POLICY 2.14 – Disclosure of Information on UWO Investments

Policy Category: Financial
Effective Date: May 4, 2006
Revised: October 21, 1977

POLICY

1.00 The President or the Vice-President (Finance & Operations) is authorized to respond to bona fide requests to the extent of advising that the University has, or does not have, an investment in a particular company or of providing a list of the companies in which the University has an investment. Bona fide requests are defined as those which come from members of the University community or from recognized organizations in the University community.

2.00 Requests which are not deemed by the President or the Vice-President (Finance & Operations) to be bona fide as defined above or which extend beyond company names will be referred to the Senior Operations Committee of the Board of Governors with the recommendation of the President.

3.00 This policy is not intended to restrict the interchange of information with investment dealers, bankers, etc. in the normal conduct of the investment management function.