Lectures on Homotopy Theory

http://uwo.ca/math/faculty/jardine/courses/homth/homotopy_theory.html

Basic References

- [1] J. F. Jardine. Lectures on Homotopy Theory. http://uwo.ca/math/faculty/jardine/courses/homth/homotopy_theory.html, 2016
- [2] Paul G. Goerss and John F. Jardine. *Simplicial homotopy theory*. Modern Birkhäuser Classics. Birkhäuser Verlag, Basel, 2009. Reprint of the 1999 edition
- [3] Mark Hovey. *Model categories*, volume 63 of *Mathematical Surveys and Monographs*. American Mathematical Society, Providence, RI, 1999
- [4] Saunders Mac Lane. Categories for the Working Mathematician, volume 5 of Graduate Texts in Mathematics. Springer-Verlag, New York, second edition, 1998

Contents

1	Chain complexes	2
2	Ordinary chain complexes	٥
3	Closed model categories	22

1 Chain complexes

 $R = \text{commutative ring with 1 (eg. } \mathbb{Z}, \text{ a field } k)$ R-modules: basic definitions and facts

• $f: M \to N$ an *R*-module homomorphism:

The $kernel \ker(f)$ of f is defined by

$$\ker(f) = \{ \text{all } x \in M \text{ such that } f(x) = 0 \}.$$

 $\ker(f) \subset M$ is a submodule.

The *image* $\operatorname{im}(f) \subset N$ of f is defined by

$$im(f) = \{ f(x) \mid x \in M \}.$$

The cokernel cok(f) of f is the quotient

$$cok(f) = N/im(f)$$
.

• A sequence

$$M \xrightarrow{f} M' \xrightarrow{g} M''$$

is *exact* if $\ker(g) = \operatorname{im}(f)$. Equivalently, $g \cdot f = 0$ and $\operatorname{im}(f) \subset \ker(g)$ is surjective.

The sequence $M_1 \to M_2 \to \cdots \to M_n$ is *exact* if $\ker = \operatorname{im}$ everywhere.

Examples: 1) The sequence

$$0 \to \ker(f) \to M \xrightarrow{f} N \to \operatorname{cok}(f) \to 0$$

is exact.

2) The sequence

$$0 \to M \xrightarrow{f} N$$

is exact if and only if f is a monomorphism (monic, injective)

3) The sequence

$$M \xrightarrow{f} N \to 0$$

is exact if and only if f is an epimorphism (epi, surjective).

Lemma 1.1 (Snake Lemma). Given a commutative diagram of R-module homomorphisms

$$A_{1} \longrightarrow A_{2} \xrightarrow{p} A_{3} \longrightarrow 0$$

$$\downarrow f_{1} \qquad \downarrow f_{2} \qquad \downarrow f_{3}$$

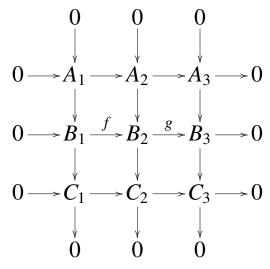
$$0 \longrightarrow B_{1} \xrightarrow{i} B_{2} \longrightarrow B_{3}$$

in which the horizontal sequences are exact. There is an induced exact sequence

$$\ker(f_1) \to \ker(f_2) \to \ker(f_3) \xrightarrow{\partial} \operatorname{cok}(f_1) \to \operatorname{cok}(f_2) \to \operatorname{cok}(f_3).$$

 $\partial(y) = [z]$ for $y \in \ker(f_3)$, where y = p(x), and $f_2(x) = i(z)$.

Lemma 1.2 $((3 \times 3)$ -Lemma). *Given a commutative diagram of R-module maps*



With exact columns.

- 1) If either the top two or bottom two rows are exact, then so is the third.
- 2) If the top and bottom rows are exact, and $g \cdot f = 0$, then the middle row is exact.

Lemma 1.3 (5-Lemma). *Given a commutative diagram of R-module homomorphisms*

$$A_{1} \xrightarrow{f_{1}} A_{2} \xrightarrow{} A_{3} \xrightarrow{} A_{4} \xrightarrow{g_{1}} A_{5}$$

$$\downarrow h_{1} \qquad \downarrow h_{2} \qquad \downarrow h_{3} \qquad \downarrow h_{4} \qquad \downarrow h_{5}$$

$$B_{1} \xrightarrow{f_{2}} B_{2} \xrightarrow{} B_{3} \xrightarrow{} B_{4} \xrightarrow{g_{2}} B_{5}$$

with exact rows, such that h_1, h_2, h_4, h_5 are isomorphisms. Then h_3 is an isomorphism.

