS Protocol Number
Human	<input type="radio"/> Yes <input type="radio"/> No		Not applicable
Rodent	<input type="radio"/> Yes <input type="radio"/> No		
Non-human primate	<input type="radio"/> Yes <input type="radio"/> No		
Other (specify)	<input type="radio"/> Yes <input type="radio"/> No		

2.3 Please indicate the type of established cells that will be grown in culture in:

Cell Type	Is this cell type used in your work?	Specific cell line(s)*	Containment Level of each cell line	Supplier / Source of cell line(s)
Human	<input type="radio"/> Yes <input type="radio"/> No			
Rodent	<input type="radio"/> Yes <input type="radio"/> No			
Non-human primate	<input type="radio"/> Yes <input type="radio"/> No			
Microorganism	<input checked="" type="radio"/> Yes <input type="radio"/> No	Bacteria Yeast	1 1	ATCC ATCC

*Please attach a Material Safety Data Sheet or equivalent from the supplier. (For more information, see www.atcc.org)

2.4 For above named cell types(s) indicate PHAC or CFIA containment level required 1 2 2+ 3

3.0 Use of Human Source Materials

3.1 Does your work involve the use of human source materials?

YES NO

If no, please proceed to Section 4.0

3.2 Indicate in the table below the Human Source Material to be used.

Human Source Material	Source/Supplier /Company Name	Is Human Source Material Infected With An Infectious Agent? YES/UNKNOWN	Name of Infectious Agent (If applicable)	PHAC or CFIA Containment Level (Select one)
Human Blood (whole) or other Body Fluid		<input type="radio"/> Yes <input type="radio"/> Unknown		<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
Human Blood (fraction) or other Body Fluid		<input type="radio"/> Yes <input type="radio"/> Unknown		<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
Human Organs or Tissues (unpreserved)		<input type="radio"/> Yes <input type="radio"/> Unknown		<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 2+ <input type="radio"/> 3
Human Organs or Tissues (preserved)		Not Applicable		Not Applicable

4.7 Will this be expected to increase the containment level required? YES NO

5.0 Human Gene Therapy Trials

5.1 Will human clinical trials be conducted involving a biological agent? YES NO
(including but not limited to microorganisms, viruses, prions, parasites or pathogens of plant or animal origin)
If no, please proceed to Section 6.0

5.2 If YES, please specify which biological agent will be used: _____
Please attach a full description of the biological agent.

5.2 Will the biological agent be able to replicate in the host? YES NO

5.3 How will the biological agent be administered? _____

5.4 Please give the Health Care Facility where the clinical trial will be conducted: _____

5.5 Has human ethics approval been obtained? YES, number: _____ NO PENDING

6.0 Animal Experiments

6.1 Will live animals be used? YES NO If no, please proceed to section 7.0

6.2 Name of animal species to be used: _____

6.3 AUS protocol # _____

6.4 Will any of the agents listed in section 4.0 be used in live animals YES, specify: _____ NO

6.5 Will the agent(s) be shed by the animal: YES NO, please justify:

7.0 Use of Animal species with Zoonotic Hazards

7.1 Will any animals with zoonotic hazards or their organs, tissues, lavages or other body fluids including blood be used (see list below)? YES No If no, please proceed to section 8.0

7.2 Will live animals be used? YES No

7.3 If yes, please specify the animal(s) used:

- ◆ Pound source dogs YES NO
- ◆ Pound source cats YES NO
- ◆ Cattle, sheep or goats YES, please specify species _____ NO
- ◆ Non-human primates YES, please specify species _____ NO
- ◆ Wild caught animals YES, please specify species & colony # _____ NO
- ◆ Birds YES, please specify species _____ NO
- ◆ Others (wild or domestic) YES, please specify _____ NO

7.4 If no live animals are used, please specify the source of the specimens:

9.7 Do you use insects that require a permit from the CFIA permit? YES NO
If YES, Please attach the CFIA permit & describe any CFIA permit conditions:

10.0 Plants

10.1 Do you use plants? YES NO If no, please proceed to Section 11.0

10.2 If YES, please give the name of the species. _____

10.3 What is the origin of the plant? _____

10.4 What is the form of the plant (seed, seedling, plant, tree...)? _____

10.5 What is your intention? Grow and maintain a crop "One-time" use

10.6 Do you do any modifications to the plant? YES NO
If yes, please describe: _____

10.7 Please describe the risk (if any) of loss of the material from the lab and how this will be mitigated:

10.8 Is the CFIA permit attached? YES NO
If YES, Please attach the CFIA permit & describe any CFIA permit conditions:

11.0 Import Requirements

11.1 Will any of the above agents be imported? YES, please give country of origin _____ NO
If no, please proceed to Section 12.0

11.2 Has an Import Permit been obtained from HC for human pathogens? YES NO

11.3 Has an import permit been obtained from CFIA for animal or plant pathogens? YES NO

11.4 Has the import permit been sent to OHS? YES, please provide permit # _____ NO

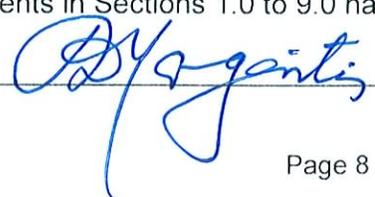
12.0 Training Requirements for Personnel Named on Form

All personnel named on the above form who will be using any of the above named agents are required to attend the following training courses given by OHS:

- ◆ Biosafety
- ◆ Laboratory and Environmental/Waste Management Safety
- ◆ WHMIS (Western or equivalent)
- ◆ Employee Health and Safety Orientation

As the Principal Investigator, I have ensured that all of the personnel named on the form who will be using any of the biological agents in Sections 1.0 to 9.0 have been trained.

