

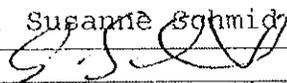
**THE UNIVERSITY OF WESTERN ONTARIO  
BIOHAZARDOUS AGENTS REGISTRY FORM**  
Approved Biohazards Subcommittee: March 27, 2009  
Biosafety Website: [www.uwo.ca/humanresources/biosafety/](http://www.uwo.ca/humanresources/biosafety/)

This form must be completed by each Principal Investigator holding a grant administered by the University of Western Ontario or in charge of a laboratory/facility where the use of Level 1, 2 or 3 biohazardous agents is described in the laboratory or animal work proposed. The form must also be completed if any work is proposed involving animals carrying zoonotic agents infectious to humans or involving plants, fungi, or insects that require Public Health Agency of Canada (PHAC) or Canadian Food Inspection Agency (CFIA) permits.

This form must also be updated at least every 3 years or when there are changes to the biohazards being used.

Containment Levels will be established in accordance with Laboratory Biosafety Guidelines, 3rd edition, 2004, Public Health Agency of Canada (PHAC) or Containment Standards for Veterinary Facilities, 1<sup>st</sup> edition 1996, Canadian Food Inspection Agency (CFIA).

Completed forms are to be returned to Occupational Health and Safety, (OHS), (Support Services Building, Room 4190) for distribution to the Biohazard Subcommittee. For questions regarding this form, please contact the Biosafety Officer at extension 81135 or [biosafety@uwo.ca](mailto:biosafety@uwo.ca). If there are changes to the information on this form (excluding grant title and funding agencies), contact Occupational Health and Safety for a modification form. See website: [www.uwo.ca/humanresources/biosafety/](http://www.uwo.ca/humanresources/biosafety/)

PRINCIPAL INVESTIGATOR	Dr. Susanne Schmid
SIGNATURE	
DEPARTMENT	Anatomy & Cell Biology
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PHONE NUMBER	519-661 2111 ext. 82668
EMERGENCY PHONE NUMBER(S)	
EMAIL	<a href="mailto:susanne.schmid@schulich.uwo.ca">susanne.schmid@schulich.uwo.ca</a>

Location of experimental work to be carried out: Building(s) MSB Room(s) 473

\*For work being performed at Institutions affiliated with the University of Western Ontario, the Safety Officer for the Institution where experiments will take place must sign the form prior to its being sent to the University of Western Ontario Biosafety Officer (See Section 12.0, Approvals).

FUNDING AGENCY/AGENCIES: NSERC, OMHF, UWO  
GRANT TITLE(S): Mechanisms underlying habituation of startle  
Mechanisms underlying disruption of prepulse inhibition

**PLEASE ATTACH A BRIEF DESCRIPTION OF YOUR WORK THAT EXPLAINS THE BIOHAZARDS USED AND HOW THEY WILL BE USED. PROJECTS SUBMITTED WITHOUT A SUMMARY WILL NOT BE REVIEWED.**

Names of all personnel working under Principal Investigators supervision in this location:

<u>Susanne Schmid</u>	_____
<u>Tyler Brown</u>	_____
<u>Farinna Pinnock</u>	_____
<u>Laura Walker</u>	_____
<u>Megan Clark</u>	_____

## 1.0 Microorganisms

1.1 Does your work involve the use of microorganisms or biological agents of plant or animal origin (including but not limited to viruses, prions, parasites, bacteria)?  YES  NO  
 If no, please proceed to Section 2.0

Do you use microorganisms that require a permit from the CFIA?  YES  NO

If YES, please give the name of the species. \_\_\_\_\_

What is the origin of the microorganism(s)? \_\_\_\_\_

Please describe the risk (if any) of escape and how this will be mitigated:

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Please attach the CFIA permit.

Please describe any CFIA permit conditions:

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1.2 Please complete the table below:

Name of Biological agent(s)*	Is it known to be a human pathogen? YES/NO	Is it known to be an animal pathogen? YES/NO	Is it known to be a zoonotic agent? YES/NO	Maximum quantity to be cultured at one time? (in Litres)	Source/ Supplier	PHAC or CFIA Containment Level
	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No			<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No			<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No			<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No			<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3

\*Please attach a Material Safety Data Sheet or equivalent from the supplier.