The Snake Lemma is proved with an element chase. The (3×3) -Lemma and 5-Lemma are consequences.

e.g. Prove the 5-Lemma with the induced diagram

$$0 \longrightarrow \operatorname{cok}(f_1) \longrightarrow A_3 \longrightarrow \ker(g_1) \longrightarrow 0$$

$$\downarrow \cong \qquad \qquad \downarrow h_3 \qquad \qquad \downarrow \cong$$

$$0 \longrightarrow \operatorname{cok}(f_2) \longrightarrow B_3 \longrightarrow \ker(g_2) \longrightarrow 0$$

Chain complexes

A *chain complex C* in *R*-modules is a sequence of *R*-module homomorphisms

$$\ldots \xrightarrow{\partial} C_2 \xrightarrow{\partial} C_1 \xrightarrow{\partial} C_0 \xrightarrow{\partial} C_{-1} \xrightarrow{\partial} \ldots$$

such that $\partial^2 = 0$ (or that $im(\partial) \subset ker(\partial)$) everywhere. C_n is the module of *n*-chains.

A *morphism* $f: C \to D$ of chain complexes consists of R-module maps $f_n: C_n \to D_n$, $n \in \mathbb{Z}$ such that there are comm. diagrams

$$C_{n} \xrightarrow{f_{n}} D_{n}$$

$$\downarrow \partial$$

$$C_{n-1} \xrightarrow{f_{n-1}} D_{n-1}$$

The chain complexes and their morphisms form a category, denoted by Ch(R).

If C is a chain complex such that C_n = 0 for n < 0, then C is an *ordinary* chain complex.
We usually drop all the 0 objects, and write

$$\rightarrow C_2 \xrightarrow{\partial} C_1 \xrightarrow{\partial} C_0$$

 $Ch_+(R)$ is the full subcategory of ordinary chain complexes in Ch(R).

• Chain complexes indexed by the integers are often called *unbounded* complexes.

Slogan: Ordinary chain complexes are spaces, and unbounded complexes are spectra.

Chain complexes of the form

$$\cdots \rightarrow 0 \rightarrow C_0 \rightarrow C_{-1} \rightarrow \ldots$$

are cochain complexes, written (classically) as

$$C^0 \rightarrow C^1 \rightarrow C^2 \rightarrow \dots$$

Both notations are in common (confusing) use.

Morphisms of chain complexes have kernels and cokernels, defined degreewise.

A sequence of chain complex morphisms

$$C \rightarrow D \rightarrow E$$

is exact if all sequences of morphisms

$$C_n \to D_n \to E_n$$

are exact.

Homology

Given a chain complex *C* :

$$\cdots \to C_{n+1} \xrightarrow{\partial} C_n \xrightarrow{\partial} C_{n-1} \to \ldots$$

Write

$$Z_n = Z_n(C) = \ker(\partial : C_n \to C_{n-1}), (n\text{-cycles}), \text{ and}$$

 $B_n = B_n(C) = \operatorname{im}(\partial : C_{n+1} \to C_n) (n\text{-boundaries}).$

$$\partial^2 = 0$$
, so $B_n(C) \subset Z_n(C)$.

The n^{th} homology group $H_n(C)$ of C is defined by

$$H_n(C) = Z_n(C)/B_n(C)$$
.

A chain map $f: C \rightarrow D$ induces R-module maps

$$f_*: H_n(C) \to H_n(D), n \in \mathbb{Z}.$$

 $f: C \to D$ is a homology isomorphism (resp. quasi-isomorphism, acyclic map, weak equivalence) if all induced maps $f_*: H_n(C) \to H_n(D), n \in \mathbb{Z}$ are isomorphisms.

A complex C is *acyclic* if the map $0 \to C$ is a homology isomorphism, or if $H_n(C) \cong 0$ for all n, or if the sequence

$$\dots \xrightarrow{\partial} C_1 \xrightarrow{\partial} C_0 \xrightarrow{\partial} C_{-1} \xrightarrow{\partial} \dots$$

is exact.