SIGNATURE _____



15.0 Approvals

1) UWO Biohazards Subcommittee: SIGNATURE: _____
Date: _____

2) Safety Officer for the University of Western Ontario
SIGNATURE: _____
Date: _____

3) Safety Officer for Institution where experiments will take place (if not UWO):
SIGNATURE: _____
Date: _____

Approval Number: _____ Expiry Date (3 years from Approval): _____

Special Conditions of Approval:

Bacteria

ATCC® Number: **824™** [Order this Item](#) Price: **\$205.00**

Organism: *Clostridium acetobutylicum* McCoy et al. emend. Keis et al. deposited as *Granulobacter pectinovorum* (Stormer) Beijerinck

Designations: [CCRC 10639, CCUG 42182, DSM 792, IAM 19013, IFO 13948, JCM 1419, KCTC 1790, L.S. McClung 2291, LMG 5710, McCoy and McClung strain W, NCCB 29024, NCCB 84048, NCIMB 8052, VKM B-1787]

Isolation: plant-derived foodstuff (corn meal)

Depositor: ER Weyer

Biosafety Level: 1

Shipped: freeze-dried

Growth Conditions: ATCC medium2107: Reinforced clostridial broth (modified)

Temperature: 37.0°C

Atmosphere: Anaerobic

Permits/Forms: In addition to the MTA mentioned above, other ATCC and/or regulatory permits may be required for the transfer of this ATCC material. Anyone purchasing ATCC material is ultimately responsible for obtaining the permits. Please click here for information regarding the specific requirements for shipment to your location.

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Bacteria

ATCC® Number: **858™** [Order this Item](#) Price: **\$255.00**

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Organism: *Clostridium beijerinckii* Donker emend. Keis et al.
 Designations: LMD 25.10 [NCIB 11373, NCTC 2264, VPI 11896]
 Isolation: pasteurized garden soil
 Depositor: J van der Toorn
 History: ATCC <<--J van der Toorn<<--H.J.L. Donker 7 (<<--- A.J. Kluver <<--- H.J.L. Donker)
Biosafety Level: 1
 Shipped: freeze-dried
 Growth Conditions: ATCC medium38: Beef liver medium for anaerobes
Temperature: 37.0°C
 Duration: anaerobic
 Permits/Forms: In addition to the [MTA](#) mentioned above, other [ATCC and/or regulatory permits](#) may be required for the transfer of this ATCC material. Anyone purchasing ATCC material is ultimately responsible for obtaining the permits. Please [click here](#) for information regarding the specific requirements for shipment to your location.
 References: 5543: Donker HJLBijdrage tot de Kennis der Botersuur-, butylacohlen acetonigistingen Ph.D. thesis, Delft Univ. Technol., 1926

Fungi ,Yeasts and Yeast Genetic Stock

ATCC® Number: **62995™** Price: **\$275.00**

Organism: *Saccharomyces cerevisiae* Meyen ex E.C. Hansen deposited as *Saccharomyces cerevisiae* Hansen, teleomorph

Alternate State: *Candida robusta* Diddens et Lodder

Designations: NRC 5140 [ATCC 66527, C468, CMCC 1398, LL20, NCYC 1445]

Depositors: RK Latta

Biosafety Level: 1

Shipped: frozen

Genotype/ORF/
Gene Name: MATalpha leu2-3 leu2-112 his3-11 his3-15 [psi+] [cir+]

Growth Conditions: ATCC medium 1245: YEPD
Temperature: 25.0°C

Permits/Forms: In addition to the MTA mentioned above, other ATCC and/or regulatory permits may be required for the transfer of this ATCC material. Anyone purchasing ATCC material is ultimately responsible for obtaining the permits. Please click here for information regarding the specific requirements for shipment to your location.

Applications: transformation host [20995] [20124]

Mating Type: alpha

Karyotype: Ploidy: haploid

Comments: Expression of *Aspergillus awamori* glucoamylase gene [20314] [21188]

Sensitive to *Kluyveromyces lactis* toxin [20534]

Subcollection: Yeasts

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References:

Clone

ATCC® Number: **20690** Price: **\$275.00**

Designation: pGAC9 [pYepGAC9]
 Depositors: Cetus Corp., A Belt, Cetus Corp.
 Insert Source: *Saccharomyces cerevisiae* Meyen ex E.C. Hansen

DNA: cDNA
 Insert Information: Insert lengths(kb): 2.10999895095825
 Gene product: glucoamylase

Biosafety Level: 1

Shipped: frozen

Permits/Forms: In addition to the [MTA](#) mentioned above, other [ATCC and/or regulatory permits](#) may be required for the transfer of this ATCC material. Anyone purchasing ATCC material is ultimately responsible for obtaining the permits. Please [click here](#) for information regarding the specific requirements for shipment to your location.

Size (kb): 10.6700000762939500

Vector: pAC1 (plasmid)

Promoters: Promoter enolase I

Construction: pBR322, peno 46, LEU2, 2 micron

Marker(s):LEU2,ampR

Vector: Construct size (kb): 10.67000007629395

Features: marker(s): ampR, LEU2

promoter: enolase I

replicon: pMB1, 2 micron

terminator: enolase I

Comments: Production of glucoamylase [[12209](#)]
 The insert contains the full-length cDNA and 3' poly(A), minus the four introns. [[12209](#)]

Media Description: [ATCC medium 1212](#): Yeast synthetic minimal medium

References: 12209: Nunberg J, et al. Glucoamylase cDNA. US Patent 4,794,175 dated Dec 27 1988

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