## 2.0 Cell Culture

2.1 Does your work involve the use of cell cultures?  YES  NO

If no, please proceed to Section 3.0

2.2 Please indicate the type of primary cells (i.e. derived from fresh tissue) that will be grown in culture in the table below

Cell Type	Is this cell type used in your work?	Source of Primary Cell Culture Tissue	AUS Protocol Number
Human	<input type="radio"/> Yes <input type="radio"/> No		Not applicable
Rodent	<input type="radio"/> Yes <input type="radio"/> No		
Non-human primate	<input type="radio"/> Yes <input type="radio"/> No		
Other (specify)	<input type="radio"/> Yes <input type="radio"/> No		

2.3 Please indicate the type of established cells that will be grown in culture in the table below.

Cell Type	Is this cell type used in your work?	Specific cell line(s)*	Supplier / Source
Human	<input type="radio"/> Yes <input type="radio"/> No		
Rodent	<input type="radio"/> Yes <input type="radio"/> No		
Non-human primate	<input type="radio"/> Yes <input type="radio"/> No		
Other (specify)	<input type="radio"/> Yes <input type="radio"/> No		

\*Please attach a Material Safety Data Sheet or equivalent from the supplier. (For more information, see [www.atcc.org](http://www.atcc.org))

2.4 For above named cell type(s) indicate PHAC or CFIA containment level required  1  2  3

### 3.0 Use of Human Source Materials

3.1 Does your work involve the use of human source materials?  YES  NO  
If no, please proceed to Section 4.0

3.2 Indicate in the table below the Human Source Material to be used.

Human Source Material	Source/Supplier /Company Name	Is Human Source Material Known to Be Infected With An Infectious Agent? YES/NO	Name of Infectious Agent (If applicable)	PHAC or CFIA Containment Level (Select one)
Human Blood (whole) or other Body Fluid		<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
Human Blood (fraction) or other Body Fluid		<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
Human Organs or Tissues (unpreserved)		<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
Human Organs or Tissues (preserved)		<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3

### 4.0 Genetically Modified Organisms and Cell lines

4.1 Will genetic modifications be made to the microorganisms, biological agents, or cells described in Sections 1.0 and 2.0?  YES  NO If no, please proceed to Section 5.0

4.2 Will genetic modification(s) involving plasmids be done?  YES, complete table below  NO

Bacteria Used for Cloning *	Plasmid(s) *	Source of Plasmid	Gene Transfected	Describe the change that results

\* Please attach a Material Data Sheet or equivalent if available.

4.3 Will genetic modification(s) involving viral vectors be done?  YES, complete table below  NO

Virus Used for Transduction *	Vector(s) *	Source of Vector	Gene Transfected	Describe the change that results

\* Please attach a Material Safety Data Sheet or equivalent.

4.4 Will genetic sequences from the following be involved?

- ◆ HIV  YES, please specify \_\_\_\_\_  NO
- ◆ HTLV 1 or 2 or genes from any Level 1 or Level 2 pathogens  YES, specify \_\_\_\_\_  NO
- ◆ SV 40 Large T antigen  YES  NO
- ◆ E1A oncogene  YES  NO
- ◆ Known oncogenes  YES, please specify \_\_\_\_\_  NO
- ◆ Other human or animal pathogen and or their toxins  YES, please specify \_\_\_\_\_  NO

4.5 Will virus be replication defective?  YES  NO

4.6 Will virus be infectious to humans or animals?  YES  NO

4.7 Will this be expected to increase the containment level required?  YES  NO

### 5.0 Human Gene Therapy Trials

5.1 Will human clinical trials be conducted using the viral vector in 4.0?  YES  NO  
 If no, please proceed to Section 6.0 If YES attach a full description of the make-up of the virus.

5.2 Will virus be able to replicate in the host?  YES  NO

5.3 How will the virus be administered? \_\_\_\_\_

5.4 Please give the Health Care Facility where the clinical trial will be conducted: \_\_\_\_\_

5.5 Has human ethics approval been obtained?  YES, number: \_\_\_\_\_  NO  PENDING

### 6.0 Animal Experiments

6.1 Will live animals be used?  YES  NO If no, please proceed to section 7.0

6.2 Name of animal species to be used rats

6.3 AUS protocol # 2008-010 and 2008-006

6.4 Will any of the agents listed be used in live animals  YES, specify: \_\_\_\_\_  NO



**10.0 Plants Requiring CFIA Permits**

10.1 Do you use plants that require a permit from the CFIA?  YES  NO  
If no, please proceed to Section 11.0

10.2 If YES, please give the name of the species. \_\_\_\_\_

10.3 What is the origin of the plant? \_\_\_\_\_

10.4 What is the form of the plant (seed, seedling, plant, tree...)? \_\_\_\_\_

10.5 What is your intention?  Grow and maintain a crop  "One-time" use

10.6 Do you do any modifications to the plant?  YES  NO  
If yes, please describe: \_\_\_\_\_  
\_\_\_\_\_

10.7 Please describe the risk (if any) of loss of the material from the lab and how this will be mitigated:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10.8 Is the CFIA permit attached?  YES  NO