Lemma 1.4. A short exact sequence

$$0 \rightarrow C \rightarrow D \rightarrow E \rightarrow 0$$

induces a natural long exact sequence

$$\dots \xrightarrow{\partial} H_n(C) \to H_n(D) \to H_n(E) \xrightarrow{\partial} H_{n-1}(C) \to \dots$$

Proof. The short exact sequence induces comparisons of exact sequences

$$C_n/B_n(C) \longrightarrow D_n/B_n(D) \longrightarrow E_n/B_n(E) \longrightarrow 0$$

$$\downarrow \partial_* \qquad \qquad \downarrow \partial_* \qquad \qquad \downarrow \partial_*$$

$$0 \longrightarrow Z_{n-1}(C) \longrightarrow Z_{n-1}(D) \longrightarrow Z_{n-1}(E)$$

Use the natural exact sequence

$$0 \to H_n(C) \to C_n/B_n(C) \xrightarrow{\partial_*} Z_{n-1}(C) \to H_{n-1}(C) \to 0$$

Apply the Snake Lemma.

2 Ordinary chain complexes

A map $f: C \to D$ in $Ch_+(R)$ is a

- weak equivalence if f is a homology isomorphism,
- *fibration* if $f: C_n \to D_n$ is surjective for n > 0,
- *cofibration* if f has the left lifting property (LLP) with respect to all morphisms of $Ch_+(R)$ which are simultaneously fibrations and weak equivalences.

A *trivial fibration* is a map which is both a fibration and a weak equivalence. A *trivial cofibration* is both a cofibration and a weak equivalence.

f has the *left lifting property* with respect to all trivial fibrations (ie. f is a cofibration) if given any solid arrow commutative diagram

$$\begin{array}{c}
C \longrightarrow X \\
f \downarrow \qquad \qquad \downarrow p \\
D \longrightarrow Y
\end{array}$$

in $Ch_+(R)$ with p a trivial fibration, then the dotted arrow exists making the diagram commute.

Special chain complexes and chain maps:

• R(n) [= R[-n] in "shift notation"] consists of a copy of the free R-module R, concentrated in degree n:

$$\cdots \rightarrow 0 \rightarrow 0 \rightarrow \stackrel{n}{R} \rightarrow 0 \rightarrow 0 \rightarrow \cdots$$

There is a natural *R*-module isomorphism

$$hom_{Ch_+(R)}(R(n),C) \cong Z_n(C).$$

• $R\langle n+1\rangle$ is the complex

$$\cdots \rightarrow 0 \rightarrow \stackrel{n+1}{R} \xrightarrow{1} \stackrel{n}{R} \rightarrow 0 \rightarrow \cdots$$

• There is a natural *R*-module isomorphism $\hom_{Ch_+(R)}(R\langle n+1\rangle,C)\cong C_{n+1}.$

• There is a chain $\alpha : R(n) \to R\langle n+1 \rangle$

 α classifies the cycle $1 \in R\langle n+1 \rangle_n$.

Lemma 2.1. Suppose that $p: A \rightarrow B$ is a fibration and that $i: K \rightarrow A$ is the inclusion of the kernel of p. Then there is a long exact sequence

$$\dots \xrightarrow{p_*} H_{n+1}(B) \xrightarrow{\partial} H_n(K) \xrightarrow{i_*} H_n(A) \xrightarrow{p_*} H_n(B) \xrightarrow{\partial} \dots$$
$$\dots \xrightarrow{\partial} H_0(K) \xrightarrow{i_*} H_0(A) \xrightarrow{p_*} H_0(B).$$

Proof. $j : \operatorname{im}(p) \subset B$, and write $\pi : A \to \operatorname{im}(p)$ for the induced epimorphism. Then $H_n(\operatorname{im}(p)) = H_n(B)$ for n > 0, and there is a diagram

$$H_0(A) \xrightarrow{p_*} H_0(B)$$

$$H_0(\operatorname{im}(p))$$

in which π_* is an epimorphism and i_* is a monomorphism (exercise). The long exact sequence is constructed from the long exact sequence in homology for the short exact sequence

$$0 \to K \xrightarrow{i} A \xrightarrow{\pi} \operatorname{im}(p) \to 0$$

with the monic $i_*: H_0(\operatorname{im}(p)) \to H_0(B)$.

Lemma 2.2. $p: A \to B$ is a fibration if and only if p has the RLP wrt. all maps $0 \to R\langle n+1 \rangle$, $n \ge 0$.

Proof. The lift exists in all solid arrow diagrams

$$\begin{array}{c}
0 \longrightarrow A \\
\downarrow \qquad \qquad \downarrow p \\
R\langle n+1 \rangle \longrightarrow B
\end{array}$$

for $n \ge 0$.

Corollary 2.3. $0 \to R\langle n+1 \rangle$ is a cofibration for all $n \ge 0$.

Proof. This map has the LLP wrt all fibrations, hence wrt all trivial fibrations.

Lemma 2.4. The map $0 \rightarrow R(n)$ is a cofibration.

Proof. The trivial fibration $p: A \to B$ induces an epimorphism $Z_n(A) \to Z_n(B)$ for all $n \ge 0$:

$$A_{n+1} \longrightarrow B_n(A) \longrightarrow Z_n(A) \longrightarrow H_n(A) \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow \cong$$

$$B_{n+1} \longrightarrow B_n(B) \longrightarrow Z_n(B) \longrightarrow H_n(B) \longrightarrow 0$$

A chain complex A is *cofibrant* if the map $0 \rightarrow A$ is a cofibration.

eg. R(n+1) and R(n) are cofibrant.

All chain complexes C are *fibrant*, because all chain maps $C \rightarrow 0$ are fibrations.

Proposition 2.5. $p: A \rightarrow B$ is a trivial fibration and if and only if

- 1) $p: A_0 \rightarrow B_0$ is a surjection, and
- 2) p has the RLP wrt all $\alpha : R(n) \rightarrow R\langle n+1 \rangle$.

Corollary 2.6. $\alpha : R(n) \rightarrow R\langle n+1 \rangle$ is a cofibration.

Proof of Proposition 2.5. 1) Suppose that $p: A \rightarrow B$ is a trivial fibration with kernel K.

Use Snake Lemma with the comparison

$$A_1 \xrightarrow{\partial} A_0 \longrightarrow H_0(A) \longrightarrow 0$$

$$p \downarrow \qquad \qquad \downarrow \cong$$

$$B_1 \xrightarrow{\partial} B_0 \longrightarrow H_0(B) \longrightarrow 0$$

to show that $p: A_0 \to B_0$ is surjective.

Suppose given a diagram

$$R(n) \xrightarrow{x} A$$

$$\alpha \downarrow \qquad \qquad \downarrow p$$

$$R\langle n+1 \rangle \xrightarrow{y} B$$

Choose $z \in A_{n+1}$ such that p(z) = y. Then $x - \partial(z)$ is a cycle of K, and K is acyclic (exercise) so there is a $v \in K_{n+1}$ such that $\partial(v) = x - \partial(z)$. $\partial(z+v) = x$ and $\partial(z+v) = y$, so $\partial(z+v) = x$ is the desired lift.

2) Suppose that $p: A_0 \to B_0$ is surjective and that p has the right lifting property with respect to all $R(n) \to R\langle n+1 \rangle$.

The solutions of the lifting problems

$$R(n) \xrightarrow{0} A$$

$$\downarrow \qquad \qquad \downarrow p$$

$$R\langle n+1 \rangle \xrightarrow{x} B$$

show that *p* is surjective on all cycles, while the solutions of the lifting problems

$$R(n) \xrightarrow{x} A$$

$$\downarrow \qquad \qquad \downarrow p$$

$$R\langle n+1 \rangle \xrightarrow{y} B$$

show that *p* induces a monomorphism in all homology groups. It follows that *p* is a weak equivalence.

We have the diagram

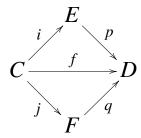
$$Z_{n+1}(A) \longrightarrow A_{n+1} \stackrel{\partial}{\longrightarrow} Z_n(A) \longrightarrow H_n(A) \longrightarrow 0$$

$$\downarrow p \qquad \downarrow p \qquad \downarrow p \qquad p \downarrow \cong$$

$$Z_{n+1}(B) \longrightarrow B_{n+1} \stackrel{\partial}{\longrightarrow} Z_n(B) \longrightarrow H_n(B) \longrightarrow 0$$

Then $p: B_n(A) \to B_n(B)$ is epi, so $p: A_{n+1} \to B_{n+1}$ is epi, for all $n \ge 0$.