10.9 Please describe any CFIA permit conditions:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**11.0 Import Requirements**

11.1 Will any of the above agents be imported?  YES, please give country of origin \_\_\_\_\_  
If no, please proceed to Section 10.0  NO

11.2 Has an Import Permit been obtained from HC for human pathogens?  YES  NO

11.3 Has an import permit been obtained from CFIA for animal or plant pathogens?  YES  NO

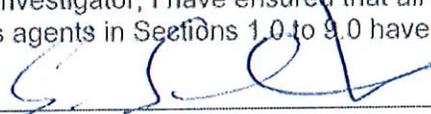
11.4 Has the import permit been sent to OHS?  YES, please provide permit # \_\_\_\_\_  NO

**12.0 Training Requirements for Personnel Named on Form**

All personnel named on the above form who will be using any of the above named agents are required to attend the following training courses given by OHS:

- ◆ Biosafety
- ◆ Laboratory and Environmental/Waste Management Safety
- ◆ WHMIS (Western or equivalent)
- ◆ Employee Health and Safety Orientation

As the Principal Investigator, I have ensured that all of the personnel named on the form who will be using any of the biohazardous agents in Sections 1.0 to 9.0 have been trained.

SIGNATURE 

13.0 Containment Levels

11.1 For the work described in sections 1.0 to 9.0, please indicate the highest HC or CFIA Containment Level required.

Level 1  
01 02 03  
2

13.2 Has the facility been certified by OHS for this level of containment?

- YES, permit # if on-campus
NO, please certify
NOT REQUIRED for Level 1 containment

14.0 Procedures to be Followed

14.1 As the Principal Investigator, I will ensure that this project will follow the Western Biosafety Guidelines and Procedures Manual for Containment Level 1 & 2 Laboratories (and the Level 3 Facilities Manual for Level 3 projects). I will ensure that UWO faculty, staff and students working in my laboratory have an up-to-date Hazard Communication Form, found at http://www.wph.uwo.ca/

SIGNATURE [Signature] Date: 04/06/09

15.0 Approvals

UWO Biohazard Subcommittee: SIGNATURE: [Signature] Date: 1 May 2009

Safety Officer for Institution where experiments will take place: SIGNATURE: [Signature] Date: May 1/09

Safety Officer for University of Western Ontario (if different from above): SIGNATURE: Date:

Approval Number: B10--UWO--0216 Expiry Date (3 years from Approval): April 30, 2012

Special Conditions of Approval:

## Description of procedures

We perform behavioural experiments using adult Sprague Dawley rats. Rats are handled and placed into startle boxes in order to measure startle responses. Some animals will be injected systemically with specific neurotransmitter agonists and antagonists. Most of them are toxic in higher doses. We use and store them in microgram quantities.

Other animals undergo stereotaxic surgery in order to have chronic cannulae implanted into their skull. They also are injected with tiny amounts (nanomol quantities) of specific neurotransmitter agonists and antagonists.

We transcardially perfuse these animals after experimentation and remove brains from the skull. We perform tissue slicing from frozen brains and stain them using classical histological procedures.

We also perform electrophysiological experiments in acute rat brain slices. Animals are anaesthetized and decapitated for this procedure. Brains are removed and sliced, using a standard vibrating microtome. Slices are kept in a beaker for a day and transferred to the microscope for experiments. They might get perfused with neurotransmitter agonists and antagonists during these experiments.

# Modification Form for Permit BIO-UWO-0216

**Permit Holder: Susanne Schmid**

## Approved Personnel

(Please stroke out any personnel to be removed)

Farina Pinnock

Megan Clark

Tyler Brown

## Additional Personnel

(Please list additional personnel here)

Bridget Valsamis

Duncan Mac Laren

Clara Wende

	<b>Please stroke out any approved Biohazards to be removed below</b>	<b>Write additional Biohazards for approval below. *</b>
<b>Approved Microorganisms</b>		
<b>Approved Cells</b>		
<b>Approved Use of Human Source Material</b>		
<b>Approved GMO</b>		
<b>Approved use of Animals</b>	rats	
<b>Approved Toxin(s)</b>		diphtheria fusion toxin

\* PLEASE ATTACH A MATERIAL SAFETY DATA SHEET OR EQUIVALENT FOR NEW BIOHAZARDS.

\*\* PLEASE ATTACH A BRIEF DESCRIPTION OF THE WORK THAT EXPLAINS THE BIOHAZARDS USED AND HOW THEY WILL BE USED.

As the principal investigator, I have ensured that all of the personnel named on the form have been trained. I will ensure that this project will follow the Western Biosafety Guidelines and Procedures Manual for Containment Level 1 2 Laboratories (and the Level 3 Facilities Manual for Level 3 projects). I will ensure that UWO faculty, staff and students working in my laboratory have an up-to-date Hazard Communication Form, found at <http://www.wph.uwo.ca>.

Signature of Permit Holder: \_\_\_\_\_



Classification: \_\_\_\_\_

1

Date of Last Biohazardous Agents Registry Form: \_\_\_\_\_

May 1, 2009

Date of Last Modification (if applicable): \_\_\_\_\_

BioSafety Officer(s): \_\_\_\_\_

Chair, Biohazards Subcommittee: \_\_\_\_\_

## Diphtheria Fusion Toxin

### Construction of Toxin (Steward Clark, University of California Irvine)

Toxin construction was done by genetically replacing the targeting domain of Dtx with an alanine and glycine ether (similar in sequence to the N-terminal of the carp UII orthologue) linked to the last eight amino acids of the rat UII sequence (Dtx-UII). One form of the fusion toxin was constructed with a disrupted disulphide bond, dismantling the cyclic nature of UII, which is known to be essential for receptor binding (Itoh et al. 1988). This construct was used as an untargeted control. Targeting domain constructs were obtained from Sigma-Aldrich (St Louis, MO, USA) as complementary oligonucleotides with appropriate sticky splice sites available (list below), and these were then phosphorylated and annealed. The resulting double stranded DNA was then ligated into pETJVI127 DAB389-IL-2 (gift from Drs J. Van der Spek and J. Murphy, University Hospital, Boston, MA, USA) which had the sequence for IL-2 removed by excision with SphI and HindIII (NOPE: we have never requested, nor have ever had, the cDNA of the natural form of Dtx which includes that naturally occurring targeting domain). Plasmids were grown in DH5 $\alpha$  bacteria and verification of the sequence of single clones was done by automated DNA sequencing (Laragen, Los Angeles, CA, USA) with the primer: 5'-CGGGTATCGGTAGCGTAATGG-3', which anneals to the Dtx sequence.

### B) Dtx-CUK, SphI-His6-UII-HindIII

```
A  H H H H H H K K A A G G G A E C F W K Y C I .  
   ca cat cat cat cat cat cac aaa aaa gcg gcg ggc ggc ggc gcg gaa tgc ttc tgg aaa tac tgc att ta  
3' gta cgt gta gta gta gta gta gtg gtg ttt ttt cgc cgc ccg ccg ccg cgc ctt acg aag acc ttt atg acg taa att cga 5'
```

### Material Safety

The urotensin II receptor is known to be expressed in the brain, vasculature, liver and kidney. These organs will be particularly vulnerable to toxin exposure. Therefore, any instances of puncture wounds with contaminated materials should be treated seriously. The effected individual should seek medical attention. Splashes on to skin should be treated by thorough washing of the affected area. Inadvertent splashes into eyes or other mucosal membranes should be flushed, and medical attention should be sought. The isolated toxin is **NOT** a self-replicating / pathogenic agent. Therefore, much like a chemical toxin, exposure to the toxin will have acute effects. Also, it has been shown in cell culture toxicity assays that the toxin is 10 000 times less toxic to cells which do not express the UII receptor than those that do. Therefore, a superficial exposure which is dealt with quickly should not result in any deleterious consequences.

### Handling at UWO

We will use the toxin for stereotaxic injections into a specific area of the rat brain in order to lesion a specific cholinergic cell group. We will receive a total of 1mL, that we will reconstitute and aliquot. We will be using a ~3% solution for our injections with injection volumes being in the submicrolitre range. Once the toxin has been stereotaxically injected into the brain of the animal, and the wound sutured closed, there should be no exposure risk to laboratory or animal care staff. The amounts that are injected have been shown not produce detectable toxicity outside a 5mm<sup>3</sup> within the brain, so the risk of this toxin being spread outside the cranium is very low. The toxin is proteiaceous in nature and so normal protease activity within the brain will deactivate the toxin by cleaving the protein.

During surgery and microinjection laboratory personnel Dry contaminated materials will be collected carefully into biohazard bags (red colour), sealed and autoclaved before disposed as trash. Non-autoclaved disposable glassware will be kept in a separate, clear marked container, containing liquid bleach for 24 hours soaking before it is disposed as regular glassware. Liquid waste will be aspirated directly into collecting bottle which contains 10% bleach solution. Decontamination solution will be disposed as directed for liquid as described below. Solid waste will be placed in a plastic bag and disposed as biohazard waste. Liquids: All toxin solution must be diluted 1:1 with the decontamination solution for one-half hour or more. After decontamination aqueous wastes can be disposed of down the drain.