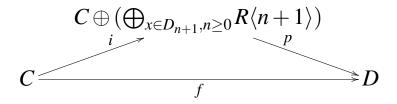
Proposition 2.7. Every chain map $f: C \rightarrow D$ has two factorizations



where

- 1) p is a fibration. i is a monomorphism, a weak equivalence and has the LLP wrt all fibrations.
- 2) q is a trivial fibration and j is a monomorphism and a cofibration.

Proof. 1) Form the factorization



p is the sum of f and all classifying maps for chains x in all non-zero degrees. It is therefore surjective in non-zero degrees, hence a fibration.

i is the inclusion of a direct summand with acyclic cokernel, and is thus a monomorphism and a weak equivalence. i is a direct sum of maps which have

the LLP wrt all fibrations, and thus has the same lifting property.

2) Recall that $A \to B$ is a trivial fibration if and only if it has the RLP wrt all cofibrations $R(n) \to R\langle n+1\rangle$, $n \ge -1$.

Notation: $R(-1) \rightarrow R(0)$ is the map $0 \rightarrow R(0)$.

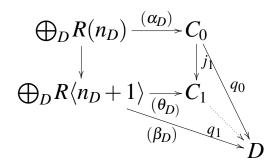
Consider the set of all diagrams

$$D: R(n_D) \xrightarrow{\alpha_D} C$$

$$\downarrow f = q_0$$

$$R\langle n_D + 1 \rangle \xrightarrow{\beta_D} D$$

and form the pushout



where $C = C_0$. Then j_1 is a monomorphism and a cofibration, because the collection of all such maps is closed under direct sum and pushout.

Every lifting problem D as above is solved in C_1 :

$$R(n_D) \xrightarrow{\alpha_D} C_0 \xrightarrow{j_1} C_1$$

$$\downarrow \qquad \qquad \downarrow q_1$$

$$R\langle n_D + 1 \rangle \xrightarrow{\beta_D} D$$

commutes.

Repeat this process inductively for the maps q_i to produce a string of factorizations

$$C_0 \xrightarrow{j_1} C_1 \xrightarrow{j_2} C_2 \xrightarrow{j_3} \dots$$
 $q_0 \downarrow q_1 \qquad q_2 \qquad \dots$

Let $F = \underline{\lim}_{i} C_{i}$. Then f has a factorization

$$C \xrightarrow{j} F$$
 $\downarrow q$
 D

Then j is a cofibration and a monomorphism, because all j_k have these properties and the family of such maps is closed under (infinite) composition.

Finally, given a diagram

$$R(n) \xrightarrow{\alpha} F$$

$$\downarrow q$$

$$R\langle n+1 \rangle \xrightarrow{\beta} D$$

The map α factors through some finite stage of the filtered colimit defining F, so that α is a composite

$$R(n) \xrightarrow{\alpha'} C_k \to F$$

for some k. The lifting problem

$$R(n) \xrightarrow{\alpha'} C_k \downarrow q_k$$

$$R\langle n+1 \rangle \xrightarrow{\beta} D$$

is solved in C_{k+1} , hence in F.

Remark: This proof is a *small object argument*.

The R(n) are *small* (or compact): hom(R(n),) commutes with filtered colimits.

Corollary 2.8. 1) Every cofibration is a monomorphism.

2) Suppose that $j: C \to D$ is a cofibration and a weak equivalence. Then j has the LLP wrt all fibrations.

Proof. 2) The map j has a factorization

$$C \xrightarrow{i} F$$
 $\downarrow p$
 D

where i has the left lifting property with respect to all fibrations and is a weak equivalence, and p is a

fibration. Then p is a trivial fibration, so the lifting exists in the diagram

$$\begin{array}{c}
C \xrightarrow{i} F \\
\downarrow \downarrow & \downarrow p \\
D \xrightarrow{1} D
\end{array}$$

since j is a cofibration. Then j is a retract of a map (namely i) which has the LLP wrt all fibrations, and so j has the same property.

Resolutions

Suppose that P is a chain complex. Proposition 2.7 says that $0 \rightarrow P$ has a factorization



where j is a cofibration (so that F is cofibrant) and q is a trivial fibration, hence a weak equivalence.

The proof of Proposition 2.7 implies that each Rmodule F_n is free, so F is a *free resolution* of P.

If the complex *P* is cofibrant, then the lift exists in

$$0 \longrightarrow F$$

$$\downarrow \qquad \qquad \downarrow q$$

$$P \longrightarrow P$$

All modules P_n are direct summands of free modules and are therefore projective.

This observation has a converse:

Lemma 2.9. A chain complex P is cofibrant if and only if all modules P_n are projective.

Proof. Suppose that P is a complex of projectives, and $p: A \rightarrow B$ is a trivial fibration.

Then $p: A_n \to B_n$ is surjective for all $n \ge 0$ and has acyclic kernel $i: K \to A$.

Suppose given a lifting problem

$$\begin{array}{ccc}
0 \longrightarrow A \\
\downarrow \theta & \downarrow p \\
P \longrightarrow B
\end{array}$$

There is a map $\theta_0: P_0 \to A_0$ which lifts f_0 :

$$P_0 \xrightarrow{f_0} B_0$$

Suppose given a lift up to degree n, ie. homomorphisms $\theta_i: P_i \to A_i$ for $i \le n$ such that $p_i\theta_i = f_i$ for $i \le n$ and $\partial \theta_i = \theta_{i-1}\partial$ for $1 \le i \le n$

There is a map $\theta'_{n+1}: P_{n+1} \to A_{n+1}$ such that $p_{n+1}\theta'_{n+1} = f_{n+1}$.

Then

$$p_n(\partial \theta'_{n+1} - \theta_n \partial) = \partial p_{n+1} \theta'_{n+1} - f_n \partial = \partial f_{n+1} - f_n \partial = 0$$

so there is a $v: P_{n+1} \to K_n$ such that

$$i_n v = \partial \theta'_{n+1} - \theta_n \partial$$
.

Also

$$\partial(\partial\theta'_{n+1}-\theta_n\partial)=0$$

and K is acyclic, so there is a $w: P_{n+1} \to K_{n+1}$ such that

$$i_n \partial w = \partial \theta'_{n+1} - \theta_n \partial$$
.

Then

$$\partial(\theta'_{n+1}-i_{n+1}w)=\theta_n\partial$$

and

$$p_{n+1}(\theta'_{n+1}-i_{n+1}w)=p_{n+1}\theta'_{n+1}=f_{n+1}.$$

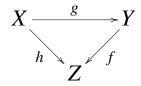
Remarks:

- 1) Every chain complex C has a *cofibrant model*, i.e. a weak equivalence $p: P \rightarrow C$ with P cofibrant (aka. complex of projectives).
- 2) M = an R-module. A cofibrant model $P \rightarrow M(0)$ is a projective resolution of M in the usual sense.
- 3) Cofibrant models $P \rightarrow C$ are also (commonly) constructed with Eilenberg-Cartan resolutions.

3 Closed model categories

A *closed model category* is a category \mathcal{M} equipped with three classes of maps, namely weak equivalences, fibrations and cofibrations, such that the following conditions are satisfied:

- **CM1** The category \mathcal{M} has all finite limits and colimits.
- CM2 Given a commutative triangle



of morphisms in \mathcal{M} , if any two of f,g and h are weak equivalences, then so is the third.

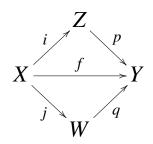
CM3 The classes of cofibrations, fibrations and weak equivalences are closed under retraction.

CM4 Given a commutative solid arrow diagram

$$\begin{array}{ccc}
A \longrightarrow X \\
\downarrow \downarrow & & \downarrow p \\
B \longrightarrow Y
\end{array}$$

such that i is a cofibration and p is a fibration. Then the lift exists making the diagram commute if either i or p is a weak equivalence.

CM5 Every morphism $f: X \to Y$ has factorizations



where p is a fibration and i is a trivial cofibration, and q is a trivial fibration and j is a cofibration.

Theorem 3.1. With the definition of weak equivalence, fibration and cofibration given above, $Ch_+(R)$ satisfies the axioms for a closed model category.

Proof. **CM1**, **CM2** and **CM3** are exercises. **CM5** is Proposition 2.7, and **CM4** is Corollary 2.8. □

Exercise: A map $f: C \to D$ of Ch(R) (unbounded chain complexes) is a *weak equivalence* if it is a homology isomorphism.

f is a *fibration* if all maps $f: C_n \to D_n$, $n \in \mathbb{Z}$ are surjective.

A map of is a *cofibration* if and only if it has the left lifting property with respect to all trivial fibrations.

Show that, with these definitions, Ch(R) has the structure of a closed model category.